

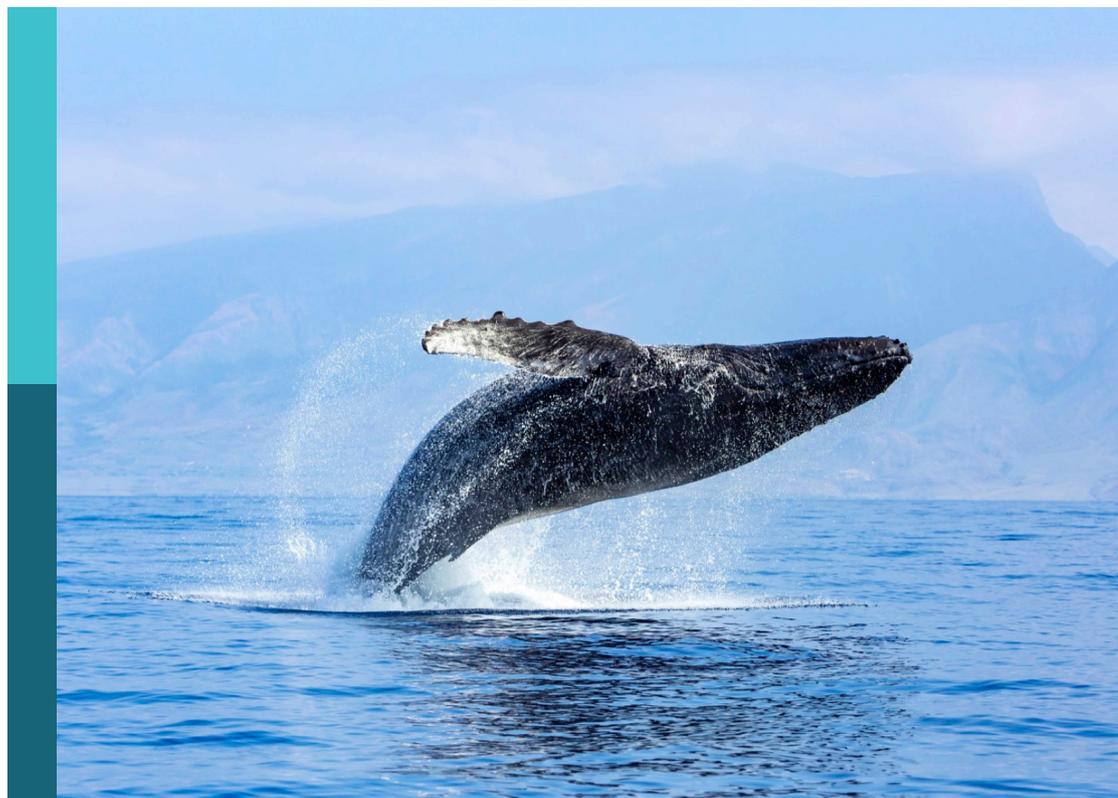
# Changing biogeochemical and ecological dynamics in the south china sea in times of global change

**Edited by**

Shuwen Zhang, Fajin Chen, Tim Jennerjahn and Guangzhe Jin

**Published in**

Frontiers in Marine Science



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ISSN 1664-8714  
ISBN 978-2-83252-063-5  
DOI 10.3389/978-2-83252-063-5

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# Changing biogeochemical and ecological dynamics in the south china sea in times of global change

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## Citation

Zhang, S., Chen, F., Jennerjahn, T., Jin, G., eds. (2023). *Changing biogeochemical and ecological dynamics in the south china sea in times of global change*.

Lausanne: Frontiers Media SA. doi: 10.3389/978-2-83252-063-5

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EDITED AND REVIEWED BY  
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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 14 February 2023

ACCEPTED 13 March 2023

PUBLISHED 16 March 2023

## CITATION

Zhang S, Jennerjahn TC, Chen F and Jin G  
(2023) Editorial: Changing biogeochemical  
and ecological dynamics in the South  
China Sea in times of global change.  
*Front. Mar. Sci.* 10:1165859.  
doi: 10.3389/fmars.2023.1165859

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# Editorial: Changing biogeochemical and ecological dynamics in the South China Sea in times of global change

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## KEYWORDS

seaweeds, climate change, evolutionary genetics, ecological responses, biodiversity conservation

## Editorial on the Research Topic

[Changing biogeochemical and ecological dynamics in the South China Sea in times of global change](#)

## 1 Introduction

In general, ocean physical processes affect marine biogeochemical cycles and ecology. The South China Sea (SCS), the largest semi-enclosed tropical sea in the Pacific Ocean, has a variety of processes that are influenced by global change and human activities. The biogeochemistry and ecology of the SCS are controlled by a complex interplay of processes, many of which need to be better understood. Under the pressure of global change, interdisciplinary research efforts on the interaction of physical, biological, biogeochemical and sedimentological processes are required. Therefore, this Research Topic aims to facilitate discussions on the use of observational, experimental, and modeling approaches to clarify how physical dynamics at multiple temporal and spatial scales affect the biogeochemistry and ecology of the SCS in the context of global change. It is a collection of 14 original and novel articles in the following four areas of research.

## 2 The impact of multi-scale physical dynamics on the biogeochemistry and biology

The three papers in this section use observational data to better understand the coupled physical-biogeochemical processes in the SCS. Sun et al. investigated the important role of bacterial degradation of dimethylsulfoniopropionate (DMSP) on ecosystem productivity and global climate using the northern SCS as an example. This study showed that temperature and depth were the most important factors determining the taxonomic distribution of DMSP degradation genes in the Roseobacter group, as well as their abundance. It highlights the important role of the Roseobacter group in DMSP

degradation in tropical oceans. Wu et al. correlated the vertical distribution of chl-a being regulated by mesoscale eddies with mesoscale eddies in the SCS by observing the phytoplankton under a weak cold eddy and two warm eddies along the 18°N transect in the SCS. It is shown that the presence of phytoplankton and nutrients in cold eddies is accomplished by the motion associated with eddy-induced divergence. Li et al. investigated the different tolerance and resistance to upwelling among three species of mangroves through laboratory experiments. The study provides new insights into mangroves' response to upwelling.

### 3 The response of biogeochemical cycles and biology to increasing human activities

This section includes four papers, which come up with interesting implications for carbon research in the SCS, especially regarding China's "carbon neutral" policy. Ding et al. conducted laboratory incubation bioassay experiments and seagoing measurement campaigns in the spring and summer of 2015–2016 and 2019 to determine the primary production, terrestrial inputs from the Pearl River and the intrusion of the Kuroshio Current influencing the distribution and microbial degradation of dissolved organic carbon in the northern SCS. The dissolution of particles supplied by rivers around the SCS and the continental margin plays an important role in supplying additional Nd to the open SCS as deduced from surface water distribution and water column profiles by Wu et al. River discharge and anthropogenic inputs are also important external sources of dissolved organic matter (DOM) in low-salinity nearshore waters, i.e. estuaries and coasts in the Beibu Gulf as demonstrated by Zhu et al. In contrast, DOM in offshore waters is mainly generated by *in situ* biological activities. Chou et al. calculated the metabolic rate and carbon sink capacity of seagrass meadows from the dynamics of O<sub>2</sub> and pCO<sub>2</sub> in seagrass meadows in the Southeast Asian archipelago region, and found that seagrass meadows with high total primary production (GPP) do not necessarily have a high carbon sequestration potential.

### 4 The cycling of gases, nutrients and trace metals

Yu et al. used the distribution of dissolved silicate (DSi) and other environmental parameters to explore the role of marginal seas in deep-sea DSi regeneration in the western Pacific Ocean. They found that in oligotrophic marginal seas (such as the SCS), the DSi content in deep water was similar to that of the adjacent Pacific waters. However, in the nutrient-rich marginal seas (e.g., Bering Sea), the DSi content in the deep sea is significantly higher than in the adjacent deep Pacific. This is mainly due to deep-sea DSi regeneration in marginal sea basins, which is driven by high biogenic particle flux from highly productive surface waters. Liu et al. studied the active chlorophyll fluorescence at a high spatial and temporal resolution using fast repetition rate fluorometry (FRRf)

throughout the outer continental shelf of the northern SCS, the basin of the northern SCS, the cyclonic eddy-influenced domain in the western SCS, and the southeastern SCS. They demonstrated that FRRf has become a powerful tool for assessing the physiological status of phytoplankton in the sea and can be linked to ocean dynamics at fine scales.

### 5 Reconstruction of the paleoenvironment by using sedimentary archives

The five papers in this section focus on the paleoenvironment in sedimentary archives, providing some new insights into the reconstruction of paleoceanography and paleoenvironment in the SCS. Liu et al. documented a Middle Miocene shallow-water contourite depositional system in the southwest SCS by interpreting seismic reflection data and calibrating results with the previous chronological framework. Shown by radiocarbon and optically stimulated luminescence (OSL) dating of cores from the Chaoshan delta along the SCS by Zhong et al., the Quaternary sedimentation of the Rongjiang Plain dates to at least the marine isotope stage (MIS)6 of the low sea level period during which fluvial processes mainly influenced the plain. Lin et al. were interested in establishing high-resolution chronologies on two cores from Zhuhai using quartz (OSL) and radiocarbon (<sup>14</sup>C) dating, and further reconstructing the Holocene sedimentary history of the Pearl River Delta. Yu et al. were able to reconstruct the low sea levels during the Last Glacial Maximum by examining and analyses of the deepest speleothems (-116 m) collected from the deepest known blue hole on a global scale, the Sansha Yongle Blue Hole, Xisha Islands in SCS, Li et al. investigated fossil assemblages and sedimentary microfacies on high-resolution samples from two adjacent sections of the South China Block on land using the divergent patterns of Permian–Triassic mass extinction (PTME) in different paleoenvironment water depths. They found that anoxic conditions were the likely major cause of the PTME in deep-sea environments.

### 6 Prospects and challenges

This Research Topic provides perspectives for current research on ocean dynamic processes closely related to the environmental and ecological characteristics of the SCS. However, there still needs to be more relevant research data. As a typical marginal sea system, the South China Sea receives a large number of materials of human origin through atmospheric deposition and riverine input, which faces an environmental and ecological challenge. On the other hand, the Dynamics of SCS are complex as it is connected to the Northwest Pacific Ocean through the Luzon Strait. The water exchange between the two basins greatly impacts the distribution, transportation, and circulation of materials within the South China Sea. The relevant studies on the coupling of biogeochemistry, i.e., the interdisciplinary study between multi-scale dynamical processes in the ocean, explaining the biogeochemical processes with more

detailed physical mechanisms, are still weak. Moreover, the related systemic studies about the ocean and the atmosphere in the SCS still need to be improved. For example, some dynamical processes in the ocean, although driven by the atmosphere, affect bioecology and finally affect the processes in marine geology, which still need further study. Future studies should continue to collect long-term observation data and establish a more detailed data support and research legacy for a more comprehensive and in-depth understanding of the ocean dynamics and related biological, chemical, and geological processes in the SCS region.

## Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

## Conflict of interest

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# Abundance and Diversity of Dimethylsulfoniopropionate Degradation Genes of *Roseobacter* Group in the Northern South China Sea

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## OPEN ACCESS

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### Specialty section:

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

Received: 14 March 2022

Accepted: 01 April 2022

Published: 03 May 2022

### Citation:

Sun F, Wang Y, Jiang Z, Sun C,  
Wang Y and Wu M (2022)  
Abundance and Diversity of  
Dimethylsulfoniopropionate  
Degradation Genes of  
*Roseobacter* Group in the  
Northern South China Sea.  
Front. Mar. Sci. 9:895613.  
doi: 10.3389/fmars.2022.895613

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Bacterial degradation of dimethylsulfoniopropionate (DMSP) plays a significant role in ecosystem productivity and global climate. In this study, the abundance and diversity of *Roseobacter* group DMSP degradation genes were explored in spatial scale of the South China Sea (SCS). Quantitative PCR showed that a higher abundance of *dmdA* (DMSP demethylase) and *dddP* (DMSP lyase) genes was detected above 75 m than deep water, especially in surface water. A high ratio of *dmdA/dddP* existed in all sites and increased with water depth, indicating that demethylation was the main degradation pathway in the *Roseobacter* group. High-throughput sequencing analysis showed that distribution of *dmdA* gene had a significant layering structure in the northern SCS, and high taxonomic diversity of *dmdA* gene was observed in near-surface waters (25 and 50 m). *DmdA* gene in the *Roseobacter* group, such as *Leisingera*, *Nioella*, *Roseobacter*, *Roseovarius*, *Donghicola*, *Phaeobacter*, and *Tateyamaria*, had remarkable specificity due to the effect of different sites and water depths. Different ecological strategies of DMSP degradation may be used by members of the bacterial community harboring demethylation genes. In addition, many *dmdA* sequences were affiliated with unidentified bacteria, indicating that the SCS reserved high diversity of DMSP-degrading bacteria. Canonical correspondence analysis (CCA) suggested that temperature and depth were the most important factors to determine the taxonomic distribution of DMSP degradation genes in the *Roseobacter* group, as well as their abundance. This study highlighted the understanding of the role of *Roseobacter* group in DMSP degradation in the tropical ocean.

**Keywords:** *Roseobacter* group, dimethylsulfoniopropionate degradation genes, diversity, abundance, South China Sea

## INTRODUCTION

Dimethylsulfoniopropionate (DMSP), an important sulfur compound, is mainly produced by marine phytoplankton in marine water (Howard et al., 2006; Michaud et al., 2007; Levine et al., 2012; Moran and Durham, 2019). Association with phytoplankton aggregates may provide many ecophysiological advantages to the marine *Roseobacter* group, including easy access to DMSP and other algal products (González et al., 1999; Amin et al., 2015). When released from phytoplankton, DMSP is mainly assimilated and degraded by members of bacteria via a demethylation or cleavage pathway (Malmstrom et al., 2004; Curson et al., 2011; Burkhardt et al., 2017; Raina et al., 2017). The demethylation pathway transforms the majority of DMSP to 3-methylpropionate, which is then incorporated into the cell biomass (Kiene et al., 1999; Reisch C. R. et al., 2011). By contrast, the cleavage pathway converts DMSP to produce dimethylsulfide (DMS) through various DMSP lyases (Johnston et al., 2016). DMS represents the largest volatile sulfide in the ocean, and its oxidation production can form cloud nucleation and affect global climate (Andreae and Crutzen, 1997; Simó, 2001).

The *Roseobacter* group is affiliated with Alphaproteobacteria, and the majority is of marine origin, constituting a large proportion of the total bacterial community (Brinkhoff et al., 2008; Simon et al., 2017). Members of the *Roseobacter* group can establish symbiotic relationships with phytoplankton, partly through the exchange of DMSP (Liu et al., 2018; Nowinski et al., 2019; O'Brien et al., 2022). *Roseobacter* are often dominant with functional genes, which can encode the capabilities of oxidation sulfur compounds and methylated amines, and catabolism of various carbohydrates (Zhang et al., 2016). The *Roseobacter* group is considered to be a key participant in DMSP metabolism, and nearly 1/3 of DMSP assimilation process is performed through the *Roseobacter* group in the coastal area (Malmstrom et al., 2004). At least 80% of *Roseobacter* group cells contain *dmdA* in the Sargasso Sea (Howard et al., 2006; Howard et al., 2008). Of the seven *ddd* genes identified (*dddD*, *dddK*, *dddL*, *dddP*, *dddQ*, *dddY*, and *dddW*), *dddP* and *dddQ* genes are the most frequently detected in marine bacteria and mainly found in the *Roseobacter* group (Howard et al., 2008; Todd et al., 2009; Todd et al., 2011; Sun et al., 2016). To date, more and more the whole genomes of marine *Roseobacter* strains have been sequenced (Newton et al., 2010; Luo et al., 2012; Voget et al., 2015; Billerbeck et al., 2016; Bakenhus et al., 2018). According to the above studies, *dmdA* and *dddP* genes are the most important genes for DMSP degradation in the *Roseobacter* group.

The northern South China Sea (nSCS) is a marginal sea characterized by tropical and subtropical climates and representing typical oligotrophic characteristics with significant environmental gradients. High concentrations of DMSP and DMS are detected in surface water, especially between 20 and 75 m (Yang, 2000; Yang et al., 2008). Previous studies reported that the abundance of DMSP degradation genes had spatial variability in the seawater (Howard et al., 2011; Levine et al., 2012; Varaljay et al., 2012; Choi et al., 2015; Cui et al., 2015), indicating that DMSP degradation genes are strongly impacted by primary production, UV radiation, DMSP and DMS concentrations, and *Chl a* concentration.

To date, DMSP degradation genes have been little reported in the SCS, and biogeography of DMSP degradation genes in spatial-vertical distribution of marine water needs further study. In this study, *dmdA* and *dddP* genes were targeted and collected from the surface to 200 m depth during a cruise across the SCS. The aims of this study were to describe the spatial-vertical distribution and abundance of *Roseobacter*-like DMSP degradation genes, and to explore the diversity shift of DMSP degradation genes related to water environment in the SCS.

## MATERIALS AND METHODS

### Sample Collection

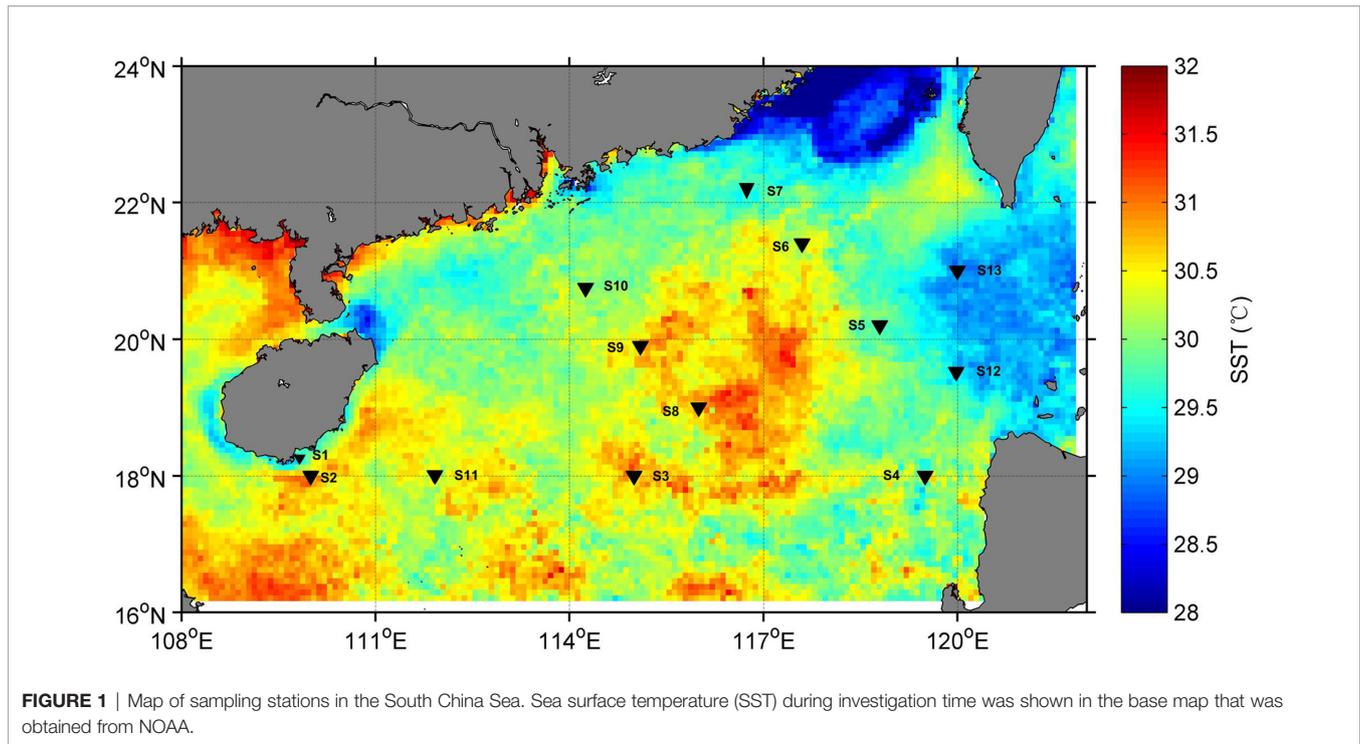
The cruise was carried out in the South China Sea with the *Shiyan 3* from August to September 2011 (Figure 1). A conductivity-temperature-depth (CTD) system (SeaBird SBE-911 Plus, US) was deployed to acquire hydrographic parameters. Seawater samples were collected at different depths (0, 25, 50, 75, 100, 150, and 200 m) with CTD 12-L Niskin bottles (General Oceanics, Inc., Miami, FL). Once collected, the samples were immediately filtered using polycarbonate membranes (EMD Millipore, US) with a pore size of 0.22  $\mu\text{m}$ . The filter was immediately placed in a 1.5-ml sterile centrifuge tube and stored in liquid nitrogen for further DNA extraction. Sample DNA were extracted using the DNA Extraction Kit (OMEGA, US) according to the protocol instructions. Nitrate ( $\text{NO}_3$ ), phosphate ( $\text{PO}_4$ ), silicate ( $\text{SiO}_3$ ), and chlorophyll (*Chl a*) concentrations were measured according to the protocols of “the specialties for oceanography survey” (GB17378.4-2007, China).

### Quantitative PCR of *dmdA* and *dddP* Genes Abundance in the *Roseobacter* Group

Two *dmdA* primers were used to amplify the different *Roseobacter* subclades (A/1 and A/2) (Varaljay et al., 2010) for the DMSP demethylase gene, and *dddP* primers targeting the *Roseobacter* group were used to detect the DMSP lyase genes (Levine et al., 2012). The reactions were performed in the iQ5 Real-Time PCR Detection System (Bio-Rad, US). Quantification was based on the increasing fluorescence intensity of the SYBR green dye during amplification. QPCR standards were made from PCR products amplified from environmental samples using the pMD19-T cloning kit (TaKaRa, Japan). The real-time PCR assay was performed in a 20- $\mu\text{L}$  reaction volume with SYBR Premix Ex Taq II (TaKaRa, Japan). All qPCRs were run in triplicate for each sample. QPCR conditions were as follows: predenaturation at 95°C for 30 s, 35 cycles at 95°C for 5 s, and annealing at 60°C for 30 s. Tenfold serially diluted standard and no-template controls were run in triplicate for each reaction.

### Illumina MiSeq Sequencing of *dmdA* Genes in the *Roseobacter* Group

The primer pairs, *dmdA*282F (5'-TGCTSTSAACGAYCCSGT-3') and *dmdA*591R (5'-ACRTAGAYYTCRAAVCCBCCYT-3') (Zeng et al., 2016), were used for *Roseobacter*-like *dmdA* gene amplification. PCR conditions were as follows: 94°C for 3 min, 35 cycles at 94°C for 1 min, 54°C for 30 s, extension at 72°C for 30 s,



and a final extension at 72°C for 10 min. The PCR comprised a 20- $\mu$ L reaction volume containing 4  $\mu$ L of 5 $\times$  FastPfu Buffer, 2  $\mu$ L of 2.5 mM dNTPs, 0.5  $\mu$ L of FastPfu polymerase, 1.0  $\mu$ L of primers (5  $\mu$ M), and 10 ng of template DNA. A positive control and non-template control samples were run to validate PCR. PCR products were purified using the AxyPrep DNA Gel Extraction Kit (Axygen Biosciences, US), and quantified using QuantiFluor<sup>TM</sup>-ST (Promega, US). An Illumina MiSeq platform (Illumina, US) was used for paired-end sequencing (2  $\times$  300) according to the standard protocols.

For pair-ended reads obtained by Illumina sequencing, barcodes and primers were trimmed and then assembled using FLASH (V1.2.7). Reads that contained Ns were shorter than 50 bp or had primer mismatches which were also excluded. Sequences were compared with RDP reference database using VSEARCH (1.9.6) to detect chimeric sequences. Then sequences were grouped into OTUs (operational taxonomic units) using UPARSE (v7.0.1001), and pre-clustered at 97% sequence identity. The highest OTU frequencies were selected as representative OTU sequences. The taxonomy of each *dmdA* gene sequence was analyzed by RDP classifier algorithm against the NCBI non-redundant (nr) database using a confidence threshold of 70%.

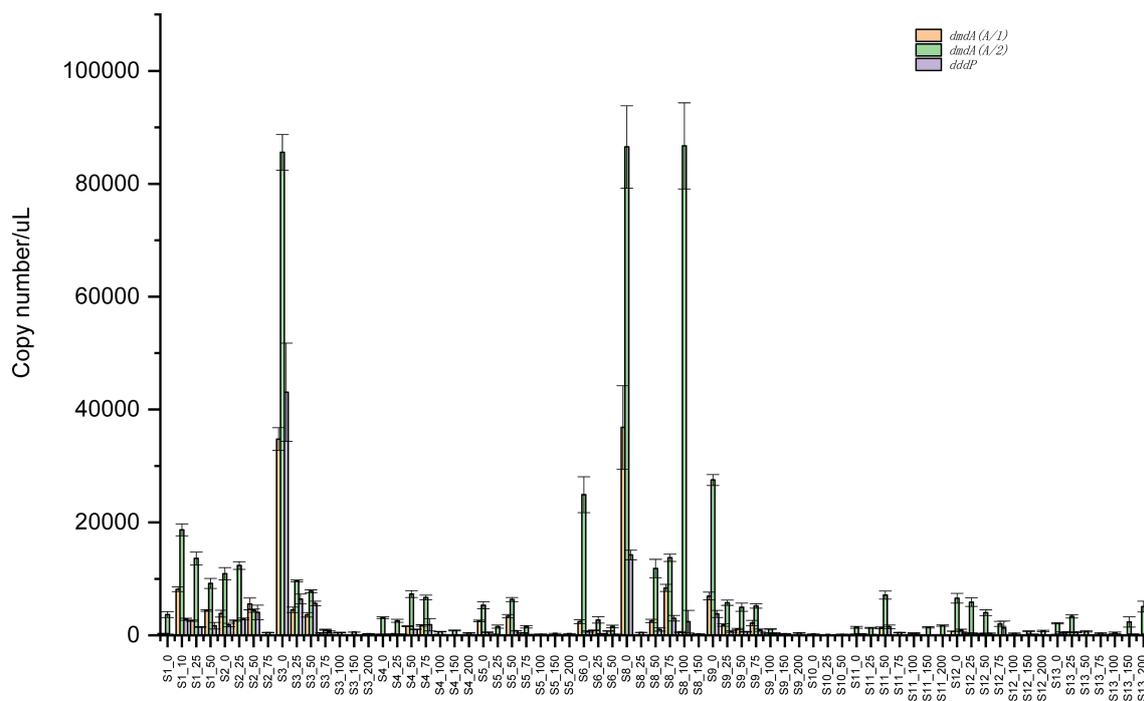
## RESULTS AND DISCUSSION

### Characteristics of the Abundance of *dmdA* and *dddP* Gene in the South China Sea

Two subclades (A/1 and A/2) of *dmdA* genes and *dddP* genes were quantified using qPCR (Figure 2). The copy numbers of *dmdA* and *dddP* genes and their distribution in the northwestern

SCS varied greatly among sampling sites and depths. This result was similar with the study in the Pacific Ocean (Cui et al., 2015), indicating that the abundance of DMSP degradation genes had great variability of abundance in the ocean. Overall, the abundance of *dmdA* and *dddP* genes located in the northwest SCS was higher than that located in the northeastern SCS. As seen from a spatial scale, sites (S1, S2, S3, S8, and S9) showed higher copy numbers of *dmdA* and *dddP* genes than other sites (S5, S6, S12, and S13). In these five stations, two sites (S1 and S2) are close to the shore, and the remaining three (S3, S8, S9) are in the middle of the survey area. Similar to previous studies in the SCS (Ling et al., 2012; Sun et al., 2015), bacterial community in the northwestern SCS had higher diversity than that in the northeastern SCS. The abundance difference is likely due to water temperature. As seen in Figure 1, the SST of the sites to the left of longitude 117°E is higher than that of the sites to the right. This result was similar to other reports that temperature is an important factor in determining the abundance of *dmdA* and *dddP* in surface water (Levine et al., 2012; Varaljay et al., 2012; Cui et al., 2015).

*DmdA* and *dddP* genes from the *Roseobacter* group were particularly enriched in surface waters with an order of magnitude difference in their abundance relative to deep waters (Figure 2), which indicated that the abundance of *dmdA* and *dddP* genes was strongly separated by water depth. Copy numbers of *dmdA* and *dddP* genes were higher above 75 m water than those below 100 m water, and the highest abundance of these genes was observed in the surface layer. Variation of gene abundance of *dmdA* and *dddP* may be closely related to the DMSP concentration in vertical depth. Previous studies reported that DMSP and DMS concentrations in SCS were markedly high



**FIGURE 2** | Abundance of *dmdA* and *dddP* in the SCS from surface water to 200 m depth based on quantitative PCR.

in the surface seawater, and decreased gradually with increasing depth (Yang, 2000; Zhai et al., 2020). Other studies also reported that distribution patterns of *dmdA* and *dddP* were roughly consistent with the distribution characteristics of DMSP concentration, and were mainly influenced by the *Chl a* concentrations, depth, salinity, and temperature (Howard et al., 2011; Varaljay et al., 2012; Cui et al., 2015).

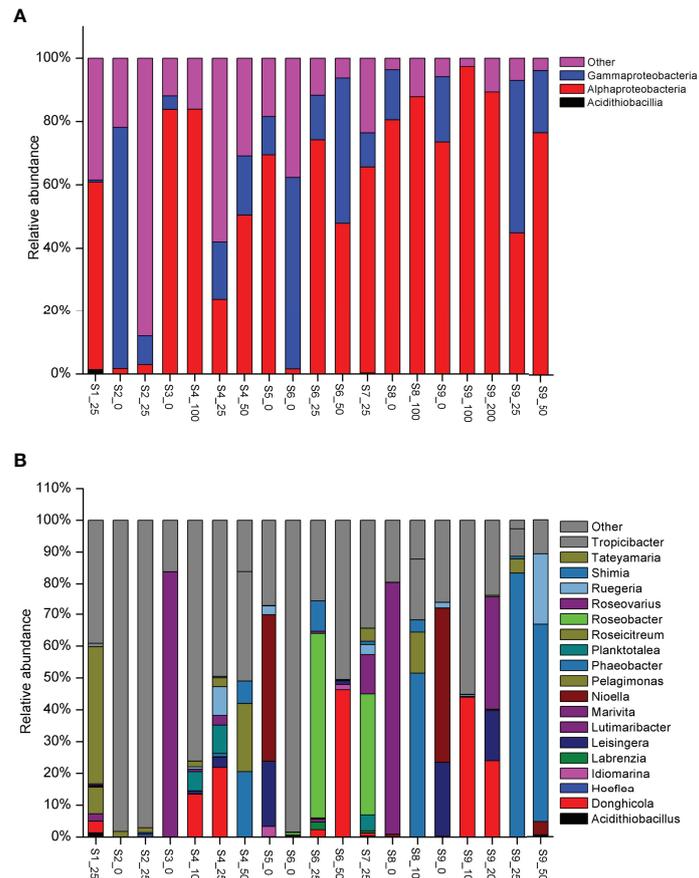
In addition, the copy numbers of the *dddP* gene were far lower than those of the *dmdA* gene at almost all sites and depths (Figure 2), even if only the *dmdA* A/2 clade was considered. The copy number ratios (*dmdA*/*dddP*) of these genes ranged from 2 to 156 times. The copy numbers of the *dmdA* A/2 gene were higher than those of the *dmdA* A/1 gene at almost all sites and depths, which suggested that subclade A2 was the main group of *Roseobacter* group in the demethylation pathway. The other study reported that two *Roseobacter* group *dmdA* gene subclades (A/1 and A/2) showed opposite depth distributions in the summer (Levine et al., 2012). Interestingly, high ratios of *dmdA*/*dddP* and *dmdA*2/*dmdA*1 were mainly observed in deep waters (below 75 m), even if these three genes had relatively low abundance, indicating that demethylation is the main pathway of DMSP degradation in the water. Previous studies suggested that 80% of DMSP degradation is processed through the demethylation pathway, and only 20% is cleaved to DMS (Kiene et al., 1999). Marine bacteria keep the ability of DMSP demethylation to a suitable evolutionary pressure, which can explain the consistently stable and high *dmdA* gene frequencies in the ocean (Varaljay et al., 2012). In marine waters, nutrients

and organic sulfur such as DMSP are important because cells need increased sulfur demand for growth, causing more sulfur to be incorporated into cell protein (Kiene et al., 1999).

### Diversity Distribution of *dmdA* Gene in the *Roseobacter* Group

A total of 231,246 valid reads and 688 OTUs were obtained from the 19 samples through Illumina MiSeq sequencing analysis. Each of the samples contained 9155 to 17,955 reads, with OTUs ranging from 12 to 55. The coverage was more than 0.999, which suggested that sequencing data had favorable coverage for *dmdA* diversity. Diversity indices, including Shannon, Chao, Ace, and Simpson, are demonstrated in **Supplementary Table S1**. The result indicated that the *dmdA* gene had higher community diversity above 50 m than in deep waters (100 and 200 m).

The study revealed that the composition of the *dmdA* gene varied significantly among the sites and depths (ANOVA,  $p < 0.01$ ). Overall, the *dmdA* gene was mainly affiliated with Alphaproteobacteria and Gammaproteobacteria (Figure 3). In most sites, the *dmdA* gene was dominated by Alphaproteobacteria, whereas a few of the samples (S2\_0, S6\_0, S6\_50, and S9\_25) were dominated by Gammaproteobacteria. In addition, low abundance of Acidithiobacillia-like *dmdA* gene was detected (1.37%). High abundance of *dmdA* (2.64%–87.95%), which had very low similarity with the amino acid identity of uncultured bacteria, was found in some samples. Moreover, 21.78% of all sequences had 73%–79% amino acid similarity with Gammaproteobacteria. This result provided further



**FIGURE 3** | Taxonomic distribution of samples through High-throughput sequencing analysis in class level **(A)** and genus level **(B)**.

evidence that ocean water contains a high diversity of *dmdA* genes, which has not yet been unearthed in the tropical ocean.

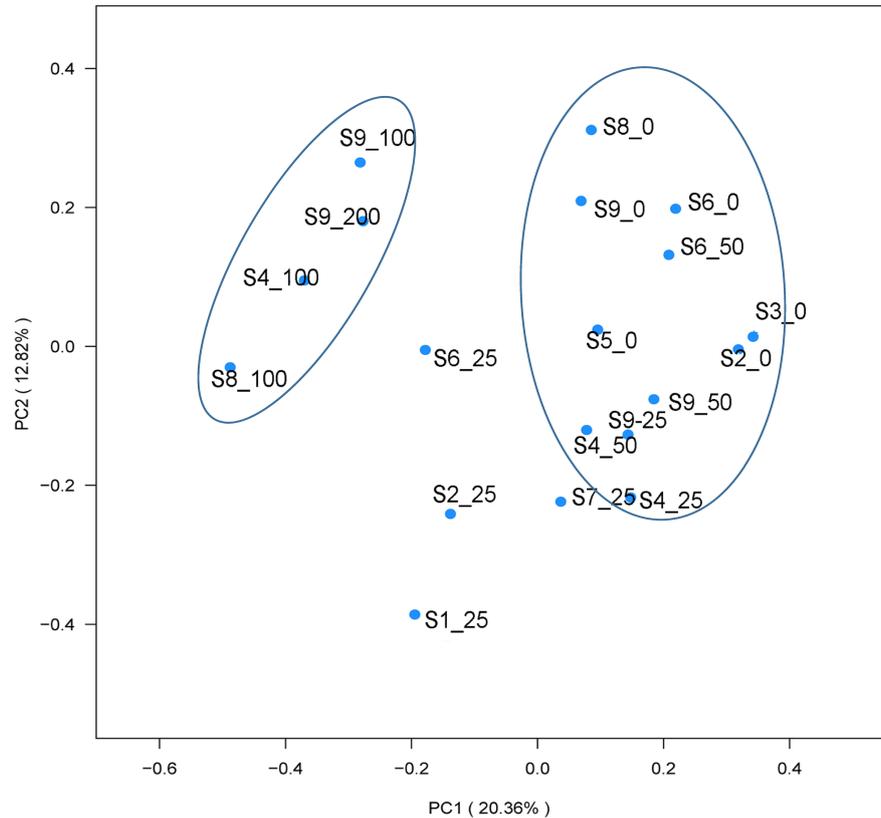
Overall, *dmdA* genes in the nSCS showed greater variation near surface water than in deep waters, which is related to the vertical structure of water (**Figure 3**). At the genus level, high abundance of *dmdA* genes below 100 m belonged to *Phaeobacter*, *Roseicitreum*, *Ruegeria*, *Tropicibacter*, and *Shimia*. The upwelling site (S1-25) was characterized by *Tateyamaria* (43.11%) and *Pelagimonas* (8.40%). These findings indicated that coastal upwelling had different *dmdA* taxonomy of the *Roseobacter* group with open water. S5\_0 and S9\_0 sites were dominated by *Leisingera* (>20%) and *Nioella* (>46%). S3\_0 and S8\_0 sites were characterized by highly abundant *Roseovarius* (>80%). Site S6\_25 was mainly composed of *Roseobacter* (58%) and *Shimia* (9.56%). Site S7\_25 was mainly composed of *Roseobacter* (38%), *Roseovarius* (12.32%), and *Planktotalea* (4.97%). The *dmdA* gene of *Donghicola* mainly dominated in the 25- and 50-m water layer. Moreover, *dmdA* genes of *Leisingera*, *Planktotalea*, *Roseovarius*, and *Ruegeria* were dominant in the 25- or 50-m water layer.

Principal component analysis (PCA) illustrated that the *dmdA* gene of the bacterial community had distinct differences among different sites and depths (**Figure 4**). The results indicated that the *dmdA* gene of the *Roseobacter* group in shallow water (0 m, 25 m,

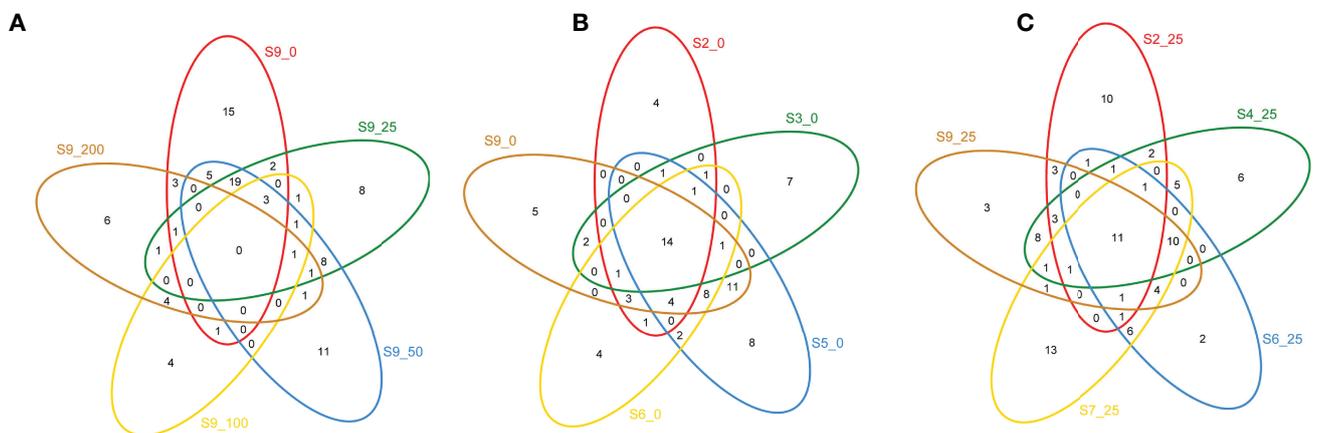
and 50 m) and deep layer (100 and 200 m) had clustered together separately. The *dmdA* gene of the deep water was separated by a large distance from the shallow layer. Overall, the results indicated the clearly distinct structure among sampling sites and depths (ANOVA,  $p < 0.01$ ). Vein analysis showed that *dmdA* gene diversity had 14 and 11 common OTUs between the surface water and 25-m depth of the horizontal scale. Considering the vertical depths, no common OTU was found from the surface to 200 m water depths (**Figure 5**).

## Environmental Factors Affected the Distribution of the *dmdA* Gene

The CCA analysis constructed a correlation between environmental factors and *dmdA* gene diversity (**Figure 6A**). The first ordination axis accounted for 32.1% of the cumulative percentage variance in the matrix, while the second axis accounted for 31.2%. *DmdA* gene in the upwelling (S1-25) was mainly positively related to *Chl a* and silicate concentration ( $p < 0.05$ ). *DmdA* gene below 100-m depth was negatively defined by water depth ( $p < 0.01$ ), while shallow water samples (0 m and 25 m) were strongly determined by water temperature ( $p < 0.01$ ). Other environmental factors, such as salinity, nitrate,



**FIGURE 4** | Principal components analysis (PCA) based on phylogenetic UniFrac distance metrics.

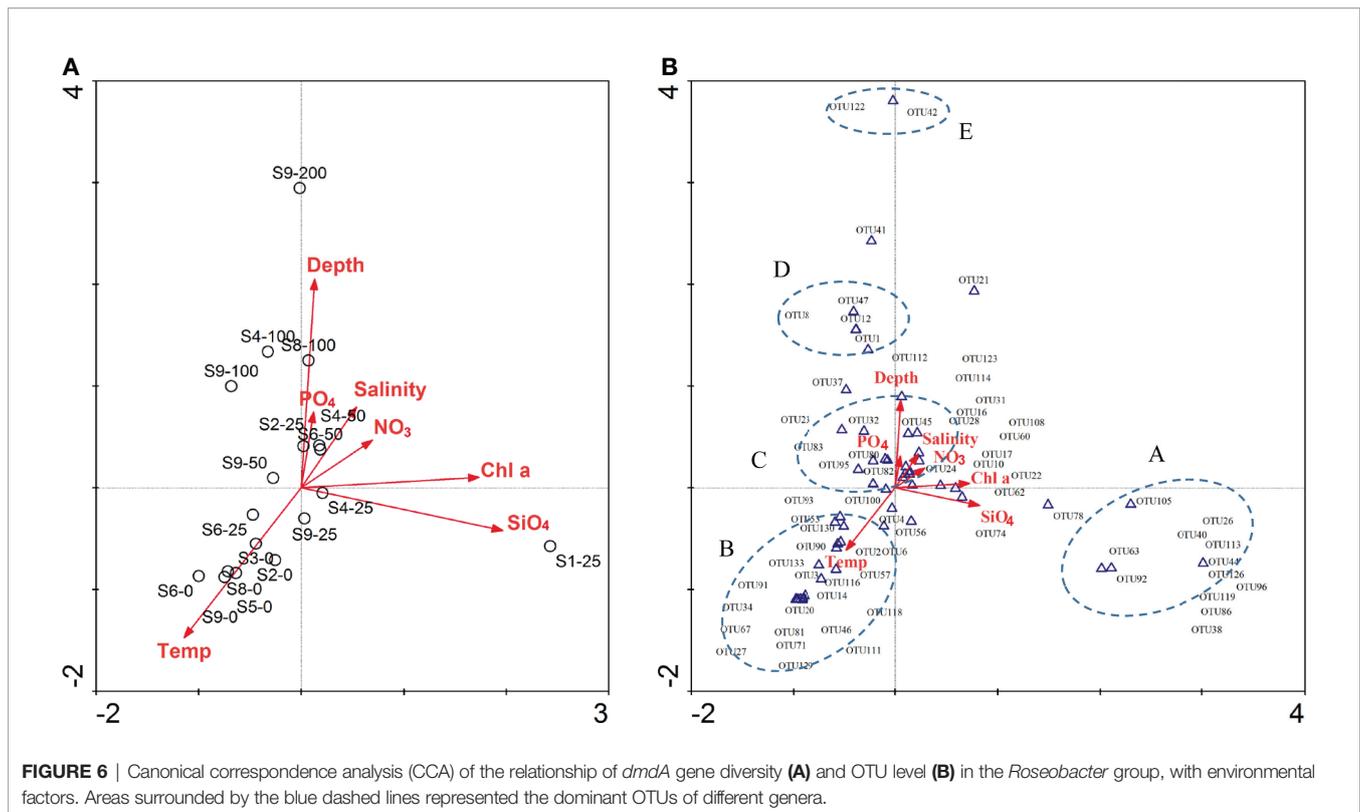


**FIGURE 5** | Venn map analysis of *dmdA* gene diversity in spatial and vertical scale. **(A)** common OTUs in the vertical layers; **(B)** common OTUs in the surface water; **(C)** common OTUs in the 25-m water layers.

and phosphate, had no obvious effects on the diversity of *dmdA* genes.

In the CCA map (**Figure 6B**), cluster A, mainly composed by *Tateyamaria*, *Pelagimonas*, and *Marivita*, had significant correlation ( $p < 0.05$ ) with *Chl a* and silicate concentration,

which was influenced by the upwelling. High abundance OTUs of cluster B, which was afflicted with *Leisingera*, *Nioella*, *Roseovarius*, and *Roseobacter*, were mainly positively related to temperature. High abundance of OTUs (cluster C), which was afflicted with *Roseobacter*, *Shimia*, *Planktotalea*, and



*Donghicola*, were mainly related to depth, phosphate, and salinity. Cluster D and E, mainly composed by *Phaeobacter*, *Roseicitreum*, *Ruegeria*, and *Tropicibacter*, were mainly positively related to depth, indicating that these *Roseobacter* OTUs were suitable to environment change with deep water. As described above, a high ratio of *dmdA*/*dddP* was found in deep water, which indicated that the *Roseobacter* group had more nutrition demand and greater degree of demethylation than DMSP cleavage. Different ecological strategies of DMSP degradation may be used by members of the bacterial community harboring demethylation and/or cleavage genes (Reisch C. et al., 2011). In previous studies, the *Roseobacter* group is the dominant microbial taxa in the offshore, upwelling, and mesoscale eddy of the South China Sea (Zhang et al., 2016; Sun et al., 2020; Sun et al., 2022), and diversity of DMSP degradation potential has obvious significance for sulfur material cycling and transformation.

## CONCLUSION

This study showed that DMSP degradation genes varied significantly in spatial scale of the South China Sea. The current study found that temperature and water depth mainly induced variations in taxonomic affiliations of *Roseobacter* group DMSP degradation genes over space. These findings further implied that additional factors including light, salinity, and temperature, which are caused by an increase in depth, may play important roles in regulating the DMSP degradation genes.

These factors play an important role in regulating the switch process between bacterial DMSP demethylation and DMSP cleavage. This study highlighted the understanding of the role of *Roseobacter* group in sulfur cycling and transformation conversion in the tropical ocean.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: NCBI [accession: SRP133746].

## AUTHOR CONTRIBUTIONS

All authors contributed to the article and approved the submitted version.

## FUNDING

The present study was supported financially by Hainan Province Science and Technology Special Fund (ZDYF2021XDNY131), the Strategic Priority Research Program of the Chinese Academy of Sciences (XDA19060204), the Key Special Project for Introduced Talents Team of Southern Marine Science and Engineering Guangdong Laboratory (Guangzhou)

(GML2019ZD0303), the National Natural Science Foundation of China (31971480, 41406130 and 42073078), the National Key Research and Development Plan (2017FY100700), and the Project of Guangdong Science and Technology Department (2017A020216008).

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.895613/full#supplementary-material>

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# Biotic Response to Rapid Environmental Changes During the Permian–Triassic Mass Extinction

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### Specialty section:

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

Received: 02 April 2022

Accepted: 12 May 2022

Published: 10 June 2022

### Citation:

Li G, Wang Y, Li S, Wang T, Liao W,  
Deng B and Lai Z (2022) Biotic  
Response to Rapid Environmental  
Changes During the Permian–Triassic  
Mass Extinction.  
Front. Mar. Sci. 9:911492.  
doi: 10.3389/fmars.2022.911492

The divergent patterns of Permian–Triassic mass extinction (PTME) have been extensively documented in varying water depth settings. We here investigated fossil assemblages and sedimentary microfacies on high-resolution samples from two adjacent sections of the South China Block: Chongyang from shallow-water platform and Chibi from deeper-water slope. At Chongyang, abundant benthos (over 80%), including rugose corals, fusulinids, calcareous algae, and large foraminifers, disappeared precipitously at the topmost of Changxing Formation grainstone, which suggested complete damage of the benthic ecosystem, confirming a sudden single-pulse extinction pattern. The end-Permian regression, marked by a karst surface, provided a plausible explanation for this extinction pattern. Whereas for the fauna in Chibi, the benthos was relatively abundant (20%–55%) with more trace fossils and lacking calcareous algae. Benthic abundance in Chibi reduced by two steps at the two claystone beds (Beds 10 and 18): bioclastic content dropped from an average of 50% in Beds 1–9 to 10% in Beds 11–17 and then to less than 5% in Beds 19–23, suggesting a two-pulse extinction. At the first pulse, large foraminifers were prominent victims in both shallow- and deeper-water settings. A plausible survival strategy for small-sized foraminifers was to migrate to deeper water to avoid extreme heat in shallow water. The early Triassic transgression prompted some small foraminifers to migrate back to original platforms and flourish briefly as disaster forms. At the Early Triassic mudstone with bottom-water settings in Chibi, the appearance of abundant small pyrite framboids (diameters of 4.74–5.96  $\mu\text{m}$ ), an indicator of intensified oxygen deficiency, was simultaneous with the two-step reduction of benthic diversity and abundance. Thus, anoxic conditions might be the main cause of the PTME at deeper-water settings. Our study is an example of the wider debate about biotic response to rapid environmental change for both the Permian–Triassic transition and modern days.

**Keywords:** Permian–Triassic mass extinction (PTME), sea-level changes, anoxic conditions, foraminiferal migration, South China Block (SCB)

## 1 INTRODUCTION

Mass extinctions were defined as the substantial increase in loss of taxon during a relatively short interval of geologic time, in other words, extinction rates significantly above background levels (Raup and Sepkoski, 1982; Sepkoski, 1986; Hallam and Wignall, 1997). They occurred five times in the past 600 million years (Myr) of Earth's history, known as the "Big Five," each resulting in the loss of 75%–96% of marine species biodiversity (Raup and Sepkoski, 1982; Erwin et al., 2002; Rong and Fang, 2004; Fan et al., 2020) and alteration of ecosystem structures (Aberhan and Kiessling, 2015). Current declines of biodiversity were about 1,000 times the background rate of extinction throughout geological time (Pimm et al., 2014), which may approach or exceed the magnitude of previous extinction events (Ceballos and Ehrlich, 2018). Thus, it has been suggested that Earth might be entering the sixth mass extinction (Ceballos et al., 2017; Cowie et al., 2022). While marine biodiversity decreases, the ocean system is experiencing great changes, including sea surface temperature rising (Seager et al., 2019), dissolved oxygen concentration declining (Breitburg et al., 2018), and seawater acidification (Ishida et al., 2021). The well-known Permian–Triassic mass extinction (PTME) might have been documented all these changes in modern oceans (Rong and Fang, 2004). The PTME was the severe biotic crisis of the "Big Five" (Erwin et al., 2002), resulting in the disappearance of over 80% skeletonized marine species in less than 0.03 Myr (Fan et al., 2020). Approximately >5 Myr after the crisis, the collapsed marine ecosystems did not fully recover until the beginning of the Middle Triassic (Algeo et al., 2011), indicating a significant transition in marine ecosystems from Paleozoic- to Mesozoic-type communities (Algeo et al., 2011; Song et al., 2018). Meanwhile, the terrestrial ecosystem changeover also took place nearby the Permian–Triassic boundary (PTB) (Benton and Newell, 2014; Yu et al., 2015; Zhu et al., 2020). The terrestrial ecological disturbance probably started 60,000–370,000 years before that in the ocean (Dal et al., 2022), however, the enhanced terrestrial input likely corresponding to the marine mass extinction (He et al., 2010; Benton and Newell, 2014). Nevertheless, discriminating the pattern and causes of the marine ecosystem collapse during the PTME can help us better understand the impact of rapid global warming on the ecosystems in both today and future crises.

Multiple studies on PTME have been conducted in various paleogeographical settings (e.g., Jin et al., 2000; Shen et al., 2011; Song et al., 2012; Liu et al., 2020; Li et al., 2021), but many aspects of the extinction, including its pattern and triggers, have long been disputed. Jin et al. (2000) proposed that the PTME occurred at the end-Permian as a single abrupt event based on 329 marine invertebrate species from the Meishan section in the South China Block (SCB). Yin et al. (2007) suggested a three-pulse pattern of

PTME: a prelude extinction, main extinction, and the post-extinction event based on fossil records in various Permian–Triassic (P–Tr) boundary sections in the SCB. Conversely, Song et al. (2012) and Xie et al. (2005) suggested that the extinction crisis was divided into two episodic pulses from fossil records of various taxa in the Meishan section. A closer study of foraminiferal assemblage at the Liangfengya section revealed that foraminifers had two extinction pulses spanning the P–Tr boundary (Liu et al., 2020). Li et al. (2021) also found two extinction levels among benthic organisms at the Liangfengya section.

Numerous potential triggers for this crisis have been identified: rapid global warming (Sun et al., 2012), seawater anoxia/euxinia (Grice et al., 2005; Meyer et al., 2008; Hülse et al., 2021), sea-level changes (Hallam and Wignall, 1999; Ruban, 2020), and marine acidification (Payne et al., 2010; Clarkson et al., 2015). The most widely devastating mechanism was multiple environmental triggers that began with large igneous province eruptions, such as Siberian Traps (Black et al., 2012; Burgess et al., 2017; Joachimski et al., 2019; Kaiho et al., 2020), or Paleotethys ocean volcanism (Yin and Song, 2013).

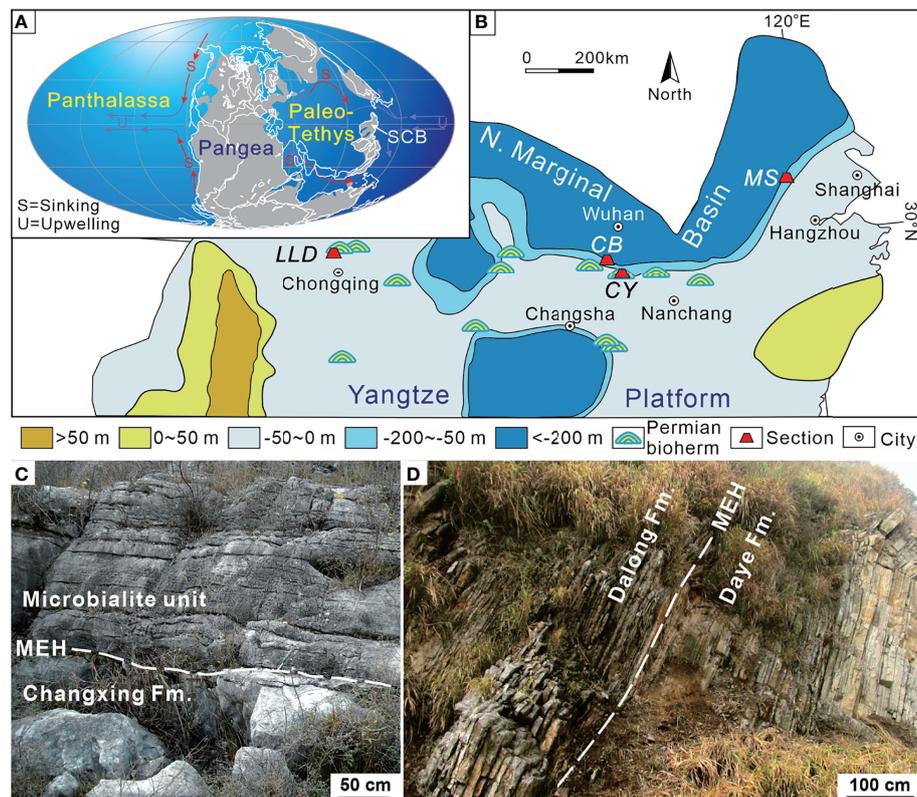
However, the research on potential triggers of PTME could be affected indirectly by the uncertainty of the PTME process. Li et al. (2021) recently proposed that the two phases of PTME had different environmental triggers in the Liangfengya section. Their data confirmed that oxygen deficiency was key in the second pulse of PTME; however, the first pulse might have been triggered by other environmental factors such as high and oscillating temperatures (Sun et al., 2012) rather than an anoxia event (Isozaki, 1997; Grice et al., 2005).

Extinction patterns among benthos varied between shallow- and deep-water facies according to previous PTME investigations (e.g., Groves et al., 2005; Shen et al., 2006; Chen and Benton, 2012). To examine the intrinsic connection about various extinction patterns among environments of different water depths, we here investigated the lithologic succession and benthonic assemblages from Late Permian to Early Triassic in two adjoining sections. We further discussed the extinction patterns, possible environmental triggers, and survival mechanisms during the PTME. This investigation of biotic response to rapid environmental changes can help us better understand the extinction and survival process, including modern-day global environmental disasters.

## 2 Paleogeography and Sedimentary Background

During the Late Permian to the Early Triassic, the SCB was located near the paleoequator, in the eastern Paleotethys Ocean, where the shallow-water Yangtze Platform was separated by several deep-water siliciclastic basins (**Figure 1**). In the past two decades, attention was focused on the sedimentary successions in SCB. The huge exposures, length of the sections, and improved chronology provided a good opportunity to track environmental changes and restoration of benthic ecosystems during the P–Tr transition.

**Abbreviations:** BSE, backscattered electron; GSSP, Global Stratotype Section and Point; MEH, mass extinction horizon; MU, microbialite unit; OMZ, oxygen minimum zone; PTB, Permian–Triassic boundary; PTME, Permian–Triassic mass extinction; SCB, South China Block; SEM, scanning electron microscopy; SMF, standard microfacies.



**FIGURE 1** | Changhsingian paleogeographic map showing the location of the sections investigated. **(A)** Global map revised from Scotese (2001). **(B)** Base map of the South China Block revised from Wang and Jin (2000). **(C)** Field outcrop of the Chongyang section showing the lower part of the microbialite unit and Late Permian grainstone near the P–Tr boundary. **(D)** Field outcrop of the Chibi section in Xianning city showing the P–Tr transition sequence. MEH, mass extinction horizon; SCB, South China Block; CB, Chibi section; CY, Chongyang section; LLD, Laolongdong section; MS, Meishan section.

## 2.1 The Chongyang Section

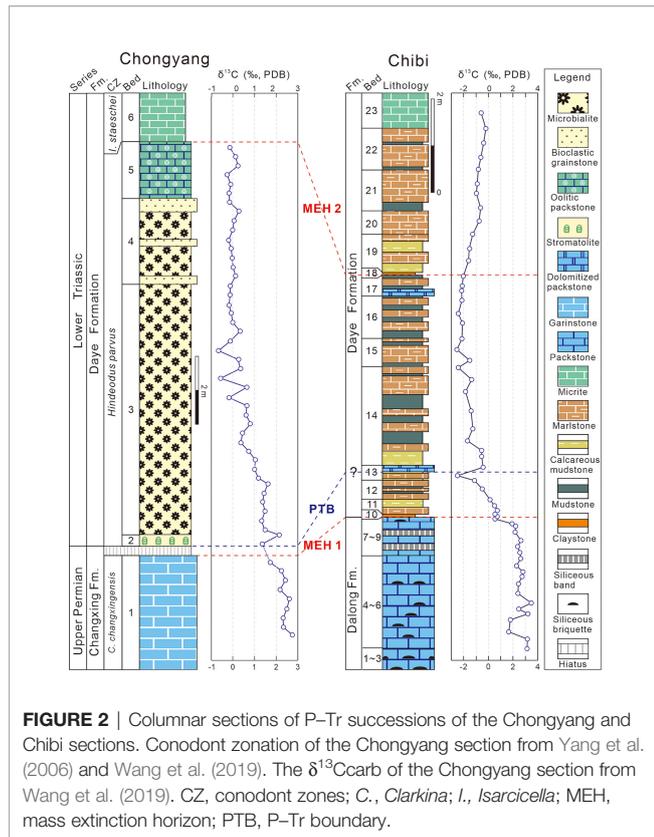
The Chongyang section (114°20'16"E, 29°39'08"N), located at Dashi village of Chongyang County southeast of Hubei Province (Figure 1B), has been described by Wang et al. (2005). The P–Tr boundary successions are well exposed, making it easy to identify the mass extinction horizon (MEH) (Figure 1C). On the outcrop, the coral reef framestone and skeletal grainstone are visible in the lower part of the section (Yang et al., 2011), yielding foraminifera *Palaeofusulina sinensis*. The skeletal grainstone under the MEH is Late Permian in age on the basis of *Palaeofusulina*. The Late Permian grainstone contains more than 80% (relative to the sediments) fossils, including rugose corals, fusulinids, calcareous algae, foraminifers, crinoids, bryozoans, brachiopods, and several other metazoan fossils. On the top of the skeletal grainstone is now an undulating paleokarst surface, capped with weathering and erosion residual products (Wang et al., 2019). The paleokarst surface formed widely at the shallow platform of the SCB (Ezaki et al., 2003; Wu et al., 2010; Kershaw et al., 2012) due to its exposure by the end-Permian regression (Yin et al., 2014). The overlying microbialite unit (MU) is characterized by thrombolite and dendrolite, which differ distinctly from Late Permian skeletal

grainstones. Yang et al. (2006) elucidated the composition and structure of microbialite ecosystems in the Chongyang section following the PTME. The MU lies on Permian skeletal grainstones and is overlain by thin-bedded micrites up to 8.5 m thick (Figure 2). The upper part of MU has several layers of molluskan grainstone. There is a regression hiatus between the Upper Permian Changxing Formation and the MU (Yin et al., 2014).

Despite the fact that conodont fossils such as *Hindeodus parvus*, *Hindeodus typicalis*, and *Hindeodus latidentatus* had been found in the middle MU (Yang et al., 2006), more comprehensive work from various sections indicated that conodont fossil *H. parvus* was actually preserved at the bottom of the MU (Jiang et al., 2014; Wang et al., 2016). Therefore, the P–Tr boundary in the Chongyang section was allocated to the bottom of the MU in this study, and the MU was assigned to the *H. parvus* Zone (Jiang et al., 2014; Wang et al., 2016).

## 2.2 The Chibi Section

The Chibi section (113°49'13"E, 29°42'01"N) is located at Fenghuang Mountain in southeast Hubei Province. This section is positioned in the transitional zone between the Yangtze Platform and the northern marginal deep siliceous



**FIGURE 2** | Columnar sections of P–Tr successions of the Chongyang and Chibi sections. Conodont zonation of the Chongyang section from Yang et al. (2006) and Wang et al. (2019). The  $\delta^{13}\text{C}_{\text{carb}}$  of the Chongyang section from Wang et al. (2019). CZ, conodont zones; C., *Clarkina*; I., *Isarcicella*; MEH, mass extinction horizon; PTB, P–Tr boundary.

basin during the P–Tr transition (Figure 1; Feng et al., 1996). A deep siliciclastic deposition exists in the northern area to Chibi, such as Daye or Huangshi (Wang and Xia, 2003). The southern area consists of a shallow-water carbonate platform (Liu et al., 2010).

The particular paleogeographic setting resulted in the formation of a distinct sedimentary sequence within the P–Tr interval (Figure 2). The Upper Permian Dalong Formation (Beds 1–9) is characterized primarily by a sequence of thin- to medium-bedded bioclastic packstones interlayered with a small amount of siliceous thin beds. Packstones contain benthic fossils, such as foraminifers, calcareous algae, and echinoderms. The PTME occurred at the base of the first claystone (Bed 10), where a diversified benthic assemblage disappeared, which was

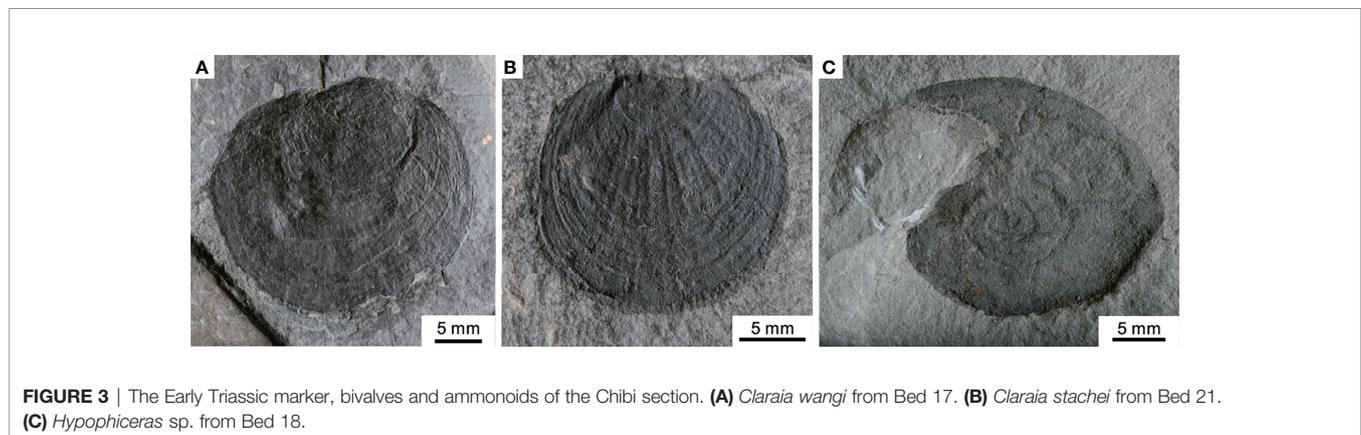
dominated by small foraminifers and calcareous algae (Deng et al., 2015). Numerous siliceous beds had accumulated during the first MEH (MEH 1) in the Dalong Formation, indicating increased volcanism (Wang et al., 2019). The fine-grained lithologies of the overlying Daye Formation include micrites, marls, mudstones, and claystones, with sparse bivalves and ammonoids. Abundant small pyrite framboids in Beds 11–21 suggested a low oxygen level in bottom waters (Wignall and Hallam, 1996).

Although no identifiable conodont had been found to confirm the P–Tr boundary of the Chibi section, the Bed 25 “boundary clay” of the Meishan section, known as the Global Stratotype Section and Point (GSSP) for the P–Tr boundary (Yin et al., 2001), had been considered to be a reliable marker of P–Tr boundary (Peng and Tong, 1999). Bed 10 of the Chibi section can be compared to it based on the first appearance of the basal Triassic marker, the bivalve *Claraia wangi* (in Bed 17), *C. stachei* (in Bed 21), and the ammonoid *Hypophiceras* (in Bed 18) (Figure 3). Based on biostratigraphy, a large excursion of carbon isotope is a useful indicator of stratigraphic correlation (Song et al., 2012). The first negative shift was recorded at Bed 13 and a weaker positive shift is recorded at the lower part of Bed 14 of the Chibi section (Figure 2). Thus, the P–Tr boundary is located in the middle of Bed 13 (i.e., 94 cm above the first claystone of the Daye Formation base).

### 3 METHODS

#### 3.1 Fossil Assemblage

Bioclastic was investigated under the microscope to examine the taxonomic composition of fossils. In each sample, calcareous algae, fusulinids, non-fusulinid foraminifers, bivalves, gastropods, ostracods, echinoderms, and microbes were classified according to guidelines of Flügel (2004). The changes of these fossil groups were recorded across the P–Tr boundary in the carbonate platform. A quantitative statistical method that was introduced by Payne et al. (2006) for bioclastic grains, i.e., a Figure of 500 points (or 300 points in some cases) was chosen to determine the contents of bioclastic grains, with an error of  $\pm 2.5\%$  (Wen and Liu, 2009).



**FIGURE 3** | The Early Triassic marker, bivalves and ammonoids of the Chibi section. (A) *Claraia wangi* from Bed 17. (B) *Claraia stachei* from Bed 21. (C) *Hypophiceras* sp. from Bed 18.

### 3.2 Microfacies Analysis

The analysis of carbonate microfacies was carried out according to standardized microfacies (SMF) and the depositional pattern (Flügel, 2004), focusing on fossil fragments and lithologic characters from both outcrops and thin sections. During the fieldwork, macrofossils, lithologic characters, and sedimentary structures were observed on outcrops. Small fossil fragments and other microlithologic characters in thin sections were examined under a polarizing microscope. The content of fossil fragments was calculated using the methodology by Payne et al. (2006).

### 3.3 Pyrite Framboid Analysis

Thirty vertically oriented samples with ca.  $2 \times 2 \text{ cm}^2$  in size across the P–Tr boundary in the Chibi section were selected to analyze size distributions of pyrite framboid for the study of redox conditions. Three samples from packstone of the Upper Permian Dalong Formation, 18 from the thin-bedded mudstone/marlstone of the P–Tr “transitional beds,” and five from the Lower Triassic Daye Formation were investigated. Initially, each sample was polished for petrographic observation under a microscope. Pyrite framboids of each polished sample were measured *in situ* using an FEI Quanta 200 scanning electron microscope (SEM). A minimum of 120 pyrite framboids were measured for each sample wherever appropriate: this quantity was considered sufficient for the statistical analysis with an error less than 10% (Wilkin et al., 1996).

## 4 RESULTS

### 4.1 Fossil Assemblage and Microfacies of the End-Permian

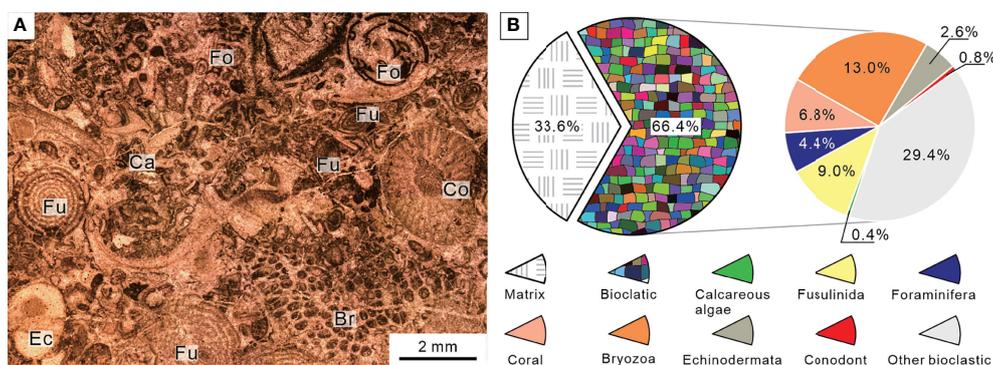
The sedimentary sequence at the Chongyang section starts with gray thick-bedded bioclastic grainstones belonging to the Upper Permian Changxing Formation, yielding foraminifer *Palaeofusulina sinensis*. Thin-section data revealed that the Changxing Formation was mostly made up of skeletal grainstones cemented by sparite calcite, and that calcareous algae, fusulinids, non-fusulinida foraminifera, ostracods,

gastropods, coral, bryozoan, and echinoderms were well-preserved fossil grains (Figure 4A). The biotic abundance was extremely high with an average of 66% (Figure 4B) and could come out >80% in some samples, implying a turbulent shallow-water platform environment. Furthermore, a number of fusulinids were identified in thin sections (Figure 5), indicating that the water depth was about 20–30 m (Yu, 1989). The foraminiferal assemblage was similar to those examined on shallow-water platforms elsewhere in the SCB, where *Reichelina*, *Cribrogenerina*, and *Palaeofusulina* were commonly abundant (Yang et al., 2011). The cement was largely composed of sparite and contained no micrite, indicating a high water-energy content. The presence of abundant algae and coral indicated that the seafloor was within the euphotic zone (Figure 4A). The diverse benthic community preserved in the Permian bioclastic grainstone of the Chongyang section indicates a well-oxygenated water column (Groves et al., 2005).

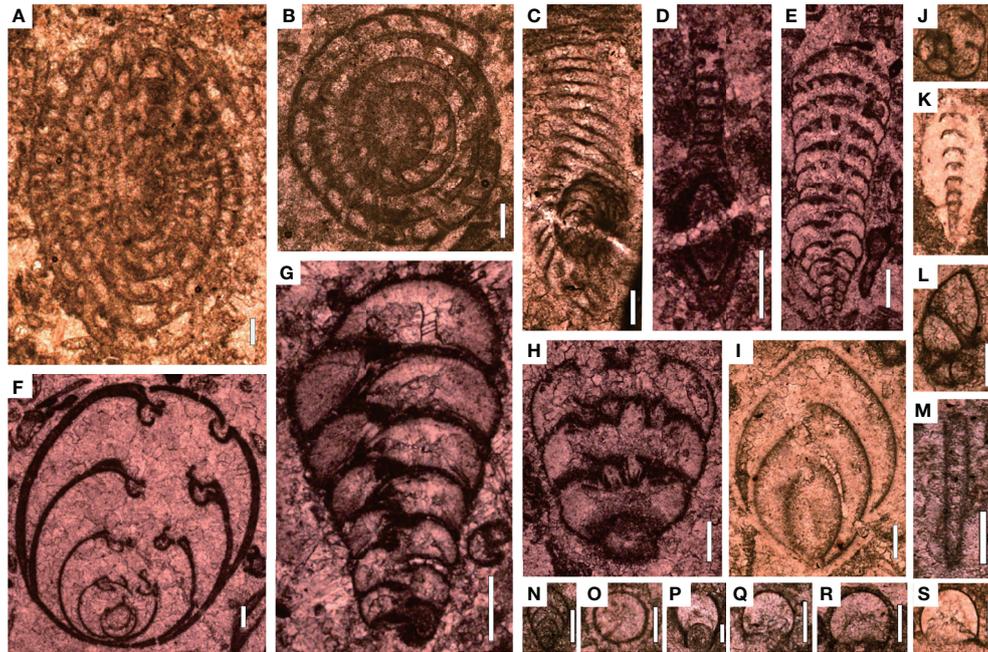
Microfacies type of Bed 1 was diagnosed in comparison with SMF, which was similar to SMF 18 (Flügel, 2004). According to the sedimentary model (Flügel, 2004), the Chongyang section was located in a typical shallow-marine carbonate platform environment with relatively high water-energy during the Late Permian Changhsingian.

The sedimentary sequence of the P–Tr interval at the Chibi section started with a dark gray thin-bedded bioclastic packstone with siliceous interbeds belonging to the Upper Permian Dalong Formation. The Dalong Formation was mainly composed of bioclastic packstone cemented by sparite calcite according to thin section (Figure 6A). Well-preserved fossils, such as calcareous algae, fusulinida, non-fusulinida foraminifera, and gastropods, had easily identified taxonomic traits and a weak orientation. The diversity was moderate, and the biotic abundance was relatively high, ranging from 20% to 55% (Figure 6B). These foraminifera fragments, such as *Reichelina*, *Earlandia*, and *Diplosphaerina*, have small particle sizes, ranging from 100 to 200  $\mu\text{m}$  (Figure 7).

The Chibi section was dominated by benthos, in contrast to the typical deep-water silicite basin characterized by planktonic radiolarian fossils (Meng et al., 2014). Those benthos were



**FIGURE 4** | Micropetrologic characters (A) and percentages of fossil assemblages (B) of bioclastic grainstones from Bed 1 in the Chongyang section before the PTME. Br, Bryozoa; Ca, Calcareous algae; Co, Coral; Ec, Echinodermata; Fo, Non-fusulinida foraminifera; Fu, Fusulinida.

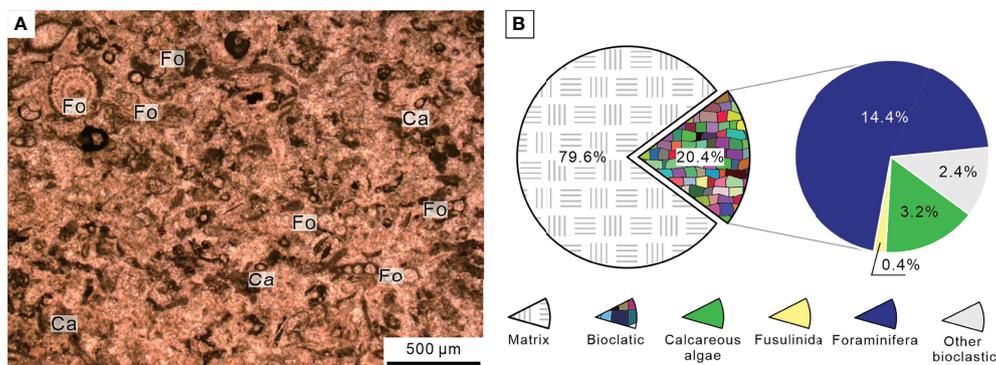


**FIGURE 5** | Foraminifers from Bed 1 of the Chongyang section before the PTME. **(A)** *Nankinella orientalis*; **(B)** *Sphaerulina crassispira*; **(C)** *Reichelina purtchra*; **(D)** *Reichelina media*; **(E)** *Climacannina spathulata*; **(F)** *Paraglobivalvulina piyasini*; **(G)** *Palaeotextularia longiseptata*; **(H)** *Cribrogenerina prosphaerica*; **(I)** *Ichthyofrondina palmata*; **(J)** *Poatendhythrasp.*; **(K)** *Pachyphloia ovata*; **(L)** *Globalvulina* sp.; **(M)** *Earlandia* sp.; **(N)** *Frondina permica*; **(O–S)** *Diplosphaerna inaequalis*. Scale bars in panels **(A–I)** are 200  $\mu\text{m}$  in length and in panels **(J–S)** are 100  $\mu\text{m}$ .

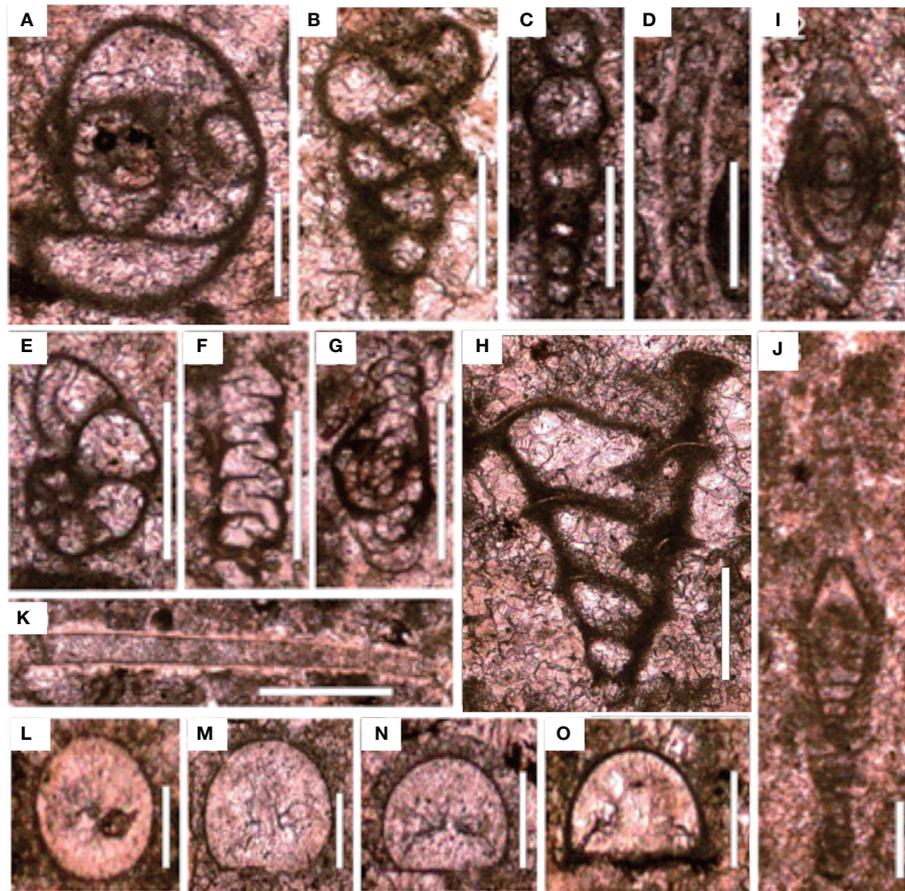
different from that of the Chongyang section, which is a typical shallow platform section in the Late Permian with a lower diversity. A few broken fragments of calcareous algae were found in thin sections (**Figure 6A**), which might have originated from the southern carbonate platform in shallower water (Deng et al., 2015). The cement was mainly composed of micrites and few sparites, indicating that water-energy was relatively low. Therefore, this microfacies resembles SMF 5 (Flügel, 2004). We inferred that the Chibi section was located at the bathyal outer slope between the shallow-water platform

and the deep-water basin, slightly deeper than Meishan, during the end-Permian.

Multiple trace fossils were found in the bioclastic wackestone of Bed 6, about 2 m below the MEH 1 (**Figure 8**). The majority of these trace fossils were burrows several centimeters long. The abundance of burrows indicated that the seafloor was suitable for the life of benthic surface organisms and benthic endophytic burrowing organisms (Chen et al., 2015). The microfacies belong to SMF 9-BIOTRUB “burrowed bioclastic wackestone,” which was found on the deep-water shelf (Flügel, 2004). Considering a



**FIGURE 6** | Micropetrographic characters **(A)** and percentages of fossil assemblages **(B)** of bioclastic packstone from Bed 5 in the Chibi section before the PTME. Ca, Calcareous algae; Fo, Non-fusulinida foraminifera.



**FIGURE 7** | Foraminifers from the Chibi section before the PTME. (A) *Poatendothyra tenuis*; (B) *Dagmarita* sp.; (C) *Nodoinelloides neischajewi*; (D) *Rectocornuspira kalhori*; (E) *Globivalvulina* sp.; (F) *Ammovertella* sp.; (G) *Hemigordius* sp.; (H) *Dagmarita chanakchiensis*; (I) *Reichelina changhsingensis*; (J) *Reichelina matsushitai*; (K) *Earlandia* sp.; (L–O) *Diplosphaerina inarqualis*. Scale bars in panels (A–K) are 100 µm in length and in panels (L–O) are 50 µm.

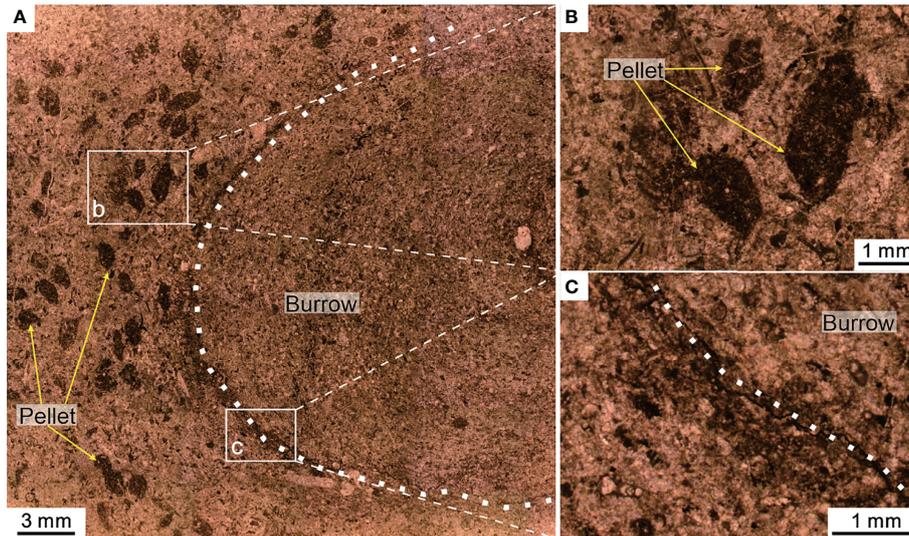
few broken algae fragments and fecal pellets, we proposed that the seafloor should be below the photic zone with a water depth of 100–200 m.

#### 4.2 Fossil Assemblage and Microfacies During the Permian–Triassic Interval

Microbialites dominated the shallow platform of the SCB during the Early Triassic transgression directly after the end-Permian regression, representing a disaster biota due to the lack of skeletal organisms (Wang et al., 2005). The MU comprised a series of microbialite forms in the Chongyang section (Wang et al., 2019). Numerous metazoan fossils were found within the MU, including small foraminifera, microgastropods, and ostracods (Yang et al., 2011), all of which exhibited varying and had low diversity. One remarkable feature of microbialite is well-preserved calcified cyanobacteria (Figure 9A), reflecting an absence of metazoan predation. The microfacies was similar to that of SMF 21 (Flügel, 2004). The microfacies of Bed 2 belong to SMF 21-PORO, which was formed in very shallow supratidal environments, such as restricted or evaporitic lagoons. The microfacies of Beds 3 and 4

belong to SMF 21-PORO, which was formed mostly in shallow subtidal or intertidal environments.

Following the thrombolite disappearance, an oolitic packstone was deposited. These packstones consisted of poorly sorted ooids within the micrite matrix (Figure 9B). The rapid transition from thrombolite facies to an oolitic facies indicated a significant change in the marine environment. Majority of modern marine ooids are found in warm waters and wave/current churned settings (Diaz et al., 2015), such as the Great Bahama Bank (Harris et al., 2019), while poorly sorted ooids are in more tranquil environments (O'Reilly et al., 2017). The microfacies were similar to that of SMF 15 (Flügel, 2004). Modern stromatolites were reported mainly in Shark Bay and Bahamas in middle America, where the most prevalent water depths range from intertidal to 10 m (Chivas et al., 1990; Reid et al., 1995). We infer that this type of microfacies was formed in a relatively low water-energy environment along the platform margin and was the result of further deepening in the water depth during the Early Triassic transgression (Kershaw et al., 2012).



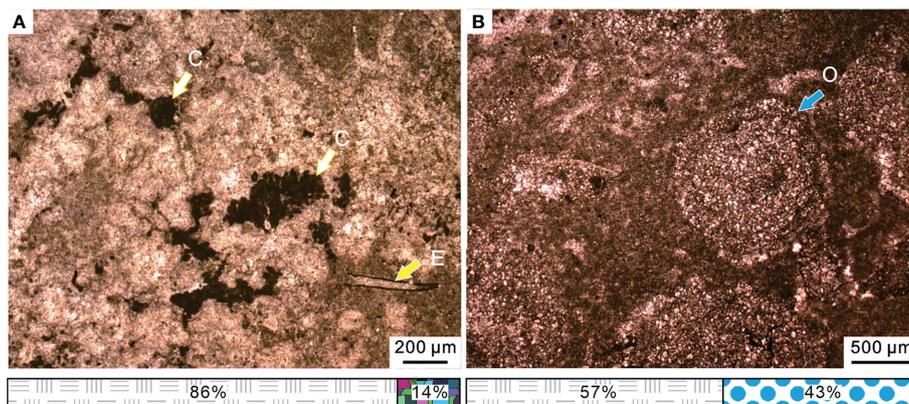
**FIGURE 8** | Trace fossil (burrow) and fecal pellets from Bed 6 of the Chibi section before the PTME. **(A)** The cross-section of a burrow and the well-demarcated boundary (dotted line). Panels **(B, C)** are the magnified images from the two white boxes in panel **(A)**.

The lower part of Daye Formation (Beds 11–14) comprised dark thin-bedded fine-grained lithologies, including micrites, marls, mudstones, and claystones on outcrops. Bioclastic content was found to be very low, ca. 5%~10%, with a few small foraminifers, echinoderms, gastropods, and ostracods in thin sections (**Figure 10A**). Small pyrite framboids were relatively common. The microfacies was similar to SMF 8 (Flügel, 2004). This microfacies is common both in the deep open shelf and in shallow lagoons. The micritic matrix indicated a restricted low-energy environment. Thus, we inferred that the microfacies formed in tranquil subtidal facies. However, some echinoderm and small gastropod fragments occasionally have been found in Beds 15–17 (**Figure 10B**). Bioclastic content was higher than 25%

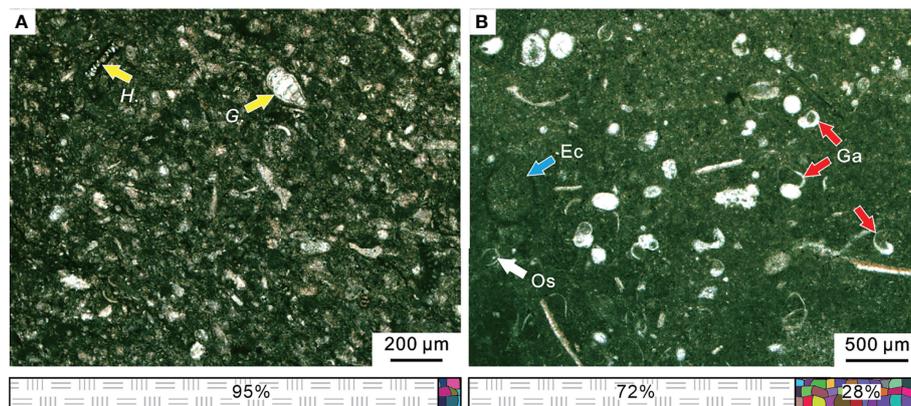
and mainly composed of small gastropods and ostracods. This microfacies belonged to SMF 8 (Flügel, 2004). Accordingly, we inferred that this microfacies was formed in the deep shelf with a low water-energy, standing for the subtidal facies.

### 4.3 Fossil Assemblage and Microfacies of the Early Triassic

The topmost of the Chongyang section (Bed 6) comprised of gray medium- to thick-bedded micrites on outcrop. Rare fossil fragments were observed in thin sections under the microscope, but pyrite framboids were relatively common (**Figure 11A**). The microfacies was similar to that of SMF 9 (Flügel, 2004). For the micritic matrix and the lack of fossils, we inferred that this type of



**FIGURE 9** | **(A)** Spherical calcified cyanobacteria and small foraminifera *Earlandia* sp. of the microbialite from Bed 3 in the Chongyang section. **(B)** Micropetrologic characters of Bed 5 in the Chongyang section, including poorly sorted ooids within the micrite matrix. The legend is consistent with that of **Figure 4**. C, cyanobacteria; E, *Earlandia* sp.; O, ooids.



**FIGURE 10** | Micropetrologic characters and fossil assemblages of micrites in the Chibi section during the PTME interval. **(A)** From Bed 11. **(B)** From Bed 15. The legend is consistent with that of **Figure 4**. Ec, Echinoderm; Ga, gastropod; Os, ostracod; G., *Geinitziasp.*; H., *Hemigordius* spp. The legend is consistent with that of **Figure 4**.

microfacies was formed in restricted lagoons with a low water-energy.

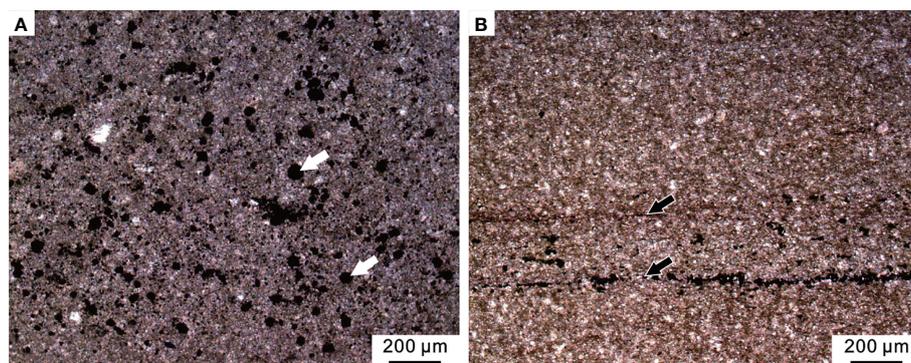
Above the MEH 2, the Early Triassic stratigraphic succession of the Chibi section was mainly characterized by black mudstones with a gray-black marlstone interlayer that expanded with transgression and increased water depth. The abundance of bivalves found in field outcrop varied but showed low diversity. No benthic community was found in field outcrops or thin sections (**Figure 11B**). The microfacies was similar to SMF 9-L, which was located near the bottom of the slope or deep-water shelf (Flügel, 2004).

#### 4.4 Pyrite Framboid Size Distributions in the Chibi Section

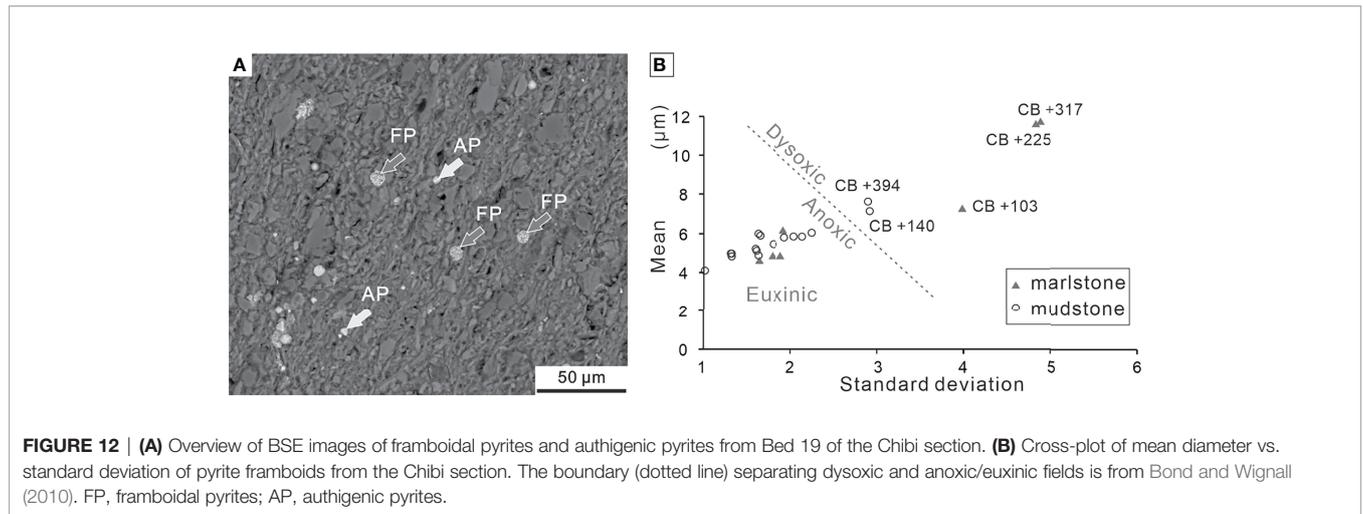
Out of the 26 samples, two samples from Dalong Formation had no pyrite framboids, while one (sample LFY -16) had a trace amount of pyrite framboids or authigenic crystals. However, backscattered electron (BSE) images revealed that 23 samples

from the Daye Formation were generally rich in pyrite framboids (except sample LFY +379) (**Figure 12A**). A plot of mean diameter and standard deviation of pyrite framboid is shown in **Figure 12B** for the purpose of paleoredox interpretation. The parameters of size distribution are summarized in **Table 1**.

We collected 18 samples from the P-Tr transition beds (Beds 10–17) and measured 3,730 pyrite framboids (more than 200 framboids per sample) (**Table 1**). The majority of pyrite framboids were less than 10 µm. The mean diameters of the 18 samples varied from  $4.08 \pm 1.07$  µm to  $11.77 \pm 4.90$  µm, suggesting that the oxygen levels of bottom water fluctuated between upper dysoxia and anoxia during the P-Tr transition interval. We collected five samples from Beds 19–21 and measured 974 pyrite framboids (ca. 195 framboids per sample) (**Table 1**). These five samples had a mean diameter range of  $4.74 \pm 1.46$  µm to  $5.96 \pm 1.67$  µm, indicating that the bottom water at the Chibi site had a stable reduced oxygen environment (Bond and Wignall, 2010).



**FIGURE 11** | Micropetrologic characters after the PTME. **(A)** Micrite from Bed 6 of the Chongyang section bearing abundant pyrite framboids (white arrows). **(B)** Mudstone from Bed 19 of the Chibi section, including organic laminas (black arrows).



**TABLE 1 |** Pyrite sample parameters observed from the Chibi section.

Bed No.	Sample level (cm)	Lithology	Number of framboids measured	Minimum framboid diameter (μm)	Maximum framboid diameter (μm)	Mean framboid diameter (μm)	Standard deviation of framboid diameter	Median framboid diameter (μm)	Inferred redox conditions
21	+678	Mudstone	196	1.98	10.25	4.74	1.46	4.42	Anoxic
19	+606	Mudstone	178	2.23	12.14	5.84	2.03	5.39	Anoxic
	+574	Mudstone	201	2.07	12.18	5.96	1.67	5.86	Anoxic
	+548	Mudstone	208	2.29	9.38	4.94	1.36	4.71	Anoxic
	+525	Mudstone	191	2.66	11.02	5.91	1.70	5.60	Anoxic
17	+499	Mudstone	199	2.35	10.77	4.98	1.37	4.95	Anoxic
	+488	Mudstone	229	1.98	9.23	4.81	1.37	4.71	Anoxic
16	+468	Mudstone	185	2.11	10.34	5.20	1.64	4.87	Anoxic
	+453	Mudstone	183	2.03	13.56	6.03	2.30	5.56	Anoxic
	+394	Mudstone	177	2.44	15.73	7.66	2.94	7.21	Dysoxic
15	+379	Marlstone	63	2.24	11.06	6.17	1.97	6.10	Dysoxic
	+363	Mudstone	157	2.66	12.76	5.87	2.09	5.26	Anoxic
	+347	Mudstone	248	2.04	8.82	4.08	1.07	3.87	Anoxic
	+317	Marlstone	119	3.88	25.97	11.77	4.90	10.47	Dysoxic
14	+265	Mudstone	312	1.73	15.20	5.83	2.19	5.35	Anoxic
	+233	Mudstone	260	2.34	11.23	5.10	1.65	5.10	Anoxic
	+225	Marlstone	149	3.85	25.19	11.56	4.85	10.53	Dysoxic
	+185	Mudstone	262	2.29	11.29	5.43	1.85	5.09	Anoxic
	+140	Mudstone	281	1.82	16.35	7.16	2.96	6.47	Dysoxic
13	+103	Marlstone	266	2.41	22.32	7.30	4.01	6.15	Dysoxic
	+74	Marlstone	147	2.21	10.53	4.86	1.93	4.32	Anoxic
11	+35	Marlstone	254	1.76	11.87	4.86	1.83	4.53	Anoxic
	+12	Marlstone	239	1.76	10.87	4.62	1.68	4.19	Anoxic
9	-16	Limestone	8	5.10	10.25	#	#	#	Oxic
8	-34	Limestone	#	#	#	#	#	#	Oxic
	-53	Limestone	#	#	#	#	#	#	Oxic

Sample level “-53” means 53 cm below the first mass extinction horizon (MEH 1), and “+678” means 678 cm above the MEH 1. “#” means no data.

## 5 DISCUSSION

### 5.1 Single Abrupt Extinction Pulse in the Platform Setting

The PTME pattern has been debated for several decades (e.g., Stanley and Yang, 1994; Jin et al., 2000; Shen et al., 2011; Song et al., 2012; Dal et al., 2020). Investigations have revealed a complex process of the PTME. Among different benthos, on the one hand, the extinction patterns and magnitudes were varied: extinctions among foraminifers occurred as a one-pulse

extinction pattern (Groves et al., 2005), whereas ostracods exhibited two extinction pulses (Forel, 2013; Wan et al., 2019). On the other hand, among different paleogeographic settings, sharply different patterns of the same faunas had also been observed, typified by foraminiferal extinction (Song et al., 2009; Zhang and Gu, 2015; Li et al., 2021).

The stratigraphic succession from the end-Permian to Early Triassic interval in the Chongyang section was very clear at the outcrop. The top of the Changxing Formation grainstones was coated by residual deposits enriched in ferric oxide, quartz, and

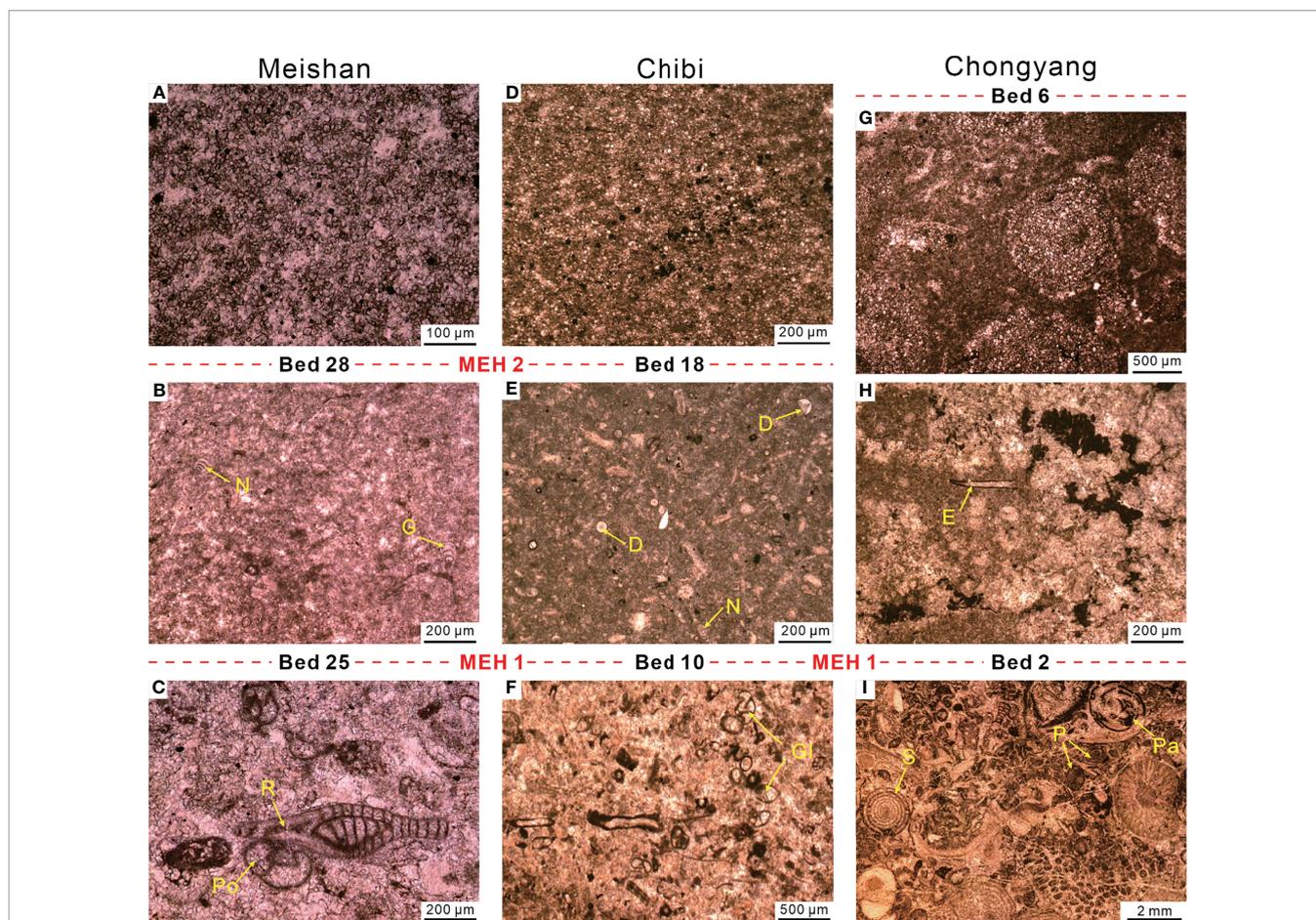
feldspar, which probably represented the weathering and erosion products following its exposure by the end-Permian regression (Wu et al., 2006). The overlying lower part of the Daye Formation was dominated by MU, indicating a dramatic change in the marine ecosystem from end-Permian to Early Triassic. The MEH was located topmost of the Changxing Formation grainstones.

The Upper Permian Changxing Formation in the Chongyang section was characterized by the deposition of reef framestone and grainstone enriched in diverse benthos, such as corals, calcareous algae, echinoderms, and foraminifers under the microscope (Figure 13I). However, most benthos such as rugose corals, fusulinida, calcareous algae, and large foraminifers disappeared in the topmost of the Changxing Formation grainstone. Small foraminifers, such as *Diplosphaerina inaequalis* and *Earlandia* sp., survived during this extinction pulse (Figure 13H) but eventually disappeared quickly (Figure 13G). The bioclastic abundance dropped to less than 15% (Figure 9), down from 66% or higher than this value in the Changxing Formation grainstones (Figure 4).

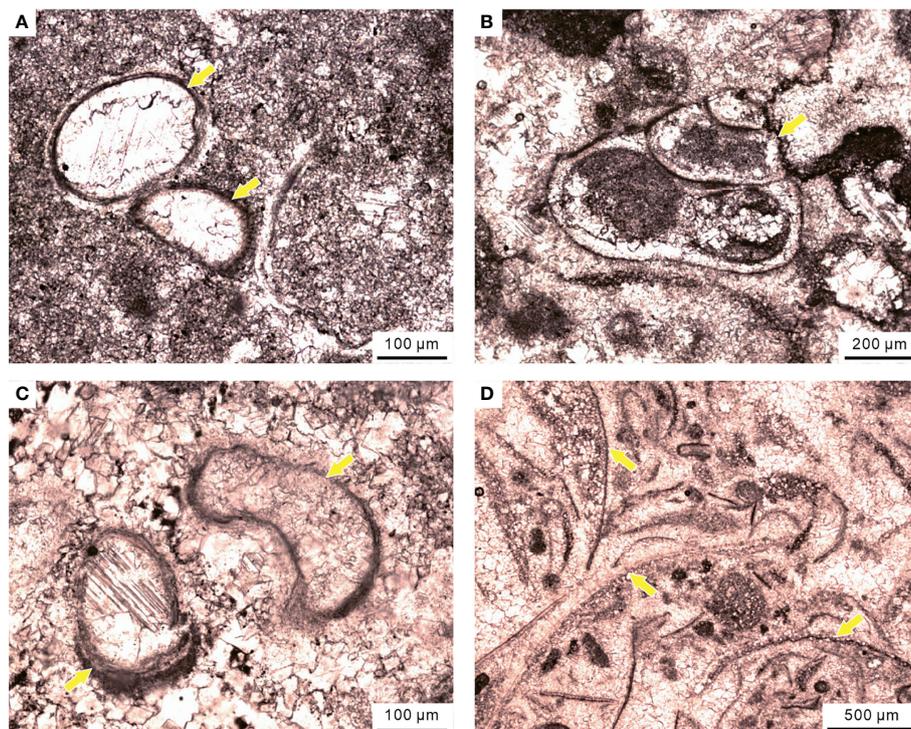
The pattern indicated a sudden extinction at the base of the MU in the Chongyang section. The abrupt extinction process had been documented in various shallow water settings of the Pangea (Groves et al., 2007; Angiolini et al., 2010). Foster et al. (2019) proposed that a shallow microbialite could provide a “refuge” for some marine invertebrates during mass extinction, where disaster forms could create a unique and ephemeral ecosystem. Significant disaster forms, such as ostracods, small gastropods, tubeworms, and brachiopods, bloomed ephemerally and formed a stratiform bioclastic grainstone in the MU of the Chongyang section (Figure 14). In summary, the Chongyang section from the shallow platform suffered an abrupt extinction pulse, resulting in a crashed ecosystem structure.

## 5.2 Two-Pulse Extinction in Slope Setting

However, compared to the Chongyang section, the benthos of the Chibi section, which was located on the outer slope, did not completely disappear after the first PTME pulse. The



**FIGURE 13** | Comparison of foraminifera features between Meishan (A–C), Chibi (D–F), and Chongyang (G–I) sections. (A) Micrite of Bed 29 with abundant pyrites but no fossil fragments. (B) Micrite of Bed 27a with few foraminifera. (C) Packstone of Bed 24, including abundant foraminifera and some algae fragments. (D) Marlstone of Bed 20 contains abundant pyrite. (E) Marlstone of Bed 13 contains few foraminifera. (F) Packstone of Bed 5 contains ca. 30% of algae and foraminifers. (G) Oolites of Bed 5. (H) Microbialite of Bed 3 containing few foraminifers and calcified cyanobacteria. (I) Grainstone of Bed 1, including over 80% bioclastic. D, *Diplosphaerina*; E, *Earlandia*; G, *Geinitzina*; Gl, *Globivalvulina*; N, *Nodosaria*; P, *Palaeofusulina*; Pa, *Paraglobivalvulina*; Po, *Poatendothyra*; R, *Reichelina*; S, *Sphaerulina*.



**FIGURE 14** | Disaster forms in the MU of the Chongyang section during the PTME, including (A) ostracods, (B) small gastropods, (C) calcareous tubeworms, and (D) brachiopods.

foraminiferal diversity was substantially lower than that in Beds 1–9 before the first PTME pulse. Small foraminifers, such as *Earlandia*, *Geinitzina*, *Hemigoradius*, and *Nodosaria*, survived in the Chibi section (Figures 10, 13E). The small foraminiferal assemblages from Beds 11–17 of the Chibi section were similar to those from P–Tr transition beds (Beds 25–27) of the Meishan section (Figure 13B; Song et al., 2009). It was worth noting that among the end-Permian foraminiferal assemblages, large-sized foraminifers disappeared in both Chongyang and Chibi sections after the first PTME pulse (Figure 13). Similarly, the large-sized foraminifers were also the most vulnerable victims of the first PTME pulse in the Liangfengya and Meishan sections (Song et al., 2007).

The bioclastic abundance dropped to less than 5% (Figure 10A), down from 20% or higher than this value in the Dalong Formation packstones (Figure 6). But the abundance of bioclastic from some samples of Beds 15–17 could reach or even exceeded the pre-extinction average, ca. 28% (Figure 10B). The second MEH (MEH 2) was located at the base of Bed 18, with disappearance of small-sized foraminifers *Diplosphaerina*, *Earlandia*, and *Nodosaria* (Figure 13E). The two-pulse extinction pattern of the Chibi section was similar to that in the Meishan section, i.e., the first pulse eliminated large-sized taxa with complex morphologies, and the second pulse chiefly affected the small ones (Figure 13). The two extinction pulses might be synchronous at the Chibi

and Meishan sections, although this was less certain, for that we could not find well-preserved conodonts in the P–Tr boundary interval. Stratigraphic evidence (e.g., two claystone beds) and benthic assemblages suggested that the two extinction pulses in these two sections were synchronous.

### 5.3 Triggers of Migration and Extinction

#### 5.3.1 Sea-Level and Sea Surface Temperature Changes

A correlation between global marine regressions and mass extinctions has been recognized since the last century, and sea-level fall was considered one of the prime causes of the events (Erwin, 1993; Gall et al., 1998). However, studies on numerous sections have called the generality of the regression–extinction relation into question (e.g., Hallam and Wignall, 1999; Baresel et al., 2017).

Seawater retreated from the Yangtze Platform at the end-Permian, reaching its lowest point in the *Clarkina meishanensis* and *Hindeodus changxingensis* zones (Yin et al., 2014), resulting in the absence of these two conodont zones and an ancient karst surface in most of the shallow-water platform. In shallow-water platforms of the SCB, Wu et al. (2010) pointed out that this hiatus was usually represented by concealed unconformity between the end-Permian limestone and the overlying MU, which coincided with *C. meishanensis* and *H. changxingensis* zones.

A sudden mass extinction pulse of benthos was recorded at the hiatus in the Chongyang section (**Figure 2**), and perhaps it was the hiatus of two conodont zones that had made the extinction seem more dramatic than it actually was. During the periods of drops in the sea level at the end-Permian (Yin et al., 2014), most of the shallow platform was exposed, and habitats of benthos were squeezed and fragmented. At the PTME interval, ca. 10°C rise of sea surface temperature (SST) was recorded in the tropics (Sun et al., 2012). Ocean warming has the potential to cause major shifts of planktonic distribution (Tarling et al., 2018). Overheating of surface waters during the PTB interval might result in extremely unsuitable habitats for shallow benthos. Climate modeling suggested that, in the tropics, water temperatures at 200-m depth were 10°C–12°C cooler than SST (Winguth et al., 2015).

In the context of global warming, the deep-ward migration of some shallow-water benthos was likely a more effective strategy than migration across the latitudes (Burrows et al., 2019). Thus, deeper waters might become a refuge for marine animals (Godbold et al., 2017). At the P–Tr transition, such extreme warming of SST could be a potentially potent driver for foraminifers to migrate to deeper and cooler waters. If an organism or population could not migrate, or when the rate of change exceeded its ability to do so, extinction was inevitable eventually. Mass extinctions might be related to shrunk habitats on shelves during severe regression (Newell, 1967; Fahrig, 2017). However, microfacies study revealed that the sea level of deeper-water sections was influenced slightly by the end-Permian major regression, so that foraminiferal diversity hotspot moved to the deeper-water slope (Liu et al., 2020).

The original exposed shallow platform was once again submerged by sea water and formed the MU succession during the Early Triassic transgression. The microfacies alterations of the MU reflected the early stages of the sea-level rise (Flügel, 2004). Some stratiform bioclastic grainstone layers were formed by small marine invertebrates in the MU of the Chongyang section. These invertebrates might have migrated from the original deeper-water slope during the sea-level rise and then bloomed in the shallow MU ephemerally as disaster forms (**Figure 14**).

Rapid high-amplitude regressive–transgressive couplets were frequent in the Earth’s history (Miller et al., 2005), and most of them were not considered to be a probable cause of mass extinction (Hallam and Wignall, 1999). In short, sea-level change was a key driver of the migration between the platform and the slope but not the main trigger of mass extinction of marine benthos during the PTME interval.

### 5.3.2 Marine Euxinia/Anoxia

Widespread oceanic anoxia/euxinia (anoxic and sulfur-rich stratified ocean) shown by biomarkers (Grice et al., 2005), S-isotopic compositions (Riccardi et al., 2006), and pyrite framboid sizes (Wignall et al., 2005) has long been documented as a key trigger of the PTME (Isozaki, 1997; Grice et al., 2005; Meyer et al., 2008).

According to size distribution of pyrite framboids, Liao et al. (2010) indicated that the MU from the Laolongdong section

grew in a lower dysoxic water column. The shallow-water anoxic event during the P–Tr transition has been considered to be the result of the expanding oxygen minimum zone (OMZ) (Algeo et al., 2010; Algeo and Twitchett, 2010). A recent study showed that the Northeast Pacific OMZ had expanded at a rate of  $3.0 \pm 0.7$  m/year in the last 60 years and had lost 15% of its oxygen (Ross et al., 2020). Low oxygen levels could increase the dominance of benthos tolerant to anoxic conditions (Levin, 2003). For example, one foraminifer genus *Earlandia* flourished as the major “disaster form” in the MU after the first PTME pulse (**Figure 9A**) and probably migrated from the Chibi site during the Early Triassic transgression.

When compared to the Chongyang section, the decrease of algae abundance represented a decline in primary productivity, which might lead to the “dwarfism” of some consumers, e.g., foraminifera (Luo et al., 2006) and brachiopods (He et al., 2007). SEM was used to examine the samples from this stage, and no pyrite framboid was found in the two samples (**Table 1**), indicating that the water column contained a normal level of dissolved oxygen at that time (Bond and Wignall, 2010). This result was consistent with the paleomarine environment reflected by fossil assemblage and biogenic disturbance structure, in agreement with the “superanoxia” in the deep sea (Isozaki, 1997). In deep-water conditions, the dissolved oxygen level fluctuated, which could have induced reductions in the size of benthic foraminifers (Kaiho, 1998).

Another interesting feature was that those surviving foraminifers had a small size with simple morphology (**Figure 13**). For instance, *Nodosinelloides* had a mean volume value of ca.  $6.2 \log \mu\text{m}^3$  in the end-Permian, which fell to  $5.5 \log \mu\text{m}^3$  after the mass extinction (Song et al., 2011). The reduction in size and diversity of fauna with calcified skeletons have been linked to oxygen shortage in modern oceans (Byers, 1978; Levin, 2003). Laboratory experiments showed that the oxygen consumption rate increased significantly in foraminiferal specimens larger than  $250 \mu\text{m}$  (Bradshaw, 1961). However, Luo et al. (2006) suggested that the size reduction of conodonts from the Meishan section was hardly related to anoxia. He et al. (2007) confirmed that there seemed to be no link between brachiopods shrinking and anoxic condition. Kaiho (1994) detected that benthic foraminifers were small-sized, thin-walled, and without sculpture if they inhabited in the lowered oxygen environment. Song et al. (2011) found that the anoxic event recorded in Bed 25 of the Meishan section coincided with the sharp reduction in foraminifer size. Therefore, Song et al. (2011) documented that small body sizes might have helped foraminifera to survive the mass extinction. The size distribution of pyrite framboids implied an anoxic bottom water in this study, while the foraminifera were smaller than pre-extinction foraminifer groups, particularly those extinction Permian groups (**Figure 13**). As a result, a relationship could be expected between anoxic conditions and the size reduction of the benthic foraminifers.

Wang et al. (2019) suggested that the increasing complexity in the benthic ecosystem might be related to hydrodynamic conditions of the Early Triassic transgression as revealed by texture and form of the MU. Our data showed another intriguing phenomenon that the metazoan assemblage in the MU of the

Chongyang section had good consistency with that in the Chibi section (**Figure 13**). This might shed light on transgression preceding the beginning of the Early Triassic, causing the metazoan to migrate from deep water to shallow platforms. A major increase in the  $\delta^{13}\text{C}$ -depth gradient after the PTME suggested that deeper waters experienced more intense and prolonged oxygen restriction (Meyer et al., 2011).

Many layers of the MU contained calcified cyanobacteria (**Figure 9A**). Hypersalinity was one favorable chemical condition for the calcification of cyanobacteria (Pratt, 1984), which could inhibit ecological diversity of other organisms (Zhu et al., 1993). Most benthonic organisms tended to further reduce body size or diversity under high temperatures (Sun et al., 2012), anoxic water columns (Liao et al., 2010), and hypersalinity and intense evaporation (Zhu et al., 1993), whereas microbialite flourished in the shallow environment. This has led to a unique ecosystem dominated by “disaster forms” on shallow-water platforms of the SCB (**Figure 14**).

## 6 CONCLUSIONS

The PTME showed varied patterns among different paleogeographic sections. The shallow platform recorded one sudden extinction pulse at the topmost of the Changxing limestone, but the deeper-water slope suffered two pulses of marine extinction.

The end-Permian extensive regression caused the exposure of most shallow-marine platforms and then a sudden mass extinction. Sea level falls, extreme heat, and fragmented habitats led to the migration of some shallow-water benthos to deeper water at the same time.

During the Early Triassic transgression, some small foraminifers migrated from the slopes to the shallow platforms. A unique ecosystem has been rebuilt on shallow water platforms of the SCB due to high temperatures, strong evaporation, and hypersalinity after the first PTME pulse.

Pyrite framboid size distributions showed that oceanic anoxia was likely an important trigger contributing to the

deeper-water benthic extinction. The abundant small pyrite framboids in the P–Tr transition beds suggested that the redox conditions of the bottom water changed immediately from oxic to anoxic after the MEH 1. Size distributions of these samples indicated that the bottom waters had a fluctuation between anoxic and upper dysoxic during the mass extinction. Pyrite framboid parameters indicated that oxygen deficiency in the bottom water was intensified and stable at anoxia after the second PTME pulse.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

GL, YW, SL, TW, WL, and BD collected the samples and photographs in the field. GL, TW, and SL carried out the laboratory measurements and data analysis. YW supervised the data analysis. GL and ZL created the figures and wrote the article. All authors contributed to the ideas and final article.

## ACKNOWLEDGMENTS

This study was jointly supported by the National Natural Science Foundation of China (Grant No. 41730320 and No. 41962003), Innovation and Entrepreneurship Project of Shantou (201112176541391), and STU Scientific Research Start-Up Foundation for Talents (NTF19003). Thanks are due to Dr. Rashid Pervez for polishing the language.

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# Role of Marginal Seas in Deep Ocean Regeneration of Dissolved Silica: A Case Study in the Marginal Seas of the Western Pacific

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### Edited by:

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### Specialty section:

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

**Received:** 22 April 2022

**Accepted:** 16 May 2022

**Published:** 15 June 2022

### Citation:

Yu X, Zhuang Y, Cai X and Qi D (2022)  
Role of Marginal Seas in Deep Ocean  
Regeneration of Dissolved Silica: A  
Case Study in the Marginal Seas of the  
Western Pacific.  
*Front. Mar. Sci.* 9:925919.  
doi: 10.3389/fmars.2022.925919

Deep ocean regeneration of dissolved silica (DSi) is an essential part of the ocean silica cycle and is driven by a complex series of biogeochemical processes. Here we compare the distributions of DSi and other environmental parameters in several western Pacific marginal seas to explore the role of marginal seas in deep ocean DSi regeneration. Results show that in oligotrophic marginal seas (such as the South China Sea), the DSi content in deep waters is similar to that of the adjacent Pacific waters. However, in productive marginal seas (such as the Bering Sea), the DSi content in deep waters is markedly higher than that in adjacent Pacific waters at the same depths. This is mainly due to deep ocean DSi regeneration in the marginal sea basin, which is fueled by the high biogenic particle flux from the productive surface waters. On a global scale, deep ocean DSi regeneration is accelerated in productive marginal seas, causing marginal seas such as the Bering Sea to have the highest DSi concentrations of all global waters.

**Keywords:** marine Si cycle, deep waters, Bering Sea, South China Sea, marginal seas

## INTRODUCTION

The present marine silica cycle appears to be near internal equilibrium, but has the dynamic interconversion of dissolved silica (DSi; also known as silicic acid) and biogenic silica (BSi; also known as opal) (Nelson et al., 1995; Ragueneau et al., 2006; Tréguer et al., 2021). BSi is produced mainly by pelagic siliceous organisms (mainly diatoms), which absorb DSi from the surface ocean to build their skeletons. They are then exported to the subsurface and deep waters under the action of the biological carbon pump (Moriceau et al., 2019). Benthic siliceous organisms (e.g., sponges) also contribute to oceanic BSi production (Maldonado et al., 2019). Global annual BSi production has recently been estimated at nearly 260 Tmol Si yr<sup>-1</sup> (Tréguer et al., 2021). Approximately two-thirds of newly synthesized BSi are redissolved in the upper 2000 m of water column, and only ~5% is permanently buried in sediment (Tréguer and de la Rocha, 2013). The remaining BSi is redissolved to form DSi in deep waters and in surface sediment, and this contributes to deep ocean DSi regeneration in the ocean interior (Heinze et al., 2003). Large amounts of deep-water DSi reach the upper ocean by upwelling and vertical mixing in certain regions, such as the Southern and North Pacific oceans (Sarmiento et al., 2004).

Marginal seas lie between the continental margin and the open ocean, are separated from the open ocean by islands or archipelagos, and are influenced by both land and open ocean (Wang, 1999). Certain marginal seas may have high diatom productivity and thus high rates of BSi formation due to the input of nutrients and biogenic matter from coastal waters (e.g., the Bering Sea; Waga et al., 2022). Conversely, some marginal seas may be as oligotrophic as the open ocean, with phytoplankton biomass dominated by picophytoplankton rather than diatoms (e.g., the South China Sea; Li et al., 2022a). These differences are closely related to differences in the oceanic CO<sub>2</sub> flux (Dai et al., 2013), BSi export (Cao et al., 2020), and the biological carbon pump (Li et al., 2022b) in marginal seas. A mechanistic understanding of the role of marginal seas in deep ocean DSi regeneration is critical if we are to fully understand the functioning of the marine silica cycle.

The oceanic silica flux and budget, silica balance, and major redistribution pathways are well established for the global oceans and marginal seas (DeMaster, 1981; Nelson et al., 1995; Tréguer et al., 1995; Sarmiento et al., 2004; Wu and Liu, 2020; Ma et al., 2022). However, the role of marginal seas in deep ocean DSi regeneration has not yet been discussed in detail. Here, we use available data on nutrient distributions, salinity, apparent oxygen utilization (AOU), and nutrient tracers to explore differences in DSi distributions and their controlling factors in deep waters of the western Pacific marginal sea basins. We discuss the distinct role of marginal seas in deep ocean DSi regeneration using the South China Sea, Japan Sea, Okhotsk Sea, and Bering Sea as examples.

## MATERIALS AND METHODS

Nutrient, dissolved oxygen, and hydrographic data were obtained from the World Ocean Database (WOD; <https://www.ncei.noaa.gov/access/world-ocean-database/datawodgeo.html>). The study area includes the North Atlantic, Southern, Indian, and North Pacific oceans, which are open ocean waters, and the Bering Sea, Okhotsk Sea, Japan Sea, and South China Sea, which are marginal seas (**Supplementary Table 1**). Although the data acquisition time in this study was from 1981 to 2016, the deep-water DSi data are still comparable, mainly because deep-water DSi does not change over long periods of time (at least hundreds of years) (Béthoux et al., 1998; Hendry et al., 2010). For spatial visualization and analysis of the nutrient and hydrographic data, we used Ocean Data View (ODV) version 4.4.1 (Schlitzer, 2018).

Nutrient tracer Si\*, with a formula of  $Si^* = [DSi] - [NO_3^-]$  (Brzezinski et al., 2002; Sarmiento et al., 2004), is calculated to indicate an excess/deficit of silicate relative to nitrate. Another widely used nutrient tracer N\* ( $N^* = ([NO_3^-]) - 16[PO_4^{3-}] + 2.90 \mu\text{mol/kg} \times 0.87$ ) is calculated to determine the net effect of nitrogen fixation and denitrification (Gruber and Sarmiento, 1997). AOU is calculated as the O<sub>2</sub> solubility in seawater minus the measured O<sub>2</sub> concentration (Garcia and Gordon, 1992).

## RESULTS

### DSi Distribution in Global Waters

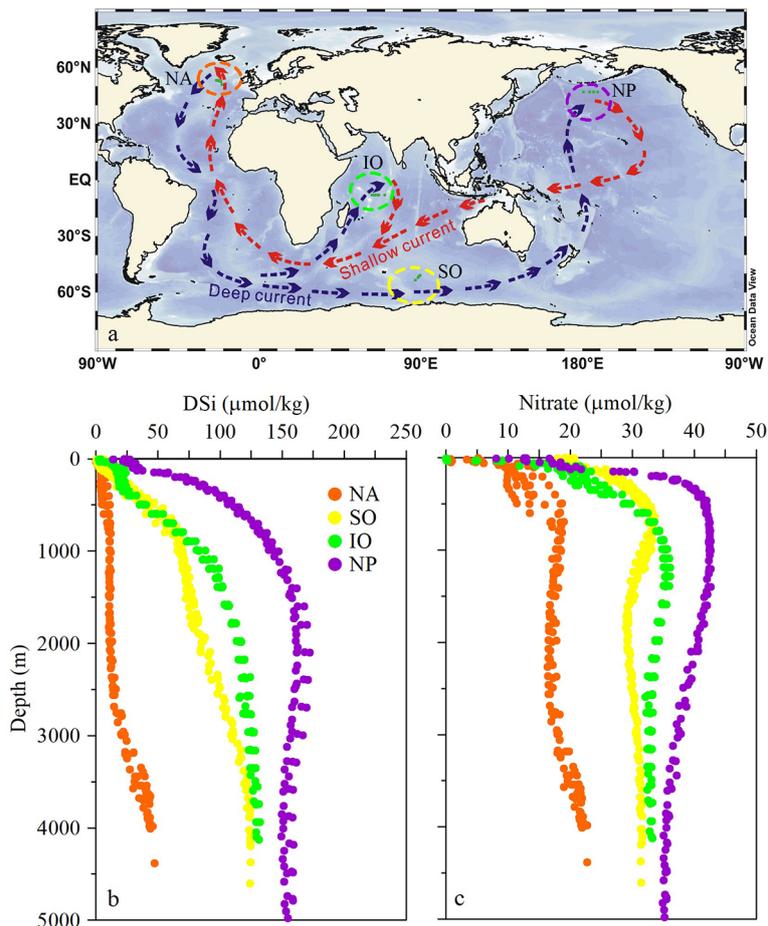
We compared the DSi distributions of deep waters (>2000 m) of the North Atlantic, Southern, Indian, and North Pacific oceans as examples of the global ocean (**Figure 1**). The North Atlantic Ocean is the starting point of the global ocean conveyor belt (**Figure 1A**) and has the lowest deep-water DSi concentration ( $29.0 \pm 12.1 \mu\text{mol/kg}$ ) in the global ocean (**Figure 1B**). The average concentration of DSi in deep waters of the Southern Ocean is  $111.0 \pm 12.5 \mu\text{mol/kg}$ . The average deep-water DSi concentration in the Indian Ocean is  $124.3 \pm 4.5 \mu\text{mol/kg}$ , which is slightly higher than that in the Southern Ocean and nearly 95  $\mu\text{mol/kg}$  higher than the average deep-water DSi concentration in the North Atlantic. The North Pacific is located at the end of the deep current in the global ocean conveyor belt (**Figure 1A**). The average deep-water concentration of DSi in the North Pacific is  $157.7 \pm 5.7 \mu\text{mol/kg}$ , which is nearly 129 and 34  $\mu\text{mol/kg}$  higher than the average concentrations in the North Atlantic and Southern oceans, respectively. These results suggest that DSi accumulates during the transport of deep currents through the global ocean conveyor belt.

Deep-water DSi concentrations in the North Atlantic increase with increasing water depth (**Figure 1B**). The average DSi concentration at 2000-m water depth is  $11.7 \pm 0.8 \mu\text{mol/kg}$ , which increases to  $22.2 \pm 1.9 \mu\text{mol/kg}$  at 3000 m, and then to  $43.9 \pm 1.1 \mu\text{mol/kg}$  at 4000 m. Similarly, data from the Southern Ocean, another major region of deep-water formation, show an increase in DSi content with depth in deep waters. The average DSi concentration at a depth of 2000 m in the Southern Ocean is  $86.3 \pm 2.8 \mu\text{mol/kg}$ , and this increases to  $124.0 \pm 0.8 \mu\text{mol/kg}$  at a depth of 4000 m. In contrast, there are no increases in DSi content with depth in deep waters of the Indian and North Pacific oceans.

The regeneration of DSi (or dissolution of BSi) occurs concurrently with respiration of organic matter. Vertical profiles of nitrate concentrations typically show their highest values at depths of 500–1000 m (**Figure 1C**), coinciding with the depths at which rates of respiration are also at their highest (as indicated by AOU maxima, **Supplementary Figure S1A**) in the open oceans. Deep-water nitrate concentrations may decrease with increasing water depth owing to the removal of nitrogen during denitrification (as indicated by negative N\* values, **Supplementary Figure S1B**). However, nitrate concentrations increase with depth in the deep-water layers (>2000 m) of the North Atlantic and Southern Ocean (**Figure 1C**). These results suggest high rates of organic matter remineralization and DSi regeneration in deep waters of the North Atlantic and Southern oceans, which may be associated with sinking biogenic particles during the formation of deep waters.

### Deep-Water DSi Distribution in Marginal Seas

We compared deep-water DSi concentrations among the marginal seas of the western Pacific (the Bering Sea, Okhotsk Sea, Japan Sea, and South China Sea) and with the DSi



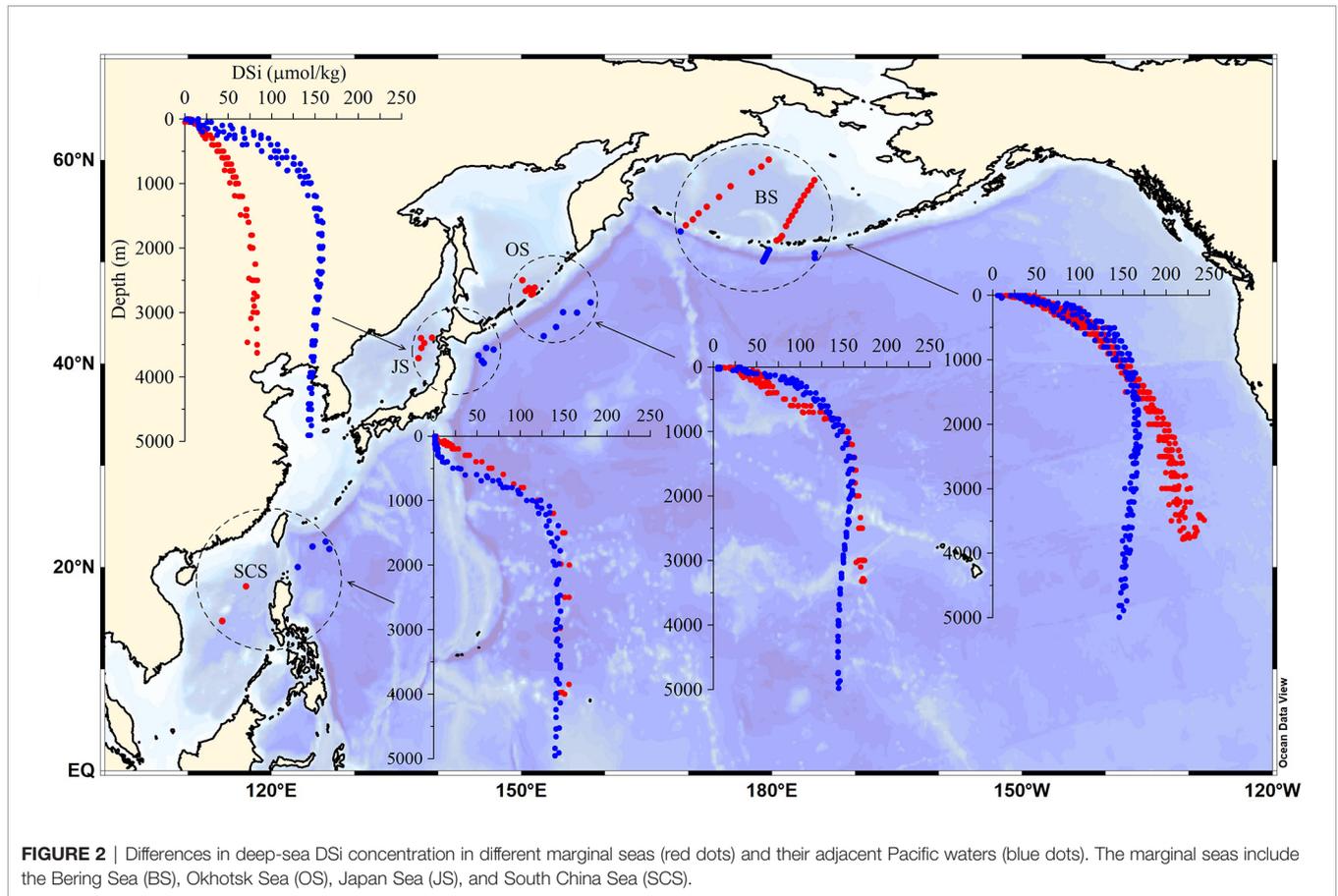
**FIGURE 1** | Distribution of DSi and nitrate concentrations ( $\mu\text{mol/kg}$ ) in the open oceans at different stages of the global ocean conveyor belt. **(A)** Schematic map of the global ocean conveyor belt (after Lozier, 2010); blue and red arrows indicate deep and shallow currents, respectively. Vertical distributions of **(B)** DSi and **(C)** nitrate concentrations in the study areas in the North Atlantic (NA), Southern (SO), Indian (IO), and North Pacific (NP) oceans.

concentrations of the adjacent Pacific waters for reference. There are three distinct patterns of deep-water DSi distribution in the marginal seas, with DSi concentrations either being higher, lower, or equal to the average of the adjacent Pacific waters (**Figure 2**). The average DSi concentration in deep waters of the Bering Sea is  $212.6 \pm 11.8 \mu\text{mol/kg}$ , which is  $\sim 50 \mu\text{mol/kg}$  higher than that in the adjacent deep Pacific Ocean ( $159.1 \pm 6.5 \mu\text{mol/kg}$ ). The deep-water DSi concentration in the Okhotsk Sea is slightly higher than that in the adjacent Pacific waters, with an average of  $170.4 \pm 2.6 \mu\text{mol/kg}$ . In contrast, the deep-water DSi concentration in the Japan Sea is far lower than that in the adjacent Pacific water, with an average value of only  $80.1 \pm 3.3 \mu\text{mol/kg}$ . The average DSi concentration in deep waters of the South China Sea is  $151.4 \pm 4.6 \mu\text{mol/kg}$ , which is close to the average DSi concentration of the adjacent Pacific waters ( $142.9 \pm 2.0 \mu\text{mol/kg}$ ).

The different patterns of deep-water DSi distribution in the marginal seas may be related to the effects of intrusion of deep currents from the Pacific Ocean on their deep waters. This is important because deep currents in the Pacific Ocean have

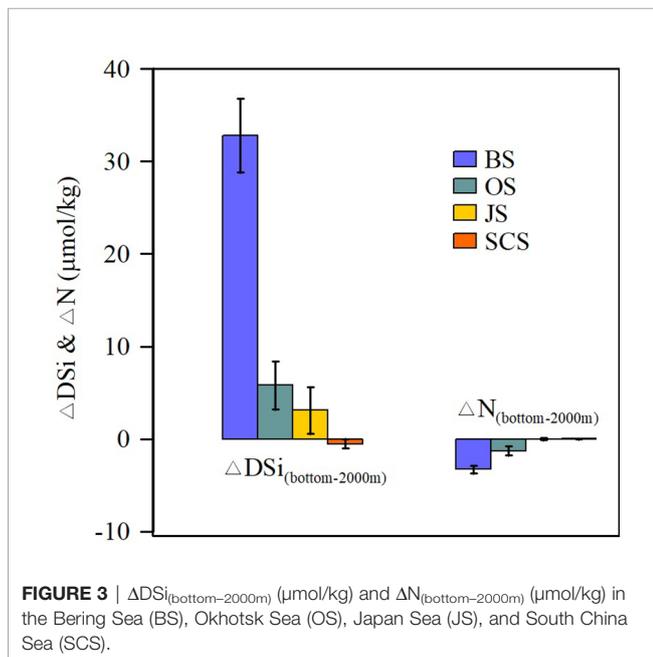
accumulated large amounts of DSi along the global ocean conveyor belt. For example, the Japan Sea, which is only connected to the open ocean through a shallow waterway, does not receive large amounts of DSi-rich Pacific deep waters; therefore, the deep-water DSi reserve in the Japan Sea depends mainly on its internal silica cycle. This is supported by deep-water salinity distributions in the Japan Sea, which are markedly different from those in adjacent Pacific waters, whereas the other marginal seas show very similar salinity distributions to their adjacent Pacific waters (**Supplementary Figure S2**). In marginal seas where the main ocean current is derived directly from the open ocean, such as in the Bering Sea, the Okhotsk Sea, and the South China Sea, the deep-water DSi concentrations are equal to or higher than those of the inflowing Pacific Ocean waters.

The mean deep-water DSi concentration in the Bering Sea is  $50 \mu\text{mol/kg}$  higher than that in the adjacent Pacific waters. This indicates that deep-water DSi distributions in the marginal seas are closely linked to deep DSi regeneration. We calculated deep-water DSi regeneration as the difference in DSi concentration between the seafloor and 2000-m depth in the water column



**FIGURE 2** | Differences in deep-sea DSi concentration in different marginal seas (red dots) and their adjacent Pacific waters (blue dots). The marginal seas include the Bering Sea (BS), Okhotsk Sea (OS), Japan Sea (JS), and South China Sea (SCS).

( $\Delta\text{DSi}_{(\text{bottom}-2000\text{m})}$ ,  $\mu\text{mol/kg}$ ). The  $\Delta\text{DSi}_{(\text{bottom}-2000\text{m})}$  value in the Bering Sea is  $32.8 \pm 4.0 \mu\text{mol/kg}$  (Figure 3), whereas the  $\Delta\text{DSi}_{(\text{bottom}-2000\text{m})}$  values in the Okhotsk Sea, Japan Sea, and South China Sea are  $5.8 \pm 2.6$ ,  $3.1 \pm 2.5$ , and  $-0.5 \pm 0.7 \mu\text{mol/kg}$ ,



**FIGURE 3** |  $\Delta\text{DSi}_{(\text{bottom}-2000\text{m})}$  ( $\mu\text{mol/kg}$ ) and  $\Delta\text{N}_{(\text{bottom}-2000\text{m})}$  ( $\mu\text{mol/kg}$ ) in the Bering Sea (BS), Okhotsk Sea (OS), Japan Sea (JS), and South China Sea (SCS).

respectively.  $\Delta\text{N}_{(\text{bottom}-2000\text{m})}$  is negative or close to 0 in the marginal seas, indicating nitrogen removal by denitrification. The Bering Sea show similar deep-water salinity distributions to the adjacent Pacific waters (Supplementary Figure S2), suggesting the influence of deep currents intrusion from the Pacific Ocean. However, no strong nitrate removal was observed in the deep North Pacific. These results indicate strong DSi regeneration in deep waters of the Bering Sea, accompanied by active nitrate removal.

### Deep-Water DSi Distribution in the Bering Sea

As shown in Figure 4, the DSi distribution at shallow depths (<1000 m) in the Bering Sea is similar to that in the North Pacific. However, DSi concentrations in deep waters of the Bering Sea are markedly higher than those in the North Pacific, indicating high rates of DSi regeneration in the former (Figure 4A). DSi concentrations in the Bering Sea increase with water depth, and high silica concentrations (>225  $\mu\text{mol/kg}$ ) are observed in the near-bottom waters of the northern basin. DSi concentrations are as high as 241.4  $\mu\text{mol/kg}$ , which means the deep waters of the Bering Sea have the highest reported DSi concentrations of the global ocean.

Microbial respiration of sinking biogenic detritus leads to the formation of AOU maxima (>300  $\mu\text{mol/kg}$ ) at depths between 300 and 1200 m in the North Pacific (Figure 4B). The layer

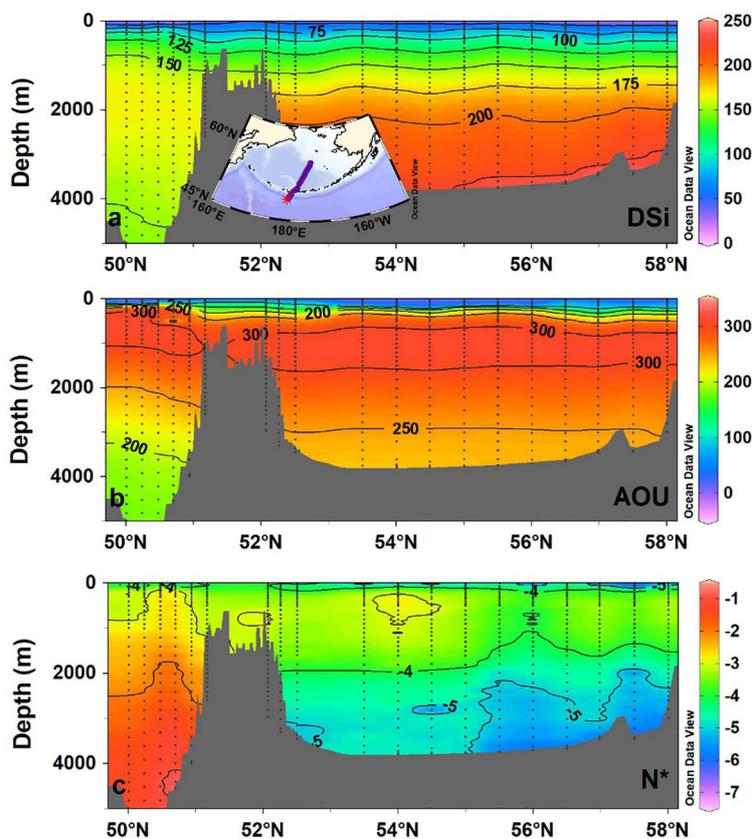
where AOU > 300  $\mu\text{mol/kg}$  deepens to 1600 m in the Bering Sea (**Figure 4B**). As is the case with DSi, the deep-water concentrations of AOU in the Bering Sea are markedly higher than those in the North Pacific (**Figure 4B**), indicating high rates of organic matter remineralization in deep waters of the Bering Sea. However, nitrate concentrations in the deep waters of the Bering Sea are comparable to those in the Pacific Ocean (**Supplementary Figure S3A**); this may be due to nitrogen loss through intensive denitrification, as evidenced by the negative deep-water  $N^*$  values in the Bering Sea (**Figure 4C**). Conversely,  $Si^*$  values in the Bering Sea are extremely high, with an average  $Si^*$  value of  $174.8 \pm 12.8 \mu\text{mol/kg}$  (**Supplementary Figure S3B**). These results suggest an active biogeochemical cycle and the rapid accumulation of DSi in deep waters of the Bering Sea.

## DISCUSSION

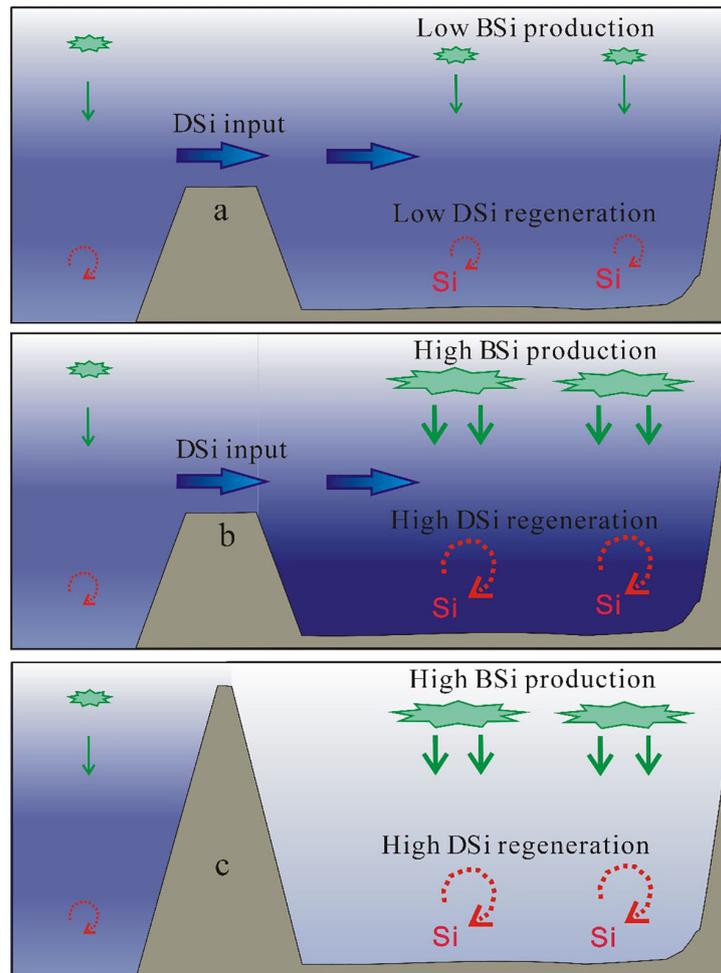
The results indicate that deep-water (>2000 m) DSi reserves in the marginal seas of the western Pacific Ocean are first related to the extent to which they connect and exchange with the open ocean. When the main current of the marginal sea is derived from the open ocean (**Figures 5A, B**), such as in the Bering Sea,

the Okhotsk Sea, and the South China Sea, the deep water receives a high DSi input from the open ocean, and the DSi concentrations are equal to or higher than those of the adjacent Pacific deep waters. The deep-water DSi concentration in the Bering Sea (average of  $212.6 \pm 11.8 \mu\text{mol/kg}$ ) is  $\sim 50 \mu\text{mol/kg}$  higher than that in the adjacent deep Pacific Ocean. When there is limited connectivity between the marginal sea and the open ocean (e.g., the waterway is shallower than 100 m), deep waters in the marginal sea are not markedly affected by inputs from the adjacent ocean deep water and deep-water DSi concentrations are lower than those in the adjacent open ocean (**Figure 5C**), as observed for the Japan Sea. The deep-water DSi concentration in the Japan Sea (average of  $80.1 \pm 3.3 \mu\text{mol/kg}$ ) is  $\sim 70 \mu\text{mol/kg}$  lower than that in the adjacent Pacific water. The deep-water DSi reserves of marginal seas are also strongly influenced by internal DSi regeneration. Higher rates of deep ocean DSi regeneration will lead to higher DSi concentrations in the deep waters of marginal seas than in the adjacent Pacific Ocean, as is the case in the Okhotsk Sea and the Bering Sea (**Figure 5B**).

The role of marginal seas in deep ocean DSi regeneration is tightly coupled with the formation of BSi *via* diatom production in the surface waters. The South China Sea, the largest marginal sea in the Pacific Ocean, has a very active biogeochemical cycle in



**FIGURE 4** | Cross-sectional distribution of (A) DSi ( $\mu\text{mol/kg}$ ), (B) apparent oxygen utilization (AOU;  $\mu\text{mol/kg}$ ), and (C)  $N^*$  ( $\mu\text{mol/kg}$ ) in the North Pacific Ocean and Bering Sea. The nutrient tracer  $N^*$  represents the net effect of nitrogen fixation and denitrification.



**FIGURE 5** | Schematic diagrams showing the silica cycle in different marginal sea settings. **(A)** Oligotrophic marginal sea under the influence of open ocean inflow water; **(B)** productive marginal sea under the influence of open ocean inflow water; **(C)** marginal sea unaffected by open ocean inflow water. The background color indicates the concentration of DSi, with darker colors indicating higher concentrations.

its adjacent coastal waters (e.g., Cao et al., 2012; Lu et al., 2022). However, it is nutrient-poor in the mid-ocean, especially in anticyclonic eddies (Du et al., 2013; Zhuang et al., 2018; Sun et al., 2022), where persistent stratification limits the vertical influx of nutrients (Wong et al., 2007; Zhuang et al., 2021a). Here, the supply of nutrients to surface layers (and the subsequent increase in particles sinking to depth) depends on episodic events such as typhoons (Zhang et al., 2019; Chen et al., 2021). The monthly mean BSi flux in the central South China Sea ranges from 14.8 to 34.9  $\text{mg m}^{-2} \text{d}^{-1}$ , with a high BSi flux during the northeastern monsoon period (Li et al., 2017). When the surface waters of marginal seas are oligotrophic, as in the South China Sea, the rates of formation and export of BSi are low (Yang et al., 2015), which leads to low rates of DSi regeneration of in deep waters (**Figure 5A**). It has been indicated that oligotrophic areas have low rates of BSi dissolution and benthic diffusion of DSi (Tréguer et al., 1995). Deep ocean DSi regeneration is more intense when the surface waters of marginal seas are productive

(**Figure 5B**), such as in the Bering Sea and the Okhotsk Sea. The monthly mean BSi flux in the southern Bering Sea ranges from 48.4 to 805.0  $\text{mg m}^{-2} \text{d}^{-1}$  (Akagi et al., 2011), which is an order of magnitude higher than that in the South China Sea (14.8 to 34.9  $\text{mg m}^{-2} \text{d}^{-1}$ ; Li et al., 2017). The strong particle flux through the water column in the productive marginal seas promotes the sinking of BSi to deep waters and sediment.

Other physical and biogeochemical factors also contribute to the high rates of deep ocean DSi regeneration in the Bering Sea. First, a summertime high-productivity zone, also known as the “green belt”, exists along the shelf break of the Bering Sea and is maintained by upward influx of nutrients and iron from the subsurface (Springer et al., 1996; Tanaka et al., 2012). The phytoplankton community in the region is dominated by diatoms, which contribute to high biological production and thus high rates of BSi formation (Zhuang et al., 2020). Second, there are mechanisms that lead to the rapid sinking of biogenic particles in this area. For example, oceanic deep-water formation

in the Atlantic and Southern oceans leads to the rapid removal of additional particles from the surface by water mass subduction (Lohmann et al., 2006). Low surface temperatures in the subpolar Bering Sea favor BSi preservation, which results in reduced rates of respiration and silica dissolution in the upper ocean (Nelson et al., 1995; Iversen and Ploug, 2013). In contrast, high surface temperatures often promote the dissolution of BSi in the low-latitude oceans, such as the Japan Sea and the South China Sea. In addition, diatom-derived particles typically have lower decay rates (Cabrera-Brufau et al., 2021), which allows more biogenic particles to reach deep waters and sediment. AOU concentrations and  $\Delta\text{DSi}_{(\text{bottom}-2000\text{m})}$  values in deep waters of the Bering Sea (Figure 3) indicate concurrent organic matter remineralization and BSi dissolution as biogenic particles sink through deep waters. The amount of DSI regeneration varies throughout the global oceans, mostly because of differences in the rates of organic matter remineralization (Guidi et al., 2015). Hu et al. (2014) showed that the Bering Sea has an extremely high particle removal rate, which may be one of the main reasons for the high rates of DSI release in deep waters of the Bering Sea.

The Bering Sea experienced high rates of BSi export production, equal to those in the Southern and eastern equatorial Pacific oceans, but BSi concentrations in Bering Sea sediments are relatively low (Heinze et al., 2003). This strongly suggests that deep ocean DSI regeneration in the Bering Sea is accelerated relative to that in the open ocean. Earlier works have alluded to higher BSi recycling fluxes under low oxygen conditions (Berelson et al., 1987) and a decrease in BSi preservation under anoxic waters (Dale et al., 2021), suggesting a dependence of BSi preservation on oxygen levels. The deep waters of the Bering Sea have high rates of organic matter respiration and thus oxygen consumption, leading to low oxygen concentrations. These low oxygen conditions may also promote DSI regeneration. In addition, the high DSI concentrations ( $>225 \mu\text{mol/kg}$ ) observed in the near-bottom waters of the Bering Sea basin (Figure 4) were most likely generated locally through dissolution of BSi in surface sediments. An average sedimentary denitrification rate of nearly  $230 \mu\text{mol N m}^{-2} \text{d}^{-1}$  has been reported in the deep Bering Sea ( $>2000 \text{ m}$ ); this value is three times higher than the global average for the same depth (Lehmann et al., 2005). DSI is released into deep waters at the sediment–water interface, which increases the deep-water DSI reserves. Active benthic biogeochemical processes may also contribute to deep ocean DSI regeneration in the Bering Sea. The high regeneration rate of deep ocean DSI and upwelling water will eventually affect the regional nutrient budget and cycle (Zhuang et al., 2021b).

## CONCLUSIONS

The role of marginal seas in deep ocean DSI regeneration is tightly coupled to the production and export of BSi in surface waters. In the nutrient-poor South China Sea, the deep-water DSI concentration is close to that of the adjacent Pacific waters, and deep ocean DSI regeneration is weak. Conversely, in the productive Bering Sea, deep-water DSI concentrations are much higher than those in the adjacent

Pacific waters, and the capacity for deep DSI regeneration is strong; as a result, the Bering Sea has the highest reported deep-water DSI concentrations ( $>240 \mu\text{mol/kg}$ ) in the global ocean. A combination of physical and biochemical factors, including low temperatures, diatom-dominance in the phytoplankton community, high particle export rate, and dissolution of opal in surface sediments, contribute to active DSI regeneration in deep waters of the Bering Sea.

Although marginal seas represent only a small fraction of the global ocean area, and the rapid accumulation of DSI in the deep waters of marginal seas may not seem globally significant, they may have important regional implications. Deep ocean DSI regeneration and organic matter respiration are accelerated in productive marginal seas, suggesting active deep ocean biogeochemical cycles in these regions and may support unexpectedly active ecosystem (e.g., bacteria) in the deep water and sediments. Besides, the upwelling water of the Bering Sea and its northward flow have important effects on the nutrient budget and ecosystem of the adjacent Arctic Ocean.

## DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: <https://www.ncei.noaa.gov/access/world-ocean-database/datawodgeo.html>.

## AUTHOR CONTRIBUTIONS

Conceptualization, XY and YZ. methodology and software, XY and XC. Validation, XY and YZ. Data curation, XY and YZ. Writing—original draft preparation, XY. Writing—review and editing, YZ, XC, and DQ. Supervision and project administration, YZ. Funding acquisition, YZ and DQ. All authors have read and agreed to the published version of the manuscript.

## FUNDING

This research was funded by National Key Research and Development Program of China (2019YFE0120900, and 2019YFE0114800), the Natural Science Foundation of Zhejiang Province (Y19D060024).

## ACKNOWLEDGMENTS

We thank the World Ocean Database (<https://www.ncei.noaa.gov/access/world-ocean-database/datawodgeo.html>) for provision of the data.

## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.925919/full#supplementary-material>

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## SPECIALTY SECTION:

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED: 17 May 2022

ACCEPTED: 24 June 2022

PUBLISHED: 22 July 2022

## CITATION:

Liu S, Liang Z, Zhang B, Su H, Lei Z  
and Su M (2022) Carbonate contourite  
drifts in the southwest South China  
Sea: Sedimentary, paleoceanographic  
and economic implications.  
*Front. Mar. Sci.* 9:946231.  
10.3389/fmars.2022.946231

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# Carbonate contourite drifts in the southwest South China Sea: Sedimentary, paleoceanographic and economic implications

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Contourite drifts are significant sedimentary features and provide clues for the reconstruction of paleoceanography and paleoenvironment. Although they have been increasingly identified in the world's ocean, shallow-water contourite drifts (< 300 m depth) remain poorly understood and the examples are rare. This study documents a Middle Miocene shallow-water contourite depositional system in the southwest South China Sea by interpreting seismic reflection data and calibrating results with the previous chronological framework. The depositional system consisted of six mounded drifts and six moats. The contourite features were generated in seismic unit III (16–10.5 Ma) and distributed adjacent to carbonate reefs. They were formed on the proto-continental shelf (50–200 m depth) and shaped by the wind-driven currents. Changes in the sedimentary stacking patterns suggest three evolutionary stages of the contourite features. Stage I represents the growth of the Middle Miocene contourite depositional system between 16 and 10.5 Ma. Stage II marks the termination of carbonate drifts and the burial of the Late Miocene sedimentation during 10.5–5.3 Ma. Stage III started with the development of modern deep-water sedimentary systems since 5.3 Ma. The contourite features are compared with the examples on other South China Sea margins. Significant changes in the paleoceanography occurred at 10.5 Ma and 6.5–5.3 Ma when the dominated bottom currents shifted from the monsoonal wind-driven currents to the North Pacific waters, and then the modern circulation system. The Middle Miocene mounded drifts were likely sourced by the coarse-grained carbonate sands. Fluid flow escaped from the coarse-grained contourite layers and natural gas leakage occurs on the seafloor. Shallow-water carbonate contourite drifts can be served as a good gas reservoir and have great economic potential.

## KEYWORDS

contourite depositional system, sedimentary process, bottom current, carbonate reef, southwest South China Sea

# 1 Introduction

Bottom currents are vital oceanographic processes of redistributing sediments (Hanebuth et al., 2015; de Castro et al., 2021; Rodrigues et al., 2022), shaping seafloor morphology (Howe et al., 2006; Hernández-Molina et al., 2017; Yin et al., 2022), influencing marine ecosystems (Loeb et al., 2010; Cimino et al., 2020), and transporting pollutants (Martin et al., 2017; Kane and Clare, 2019). The persistent bottom currents that are commonly driven by winds, thermohaline circulation, eddies, and tides can create alongslope sedimentary features on the continental margin through geological timescales (Hernández-Molina et al., 2008; Rebesco et al., 2014). The combination of the depositional (i.e., contourite drifts) and erosional features (i.e., moats, contourite channels), known as the contourite depositional system, provides clues for the reconstruction of palaeoceanographic and paleoenvironmental conditions (Llave et al., 2007; García et al., 2016; de Weger et al., 2020; Kirby et al., 2021).

Since the 1960s (Heezen and Hollister, 1964; Heezen et al., 1966), contourite depositional systems have been increasingly observed in the deep basins (García et al., 2016; Pérez et al., 2019; Ng et al., 2021), on the continental slopes (Roque et al., 2012; Hernández-Molina et al., 2016; Yin et al., 2019), in the shallow marine (Pepe et al., 2018; Mulder et al., 2019), and in lakes (Ceramicola et al., 2001; Wagner et al., 2012). However, identified shallow-water contourite drifts are not as much as deep-water ones (Thran et al., 2018). Shallow-water contourite drifts are those influenced by contour-parallel flows at a water depth shallower than 300 m (Verdicchio and Trincardi, 2008). Some of the well-studied examples are the Maldives (Lüdmann et al., 2013; Betzler et al., 2018) and the Bahamas (Chabaud et al., 2016; Mulder et al., 2019) archipelagos. The contourite depositional systems were formed adjacent to carbonate reefs, platforms, or atolls and situated in the pathway of major surface currents (Lüdmann et al., 2013; Betzler et al., 2014). This type of drifts, defined as carbonate contourite drifts, commonly consisted of coarse-grained carbonated sediments and has an economic significance for hydrocarbon exploration (Viana, 2008; Eberli and Betzler, 2019).

In the tropical Pacific Ocean, the Middle Miocene was an important period for the shallow-water carbonate drifts because of widely distributed carbonate platforms and enhanced surface currents (Lüdmann et al., 2013; Betzler et al., 2018; Mathew et al., 2020). The proto-continental shelf in the South China Sea was under the comparable paleoceanographic and paleoenvironmental conditions and served as a preferable region for the development of carbonates during the Middle Miocene (Wu et al., 2016; Yan et al., 2020; Makhankova et al., 2021; Yang et al., 2021). The formation of the carbonate contourite drifts would be theoretically significant as the case of the Maldives (Lüdmann et al., 2013; Betzler et al., 2014). However, studies on the shallow-water carbonate drifts in the South China Sea are rare.

The sedimentary, paleoceanographic, and economic implications of the carbonate contourite drifts remain poorly investigated.

This study focuses on the continental margin in the southwest South China Sea, which offers a key area to study carbonate contourite drifts and contributes to a better understanding of South China Sea paleoceanography. The objectives of this work are: 1) to identify the Middle Miocene contourite depositional system; 2) to determine the contourite evolutionary stages; 3) to discuss paleoceanographic changes in the South China Sea; and 4) to demonstrate the economic importance of shallow-water carbonate drifts.

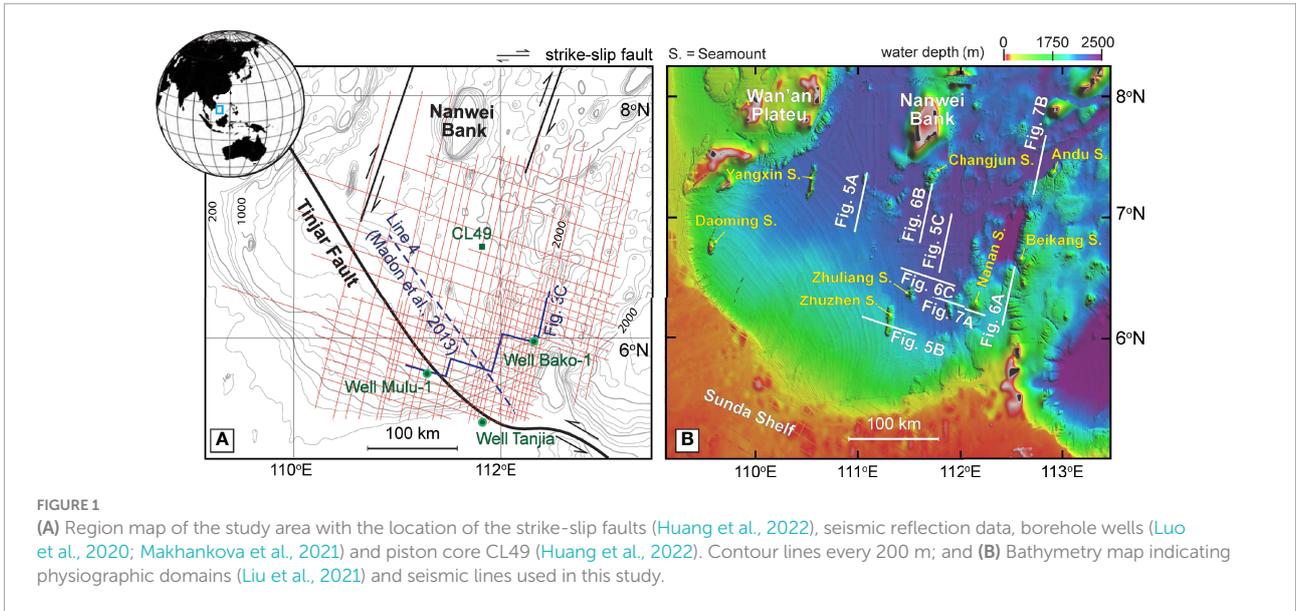
## 2 Regional setting

### 2.1 Geological setting

The South China Sea is a marginal sea located in the NW Pacific Ocean. Seafloor spreading and tectonic opening of the South China Sea initiated during the Cenozoic at ~33–30 Ma (Sibuet et al., 2016). In the southwest South China Sea, sedimentary basins were subsequently formed and further separated by the NW-SE orientated Tinjar fault (Figure 1A) (Morley, 2002; Barckhausen and Roeser, 2004). The basins were widened because of the widespread extensional tectonics during the Middle and Late Eocene (Hall, 2002; Hutchison, 2004). The direction of the seafloor spreading significantly changed during the Early Miocene in the South China Sea (~23–16 Ma) (Chang et al., 2022). Regional collision consequently uplifted the sedimentary basins (Hutchison, 2004; Cullen, 2010). The southwest South China Sea went into a regional quiescence stage and rapid subsidence occurred from the Middle Miocene (~10.5 Ma) onward (Hutchison, 2004; Madon et al., 2013; Zhang et al., 2020).

### 2.2 Physiographic domains

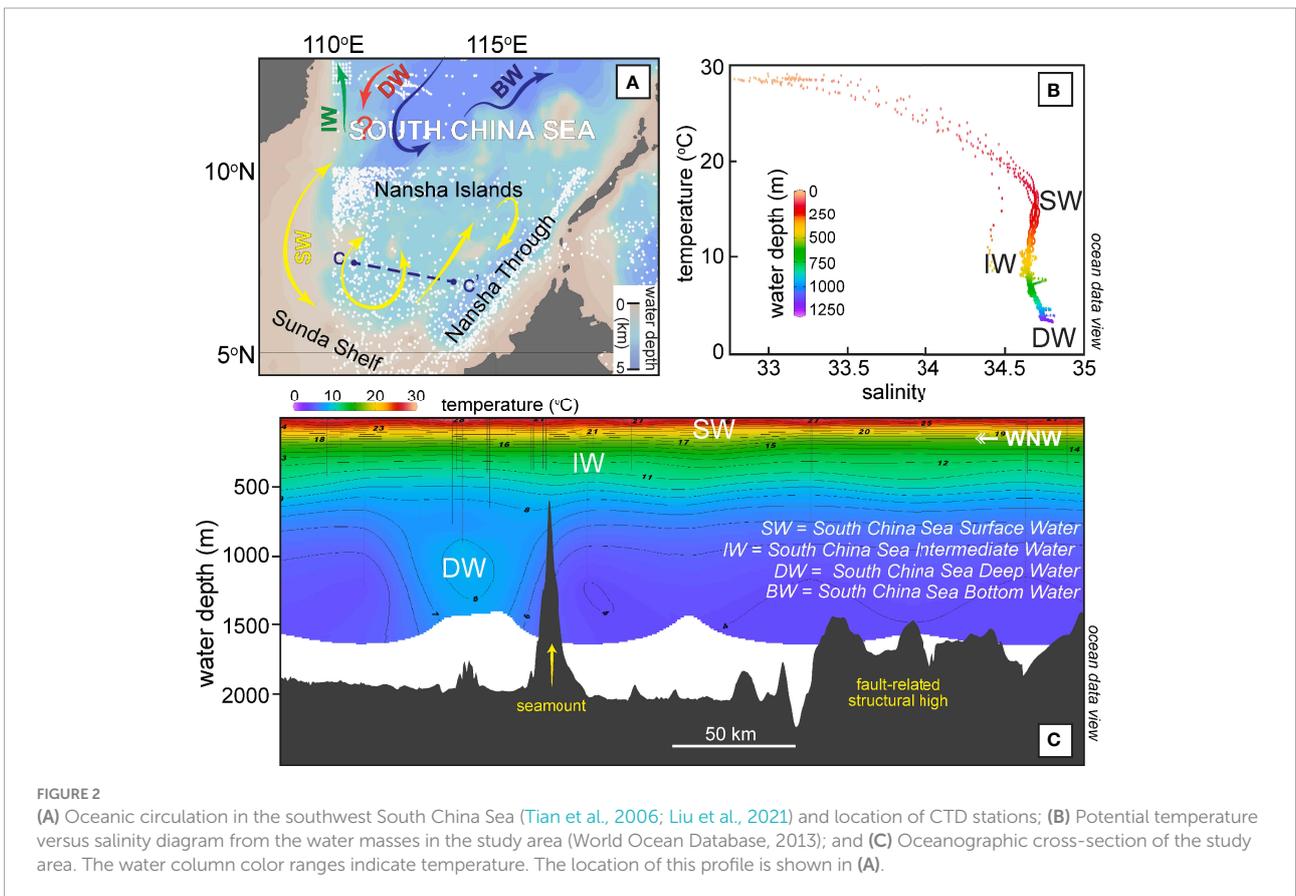
The southwest South China Sea consists of several physiographic domains (Liu et al., 2021). The Sunda continental shelf extends from 0 to 260 m water depth (Figure 1B). Modern carbonate reefs are widely observed on the shelf (Mathew et al., 2020). The continental slope extends to the Nanwei Bank (also known as the Rifleman Bank) and the Wan'an Plateau from 260 to 2360 m water depth (Figure 1B). Eight seamounts are shown. They are the Daoming, Yangxin, Zhuzhen, Zhuliang, Changjun, Nanan, Beikang, and Andu seamounts from the west to the east, respectively (Figure 1B). Modern sedimentary systems on the continental slope contain mass-transport deposits (MTDs), contourite drifts, turbidites, and pockmarks (He et al., 2018; Zhang et al., 2020; Liu et al., 2021; Huang et al., 2022).



### 2.3 Present-day oceanography

The modern oceanic circulation in the South China Sea consists of the Surface (SW), Intermediate (IW), Deep (DW), and Bottom (BW) waters from the surface to the sea bottom (Tian et al., 2006). The SW that appears from 0 and 300 m water depth

has a seasonal variation and is under the influence of monsoon winds (Figure 2A) (Qu et al., 2009). The IW is sourced from the North Pacific Intermediate Water (NPIW) (Tian et al., 2006). The water mass circulates in an anticyclonic pattern and entered the southern SCS between a water depth of 300 and 750 m (Figures 2B, C). The DW that originated from the North Pacific



Deep Water (NPDW) is observed below a water depth of 1500 m around the Luzon Strait (Qu et al., 2006). The DW is characterized by a cyclonic circulation pattern and the core is 200-300 m shallower in the southern South China Sea (Figure 2C) (Liu et al., 2021). The BW is a deep-water overflow transported through the Luzon Strait into the SCS (Zhou et al., 2017). It moves below a water depth of 2000 m in a cyclonic flowing pattern (Figure 1) (Tian et al., 2006).

### 3 Data and methods

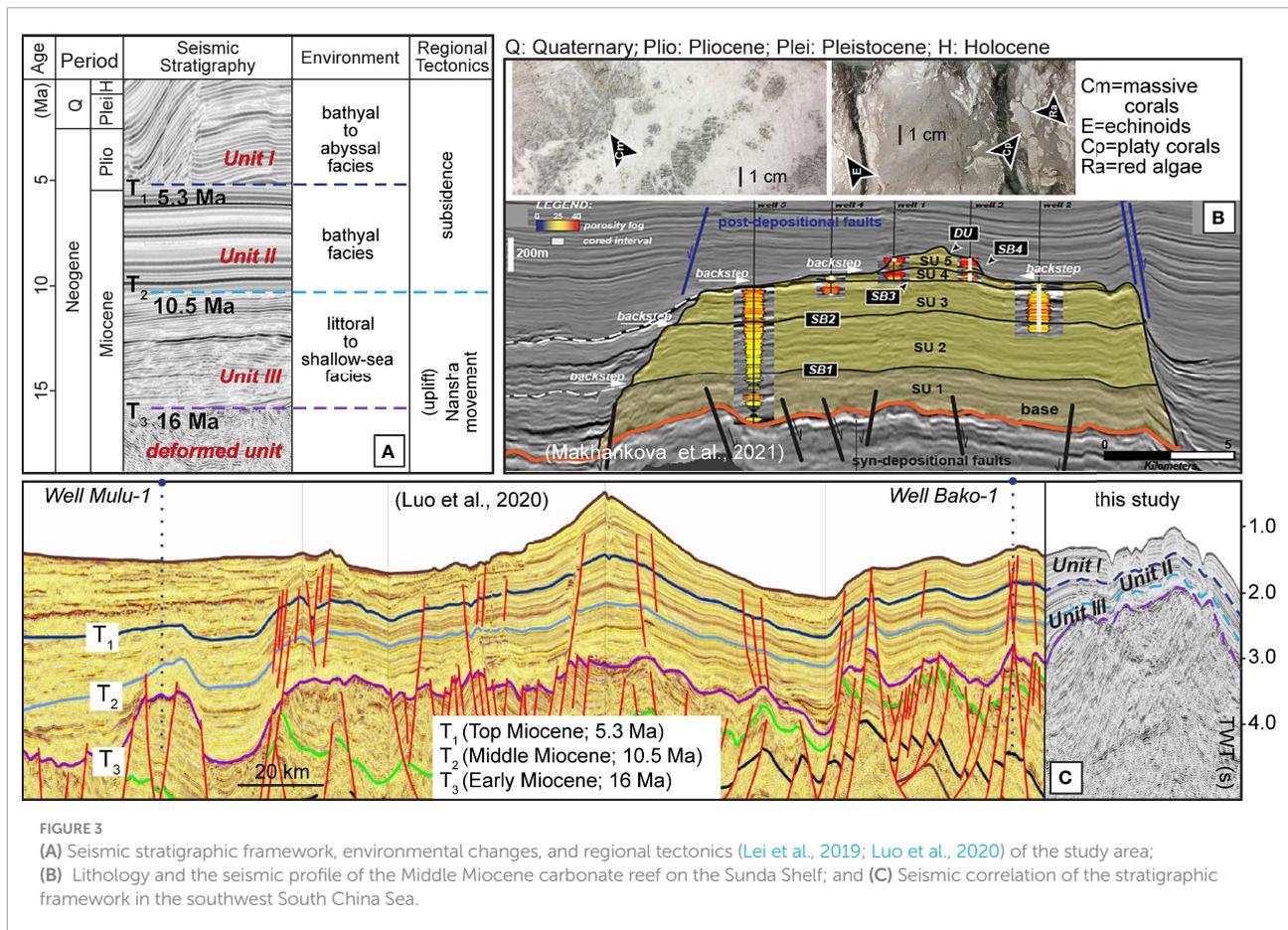
#### 3.1 Bathymetry, seismic, and oceanographic data

The datasets used for this study contain high-resolution multibeam bathymetry, multichannel seismic reflection profiles, and oceanographic observation (Figures 1, 2). Bathymetric and seismic datasets were obtained during the R/V Tanbao cruise in 1996, 2009, and 2013 via the Guangzhou Marine Geological Survey. A SeaBEAM 2112 system was used for acquiring multibeam bathymetry. The system operated at a center frequency of 12 kHz with a swath width of 120 degrees. The multichannel

seismic data were acquired by using a Seal 408 streamer recording system. The seismic source was a 32-bolt airgun array with a total volume of 5080 in<sup>3</sup>. The dominant frequency ranged from 40 to 60 Hz. The seismic reflection data were further processed by applying a bandpass filter, amplitude recovery, velocity analysis, and pre-stack time migration. Vertical CTD profiles were extracted from the World Ocean Database (2013) (Figure 2) (Boyer et al., 2013). The temperature cross-section (Figure 2C) was made utilizing the Ocean Data View (ODV) software.

#### 3.2 Seismic interpretation methods

The seismic reflection profiles are interpreted using the Petrel software. The vertical scale is expressed by two-way travel time (TWT). The seismic stratigraphic division for major depositional units is based on the identification of regional discontinuities (T<sub>3</sub>, T<sub>2</sub>, and T<sub>1</sub>) (Catuneanu et al., 2009). T<sub>3</sub> is marked as a regional erosional discontinuity, while T<sub>2</sub> and T<sub>1</sub> are identified by the vertical variation of acoustic facies (Figure 3A). These discontinuities are matched with the seismic stratigraphic documented by Luo et al. (2020) through connected seismic profiles (Figure 3C). The correlation between seismic data and drill core data (Well Mulu-1



and Bako-1) indicate possible chronostratigraphic constraint of the study area (Figure 3).

The interpretation of contourite drifts is based on the mounded geometry, continuous oblique to subparallel reflections, and onlap reflection terminations, while moats are characterized by U-shaped erosional features (Faugères et al., 1999; Faugères and Stow, 2008; Rebesco et al., 2014). Carbonate reefs are characterized by the mounded geometry, disrupted to chaotic reflections, and bi-directional downlap reflection terminations (Figure 4) (Burgess et al., 2013; Hendry et al., 2021).

## 4 Results and interpretation

### 4.1 Seismic stratigraphic analysis

Three major seismic units (UIII–UI from old to young), separated by main discontinuities ( $T_3$ ,  $T_2$ , and  $T_1$ ), are identified below the present seafloor in the seismic profiles (Figure 3A). UIII is characterized by moderate to high amplitude and semi-continuous chaotic-subparallel reflections. The unit is separated from the base unit by discontinuity  $T_3$  (Figure 5). Mounded features with onlap and downlap terminations are identified on the continental slope and at the seamount flanks (Figures 5, 6). Some mounded features are only observed at the lower part of UIII (Figures 5C, 6C). The unit has a maximum sedimentary thickness of 1 s TWT at the lower continental shelf, but the thickness decreases seawards (Figure 3C).

UII is bounded by discontinuity  $T_2$  at its base. The unit consists of high amplitude and continuous subparallel reflections (Figures 5, 6). The sedimentary thickness is relatively constant at about 0.2 s TWT. UI is bounded by discontinuity  $T_1$  at the base and by the seafloor at its top. The unit is internally characterized by moderate-high amplitude and continuous oblique-subparallel reflections. Several fault-controlled contourite drifts were previously identified in the upper part of this unit (Figure 10)

(Liu et al., 2021). The thickness of this unit reaches the maximum value (1.2 s TWT) at the depositional section of MTDs (Figure 10) (He et al., 2018).

### 4.2 Buried carbonate reefs

Mounded features were widely observed atop discontinuity  $T_3$  in the study area (Wu et al., 2016; Yan et al., 2020). Their internal seismic features are moderate to high amplitude and disrupted to chaotic reflections, while the external shape is characterized by the mounded geometry (Figure 6). The boundary of these mounded features exhibits high amplitude reflectors. Bi-directional downlap terminations are shown (Figure 4). Although volcanism was active during the early Miocene in the study area (Yan et al., 2008), these features are not likely the volcanogenic mounds. Volcanogenic mounds are generally characterized by pull-up features and volcanic intrusion (or direct connection with sills) in seismic profiles (Magee et al., 2013), which do not match with the observation in the study area (Figures 5, 6). Besides, evidence from drilling demonstrates that these mounded features possibly contained skeletal grainstone and coral framestone with massive corals (Figure 3B) (Makhankova et al., 2021). Drillings from the adjacent regions, such as the Dangerous Ground, also show the presence of mounded carbonate reefs (Steuer et al., 2014; Banerjee and Ahmed Salim, 2021; Li et al., 2022). Thus, these mounded features are most likely the carbonate reefs.

Buried carbonate reefs were previously observed on the Sunda Shelf (Mathew et al., 2020) and the continental slope (Yan et al., 2020) (Figures 3B, 7). In addition to these identified ones, three carbonate reefs (A, B, and C) are recognized in UIII in this study (Figure 7). U-shaped erosional features and mounded depositional features are observed on one side of the carbonate reefs A, B, and C (Figure 6). Carbonate reef A was 0.7 s TWT high, and the width reached 8 km. Carbonate reef B was 0.6 s TWT high and 7 km wide. The height and width of carbonate reef

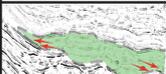
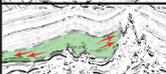
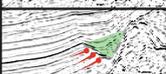
feature	example	termination	amplitude	reflection	shape	distribution	unit
mounded drifts 1-3		onlap downlap	low to moderate	oblique to subparallel	mounded	seamount, structural high	UIII
mounded drifts 4-6		onlap downlap	moderate	oblique to subparallel	mounded	carbonate reef	UIII
moat		truncation	—	—	U-shaped	mounded drift	UIII
carbonate reef		bi-directional downlap	moderate to high	disrupted to chaotic	convex to mounded	widely distributed	UIII

FIGURE 4  
Seismic expression, distribution, geometry, and examples of contourite features and carbonate reefs in seismic unit III.

C were 0.3 s TWT and 6 km. The top of carbonate reefs A and B reached  $T_2$ , while carbonate reef C only grew to the middle part of UIII (Figure 6).

## 4.3 A buried contourite depositional system

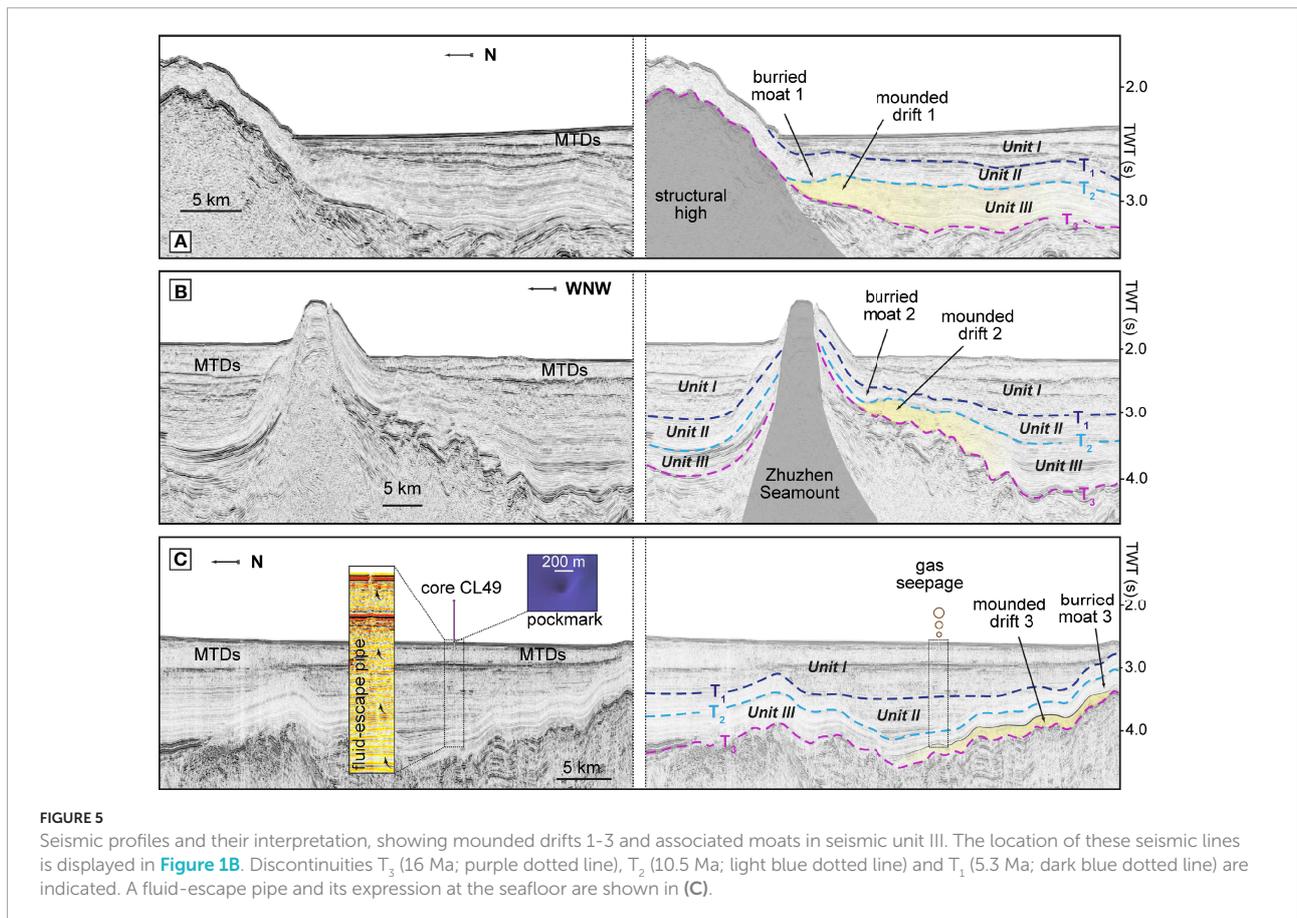
### 4.3.1 Mounded drifts

Six mounded drifts (MD 1-6) are identified in UIII (Figs. 5 and 6). MD-3 and -6 only show at the lower part of UIII (Figs. 5C and 6C). MD -1, -2, and -3 are characterized by moderated amplitude and oblique to subparallel reflections (Figure 4). Low-angle onlap and downlap terminations are observed onto  $T_3$  (Figures 4, 5). MD-1 was 0.4 s TWT thick and 21 km wide and extended along a structural high between 2.8 and 3.4 s TWT (Figure 5A). MD-2 was distributed along the southern flank of the Zhuzhen Seamount between 2.9 and 4.0 s TWT (Figures 5B, 7). The thickness was 0.3 s TWT and the width reached 17 km. MD-3 was relatively thin and only generated at the lower part of UIII between 3.3 and 4.2 s TWT (Figure 5C). The drift was 0.15 s TWT thick and 16 km wide.

MD -4, -5, and -6 are associated with carbonate reefs A, B, and C in UIII, respectively (Figure 6). These drifts exhibit moderate amplitude and oblique to subparallel reflections (Figure 6). High-angle onlap terminations are recognized onto carbonate reefs, while low-angle downlap terminations are shown towards  $T_3$  (Figs. 4 and 6). MD-4 was 0.4 s TWT thick and 9 km wide. The drift extended along the southern flank of carbonate reef A between 2.1 and 2.7 s TWT (Figs. 6A and 8). MD-5 was distributed along the southern flank of carbonate reef B between 2.6 and 3.6 s TWT (Figs. 6B and 8). The thickness was 0.4 s TWT and the width reached 11 km. MD-6 was located along the western flank of carbonate reef C between 2.5 and 2.9 s TWT (Figs. 6C and 8). The drift was generated at the lower part of UIII with a thickness of 0.3 TWT and a width of 11 km.

### 4.3.2 Moats

Six moats (Mo 1-6) were associated with the mounded drifts in UIII (Figs. 5, 6, and 8). The distribution was parallel to the seamount, structural high, and carbonate reefs (Figure 7). They were W-E and NNE-SSW orientated and exhibited truncations and U-shaped geometry (Figure 4). The incision was around 50 ms TWT and the width ranged from 0.7 to 4 km (Figures 5, 6).



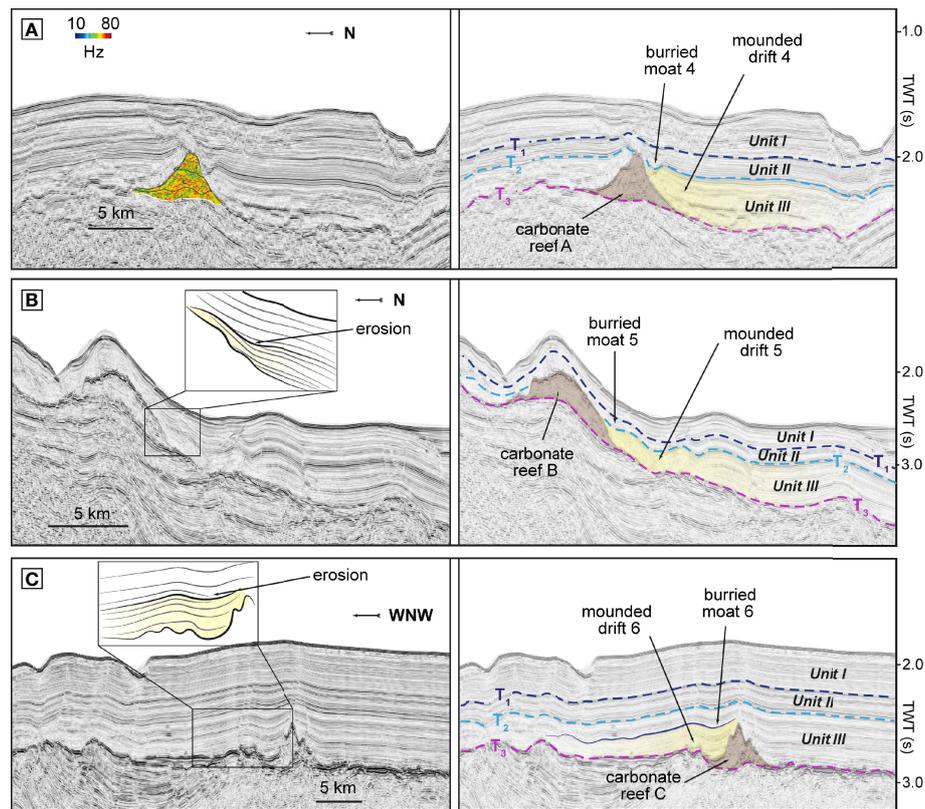


FIGURE 6

Seismic profiles and their interpretation, showing carbonate mounded drifts 1–3, associated moats, and carbonate reefs (A–C) in seismic unit III. The location of these seismic lines is displayed in [Figure 1B](#). Discontinuities  $T_3$  (16 Ma; purple dotted line),  $T_2$  (10.5 Ma; light blue dotted line), and  $T_1$  (5.3 Ma; dark blue dotted line) are indicated. Instantaneous frequency profile of carbonate reef A is indicated in (A).

#### 4.4 A fluid-escape pipe

A fluid-escape pipe, previously identified by [Huang et al. \(2022\)](#), extends from UIII to the seafloor. The root of the pipe is located at the lower part of MD-3 ([Figure 5C](#)). This fluid-escape pipe shows pulled-up reflections. The seafloor expression of the pipe is a pockmark with a diameter of 210 m ([Figure 5C](#)). A piston core CL49 acquired at this location indicated the formation of gas seepage conduits at the seafloor ([Huang et al., 2022](#)).

### 5 Discussion

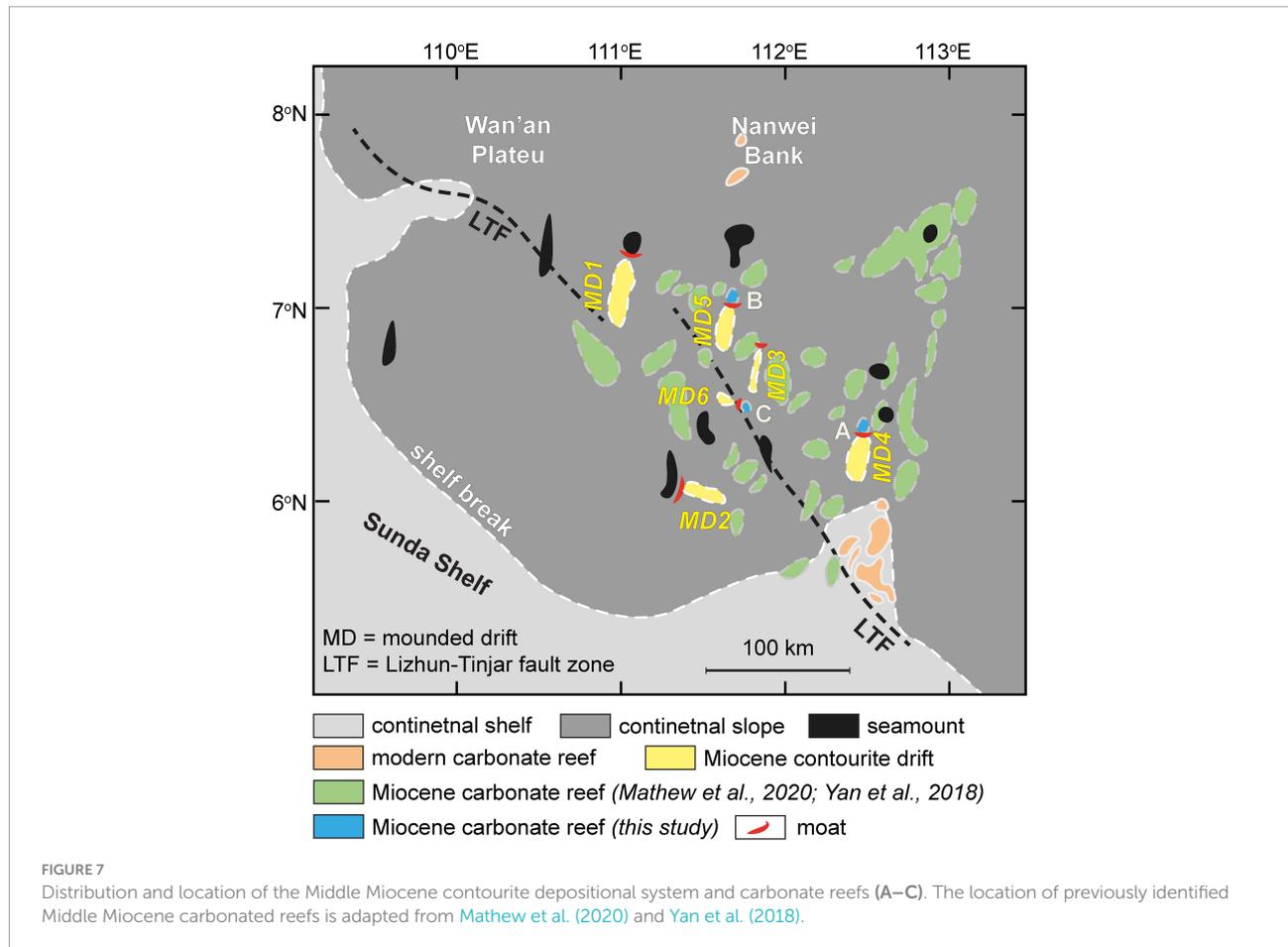
#### 5.1 Chronological framework

Discontinuities  $T_3$ ,  $T_2$ , and  $T_1$  of this study are correlated with previous chronostratigraphic interpretations of the southwest South China Sea ([Figure 3C](#)) ([Madon et al., 2013](#); [Luo et al., 2020](#); [Huang et al., 2022](#)). The correlation between seismic data and drill core data (Well Mulu-1 and Bako-1) shows that  $T_3$  corresponds to the Middle Miocene unconformity (MMU; 16 Ma).  $T_2$  marks

a transition of depositional styles from shallow water to deep marine, related to Wanan tectonic movement at 10.5 Ma, while  $T_1$  is correlated to Guangya tectonic movement at 5.3 Ma ([Luo et al., 2020](#)). Thus, UIII has a Middle Miocene age (16–10.5 Ma), UII a Late Miocene age (10.5–5.3 Ma), and UI a Pliocene-Quaternary age (<5.3 Ma) based on the chronostratigraphic constraints.

#### 5.2 Evolutionary stages of the contourite features

The major changes in the sedimentary stacking pattern and the outlined chronology of UIII, UII, and UI indicate three evolutionary stages of the contourite features: I) carbonate drift stage; II) burial stage; and III) modern stage ([Figure 8](#)). The carbonate drift stage reflects the growth of the Middle Miocene contourite depositional system between 16 and 10.5 Ma. The burial stage marks the terminated growth of carbonate drifts which are buried by the Late Miocene sedimentation during 10.5–5.3 Ma. The modern stage started with the development of Quaternary deep-water sedimentary systems since 5.3 Ma. These modern sedimentary systems are some of the major morphological features on the present-day seafloor in the study area.



### 5.2.1 Carbonate drift stage (16–10.5 Ma)

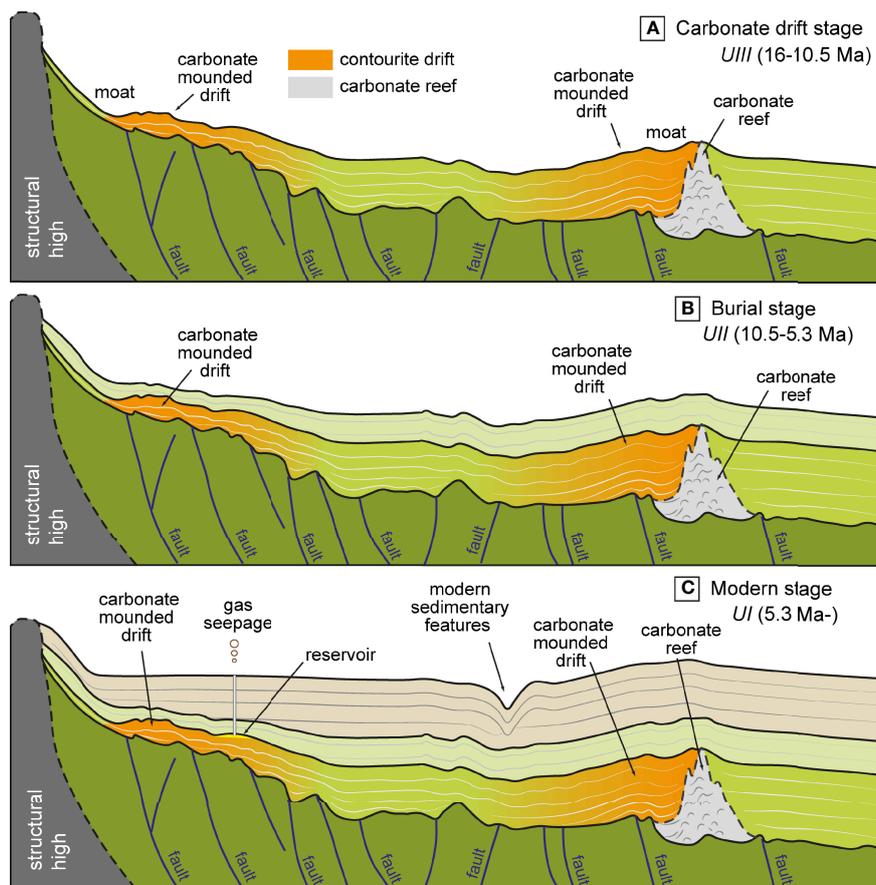
The carbonate drift stage (UIII) began with the growth of the Middle Miocene contourite drifts in the study area at 16 Ma (Figure 8A), coeval to the initial build-up of carbonate reefs on the Sunda Shelf in the southern South China Sea after ~18–15.5 Ma (Vahrenkamp et al., 2004; Mathew et al., 2020; Makhankova et al., 2021). Corals and foraminifera that constructed carbonate reefs on the proto-Sunda shelf indicated a shallow lagoon (<20 m depth) paleoenvironment during the Middle Miocene (Figure 3B) (Makhankova et al., 2021). The present-day continental slope south of the Nanwei Bank was used to be the outer continental shelf (50–200 m depth) during this period (Figure 9A) (Collins et al., 2018). Thus, the Middle Miocene contourite depositional system in UIII was generated in a shallow-marine setting.

Shallow-water contourite deposits can be shaped by bottom currents driven by four forces: 1) thermohaline circulation (Vandorpe et al., 2011); 2) wind-induced circulation (Nishida et al., 2022); 3) tidal flow (Lüdmann et al., 2013); and 4) processes at the water-mass interface (Verdicchio and Trincardi, 2008). For the reasons explained below, the Middle Miocene contourite depositional system in the study area was likely related to the wind-induced circulation.

The ocean circulation of the North Pacific enhanced and started to resemble the modern pattern after ~14 Ma (Nathan and Leckie, 2009; Kender et al., 2018). Surface waters had the possibility to intrude into the South China Sea because of its open connection with the Pacific (Figure 9A). However, the N-S thermocline gradient in the South China Sea only occurred after 11.5–10.6 Ma (Li et al., 2005; Jian et al., 2006). Contourite drifts in UIII were unlikely linked to the thermohaline circulation or processes at the water-mass interface because of the absence of stratified waters in the South China Sea during the Middle Miocene.

Sedimentary records on the Sunda Shelf showed a significant influence of tidal currents on the sediment deposition during the Middle Miocene (Koša, 2015; Amir Hassan et al., 2017). The related tidal currents were able to transport fine-grained sand and silt but were too weak to generate erosional features on the seafloor (Collins et al., 2017; Collins et al., 2018). Thus, tidal-induced circulation could hardly create moats in UIII and was not involved in the formation of the Middle Miocene contourite depositional system in the study area.

The Middle Miocene was also a significant period for the onset of the modern-like East Asian monsoon system (Betzler et al., 2016). The intensification of the East Asian monsoon at ~15–10 Ma greatly enhanced the seasonal wind-driven currents



**FIGURE 8**  
Sketch of evolutionary stages of the contourite features in the southwest South China Sea: **(A)** Carbonate drift stage (16–10.5 Ma); **(B)** Burial stage (10.5–5.3 Ma); and **(C)** Modern Stage (5.3 Ma to present day).

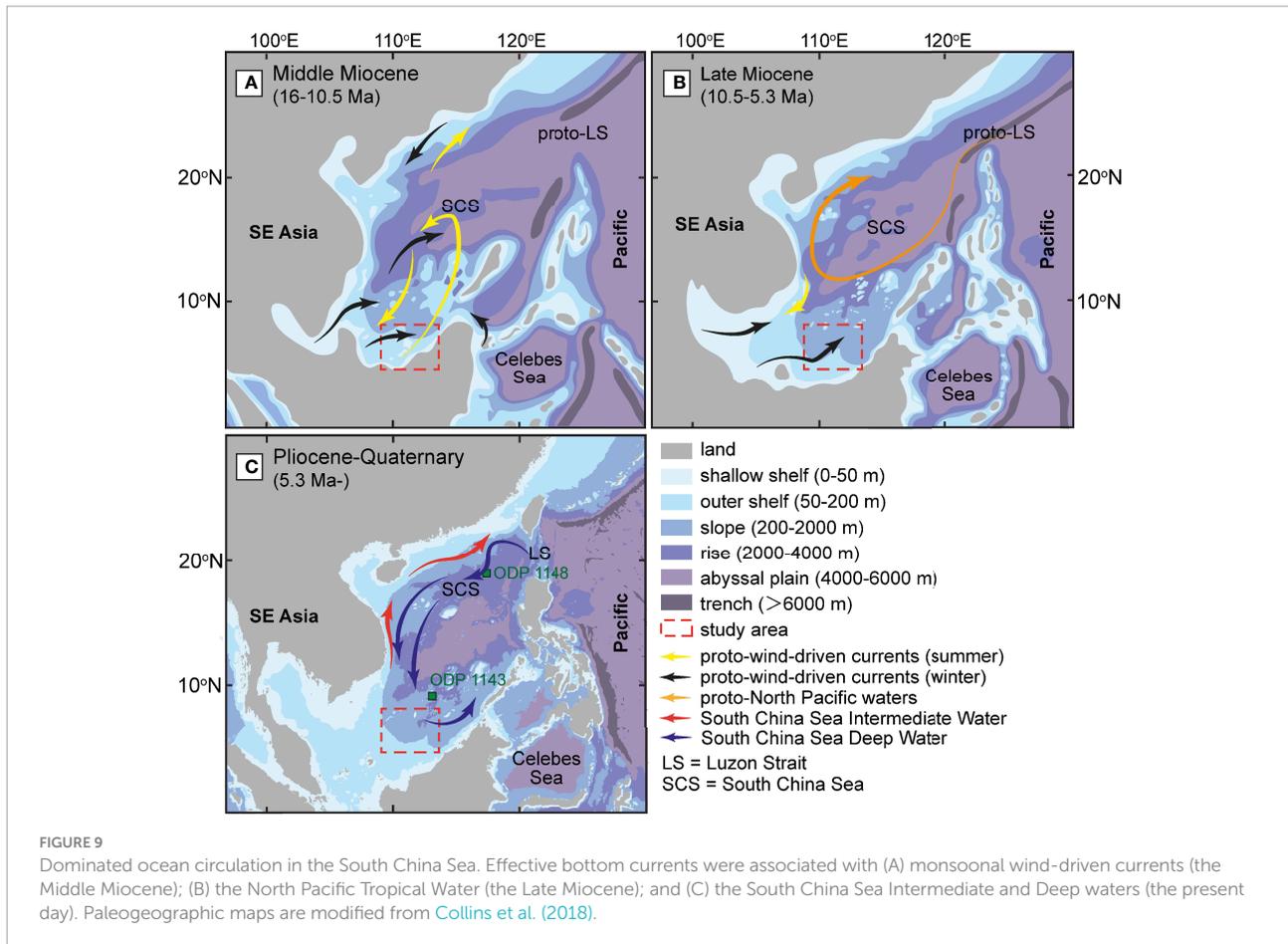
at the sea surface (Farnsworth et al., 2019; Holbourn et al., 2021; Ting et al., 2021). Siliciclastic materials were transported to the ocean, forming carbonate reefs and contourite drifts e.g., in the Maldives and on the proto-Sunda Shelf (Lüdmann et al., 2013; Betzler et al., 2016; Betzler et al., 2018). The W-E and NNE-SSW orientated moats in UIII in the study area indicate possible directions of wind-driven currents (Figure 10), which are comparable with the observation on the proto-Sunda Shelf (Ting et al., 2021). The reconstructed Middle Miocene wind-driven currents, resembled the modern pattern (Mathew et al., 2020; Ting et al., 2021), was namely flowed towards the north-northeast and the east during summer and winter monsoon in the study area (Figure 9A). Thus, wind-driven currents were responsible for the formation of the Middle Miocene contourite depositional system in UIII.

Notice that MD-3 and -6 only show at the lower part of UIII (Figures 5C and 6C). They were at a close distance and located at the Lizhun-Tinjar fault zone (Figure 7). The development of MD -3 and -6 was most likely hindered due to regional tectonic activities. Reactivation of the Lizhun-Tinjar

fault zone uplifted the paleo-seafloor and formed structural highs during the Middle Miocene (Liu et al., 2004). The resulted topographic barriers could profoundly change the pathway of oceanic currents (Gordon et al., 2003) and, in turn, control the development of contourite drifts.

### 5.2.2 Burial stage (10.5–5.3 Ma)

The burial stage (UII) of the contourite depositional system occurred between 10.5–5.3 Ma (Figure 8B). The contourite features became inactive and were buried by younger deposits after discontinuity  $T_2$  (Figures 5, 6). This prominent change in sedimentary stacking pattern was linked to variations in tectonics, paleoenvironment, and paleoceanography during the Late Miocene. Unlike the Middle Miocene tectonic uplift induced by the collision between the Nansha Islands (Dangerous Grounds) and the Borneo (Hutchison, 2004; Cullen, 2010; Ding et al., 2013), large-scale tectonics terminated at 10.5 Ma and rapid subsidence occurred in the southern South China Sea (Morley, 2016) (Figure 3A). The present-day continental slope



of the southwest South China Sea consequently transferred from shallow-marine to bathyal environments (Ding et al., 2013; Yan et al., 2020) (Figure 9B). Terrigenous clastic input greatly increased and most of the previously constructed carbonates reefs were drowned (Wu et al., 2016; Makhankova et al., 2021; Ting et al., 2021).

Wind-driven currents no longer influenced the deep seafloor in the study area, but stratified water masses persistently occupied the intermediate and deep layers of the southern South China Sea after 9.6 Ma (Li et al., 2005; Jian et al., 2006). Although the proto-North Pacific waters (NPW) influenced the South China Sea in an anticyclonic pattern during this time interval (Yin et al., 2021), the water mass flowed at the surface layer and could not create contourite features at the deeper site in the southwest South China Sea (Figure 9B).

### 5.2.3 Modern stage (<5.3 Ma)

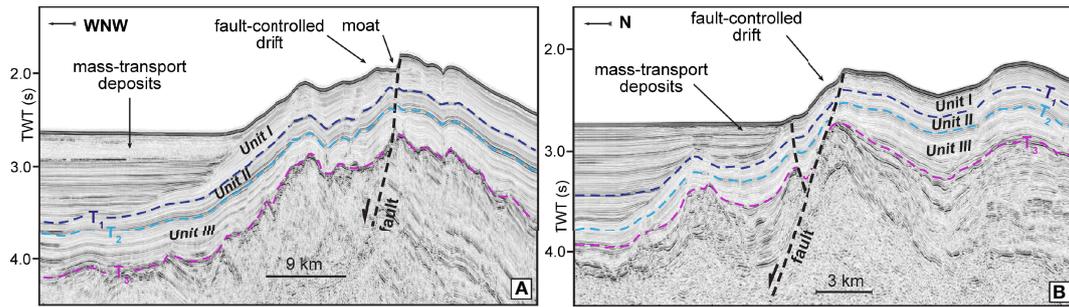
The modern stage (UI) started with the generation of the present-day contourite drifts (Figure 10) (Liu et al., 2021). The modern-like ocean circulation was initiated after the establishment of the modern morphology of the Luzon Strait (Figure 9C) (Tian et al., 2017). The DW enters the study area with

a significant intensification in the velocity and generates numbers of contourite drifts and moats on the present-day seafloor (Liu et al., 2021).

## 5.3 Paleooceanographic implications

The evolution of the contourite depositional systems in the southwest South China Sea is compared with the contourite examples on other South China Sea margins (Figure 11). Changes in the South China Sea paleooceanography significantly influenced the sedimentary records along the water-mass pathways (Figure 11). The dominated bottom currents on sedimentary processes shifted from the monsoonal wind-driven currents to the NPW at 10.5 Ma and then the modern-like circulation system at 6.5–5.3 Ma (Figure 9).

The middle Miocene South China Sea was widely influenced by the monsoonal wind-driven currents (Figure 9A). The enhanced East Asian monsoon promoted surface currents (Farnsworth et al., 2019; Holbourn et al., 2021; Ting et al., 2021), which strongly shaped the morphology of the proto-continental shelves in the northern (Tian et al., 2015), the northwest (Zhuo



**FIGURE 10** Seismic profiles and their interpretation, showing present-day fault-controlled contourite drifts (Liu et al., 2021) and mass-transport deposits (He et al., 2018) in the seismic unit I. The location of these seismic lines is displayed in Figure 1B. Discontinuities  $T_3$  (16 Ma; purple dotted line),  $T_2$  (10.5 Ma; light blue dotted line), and  $T_1$  (5.3 Ma; dark blue dotted line) are indicated.

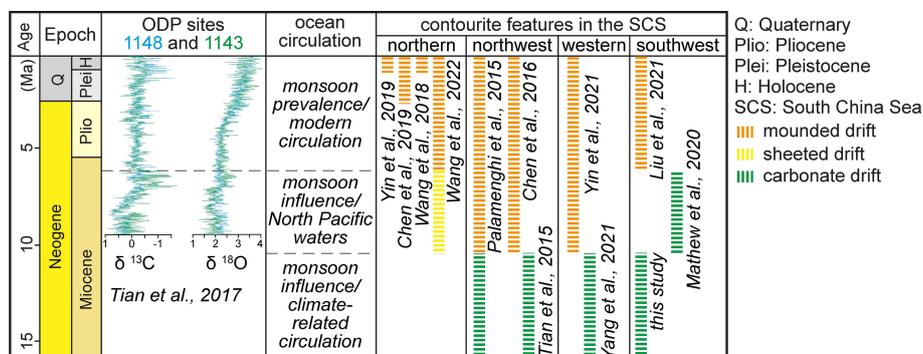
et al., 2014), and the southwest South China Sea. The related wind-driven currents created mounded contourite features in the Xisha region (Tian et al., 2015), the Zhongjian basin (Yang et al., 2021) and the study area (Figure 11). The development of these contourite features terminated at 10.5 Ma.

After 10.6 Ma, the latitudinal thermocline gradient firstly appeared in the South China Sea (Jian et al., 2006), coinciding with the intrusion of the proto-NPW (Yin et al., 2021). The monsoonal wind-driven currents only created contourite features on the proto-Sunda Shelf in the southwest South China Sea (Mathew et al., 2020), while the proto-NPW profoundly influenced the western (Yin et al., 2021) and northwest (Palamenghi et al., 2015), and northern margins (Wang et al., 2022) in the South China Sea during the Late Miocene (Figure 9B). The external shape of these contourite drifts varied from mounded (Palamenghi et al., 2015; Yin et al., 2021) to sheeted geometry (Wang et al., 2022) towards the distal site of the proto-NPW pathway. The proto-NPW would gradually lose the energy towards the northern South China Sea as the sheeted drifts are shaped by weak bottom currents (Faugères et al., 1999; Hernández-Molina et al., 2008; Rebesco et al., 2014).

Benthic foraminiferal  $\delta^{18}O$  and  $\delta^{13}C$  of ODP Sites 1143 and 1148 have indicated significant changes in the South China Sea paleoceanography at 6.5 Ma (Figure 11) (Li et al., 2006; Tian et al., 2017), coeval with the initial isolation of the South China Sea (Chen et al., 2015; Tian et al., 2017; Huang et al., 2018). The sandwich-like (inflow-outflow-inflow) water mass exchange was initiated through the Luzon Strait (Chen et al., 2015; Yin et al., 2021). The present-day anticyclonic IW (Tian et al., 2006) and cyclonic DW (Qu et al., 2006) were consequently formed in the South China Sea (Figure 9C), generating contourite depositional systems along IW and DW pathways from the latest Miocene onwards (Figure 11) (Palamenghi et al., 2015; Chen et al., 2016; Wang et al., 2018; Chen et al., 2019; Chen et al., 2021; Liu et al., 2021; Yin et al., 2021).

### 5.4 Economic implications

Shallow-water contourite drifts in UIII are served as a good gas reservoir in the study area. The fluid-escape pipe that is



**FIGURE 11** The formation time and distribution of contourite features in the South China Sea since the Middle Miocene. Dominated oceanic circulation and paleoceanography are indicated. The location of ODP sites 1148 and 1143 is shown in Figure 9C.

deeply rooted at the mounded drift 3 extended to the seafloor and formed a pockmark (Figure 5C). The core CL49 acquired at the pockmark shows intense methane leakage from the fluid-escape pipe (Table 1), indicating sufficient hydrocarbon fluid sources in the deep part of seismic units (Huang et al., 2022). Previous studies demonstrated that the deformed seismic unit below  $T_3$  (in Figure 5) was the Eocene to Late Miocene source rocks (Zhang et al., 2017). They contained mixed Type II/III kerogen and potentially supplied  $1.4855 \times 10^{12} \text{ m}^3$  natural gas in the study area (Zhang et al., 2017; Lei et al., 2019; Tang et al., 2021). Therefore, natural gas was likely migrated upwards from the deformed unit via previously documented faults (Figure 3C) (e.g., Lei et al., 2019; Luo et al., 2020) and then reserved in buried contourite drifts in UIII.

The true lithology of these shallow-water contourite drifts in UIII is unknown because of the limited dataset. However, they were most likely coarse-grained and poorly sorted. Shallow-water contourite drifts in UIII were generated in adjacent or associated with carbonate reefs in the study area (Figure 7). Such contourite deposits are directly sourced from the adjacent reefs and composed of coarse-grained carbonate sands (Lüdmann et al., 2013; Chabaud et al., 2016; Eberli and Betzler, 2019). Coarse-grained carbonate drifts are potential hydrocarbon reservoirs because of their high porosity, high permeability, and effective lateral and vertical transmissibility of fluids (Viana, 2008). Similar examples were observed in the eastern Gulf of Cádiz (León et al., 2014), the western Alborán Sea (Somoza et al., 2012; León et al., 2014), and the mid-Norwegian margin (Hustoft et al., 2010; Plaza-Faverola et al., 2010), where fluid flow escaped from the coarse-grained contourite layers and caused natural gas leakage. Similarities between these contourite examples indicate the great economic potential of the Middle Miocene carbonate contourite drifts in the southwest South China Sea.

TABLE 1 Hydrocarbon components and carbon isotope values of the methane in core CL49 (Huang et al., 2022).

Interval(mbsf)	Methane (ppm)	Propane (ppm)	$C_1/C_{2+}$ ratio
0.5–0.6	23.9	0.45	23.7
1.1–1.2	23.0	0.38	60.5
1.7–1.8	24.2	0.41	59.0
2.3–2.4	22.4	0.36	62.2
2.9–3.0	22.9	0.52	11.3
3.5–3.6	21.7	0.34	63.8
4.1–4.2	21.6	0.52	17.9
4.7–4.8	22.8	0.41	25.9
5.3–5.4	19.1	0.23	83.0
5.9–6.0	22.6	–	–
6.5–6.6	1298	–	–
7.1–7.2	1249	–	–

## 6 Conclusion

This study demonstrates the previously undocumented occurrence of carbonate contourite drifts in the southwest South China Sea. Analysis of seismic reflection data and previously established chronology allows the identification of the Middle Miocene contourite depositional system. The distribution, characteristics, and evolution of the contourite features have significant sedimentary, paleoceanographic, and economic implications:

- Three evolutionary phases are identified for the contourite drifts' construction: I) a carbonate drift stage (16–10.5 Ma) where six carbonate contourite drifts were built by the monsoonal wind-driven currents; II) a burial stage (10.5–5.3 Ma) where contourite features became inactive and were buried by younger deposits; and III) a modern stage from the 5.3 Ma till the present-day, characterized by the modern contourite features associated with the South China Sea Deep Water;
- The dominated bottom currents in the South China Sea shifted from the monsoonal wind-driven currents to the North Pacific waters at 10.5 Ma and then the modern circulation system at 6.5–5.3 Ma. The paleoceanographic changes significantly influenced the sedimentary records along the water-mass pathways in the South China Sea.
- Shallow-water carbonate contourite drifts are good gas reservoirs. They were generated in adjacent or associated with carbonate reefs during the Middle Miocene. The drifts were possibly composed of coarse-grained carbonate sands. Fluid flow escaped from the coarse-grained contourite layers and caused intense methane leakage on the seafloor.

## Data availability statement

The oceanographic data of this study are available in the World Ocean Database (2013) (<https://www.nodc.noaa.gov/OC5/WOD13>). Seismic profiles and bathymetric data acquired by the China Geological Survey are not publicly available due to privacy or ethical restrictions. Requests to access these datasets should be directed to ZLe, 44231234@qq.com.

## Author contributions

SL wrote the manuscript and interpreted all the datasets. MS, Zli, and BZ interpreted the bathymetry data and seismic profiles. ZLe was involved in the acquisition and processing of the seismic profiles. HS took part in the geomorphological interpretation. All authors contributed to the article and approved the submitted version.

## Funding

This study was supported by the China Postdoctoral Science Foundation (NO. 2020TQ0369 and NO. 2021M703668). This study was carried out within the framework of the Geological Investigation Programs (No. DD20160155) of the China Geological Survey. The study was also supported by Southern Marine Science and Engineering Guangdong Laboratory (Zhuhai) (No. SML2021SP307).

## Acknowledgments

The authors wish to thank all crews and scientific researchers participated in the R/V Tanbao cruise in 1996, 2009, and 2013 during the acquisition of bathymetric and seismic datasets. The authors also thanks Dr. Haiteng Zhuo and Dr. Qin Yongpeng

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for their valuable suggestions, which have greatly improved this manuscript.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

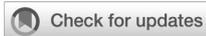
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## OPEN ACCESS

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 20 May 2022

ACCEPTED 04 July 2022

PUBLISHED 28 July 2022

## CITATION

Wu M-L, Wang Y-S, Wang Y-T,  
Sun F-L, Li X, Gu F-F and  
Xiang J-C (2022) Vertical patterns  
of chlorophyll *a* in the euphotic  
layer are related to mesoscale  
eddies in the South China Sea.  
*Front. Mar. Sci.* 9:948665.  
doi: 10.3389/fmars.2022.948665

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# Vertical patterns of chlorophyll *a* in the euphotic layer are related to mesoscale eddies in the South China Sea

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Phytoplankton closely connects with the hydrodynamics and biogeochemical environment of the ocean. While research focusing on both the physiochemical factors and hydrodynamics regulating phytoplankton has already been conducted, the coupling mechanism between mesoscale eddies and the vertical distribution of phytoplankton in the South China Sea (SCS) is still not well understood. Here, phytoplankton was studied under one weak-cold and two warm eddies along the 18°N transect in the SCS. The results show that the vertical distribution of chlorophyll (chl-*a*) presented a similar pattern at all four sampling stations. The mixed layer is less than 50 m. It is the same as the meridional salinity gradients that may be distinguished above a depth of 60 m. The subsurface chlorophyll maximum at the edge of a warm (WI) and cold eddy (CI) at E413 and E411 was shallower than that at the edge of a warm eddy (WII) at E407 and E409, indicating that temperature and salinity may be the important driving factors. On the whole, mesoscale eddies had a significant influence on the vertical pattern of chl-*a* in the SCS during the study period.

## KEYWORDS

South China Sea, phytoplankton, subsurface chlorophyll maximum, cold eddy, warm eddy

## Introduction

Phytoplankton is considered the most important marine primary producer at the bottom of the food web. They produce about half of the oxygen in the atmosphere, by photosynthesis, which is equal to the amount of oxygen produced by all land plants per year. During the period 1998–2018, global marine primary production ranged from 38.8 to 42.1 Gt C/year (Kulk et al., 2020). It is estimated that diatoms account for 45% of marine primary productivity (Mann, 1999). Phytoplankton has an important influence on marine biogeochemical cycles. Generally, phytoplankton is affected by the environment of the water column, including its physical dynamics, chemical elements, and biological activities. In coastal ecosystems, phytoplankton succession is governed largely by upwelling events, indicating the importance of mesoscale variability for the functioning of the ecosystem in the southern Benguela upwelling system (Burger et al., 2020). In the southeast Gulf of Mexico, upwelling can enhance phytoplankton growth and change its composition, resulting in phytoplankton blooms (Medina-Gomez et al., 2019).

In the open sea, short-term changes in phytoplankton communities are affected by hydrodynamics such as mesoscale eddies. Biochemical elements in cold eddies are brought to shallower waters, while in warm eddies, they are sent to deeper waters. For example, chlorophyll concentrations in cold eddies are higher than in warm eddies (depth > 70 m) in the western part of the North Pacific (Chang et al., 2017). The maximum chlorophyll *a* (chl-*a*) layer in an eddy center rises to form a dome structure, although the total chl-*a* biomass does not increase significantly (Wang et al., 2016). Cold and warm eddies have different influences on phytoplankton and nutrients. The cyclonic eddy characterized by enhanced nutrients and chlorophyll is mostly restricted to the subsurface waters (Jyothibabu et al., 2015). In the western South China Sea (SCS), total chl-*a* increases significantly in warm eddies but does not change much at cold eddies (Zhong and Huang, 2013; Xu et al., 2022). Nonetheless, in the southwestern Canada Basin, the warm core eddy with high ammonium shelf water can provide ammonium for the euphotic zone of the Basin and maintain a 30% higher biomass of picophytoplankton (<2 μm) than the surrounding waters (Nishino et al., 2011). In the SCS, both upwelling and cold eddies are characterized by high nutrient levels and chlorophyll levels, and low levels of dissolved oxygen, while warm eddies are just the opposite (Ning et al., 2004). High dissolved oxygen (DO) content is moved from the upper layer to the lower layer by warm eddies in the SCS (Liu et al., 2012). However, very few studies attempt to examine the influence of cold and warm eddies on the vertical distribution of phytoplankton maxima.

A cold core eddy is formed intermittently throughout the year due to the Kuroshio intrusion, which may have an

important impact on the biogeochemical cycle in the SCS (Chen et al., 2007; Sun et al., 2022). The cold eddy significantly increases the primary productivity at the 25-m layer, which is mainly affected by the nutrients in the western part of the SCS (Leng et al., 2016). The phytoplankton features influenced by the different eddies are significantly different (Huang et al., 2010). Lin et al. (2014) used satellite data to discover that in the SCS, phytoplankton size classes change from picoplankton to micro- and nanoplankton driven by cold eddies, but the contribution of picoplankton to chlorophyll remained almost unchanged. Mesoscale eddies did not significantly influence the dominance of the dominant groups in the western SCS (Zhong and Huang, 2013).

The SCS is a large, semienclosed marginal sea with several straits that are connected to the Pacific and Indian oceans, and is characterized by complex hydrodynamics and biogeochemistry. In the northern SCS, phytoplankton in the summer is mainly driven by upwelling and river plume processes (Xu et al., 2018). Similarly, phytoplankton relatively responds to the hydrodynamics of the SCS. In the present study, the vertical distribution of phytoplankton caused by the combined action between the warm and cold eddies is explained. In particular, we explored whether the physical environment, including a thermocline, is advantageous to the formation and maintenance of chl-*a* at its maximum in the euphotic layer.

## Materials and methods

### Sampling and sample analysis

The research cruise was conducted from 15 September to 04 October 2004. During the cruise, temperatures and salinities were obtained with a SeaBird model SBE9/11. Discrete water samples (surface, 25, 50, 75, 100, 150, and 200 m) were collected with GO-FLO bottles mounted on a rosette sampling assembly (Whatman Company: General Oceanic). Seawater was filtered through a GF/F filter (Whatman, 25 mm), and the filter paper was wrapped in aluminum foil and stored at –20°C pending analysis. Chl-*a* content was measured by fluorescence with a Turner Design 10 fluorometer. The sampling stations of this study, located at 18°N, were collected during the cruise from 23 to 25 September 2004 (Figure 1).

### Data from remote sensing

Sea-level anomaly data (SLA) obtained from the TOPEX/Poseidon and JASON altimeters (<http://www.avisioceanobs.com/>) were used to estimate surface circulations. The SLA data were used to estimate thermocline displacement. In an ideal two-layer system, the depth of the upper layer (*A*) is *D*,

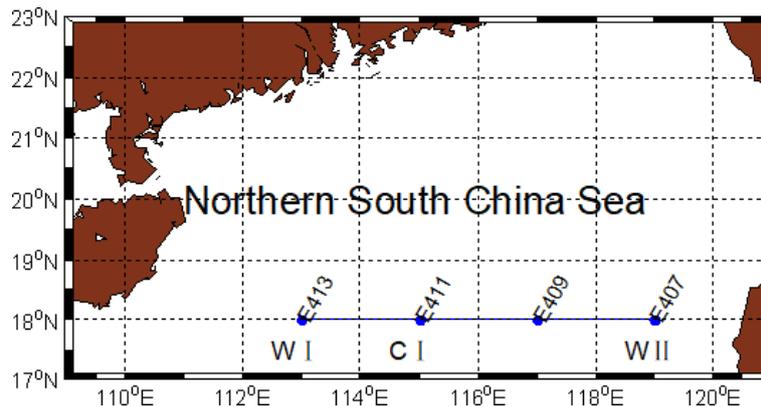


FIGURE 1  
Sampling stations in the northern South China Sea during the research cruise, 2004.

with density  $\rho_1$  and temperature  $T_1$ , while a motionless lower layer (B) has density  $\rho_2 = \rho_1 + \Delta\rho$  and temperature  $T_2$ .  $\Delta\rho$  and  $\Delta h$  are the density differences between A and B and the SLA, respectively (Rebert et al., 1985). The thermocline displacement ( $\Delta D$ ) was calculated with Eq. (1).

$$\Delta D = \frac{\Delta h \rho_1}{\Delta \rho} \quad (1)$$

## Mixed layer and depth of the thermocline top

Mixed layer depth (MLD) is the depth characterized by the density gradient, and this gradient was  $\sigma\theta = 0.1$  unit  $\text{m}^{-1}$  (Tseng et al., 2005). The top thermocline depth (TTD) was defined as the depth above which the temperature is greater than the surface temperature (the latter measured at 10 m depth) minus  $0.2^\circ\text{C}$ .

## Results

### Physical background

#### Temperature and salinity

Both the salinity and temperature profiles of the transect at  $18^\circ\text{N}$ , from the surface to 200 m depth, are shown in Figure 2. The surface salinity indicated that there was a high temperature ( $\sim 29.5^\circ\text{C}$ ) and low salinity ( $\sim 33.4$ ) at the transect stations (E405 and E407), which was in good agreement with the positive SLA field. Above 50 m, there was low salinity and a high-temperature tongue from about  $114.5^\circ\text{E}$  towards the east. The TTD along the transect from westward to eastward (from E413 to E407) deepened. The characteristic of a warm core eddy is that there

is a deep thermocline in the center and the depth of the thermocline around the eddy fringe is very shallow.

The isotherms show how the warm surface seawater (above  $28^\circ\text{C}$ ) moved eastward, generating a meridional temperature gradient. Meridional salinity gradients may be distinguished above a depth of 60 m (Figure 2). The maximum salinity ( $s = 34.6$ ) in tropical waters reached  $\sim 120$  m. It is the intermediate water circulation from the west to the east in SCS.

#### Mixed layer and euphotic layer depth

MLD is less than 50 m in the upper layer in E411 and E413 (Figure 3). That is to say, MLD is the same water layer in E411 and E413, and the water layer at less than 50 m depth has the same temperature and salinity. On the other hand, chemical and biological parameters may show a small difference.

#### Sea surface height anomaly

The SLA showed that there were two warm eddies and a weak cold eddy in the sampling transect before and during the study period. The sampling stations located at the warm eddy edge or inside it (E413 located in c; and E407 and E409 located in WII) have high values of SLA, while E411 located in the cold eddy (CI) has low values of SLA (Figure 4). The mesoscale eddy changed over time. Interestingly, the WI was distinct from 28 August to 22 September and then disappeared. In contrast, the cold eddy (CI) became gradually stronger before and during the sampling period.

#### Biological activities

The vertical distribution of chl-*a* at the sampling stations is shown in Figure 5. The subsurface chlorophyll maximum, with values between  $0.1$  and  $0.3 \text{ mg m}^{-3}$ , varied from 50 to 150 m (Figure 5). In addition, the chl-*a* maximum ( $0.3 \text{ mg m}^{-3}$ ) at E407 was 50% higher than in the water of the cold eddy at E413 ( $0.2 \text{ mg m}^{-3}$ ) above the euphotic zone (about 80 m).

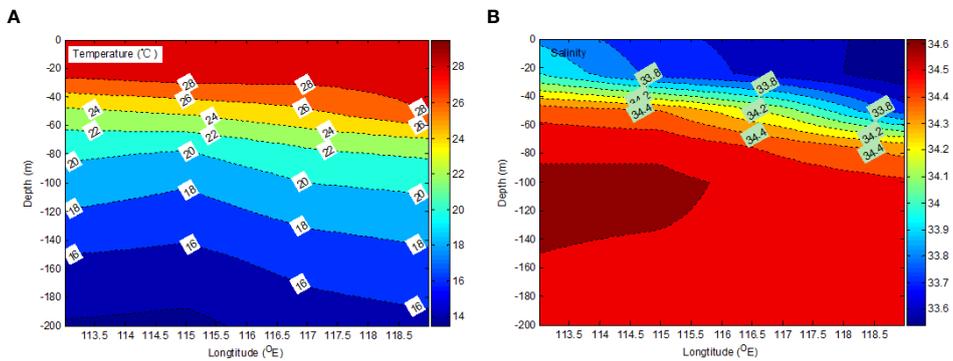


FIGURE 2 Vertical distributions of temperature (A) and salinity (B) at different depths.

### Discussion

Chl-*a* content ranged from 0.10 to 0.30 mg m<sup>-3</sup> in the SCS. The chlorophyll maximum was 0.30 mg m<sup>-3</sup> in the top 75 m of E407 and 0.20 mg m<sup>-3</sup> in the top 25 m of E413. Chl-*a* content varied from 0.01 to 1.95 mg m<sup>-3</sup> in the SCS (Ning et al., 2004). The subsurface chlorophyll maximum, with values between 0.10 and 0.60 mg m<sup>-3</sup>, ranged from 50 to 100 m in the SCS. Integrated phytoplankton biomass (chl-*a*) at four stations was similar in the euphotic layer. These observations are similar to those in a previous study (Ning et al., 2004). However, there are differences between the edges of cold and warm eddies. The chl-*a* maximum (~0.20 mg m<sup>-3</sup>) at the base of the surface mixed

layer (25 m) was found at the edge of the warm (WI) and cold eddy (CI) at E413, while chl-*a* maxima (~0.30 mg m<sup>-3</sup>) at the warm eddy edge were observed at E407 and E409, and deepened to 75 m depth in that area (Figure 5). The chl-*a* maximum (0.24 ± 0.60 mg m<sup>-3</sup>) at 75 m was found in the water of a warm eddy in the western SCS (Zhong and Huang, 2013). The averaged water column-integrated concentration of total chlorophyll inside the eddy was similar to that outside the eddy, and an enhancement of phytoplankton biomass and an increase in the range of the depth of the chl-*a* maximum (DCM) layer were detected within the eddy (Dai et al., 2020). The subsurface chlorophyll maxima layers contained approximately 50% of the chl-*a* standing stock in the water column, and the contribution increased to >70% for

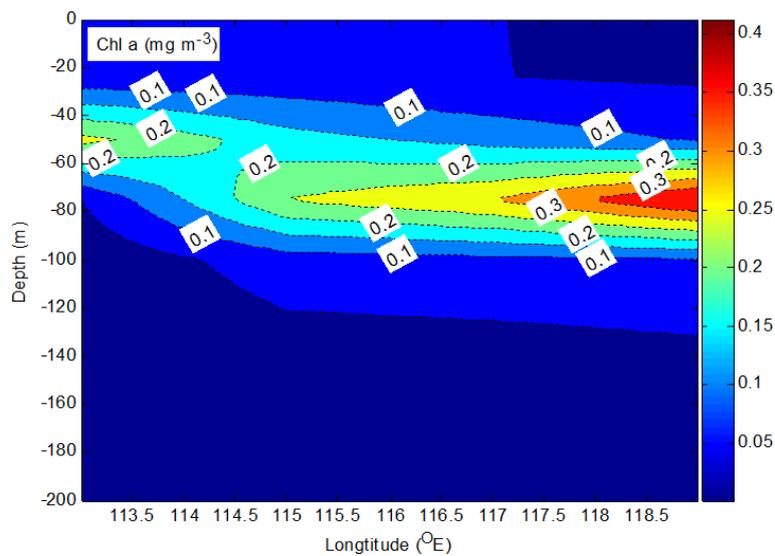
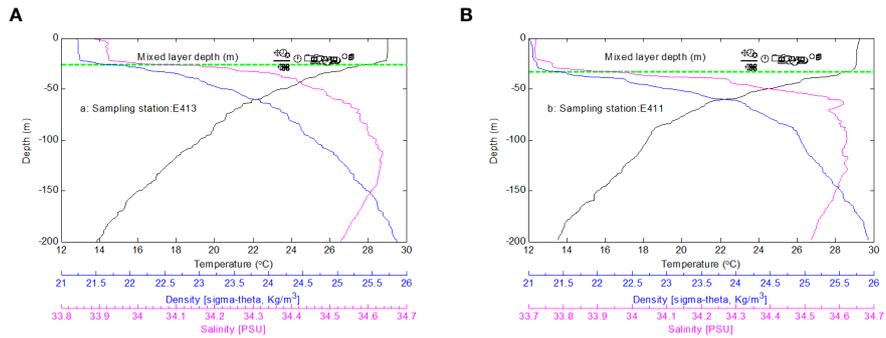


FIGURE 3 Mixed layer depth at the sampling stations.

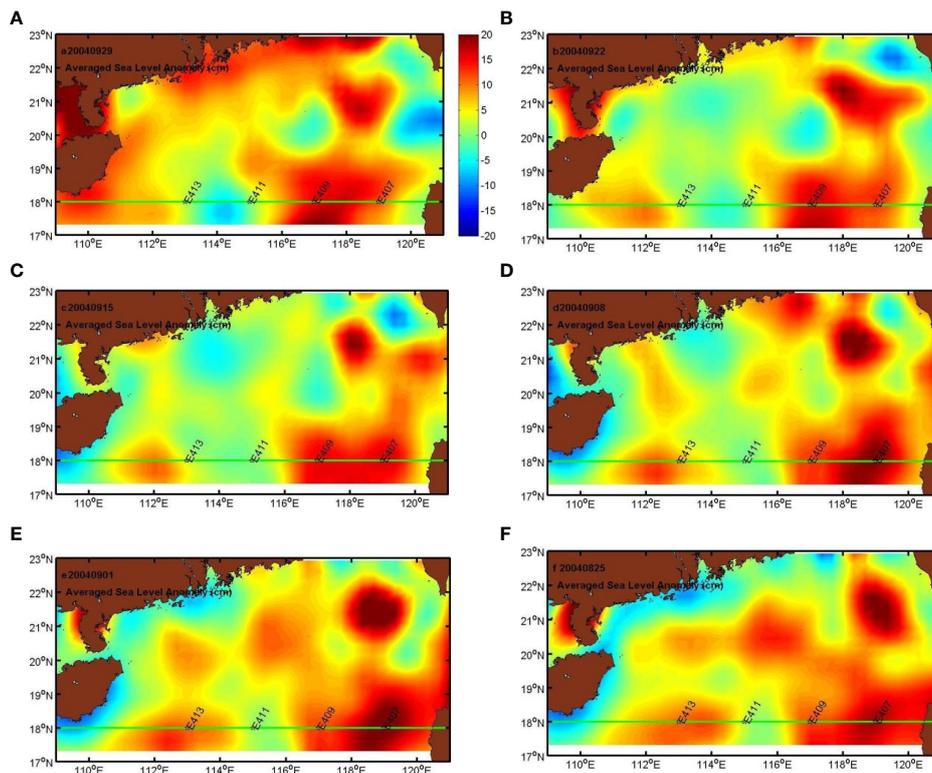


**FIGURE 4** The 8-day mean SLA from AVISO. **(A)** Before the sampling period, from 28/08/2004 to 29/09/2004; **(B)** during the sampling period, 23/09/2004 to 25/09/2004.

high-biomass areas, suggesting the biological importance of the summer subsurface chlorophyll maxima in the shelf ecosystem (Zhuang et al., 2020).

What made the difference? The explanation may be physical processes. From the SLA figures, the cold eddy began to form for several days at the stations (E411 and E413). The isotherm at the

depth range of 60 to 200 m at E411 was shallower than at E413 and other stations (Figure 5), by about 10 m, and a warm eddy may have played a role in determining this scenario. The research stations (E407 and E409) were located at the edge of the warm eddy shown in Figure 3. Thus, the alternating patches of cold and warm eddies along 18°N can be further verified. The



**FIGURE 5** 8-day mean SLA from AVISO **(A–F)** Before and after the sampling time: from 28/8/2004 to 29/9/2004; **(B)** the sampling time: 23–25/9/2004.

altimetry data were used to estimate thermocline displacement. Figure 3 indicates that the surface depression ( $\Delta h = -3$  cm) occurred before the period of sampling (23/09/2004). If necessary,  $\Delta\rho = 2.3 \text{ kg m}^{-3}$  was used in Eq. (1);  $\Delta D$  was 20.5 m. Therefore, the thermocline displacement is similar to the observed displacement at E407. Compared with E413, the displacement inferred that the subsurface chlorophyll maximum at E407 may deepen by about 20 m in the upper layer. According to the above chl-*a* profile, the downward movement caused by WII resulted in phytoplankton moving from the mixed layer to the deep layer (Figure 5).

This interesting phenomenon was found in the East Sea (Kim et al., 2012), the SCS, and the Indian Ocean where the subsurface chlorophyll maximum was below the euphotic zone (Thompson et al., 2007; Huang et al., 2010). Because there was a significant difference between the warm (WII) and cold (CI) eddies above the euphotic zone, phytoplankton might grow under different physical conditions. Nutrients (nitrate and phosphate) are depleted within the euphotic zone in the SCS (Wong et al., 2007). Under this scenario, nutrients may be the limiting factor for phytoplankton in the mixed layer. Warm eddies could determine the distribution of nutrients in the euphotic layer and regulate phytoplankton patterns in the coastal, shelf, and open ocean ecosystem (Kim et al., 2012).

The uplift or downward movement of environmental factors was accompanied by the cold or warm eddies, respectively. From the SLA figures, there was about a 1-month time lag from 22 August to 23 September. There were two warm eddies (E413, E407, and E409) and embryonic forms of a cold eddy (E411) at the sampling stations before the sampling period. As time went by, the E413 site was situated at the edge of the warm and cold eddies (WI and CI), while E411 was sited at the edge of the cold and warm eddies (CI and WII) during the sampling period. Interestingly, the observed chl-*a* maximum layer at E413 (50 m) was less than that at E407 and E409 (75 m), while it was also found at E411 (75 m), where the cold eddy (CI) gradually strengthened before and during the sampling period (Figure 5).

## Conclusion

In this study, we provide field evidence for the vertical distribution of chl-*a* being regulated by mesoscale eddies, including the cold and warm eddies in the euphotic layer of the SCS. Inside a warm eddy, the maximum depth of chl-*a* is deeper than it is on the edge of such an eddy—the point of interaction between the gradually vanishing warm eddy and slowly boosting cold eddy. The occurrence of mesoscale eddies, confirmed by the SLA satellite data and *in situ* measured temperature and salinity, induced the vertical pattern of chl-*a*.

Interpretation of these findings concludes that the mesoscale eddies have an important influence on the chemical parameters

and biological activities of the open sea. The water convergency induced by a warm eddy causes downwards transport while the water convergency induced by a cold eddy induces upwards transport; therefore, the presence of phytoplankton and nutrients in cold eddies is accomplished by the motion associated with eddy-induced divergence (convergence). It is the complicated interaction among different mesoscale eddies in the open sea that creates the resulting biogeochemistry.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author/s.

## Author contributions

All authors contributed to the article and approved the submitted version.

## Funding

The research was supported by the National Natural Science Foundation of China (No. 31971480), the Key Special Project for Introduced Talents Team of Southern Marine Science and Engineering Guangdong Laboratory (Guangzhou) (Nos. GML2019ZD0303 and GML2019ZD0404), the Strategic Priority Research Program of the Chinese Academy of Sciences (No. XDA19060204), Hainan Province Science and Technology Special Fund (ZDYF2021XDNY131), and members of the scientific research team of the RV Shiyan 3 for help at sea.

## Acknowledgments

The research was supported by the National Natural Science Foundation of China (No. 31971480), Key Special Project for Introduced Talents Team of Southern Marine Science and Engineering Guangdong Laboratory (Guangzhou) (Nos. GML2019ZD0303 and GML2019ZD0404), the Strategic Priority Research Program of the Chinese Academy of Sciences (No. XDA19060204), Hainan Province Science and Technology Special Fund (ZDYF2021XDNY131) and members of the scientific research team of the RV Shiyan 3 for help at sea.

## Conflict of interest

Author J-CX was employed by Guangdong Zhihuan Innovative Environmental Technology Co. Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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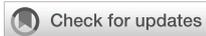
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## OPEN ACCESS

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 08 July 2022

ACCEPTED 25 July 2022

PUBLISHED 16 August 2022

## CITATION

Li X, Wang Y, Zhang Y, Xiang J, Yang Z,  
Gu F and Wu M (2022) Evaluating the  
physiological and biochemical  
responses of different mangrove  
species to upwelling.  
*Front. Mar. Sci.* 9:989055.  
doi: 10.3389/fmars.2022.989055

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# Evaluating the physiological and biochemical responses of different mangrove species to upwelling

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Mangroves are unique forest communities with an abundance of species, high productivity and high ecological, social and economic value. Evaluation of the stress resistance of mangrove plants has mainly focused on the effects of high salinity, heavy metals and flooding, with fewer studies evaluating resistance to upwelling stress. Mangrove species of *Avicennia marina*, *Aegiceras corniculatum* and *Kandelia obovata* were submitted to three temperature upwelling (5, 10 and 15°C) and several physiological and biochemical parameters were measured at six time points (0, 6, 12, 24, 72 and 168 h). The data demonstrated: a certain amount of damage occurred to mangrove plants in the face of prolonged upwelling; different mangrove plants have different response strategies to upwelling; mangrove plants are not sensitive to different upwelling temperatures; the resistance of mangrove plants to upwelling stress was in the following order: *A. marina* < *K. obovata* < *A. corniculatum*. Markers of damage such as relative electrical conductivity (REC), malondialdehyde (MDA) and reactive oxygen species (ROS) among all mangrove species were significantly higher with prolonged upwelling stress. The contents of photosynthetic pigments in all three mangrove species also increased. Superoxide dismutase activity (SOD) was maintained at a high level in both control and treatment groups. By contrast, the change of peroxidase activity (POD) of *A. marina* and *K. obovata* was larger than that of *A. corniculatum*. Catalase activity (CAT) in *A. marina* and *K. obovata* significantly increased under upwelling at both 5 and 10°C while there was no obvious variation of CAT in *A. corniculatum*. Soluble protein and Soluble sugar contents showed no clear variation but stayed at fairly high levels. However, proline content in *A. corniculatum* significantly increased under long-term upwelling stress while this was not the case in the other two species. High correlation could be observed between *A. marina* and MDA, O<sub>2</sub><sup>-</sup> and POD in PCA while *A.*

*corniculatum* showed association with proline and soluble sugar. In conclusion, the ability of *A. corniculatum* to tolerate upwelling stress might be due mainly to increases in the activities of SOD and the inducing of proline biosynthesis, while, *A. marina* and *K. obovata* tolerated upwelling stress by adjusting activity levels of SOD, POD and CAT. Segregation in both principal component analysis (PCA) and hierarchical cluster analysis (HCA) further indicated different tolerances and resistances to upwelling between the three species. Our study provides new insights into the stress response of mangroves to upwelling.

#### KEYWORDS

upwelling, mangrove, photosynthetic pigments, membrane permeability, reactive oxygen species, osmoregulatory system, antioxidant enzyme

## 1 Introduction

Mangroves are trees or large shrubs, including ferns and a single species of palm, which normally grow in or adjacent to the intertidal zone and which have developed special adaptations for this environment (Mark Spalding, 2010). Known as “the Earth’s Tropical Coast Guard”, mangroves play several ecological roles including providing habitats for wildlife, stabilizing sediment, protecting shorelines and sequestering carbon (Walters et al, 2008; Simpson et al, 2019). Distributed in regions of warm temperatures, mangroves are susceptible to temperature variation. Studies of mangrove resistance to low temperatures have been widely reported (Peereman et al, 2021; Lu et al, 2021). The development of mangroves in Qinzhou Bay over the past 3000 years showed that low air temperature causes mangrove degradation by impacting their diversity, productivity and area (Zhang et al, 2021). It was also reported that cold event resulted in decreases in seedling growth rates and net ecosystem CO<sub>2</sub> uptake in mangroves (Song et al., 2020). However, most studies of mangrove stressors have focused on low air temperatures induced by extreme climate events; studies on low water temperatures induced by ocean currents are rare. As a coastal ecosystem, mangroves are substantially affected by changing ocean currents such as upwelling activity (Ximenes et al, 2021). Upwelling is an oceanic process which transports cold and saline water to the surface (Wang et al, 2015). Exposure to water at low temperatures can harm the formation of mangrove propagules (Rachel Collin, 2016). Through harming the development of mangrove propagules, upwelling affected the distribution of mangrove plants (Ximenes et al., 2018). Low-temperature seawater caused by upwelling poses a threat to mangrove plants. In our previous study, *Kandelia obovata* (a mangrove plant) showed oxidative damage under upwelling

stress with higher contents of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and malondialdehyde (MDA) (Li et al, 2022). Previous studies have also reported that low temperatures could impair water and nutrient intake, stimulate reactive oxygen species (ROS) production and disturb normal photosynthesis and metabolism (Ensminger et al, 2006; Viswanathan et al, 2007; Wang et al, 2019).

Plants mediate the adverse effects of temperature downshifts through stress tolerance defense mechanisms. The primary mechanisms involved in low temperature stress are related to the activation of ROS scavenger systems, alterations in protein and sugar synthesis, proline accumulations and photosynthesis changes (Theochairs et al, 2012). Under chilling, olives exhibited increased catalase (CAT), superoxide dismutase (SOD) and peroxidase (POD) activity to protect and stabilize cell membranes and structures, adapting to the environment (Petruccelli et al., 2022). The accumulation of osmoregulatory substances (e.g., soluble sugar, soluble protein, and proline) significantly increased cytosol concentration and prevented excessive protoplasmic dehydration, which enhanced cold tolerance (Jiang et al., 2022). Moreover, increasing chlorophyll contents to produce more carbon-based defensive chemicals could alleviate low temperature stress (Agathokleous et al, 2020).

*Avicennia marina*, *Aegiceras corniculatum* and *Kandelia obovata* are dominant mangrove species in South China, and it has been reported that these three species show different resistances to low temperature (Lin, 1997; Geng et al, 2021). The leaves of *Avicennia marina* suffered more serious damages than *Aegiceras corniculatum* and *Kandelia obovata* under 2008 chilling event in southern China (Chen et al, 2017). Besides, the distribution of mangroves on tidal zone reflects their adaptation to environmental changes (Ariel E. Lugo, 1974). Thus, low-tide zone mangroves (*A. marina* and *A. corniculatum*) and middle-

tide zone mangrove (*K. obovata*) were chosen. In the present study, we investigated the physiological and biochemical characteristics of these three mangrove species to upwelling stress. This research focused on investigating the response mechanism of different mangrove species to upwelling, aiding understanding about the stress resistance of mangroves under upwelling, and providing a theoretical basis for mangrove protection. In detail, the objectives of the study were to:

- (1) investigate indexes of injury including the membrane damage indicator (relative electrical conductivity and malonaldehyde content) and ROS production (superoxide anion radical and hydrogen peroxide) of three mangrove species under upwelling stresses of 5, 10 and 15°C at time points of 0, 6, 12, 24, 72 and 168 h of exposure.
- (2) investigate indexes of protection including photosynthetic pigments (chlorophyll a, chlorophyll b, and carotenoid), antioxidant enzyme activities (superoxide dismutase, peroxidase, and catalase) and osmotic regulation substances (proline, soluble sugar and soluble protein) of three mangrove species during upwelling at water temperatures of 5, 10 and 15°C at time points of 0, 6, 12, 24, 72 and 168 h.
- (3) employ principal component analysis (PCA) and hierarchical cluster analysis (HCA) to compare different responses to upwelling stress among different mangrove species.

## 2 Materials and methods

### 2.1 Plant materials and treatments

Healthy propagules of *A. marina*, *A. corniculatum* and *K. obovata* were collected from the nursery of Qi'ao Island Mangrove Nature Reserve in Guangdong. Seedlings were planted in clean sand and fertilized with 1/2 Hoagland's solution. Three months later, the seedlings were subjected to upwelling stress. The upwelling stress conditions were as follow: water temperature (5, 10 and 15°C), measured at multiple time points (0, 6, 12, 24, 72 and 168 h), in each case at the same air temperature (25°C) and water salinity (20 psu), 20,000 light densities regularly, and 70% humidity. Among that, 0h is the blank control of the experiment. The experimental conditions were set based on the actual situation in upwelling zone and appropriate modifications were made in order to obtain significant changes in indoor simulation (Jianyu Hu, 2016; Burchard et al, 2017). Fresh leaves were collected for determination of physiological and biochemical indexes and each index had three repetitions.

### 2.2 Determination method

#### 2.2.1 Determination of pigments

Chlorophyll a (Chl a), chlorophyll b (Chl b) and carotenoid (Caro) contents were detected by spectrophotometry (UV-1780, SHIMADZU, Japan). Leaf samples (0.2 g) were cut into 2-mm pieces and transferred to tubes with 20 ml solution of 95% ethanol for 24 h until the tissue faded. The content of photosynthetic pigments was determined at wavelengths of 665, 649 and 470 nm (Li et al, 2021).

$$\text{Chl } a = 13.95 \times A_{665} - 6.88 \times A_{649}$$

$$\text{Chl } b = 24.96 \times A_{649} - 2.79 \times A_{665}$$

$$\text{Caro} = \frac{1000 \times A_{470} - 2.05 \times \text{Chl } a - 114.8 \times \text{Chl } b}{245}$$

#### 2.2.2 Relative electrical conductivity

REC was measured using an electrical conductivity meter (DDB-303A, INESA, China), following (Zhang L. X. et al, 2021) with a slight modification. Leaf samples were washed with distilled water and then placed in tubes with 10 ml of distilled water. After 3 hours kept at room temperature, the initial electrical conductivity (R1) was measured. Then the samples were boiled for 20 min at 100°C. After cooling, the electrical conductivity was measured again (R2). REC was calculated as the percentage ratio of R1/R2.

#### 2.2.3 Determination of superoxide anion radical

The content of O<sub>2</sub><sup>-</sup> was measured using the hydroxylamine oxidation method with an assay kit (for plants) purchased from YaJi Biological Institute (Shanghai, China). The content was calculated from the reaction of O<sub>2</sub><sup>-</sup> with hydroxylamine solution under acidic conditions (Yang et al, 2020).

#### 2.2.4 Determination of soluble protein, soluble sugar and proline

The SP content of the mangrove leaves was determined in accordance with the spectrophotometry method of (M.Bradford, 1976) using bovine serum albumin as the calibration standard. SS content was measured using anthrone colorimetry (Feng et al, 2019). The concentration of proline was determined according to ninhydrin colorimetry (Khoma et al, 2021).

#### 2.2.5 Extraction and assay of lipid peroxidation, hydrogen peroxide and antioxidant enzymes

Fresh leaf samples (roughly 1.0 g) were ground into powder in liquid nitrogen and then homogenized with 0.1 mol/L Tris hydrochloride buffer (PH 7.8). Subsequently, the homogenate was subjected to centrifugation at 3500 rpm at 4°C for 20 min,

and then the supernatant was cryopreserved for determination. The level of lipid peroxidation in mangrove leaves was expressed as malondialdehyde (MDA) content, according to the thiobarbituric acid (TBA) method (Md. Jahirul Islam, 2020).

The hydrogen peroxide ( $H_2O_2$ ) content was determined using the absorption of titanium peroxide complex at 405nm. (Wang et al, 2020). Superoxide dismutase (SOD) activity was measured at 560 nm with the nitro blue tetrazolium (NBT) photoreduction method (Wu et al, 2020). Peroxidase (POD) activity was estimated based on the absorbance of guaiacol oxidation at 470 nm while catalase (CAT) activity was measured as the decrease rate in the absorbance of  $H_2O_2$  (Li et al, 2013).

## 2.3 Statistical analyses

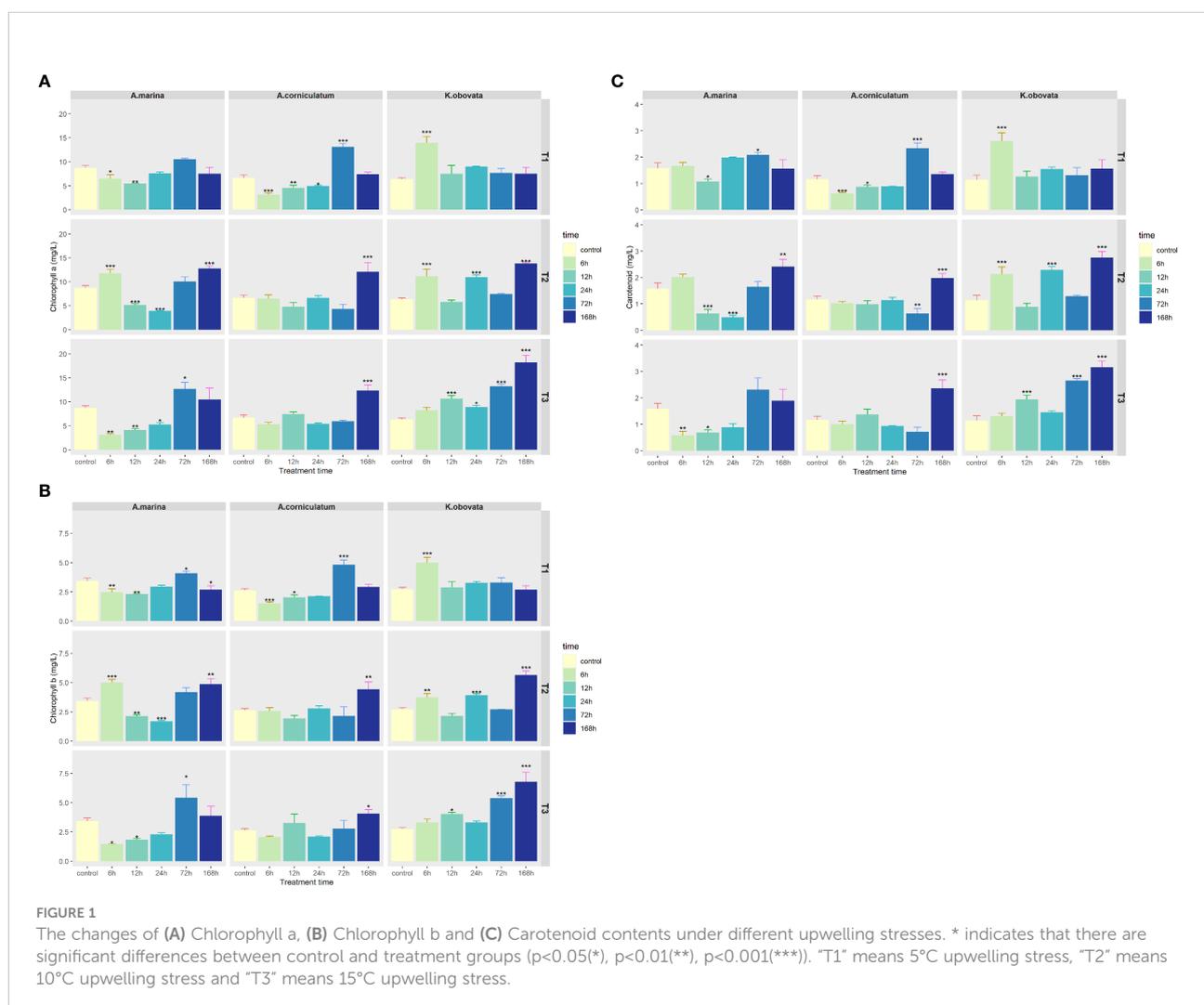
One-way analysis of variance (ANOVA) and *post hoc* multiple mean comparisons (Tukey's test) were carried out to

determine the significance of differences in each parameter, using SPSS (version 23). Data were presented as mean  $\pm$  standard deviation (SD).  $P < 0.05$  was interpreted as indicating a significant difference between the control and treatment groups. The responses of three mangrove plants to upwelling stress were profiled through hierarchical cluster analysis (HCA) and principal component analysis (PCA). PCA was conducted in Origin (version 2021) and HCA was performed in R (3.4.4).

## 3. Results

### 3.1 Effect of upwelling stress on the content of chlorophylls and carotenoids

As demonstrated in Figure 1, pigment increases were observed in all three mangrove species under upwelling stress. The contents of chl *a*, chl *b* and *caro* in leaves of *A. marina* and *A. corniculatum* slightly decreased at first and then increased.



The contents of pigments in *A. marina* changed significantly under short term upwelling stress (6–24 h) ( $p < 0.05$ ). For *A. corniculatum*, chlorophyll and carotenoid contents changed significantly in a short period of time under 5°C upwelling while under 10 and 15°C they changed significantly in the later period (after 72h) ( $p < 0.05$ ). At 5°C, the pigment contents of *K. obovata* significantly increased during 6 h of exposure ( $p < 0.05$ ) while there was no significant difference in pigment contents during later time periods. By contrast, under 10 and 15°C upwellings, in *K. obovata* the pigment contents fluctuated.

### 3.2 Effect of upwelling stress on membrane systems

As shown in Figure 2A, RECs of *A. marina* first decreased and then increased over treatment time. RECs of *A. corniculatum* and *K. obovata* increased with increasing treatment time.

As shown in Figure 2B, the MDA contents in mangrove species were in the following decreasing order: *A. marina* (21.43–86.75 nmol/g), *K. obovata* (3.40–18.18 nmol/g), *A. corniculatum* (1.33–7.54 nmol/g). The MDA content of *A. marina* significantly increased under upwelling ( $p < 0.05$ ). Moreover, the MDA of *A. marina* in upwellings at 5 and 10°C reached a peak at 6–24 h of treatment, while at 15°C, the peak showed at 168 h. Accumulation of MDA in *A. corniculatum* occurred with a prolonged period of stress, while MDA decreased over time in *K. obovata*.

### 3.3 Effect of upwelling stress on the production of ROS

Changes of ROS in three mangrove plants under upwelling treatments are shown in Figure 3. The amount of  $O_2^-$  in each plant was in the following descending order: *A. marina* (2439.04–4810.27  $\mu\text{m/g}$ ), *K. obovata* (74.78–784.06  $\mu\text{m/g}$ ), *A. corniculatum* (38.79–674.09  $\mu\text{m/g}$ ) (Figure 3A). Compared to the control,  $O_2^-$  content significantly increased under upwelling in *A. marina* ( $p < 0.05$ ). The content of  $O_2^-$  in *K. obovata* decreased at first and then increased. The  $O_2^-$  content of *A. corniculatum* only significantly increased at 6 h under 5°C upwelling stress ( $p < 0.05$ ), while under 10 and 15°C there was no apparent change.

Responses of  $H_2O_2$  content to upwelling are shown in Figure 3B.  $H_2O_2$  contents in *A. marina* under 5°C upwelling significantly increased, while under 10 and 15°C, they were significantly lower than the control ( $p < 0.05$ ).  $H_2O_2$  content of *A. corniculatum* significantly decreased in the later period of the 5°C-upwelling treatment and significantly increased in the later period of the 10°C and 15°C upwelling periods ( $p < 0.05$ ). The

$H_2O_2$  content of *K. obovata* first reduced and then generally rose under the 5 and 10°C upwellings. Under the 15°C upwelling, the  $H_2O_2$  content of *K. obovata* ultimately decreased, and was lower than that observed in the control.

### 3.4 Effect of upwelling stress on the activity of antioxidant enzymes

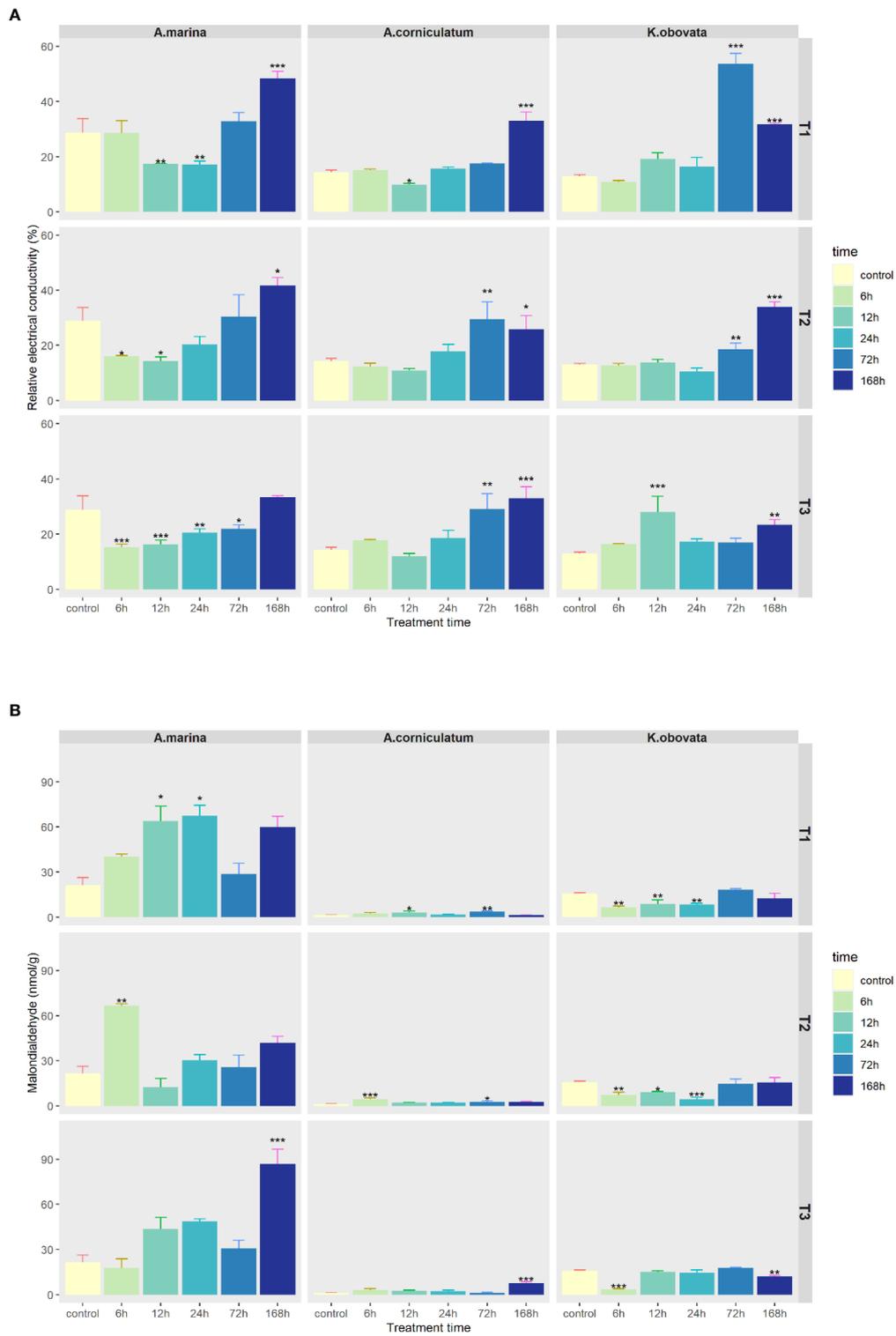
The activities of some antioxidant enzymes (CAT, POD, SOD) during upwelling were investigated and are shown in Figure 4. The SOD activity of three mangrove species is basically the same (Figure 4A). There is no significant change in SOD under 5°C upwelling for *A. marina*. Under 10 and 15°C upwelling, the SOD of *A. marina* first decreased, and then significantly increased after 72 h ( $p < 0.05$ ). Exposed to upwelling, the activity of SOD in *A. corniculatum* and *K. obovata* declined slightly. Changes of POD were most obvious in *A. marina*, followed by *K. obovata* and *A. corniculatum* (Figure 4B). The POD of *A. marina* significantly increased with duration of upwelling ( $p < 0.05$ ). The POD of *A. corniculatum* significantly rose for 6 and 72 h under a 5°C upwelling while showing a declining trend under 10 and 15°C upwellings ( $p < 0.05$ ). The POD of *K. obovata* first decreased and then significantly increased for 168 h under a 5°C upwelling ( $p < 0.05$ ). Under 10 and 15°C treatments, changes of POD showed a trend of increasing first and then decreasing.

Under 5 and 10°C upwellings, the activities of CAT in the three mangrove plants changed more than at 15°C (Figure 4C). The CAT of *A. marina* and *A. corniculatum* reached its peak mainly at 24 and 72 h while *K. obovata* showed a different trend. Under 5°C, the maximum CAT of *K. obovata* appeared at 6 h and then declined. In *K. obovata*, at 10°C CAT reached a peak at 12 and 168 h.

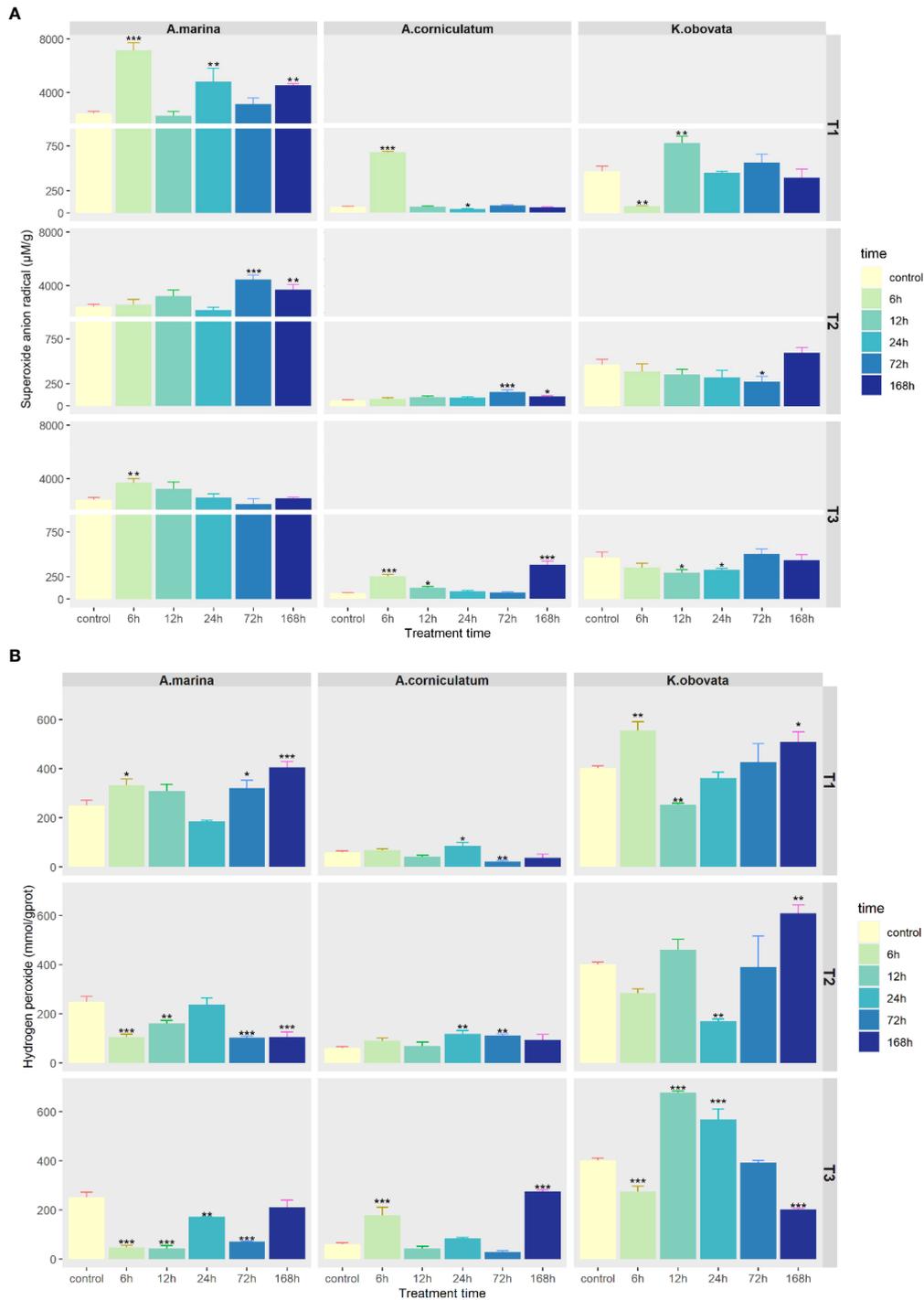
### 3.5 Effect of upwelling stress on the content of osmotic adjusting substances

The variation in levels of proline contents in *A. marina* and *K. obovata* was different to that of *A. corniculatum* (Figure 5A). Changes of proline content in *A. marina* and *K. obovata* were comparatively small, ranging from 16.84 to 121.71  $\mu\text{g/g}$  and 21.53 to 174.00  $\mu\text{g/g}$ , respectively. Interestingly, 72 and 168 h upwelling stress induced a tremendous increase in the proline content of *A. corniculatum* ( $p < 0.05$ ).

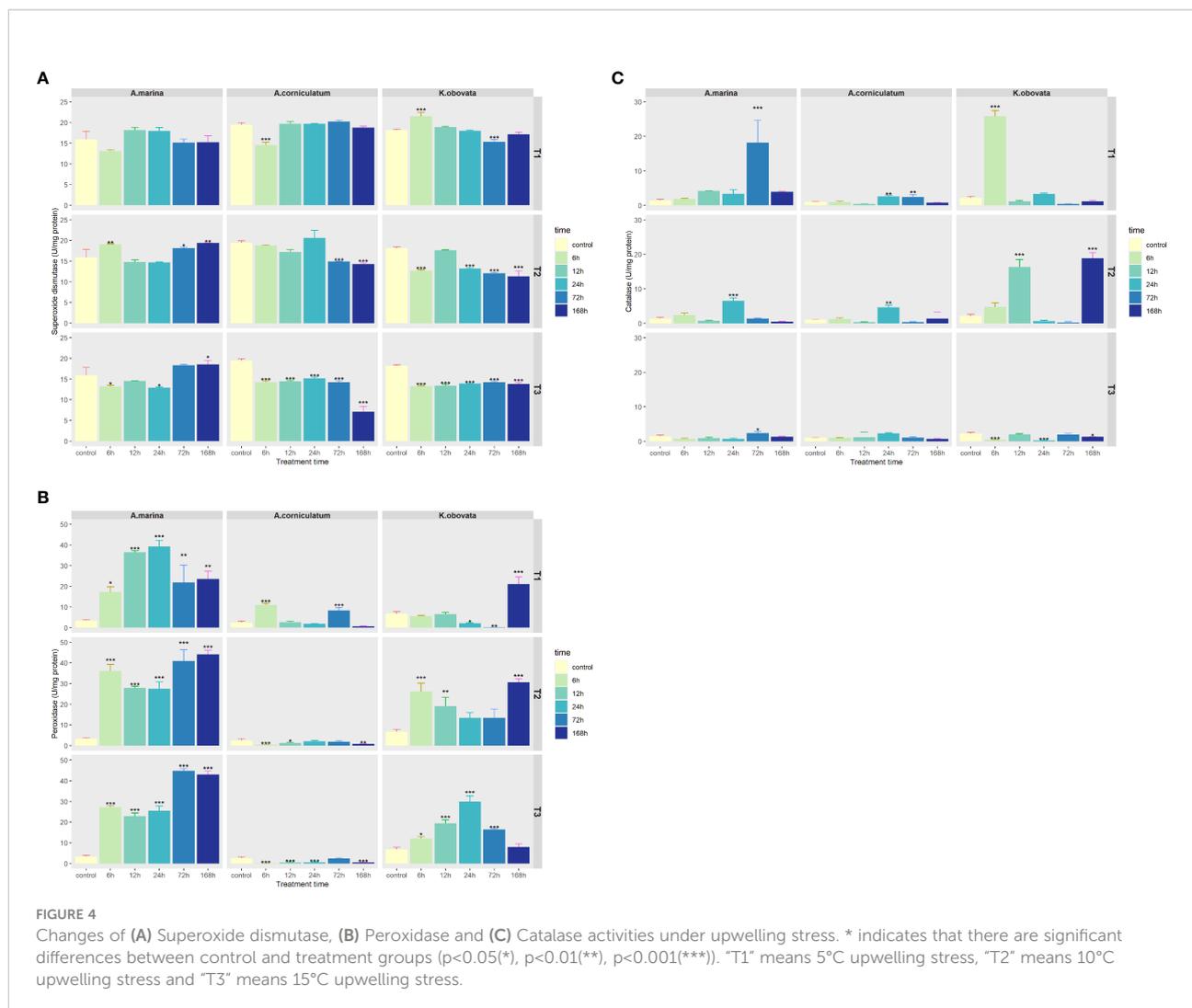
SS contents in *A. marina* showed an increase over treatment time (Figure 5B). The SS contents in *A. corniculatum* first increased and then decreased under stress. There were no significant differences in *K. obovata* in a 5°C upwelling. The minimum SS of *K. obovata* occurred after 24 h at 10°C, and after 72 h at 15°C. Under upwelling stress, SP contents in three



**FIGURE 2**  
 Changes of (A) Relative electrical conductivity and (B) Malondialdehyde content under upwelling stress. \* indicates that there are significant differences between control and treatment groups ( $p < 0.05$  (\*),  $p < 0.01$  (\*\*),  $p < 0.001$  (\*\*\*)). "T1" means 5°C upwelling stress, "T2" means 10°C upwelling stress and "T3" means 15°C upwelling stress.



**FIGURE 3** Changes of (A) Superoxide anion radical and (B) Hydrogen peroxide contents under upwelling stress. \* indicates that there are significant differences between control and treatment groups [ $p < 0.05$  (\*),  $p < 0.01$  (\*\*),  $p < 0.001$  (\*\*\*)]. "T1" means 5°C upwelling stress, "T2" means 10°C upwelling stress and "T3" means 15°C upwelling stress.



mangrove plants were essentially consistent (Figure 5C). At 5°C, the SP contents of the three mangrove plants stabilized at about the same level except after 6 h of stress. At 10 and 15°C, the SP contents of the three mangrove plants significantly increased for 12–72 h ( $p < 0.05$ ).

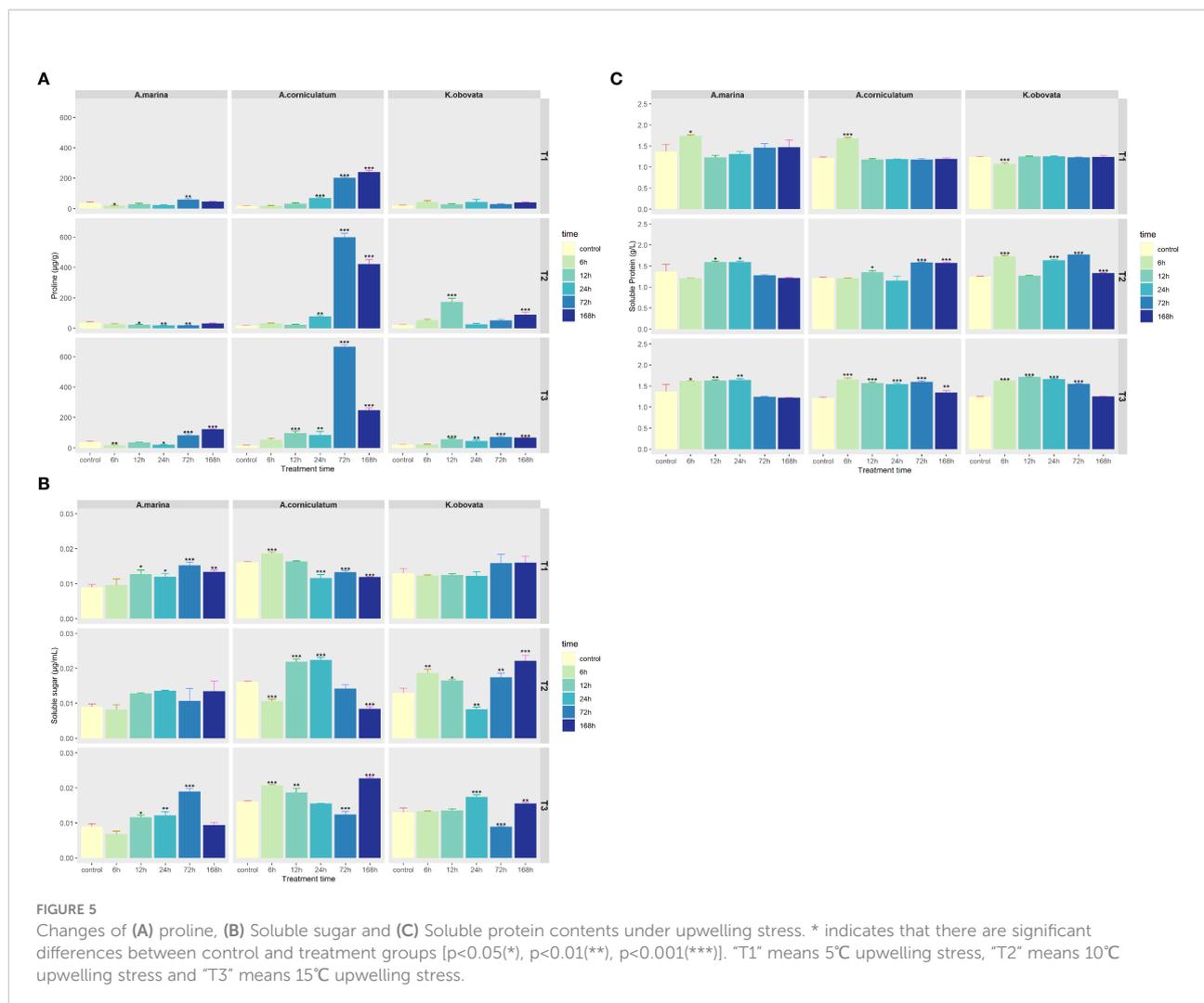
### 3.6 Principal component analysis

The biplots of PC1 and PC2 based on the analysis of physiological and biochemical traits in three mangrove species under different temperature upwellings were shown in Figures 6 and 7. The first two principal components explained 49.4% of the total variance. The percentage variance and eigenvalues for the first component (PC1) and the second principal component (PC2) were presented in Table 1. PC1 explained 28.6% of the variation. Pigments (chl *a*, chl *b* and *caro*),  $H_2O_2$ , REC, MDA and CAT showed a high positive association in PC1 while SOD

showed a negative association (loading coefficient  $> 0.2214$ , Table 1). PC2 explained 20.8% of the variation. While there was a high positive correlation between MDA,  $O_2^-$  and POD parameters with PC2, proline and SS were negatively correlated with PC2 (loading coefficient  $> 0.2022$ , Table 1). In addition, a correlation was observed in *A. marina* between  $O_2^-$ , MDA and POD. Proline and SS were associated with *A. corniculatum*. Furthermore, a clear distinction between mangrove species under upwelling stress was observed. However, different upwelling temperatures did create an obvious separation in the biplot (Figure 7).

### 3.7 Hierarchical clustering analysis

Physiological and biochemical parameters were subjected to hierarchical cluster analysis using a Euclidean distance matrix via Ward's method of agglomeration (Figure 8). Based on the



dendrogram result, three major clusters were observed which were consistent with PCA. Each cluster was basically composed of one mangrove species. Accordingly, leaf samples of *A. marina* under different upwelling treatments were placed in cluster 1, samples of *A. corniculatum* were placed in cluster 2, and samples of *K. obovata*, and two of *A. corniculatum*, were placed in cluster 3.

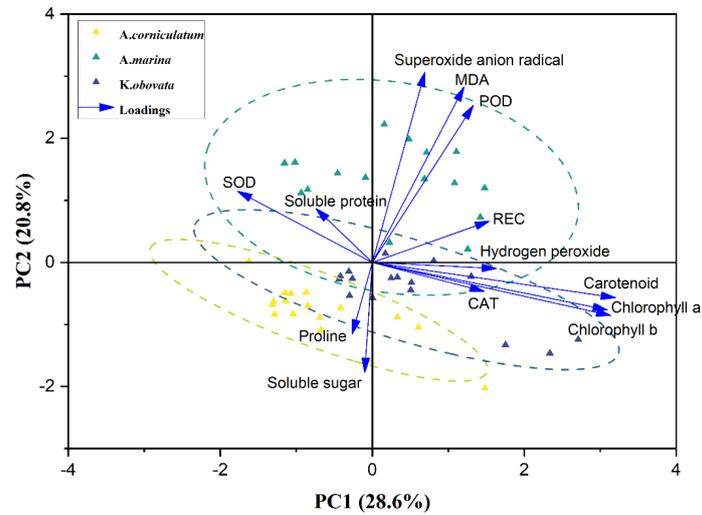
## 4. Discussion

As a result of global climate change, upwelling activity becomes more frequent. Air-water temperature differences caused by upwellings may affect the development, growth and distribution of mangroves. Thus, *A. marina*, *A. corniculatum* and *K. obovata* were selected for a comprehensive investigation into the regulatory mechanisms underlying upwelling stress.

### 4.1 Damages of mangrove plants under upwelling stress

Low temperature dysregulates active oxygen metabolism in plants, which leads to oxidative stress, cell membrane lipid peroxidation, protein denaturation and nucleotide damage (Jingjing Yu, 2020). Accumulations of  $O_2^-$  and  $H_2O_2$  in three mangrove species were observed under 5°C upwelling stress (Figure 3). The  $O_2^-$  content of *A. marina* was much higher than that of *A. corniculatum* and *K. obovata*, indicating that *A. marina* was more sensitive to upwelling stress. Moreover, in *A. marina* the lower the temperature, the higher the ROS contents. Similar results were reported in silage corn under chilling stress (Jiaxu Wu, 2022). However, there was no such trend in *A. corniculatum* and *K. obovata*, indicating that they were less sensitive to upwelling temperature.

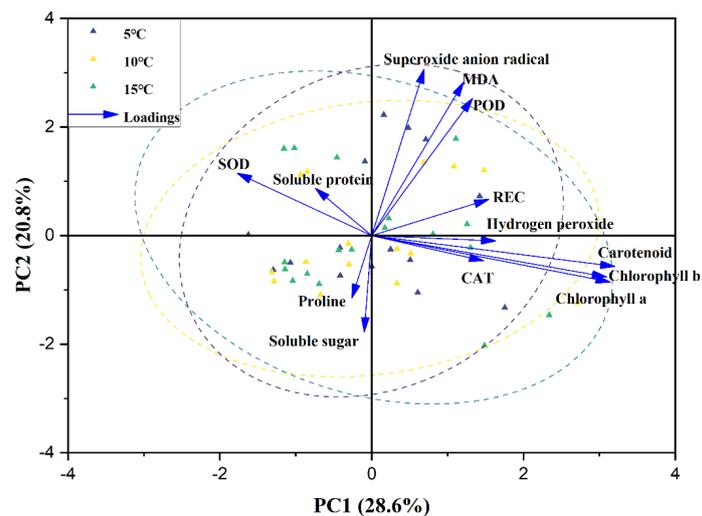
REC and MDA are usually selected as indicators of increased membrane leakage and decreased membrane fluidity. Membrane



**FIGURE 6**  
 Biplot for the first two principal components was analyzed using the principal component analysis (PCA) for 13 traits among 3 mangrove species possessing different treatment. Yellow, green and gray triangles are representing three different mangrove plants. Arrows represented traits while its length is based on the contribution of each trait to separate the accessions. Relative electrical conductivity (REC), malondialdehyde (MDA), superoxide dismutase (SOD), peroxidase (POD) and catalase (CAT).

systems are particularly sensitive to low temperature and ROS-induced oxidation of membrane lipid is a reflection of stress-induced damage at the cellular level (Zheng et al, 2021). In the present study, REC increased in the three mangrove species under upwelling stress (Figure 2A), indicating that upwelling stress

induced membrane injury. MDA content in *A. marina* significantly increased while it remained stable in *A. corniculatum* and *K. obovata* (Figure 2B). Moreover, the MDA of *A. marina* was much higher than that of *A. corniculatum* and *K. obovata*, which could be explained by the accumulation of O<sub>2</sub>-.

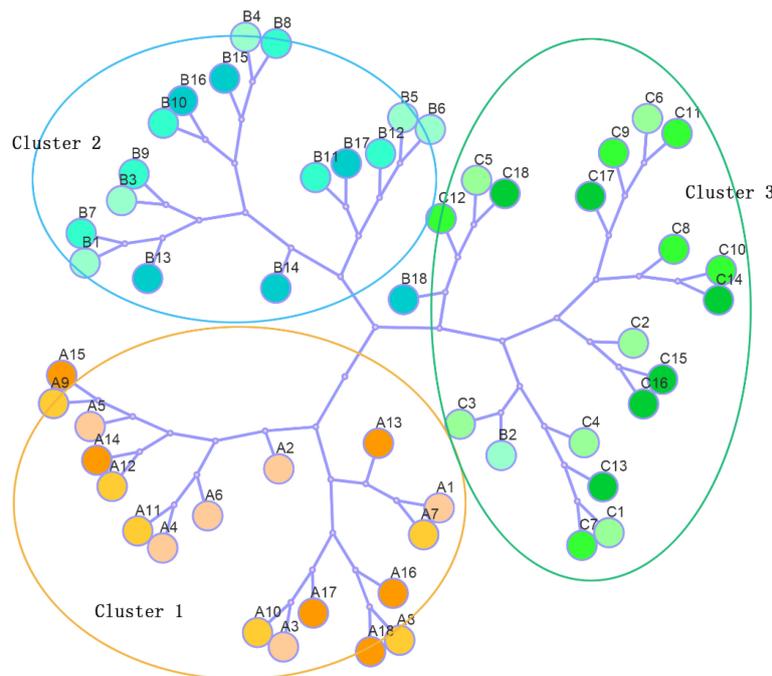


**FIGURE 7**  
 Biplot for the first two principal components was analyzed using the principal component analysis (PCA) for 13 traits among 54 samples under 3 different upwelling temperature. Yellow, green and gray triangles are representing three different upwelling temperature. Arrows represented traits while its length is based on the contribution of each trait to separate the accessions. Relative electrical conductivity (REC), malondialdehyde (MDA), superoxide dismutase (SOD), peroxidase (POD) and catalase (CAT).

**TABLE 1** Variable loading, percentage variation and eigenvalue derived from PCA for the measured characteristics in three mangrove species under all upwelling treatments.

Variable	PC1	PC2
REC	<b>0.2314</b>	0.1167
MDA	0.1815	<b>0.4944</b>
Chlorophyll a	<b>0.4714</b>	-0.1499
Chlorophyll b	<b>0.4645</b>	-0.1337
Carotenoid	<b>0.4805</b>	-0.0997
Hydrogen peroxide	<b>0.2455</b>	-0.0171
Superoxide anion radical	0.1039	<b>0.5367</b>
Soluble protein	-0.1114	0.1523
Soluble sugar	-0.0147	<b>-0.3102</b>
Proline	-0.0395	<b>-0.2022</b>
SOD	<b>-0.2658</b>	0.2011
POD	0.1995	<b>0.4427</b>
CAT	<b>0.2214</b>	-0.0816
Variance (%)	28.6102	20.7689
Eigenvalue	3.7193	2.6999

PC1, the first principal component; PC2, the second principal component; Relative electrical conductivity (REC); malondialdehyde (MDA); superoxide dismutase (SOD); peroxidase (POD) and catalase (CAT). The bold values represent that the absolute value < 0.2214 in the Column of PC1 and the absolute value < 0.2022 in the Column of PC2.



**FIGURE 8**

Dendrogram was exhibited by cluster analysis of *A. marina*, *A. corniculatum* and *K. obovata* under different upwelling treatment based on the Euclidean distance using physiological and biochemical traits. A represented *A. marina* (yellow circles), B represented *A. corniculatum* (blue circles), C represented *K. obovata* (green circles). Numbers 1-18 represented 5°C upwelling stress-0h, 6h, 12h, 24h, 72h and 168h; 10°C upwelling stress-0h, 6h, 12h, 24h, 72h and 168h; 15°C upwelling stress-0h, 6h, 12h, 24h, 72h and 168h.

## 4.2 Adjustment mechanism of mangrove plants under upwelling stress

Photosynthetic systems are sensitive to temperature variation, and reduced chlorophyll content usually occurs in plants at low temperatures because chlorophyll biosynthetic enzymes are affected and so biosynthesis progresses slowly (Matej Vosnjak, 2021). In our study, reductions in pigment contents could be observed in *A. marina* and *A. corniculatum* under short-term upwelling stress (6–24 h) which indicates that short-term upwelling caused a degree of damage to mangroves (Figure 1). With increased stress time, pigment contents increased and by significantly more than that of the control group ( $p < 0.05$ ), which could be attributed to the protection of protective pigments and other antioxidants in the leaves. Plants are also able to construct a defense system that actively increases their chlorophyll contents and prevents decreases in photosynthesis and energy production (Zhang et al, 2019). In our study, mangroves showed a certain tolerance to long-term upwelling stress because this stress induced increases in pigment contents (Agathokleous et al, 2020). Photosynthesis results in a large increase in the production of ROS (Foyer, 2018). The three mangrove species maintained relatively stable and high chlorophyll contents under long-term upwelling stress, which might be another reason for the high ROS production.

Plants have evolved an efficient enzyme antioxidant mechanism to prevent the oxidative damages caused by ROS (Popov et al, 2020). Among the enzymatic systems, SOD is able to rapidly eliminate  $O_2^-$  and convert it into  $H_2O_2$ , and the generated  $H_2O_2$  is then converted to water and dioxygen by POD and CAT (Honglin Huang, 2019). Stable and high levels of SOD activity were observed in the three mangrove species when exposed to an upwelling stress (Figure 4A) and this might indicate the essential involvement of SOD in scavenging ROS. Compared to the other mangrove plants, POD activity of *A. marina* also showed strong activity (Figure 4B). However, the ROS contents of *A. marina* remained high indicating that the plants' ROS scavenging machinery was not able to defend against oxidative injury. The same results occurred in *K. obovata* with its accumulation of ROS and rather high levels of SOD and POD activity. Under upwelling stress, CAT activity was low in all three mangrove plants (Figure 4C) indicating their "weak affinity" to  $H_2O_2$ ; this result was also observed in barley under conditions of drought and low temperatures (Zhanassova et al., 2021). Changes in SOD, POD and CAT activity and ROS accumulation in response to upwelling were different in the three mangrove species, indicating that different mangrove plants use different enzyme response mechanisms under these circumstances.

Increases in osmosis-regulating substances (including proline, soluble sugar and soluble protein) can effectively promote the ability of osmotic regulation, enzyme and protein

stabilization, ROS detoxification, and the integrity of protective membranes (M. L. Cai, 2020). Proline contents of *A. marina* and *K. obovata* showed no obvious variation under upwelling stress, and proline content of *A. corniculatum* significantly increased under long-term stress (Figure 5A). This showed that long-term upwelling stimulated proline production in *A. corniculatum* to alleviate stress, and proline played an important role in ROS scavenging. Both SS and SP contents among three mangrove species showed slight changes under upwelling stress (Figure 5B, Figure 5C). These results showed that osmotic adjustment substances might not function as the main defense against the stresses of upwelling.

## 4.3 Species effect and temperature effect under upwelling stress

PCA was performed to detect the relationships among parameters, temperature and mangrove species, during upwelling stress (Figures 6, 7). Pigment contents, ROS, REC, MDA, CAT and POD were observed in the same quadrant, and ANOVA results showed an increasing trend under upwelling stress suggesting some homogeneity in the regulatory mechanism of these traits in the face of upwelling stress for three mangrove species (Behrooz Sarabi, 2022). Previous studies showed that plants respond differently to different temperatures under chilling stress (Ok et al, 2020; Wang et al, 2021). In our research, none of the mangrove species exhibited markedly different responses to variations in upwelling water temperatures, indicating that mangroves are not sensitive to temperature variations in upwelling stress compared to low air or water temperatures.

However, PCA results revealed substantial differences in the dynamics of the responses by *A. marina*, *A. corniculatum* and *K. obovata* to upwelling stress, and these results were further characterized by the results of HCA (Figure 8). Both the PCA and HCA results showed the well separation of different mangrove species during upwelling, which revealed that different mangrove species show distinct sensitivities and tolerances to upwelling stress. The sensitivity of mangrove species to upwelling was preliminarily characterized as *A. corniculatum* < *K. obovata* < *A. marina* which was consistent with their sensitivity to low air temperature (Chen et al, 2017). The aggregation of species and indicators could well explain the tolerance of different species to upwelling. Correlations between *A. marina* and MDA,  $O_2^-$  and POD in PCA revealed that *A. marina* might be the most intolerant species to upwelling stress with its high activities of SOD and POD but high accumulation of MDA and ROS. *A. corniculatum* did not show damage during short-term upwelling and proline played a major role in alleviating stress during long-term upwelling. According to the PCA and ANOVA results, *K. obovata* alleviated and adapted to upwelling stress by adjusting antioxidant enzyme activity.

## 5 Conclusion

The changes of *A. marina*, *A. corniculatum* and *K. obovata* under three upwelling temperatures over different exposure durations were comprehensively analyzed. The results showed that upwelling stress causes varying degrees of damage to mangrove plants, and species separation in response was observed, although there was no distinct difference in response of each species to upwelling temperature. Upwelling stress triggered accumulation in ROS resulting in increases of REC and MDA. In accordance with REC, MDA and ROS accumulation, the amount of resistance to upwelling was preliminarily characterized as *A. marina* < *K. obovata* < *A. corniculatum*, and PCA and HCA results strongly supported this conclusion. Upwelling stress also induced increases in plant pigments to synthesize more energy in order to resist adversity. Further, the response mechanisms of each mangrove species to upwelling were different. *A. marina* rapidly activated antioxidant enzyme systems though not enough to scavenge the over-produced ROS. Increased osmotic adjustment substances might be an effective means for *A. corniculatum* to resist long-term upwelling stress. As for *K. obovata*, an antioxidant enzyme system played an important role in resisting upwelling stress. These findings may provide a theoretical basis for further analysis of the physiological and biochemical mechanism of stress tolerance of mangrove plants.

## Data availability statement

The original contributions presented in the study are included in the Article/supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

MW and XL contributed to conception and design of the study. XL organized the database, performed the statistical

analysis and wrote the first draft of the manuscript. YW, YZ, ZY, JX and FG contributed to review and edit the manuscript. All authors contributed to the article and approved the submitted version.

## Funding

This research was supported by the National Natural Science Foundation of China (NO. 31971480), Key Special Project for Introduced Talents Team of Southern Marine Science and Engineering Guangdong Laboratory (Guangzhou) (Nos. 241GML2019ZD0303 and GML2019ZD0303) and the Strategic Priority Research Program of the Chinese Academy of Sciences (No. XDA19060204).

## Conflict of interest

Author JX is employed by Guangdong Zhihuan Innovative Environmental Technology Co. Ltd, China. Author FG is employed by Guangzhou Chemical Reagent Factory, China.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 20 June 2022

ACCEPTED 25 July 2022

PUBLISHED 16 August 2022

## CITATION

Ding L, Shan S, Luo C and Wang X  
(2022) Distribution and microbial  
degradation of dissolved organic  
carbon in the northern  
South China Sea.  
*Front. Mar. Sci.* 9:973694.  
doi: 10.3389/fmars.2022.973694

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# Distribution and microbial degradation of dissolved organic carbon in the northern South China Sea

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Dissolved organic carbon (DOC) is the largest reduced carbon pool in the ocean, and it plays significant roles not only in the ocean carbon cycle but also in the control of many biogeochemical processes in the ocean. We present the concentrations and distribution of DOC in the northern South China Sea (SCS) and western North Pacific (NP) in the spring and summer seasons of 2015–2016 and 2019. Laboratory incubation bioassay experiments were also conducted to determine the microbiological respiration of DOC. In the SCS, the concentrations of DOC varied within a range of 38–95  $\mu\text{M}$ , and the large spatial variations in DOC in the upper 100 m depth were influenced by a combination of factors, including primary production, terrestrial inputs from the Pearl River and the intrusion of the Kuroshio Current. The mesopelagic DOC distribution in the northern SCS basin was largely influenced by the physical mixing of upwelled deep water; however, biological processes were estimated to account for 6–20% of the modulation in DOC concentrations. Compared with the deep DOC levels in open ocean areas, a slightly excessive DOC concentration ( $\sim 3\text{--}4 \mu\text{M}$ ) was observed in the deep water of the SCS basin. Approximately 10–20% of the DOC was consumed by mesopelagic and/or deep water bacteria in the incubation bioassay experiments, and labile DOC was preferentially respired, resulting in decreased  $\delta^{13}\text{C}$  and  $\Delta^{14}\text{C}$  values of DOC.

## KEYWORDS

carbon cycle, biogeochemistry, dissolved organic carbon, microbial degradation, South China Sea

## Introduction

Dissolved organic carbon (DOC) is the largest exchangeable organic carbon pool ( $\sim 662 \text{ Pg}$ ) in the ocean, equal in size to atmospheric inorganic carbon (Hansell et al., 2009). DOC plays important roles not only in the oceanic carbon cycle but also in supporting and controlling the microbial communities in the ocean (Hansell and

Carlson, 2001; Azam and Worden, 2004; Fenchel, 2008; Benner and Amon, 2015). Minor changes in the DOC pool could thus have a considerable impact on both the carbon cycle and biogeochemical processes in the marine system (Carlson et al., 2010; Nelson and Carlson, 2012; Benner and Amon, 2015; Druffel et al., 2016). The ultimate source of oceanic DOC is thought to be derived from *in situ* primary production that is directly linked to oceanic CO<sub>2</sub> systems (Carlson et al., 1998; Carlson and Hansell, 2015). The removal of DOC *via* microbial uptake is the dominant biological consumption mechanism in the ocean (Hansell et al., 2009; Carlson and Hansell, 2015). As a result, a delicate balance exists between the production and degradation of DOC in the oceanic water column, and the concentrations and distribution of DOC in the deep ocean have been found to be quite consistent (Hansell et al., 2009; Carlson and Hansell, 2015; Druffel et al., 2016; Druffel et al., 2021).

The most dynamic changes in DOC distributions are found in the euphotic zone of the ocean. High DOC concentrations (70–90 μM) have been widely observed in surface water (≤ 100 m) and are related to primary production. Labile DOC (LDOC) is mainly produced from extracellular release by phytoplankton, zooplankton excretion, solubilization and remineralization of particulate organic carbon (POC) (Hansell, 2013; Carlson and Hansell, 2015). After release, the majority of LDOC is rapidly consumed and turned over within hours to days, supporting heterotrophic microbial production and resulting in remains of inorganic constituents (such as CO<sub>2</sub> and nutrients) being suspended within the euphotic zone in the ocean (Carlson and Ducklow, 1996; Cherrier et al., 1996; Hansell, 2013; Carlson and Hansell, 2015). The magnitude of DOC accumulation and export in the euphotic zones of different oceanic regions is therefore ultimately derived from the uncoupling of DOC production and consumption processes. In highly productive regions with high nutrient supplies from upwelling, such as the Peru coast and California Current, high primary production results in high DOC generation and consumption rates (Kirchman et al., 1991; Zweifel et al., 1993; Ducklow, 1999). In contrast, in open ocean sites with low available nutrients, low primary production results in low DOC concentrations and low consumption rates (Carlson and Ducklow, 1996; Carlson et al., 1996; Ducklow, 1999).

It has been estimated that ~17% of new production globally could escape rapid microbial degradation and accumulate in the surface layer of the ocean as semilabile DOC (Hansell and Carlson, 1998). If this portion of the DOC pool is exported into the ocean interior, the majority of exported DOC could become available to microbes and be remineralized within the mesopelagic zone (Hansell et al., 2002; Carlson et al., 2004; Carlson et al., 2010). Instead, a significant fraction of DOC (~ 38 μM), termed refractory DOC resisting to microbial degradation, is present throughout the water column in the ocean. This

refractory DOC, characterized by old <sup>14</sup>C ages (4,000–6,000 years), likely survives multiple ocean mixing cycles in the ocean (Druffel et al., 1992; Druffel et al., 2019). In fact, DOC in the ocean is a complex mixture comprising thousands of individual molecules, including carbohydrates, proteins, lipids and black carbon (Ziolkowski and Druffel, 2010; Riedel and Dittmar, 2014; Repeta, 2015). Researchers have observed that high molecular weight (HMW) components of DOC were more bioavailable and that carbohydrate-like and protein-like materials comprised younger DOC fractions, whereas low molecular weight (LMW) components and lipids in the oceanic DOC pool were more recalcitrant and were thousands of years old (Guo et al., 1996; Loh et al., 2004; Repeta and Aluwihare, 2006; Benner and Amon, 2015).

Numerous seawater incubation and field studies (spanning days to months) have been conducted to quantify the fate of accumulated DOC and further elucidate the mechanisms controlling the microbial degradation of DOC in the ocean (Carlson et al., 2004; Nelson and Carlson, 2012; Shen and Benner, 2020). Based on these studies, the molecular size and chemical composition of DOC, which are likely linked to its variable radiocarbon age (via Δ<sup>14</sup>C), appeared to be the primary controls on its bioavailability and degradation in the ocean (Walker et al., 2011; Walker et al., 2016; Shen and Benner, 2020). In addition to quantifying the removal of oceanic DOC, these experiments were also used as platforms to assess the transformation of DOC composition as well as the concomitant shifts in microbial community structures (Carlson et al., 2004; Liu et al., 2020; Varela et al., 2020). While these bioassay experiments focused on the microbial degradation of DOC and provided potential linkages between DOC utilization and microbial lineages, the variation in the Δ<sup>14</sup>C-DOC values (or radiocarbon ages) during DOC degradation is still enigmatic and only supposed, lacking direct evidence of simultaneous carbon isotope measurements of DOC (both δ<sup>13</sup>C-DOC and Δ<sup>14</sup>C-DOC).

The South China Sea (SCS) is one of the largest semienclosed marginal seas in the North Pacific Ocean, covering an area of approximately 3.5×10<sup>6</sup> km<sup>2</sup>. A few studies have reported that the surface distribution and seasonal dynamics of DOC in the SCS are largely modulated by water circulation and physical mixing processes (Hung et al., 2007; Pan and Wong, 2015; Meng et al., 2017; Zhang et al., 2020). The carbon isotope (<sup>13</sup>C and <sup>14</sup>C) measurements of DOC further suggested that the dynamics of the DOC pool were mainly controlled by diffusive mixing and intensified vertical motion, rapid water exchange through the Luzon Strait and good water mixing in the SCS basin (Ding et al., 2020). However, Wu et al. (2017) and Ma et al. (2022) observed a significant dependence of DOC on biological production using measured and model results. Despite these studies on the dynamics of DOC in the SCS, the nature of its microbial degradation has not been well studied, and knowledge of the

variations in DOC concentrations and the  $\Delta^{14}\text{C}$ -DOC values concomitant with DOC removal is still limited.

In this paper, we present the results of our field investigation of the concentrations and distribution of DOC in the northern SCS and the western North Pacific (NP). This field investigation was combined with laboratory incubation bioassay experiments characterizing the concentration and carbon isotopic ( $^{13}\text{C}$  and  $^{14}\text{C}$ ) signatures to evaluate the biological and hydrodynamic controls on the distribution of DOC in the northern SCS and to identify changes in DOC concentration and isotopic values associated with DOC degradation at the Southeast Asian Time-series Study (SEATS) site in the SCS.

## Methods

### Study areas

The SCS has an average water depth of 1,350 m and a maximum water depth of  $\sim 5,000$  m in the northeast region (Chen et al., 2001). It is connected to the open ocean only through the Luzon Strait, with a maximal sill depth of approximately 2,200 m, and to the East China Sea (ECS) through the shallow Taiwan Strait ( $\sim 50$  m) (Figure 1). The northern SCS has an extensive shelf and is influenced by the input of the Pearl River, which is the second largest river in China and ranks 17<sup>th</sup> in the world in terms of annual water discharge (Dai et al., 2014). The surface circulation in the SCS is

driven by a seasonal monsoon influence, which is characterized by a cyclonic circulation gyre in the entire deep basin during the northeast monsoon prevailing in winter and a strong anticyclonic gyre mainly in the south and a weakened cyclonic gyre in the north during the southwest monsoon that prevailing in summer (Liu et al., 2002; Qu et al., 2006; Wang and Li, 2009). These basin-wide surface circulation gyres effectively isolate the interior SCS from the influence of land runoff. Moreover, the Kuroshio intrusion through the Luzon Strait located at the northeastern rim also has an important impact on the circulation in the northern SCS, which is stronger in winter and weaker in summer (Nan et al., 2015; Jiang et al., 2020). Under the influence of seasonal atmospheric and oceanic forcing, the oceanic biogeochemistry in the SCS varies in space and time.

### Sample collection and processes

Water samples for DOC concentration analysis were collected from two stations (S4 and S5) in the northern SCS during a summer cruise in July 2015 onboard R/V *Shiyan 3*, from 11 stations (A1, 2A1, A3, A6, A8, A10, K4, G2, F1, Y1 and SEATS) covering the northern shelf-slope-basin regions of the SCS during a spring-summer cruise in May-June 2016 onboard R/V *Dongfanghong-2* and from six stations (C06, C09, C16, C20, C26 and C28) nearby the Luzon Strait during a summer cruise in July August 2019 onboard R/V *Haida* (Figure 1). Among these

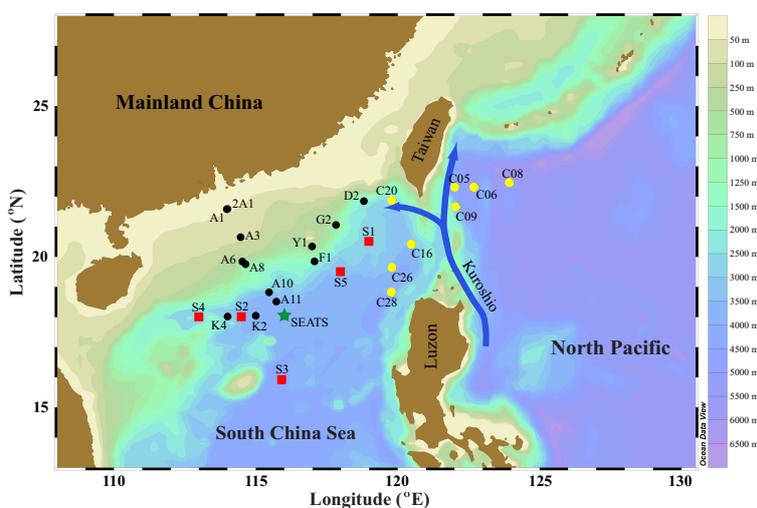


FIGURE 1

Map showing the study region and the locations of sampling stations in the northern SCS and western NP during three cruises in 2015 (red squares), 2016 (black circles) and 2019 (yellow circles), as described in the text. Seawater samples used for the incubation bioassay experiments were collected at the SEATS site (green star) during the cruise in May-June 2016. The blue arrows are the Kuroshio Current and its branching path into the SCS. Sampling stations in the SCS and western NP, from which published data were used for data validation in this paper, are also shown: S1, S2 and S3 (red squares) from the same cruise in 2015; D2, A11 and K2 (black circles) from the same cruise in 2016; and C05 and C08 (yellow circles) from the same cruise in 2019 (Ding et al., 2020). This map was created with Ocean Data View (Schlitzer, 2017).

sampling stations, SEATS (18.3 °N and 115.5 °E) is the Southeast Asian time-series study site established in 1999, at which primary production and the carbon cycle have been extensively studied in recent decades. Water samples from these stations were collected using 5 L or 12 L Niskin bottles deployed on a rosette with a calibrated conductivity-temperature-depth (CTD) recorder that recorded the depth profiles of temperature and salinity. The CTD was calibrated at the National Center of Ocean Standards and Metrology in China (NCOSM) before the cruises and were within the calibration term of validity. In addition, large volumes of seawater (4–13 L) from the surface layer (5 m) and at 700 m and 1,500 m depths were collected at the SEATS site during the cruise in May–June 2016 for incubation bioassay experiments as described below.

After collection, water samples for DOC concentration analysis were transferred directly into a 1 L precombusted (550°C for 4 h) glass bottle that was first rinsed three times with seawater. The water was filtered immediately through Whatman GF/F filters (~ 0.7 µm, precombusted at 550°C for 4 h) on board. The filtered seawater was then acidified with super high purity 85% H<sub>3</sub>PO<sub>4</sub> to pH ≤ 2 and preserved frozen at -20°C for analysis in the laboratory.

## DOC incubation experiments

Previous studies have demonstrated that the surface water DOC that was originally resistant to degradation could be available to the mesopelagic microbial assemblage in the open ocean (Carlson et al., 2004; Nelson and Carlson, 2012; Shen and Benner, 2020). To test this in the SCS, we conducted incubation experiments by mixing filtered and unfiltered seawater from different depth at the SEATS site to evaluate the removal of DOC and simultaneous changes in the isotopic composition of bulk DOC. The designation of the experiments was based on Carlson et al. (2004). Briefly, surface seawater (5 m) was filtered with 0.22 µm Millipore polycarbonate filtrate (rinsed with the same seawater) to remove bacteria and then mixed separately with unfiltered mesopelagic (700 m) or deep (1500 m) seawater at a ratio of 2:1 (volume/volume). The mixed seawater in each experiment was well shaken and incubated with O<sub>2</sub> in the dark at room temperature (~26°C) for 180 days. Both incubations were conducted in duplicates. Samples for DOC concentration analysis were taken at various incubation time intervals (25–40 days) throughout the experiments by draining seawater directly from the incubation carboys into precombusted glass vials to prevent potential contamination. In addition, seawater samples for Δ<sup>14</sup>C-DOC and δ<sup>13</sup>C-DOC measurements were collected at the beginning and end of the experiments.

## DOC concentration and isotope measurements

The DOC concentrations were analyzed by the high-temperature catalytic oxidation method (Sharp et al., 1995) using a Shimadzu TOC-L analyzer equipped with an ASI-V autosampler. The concentrations of DOC were calibrated using a six-point calibration curve generated from DOC standards prepared using potassium hydrogen phthalate and UV-oxidized Milli-Q high purity water. The instrumental blank and standard validations for DOC were checked against reference samples of low-carbon water and deep seawater that were provided by Dr. Hansell's Laboratory at the University of Miami, USA. Blank subtraction was carried out using Milli-Q water that was analyzed before each sample. The average blank associated with DOC measurements was ≤ 4 µM, and the analytic precision on triplicate injections was ± 3%. All DOC samples were analyzed in duplicate, and the standard deviations of the replicate measurements ranged from ± 0.1 to 4.0 µM.

For Δ<sup>14</sup>C-DOC measurements, seawater samples were UV-oxidized and extracted as gaseous CO<sub>2</sub> using a previously described modified method (Xue et al., 2015). Briefly, following the UV-oxidation of DOC, the generated CO<sub>2</sub> was purged and collected with ultrahigh purity helium gas through the vacuum line cryogenically and flame-sealed inside a 6 mm OD quartz tube. The oxidization efficiency that was tested using a DOC standard solution (oxalic acid) was ~ 95% (Xue et al., 2015). Both the δ<sup>13</sup>C and Δ<sup>14</sup>C were measured at the National Ocean Sciences Accelerator Mass Spectrometry (NOSAMS) facility at the Woods Hole Oceanography Institution (WHOI). The gaseous CO<sub>2</sub> was split into a small fraction for δ<sup>13</sup>C measurement using a VG isotope ratio mass spectrometer, and the rest was graphitized for Δ<sup>14</sup>C analysis using accelerator mass spectrometry. Radiocarbon results were reported as modern fraction (McNichol et al., 1994), and δ<sup>13</sup>C values were reported in ‰ relative to the Vienna Pee Dee Belemnite (VPDB) standard. The analytic precision for δ<sup>13</sup>C is <0.2‰, and the error of Δ<sup>14</sup>C measurement is ±5‰, as determined from the standard.

## Results

### Hydrographic characteristics

The hydrographic data (temperature and salinity) are listed in Supplementary Table S1, and the vertical profiles are plotted in Figure 2. The characteristics of the water are further described in the T-S diagram (Figure 3A). The water temperature was higher at the surface (5 m: 26.8–30.8°C), decreased with depth down to approximately 1,500 m (2.7°C), and then remained constant at depths below 1,500 m at

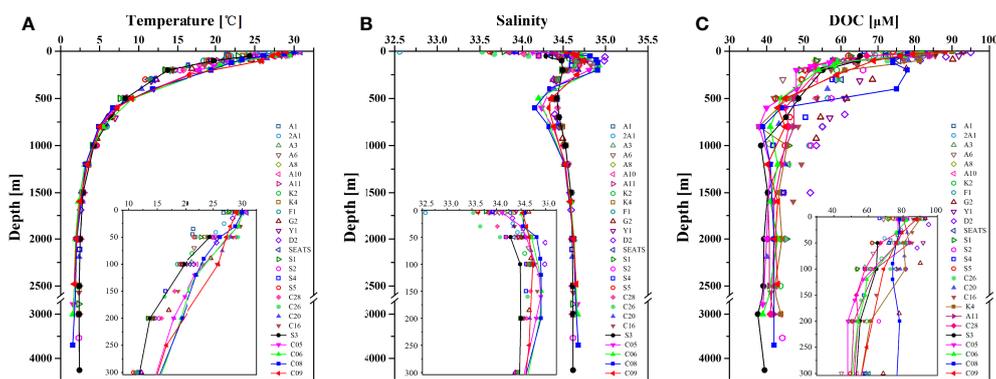


FIGURE 2

Depth profiles of (A) water temperature, (B) salinity, and (C) DOC concentrations measured at the 27 stations in the northern SCS and western NP during three cruises in 2015, 2016 and 2019 in this study and a previous report, as described in Figure 1. The depth below 2,500 m is on a different scale, and the inset figures show profiles in the upper 300 m depth. Note that the hydrographic data and DOC concentrations for eight stations (S1, S2, S3, D2, A11, K2, C05 and C08) were collected on the same cruises and have been previously published (Ding et al., 2020). These data are cited for comparison with the 19 stations in this study.

density levels of  $\sigma_0 \geq 27.6 \text{ kg/m}^3$  at all stations (Figures 2A, 3A). The salinity ranged from 32.56 to 34.99 and exhibited a profile trend that was opposite to that of temperature (Figure 2B), i.e., lower at the surface (5 m: 32.56–34.65), increasing rapidly with depth to a maximum at a water depth of approximately 60–200 m (at a density range of 23.1–25.2  $\sigma_0$ ) and decreasing again to a minimum at a water depth of approximately 500–600 m ( $\sigma_0 \sim 26.8 \text{ kg/m}^3$ ). Importantly, the salinity maximum and minimum values were significantly weakened in the northern SCS compared to those in the western NP (i.e., stations C05, C06 and C08). The salinity remained relatively homogeneous below 1,500 m (at  $\sigma_0 \geq 27.6 \text{ kg/m}^3$ ) for all stations (Figures 2B, 3A). The abnormally lower surface salinity ( $S=32.56$ ) at station 2A1, which was sampled after heavy rainfall, should be noted. In contrast, as shown in the  $T$ - $S$  diagram (Figure 3A), a less curved inverse “ $\sigma$ ” shape of the  $T$ - $S$  property was observed in the northern SCS (i.e., stations S3, A10 and C26).

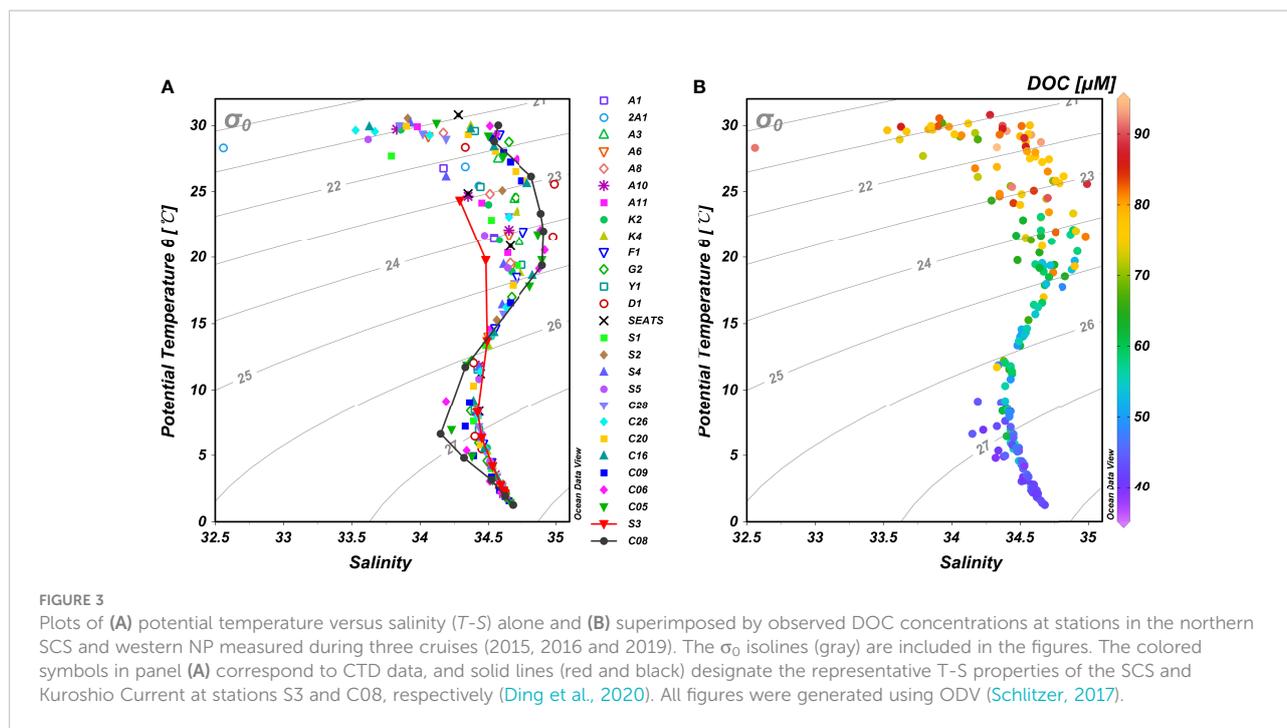
## Concentrations and distribution of DOC

At the 27 stations sampled on the three cruises in this study and in a previous study (Ding et al., 2020), the concentrations of DOC varied within a range of 38–95  $\mu\text{M}$  for the spring and summer seasons of 2015–2016 and 2019 in the northern SCS and western NP (Figure 2C and Table S1). High DOC concentrations (66–95  $\mu\text{M}$ ) were measured in the upper 20 m of the water column ( $\sigma_0 < 22.3$ ; Figures 2C, 3B), especially at the 2A1 shelf station and at stations (D2, Y1, G2 and F1) (91–95  $\mu\text{M}$ ) near southwestern Taiwan and the western Luzon Strait region. The DOC concentrations decreased rapidly down to a depth of

approximately 800 m and then remained relatively low and constant in a range of 38–45  $\mu\text{M}$  below a 2,000 m depth at a density  $\sigma_0 > 27.6$  across all stations (Figures 2C, 3B). The average DOC concentrations below a 1,500 depth at most stations (except S4 and D2) were similar within analytic error, ranging from  $39 \pm 1 \mu\text{M}$  (S3) to  $44 \pm 3 \mu\text{M}$  (C16), compared well to the concentrations in the deep western NP (39–43  $\mu\text{M}$ , average  $41 \pm 2 \mu\text{M}$ ). As plotted in Figures 2C, 3B, the DOC concentrations showed large spatial variations above the 100 m water depth (at  $\sigma_0 \leq 24.9$ ) among the stations, with visibly higher values (78–95  $\mu\text{M}$ ) at stations (D2, Y1, G2, F1, C16 and C20) near southwestern Taiwan and the western Luzon Strait than at stations S1, A6, A8 and C28 (53–77  $\mu\text{M}$ ).

## Degradation of DOC at SEATS

In the laboratory incubation experiments, when the surface water (5 m) in which bacteria were removed was mixed with the water from 700 m and 1500 m depths in which bacteria were present, we observed DOC concentration changes (Table 1 and Figure 4). The measured initial DOC concentrations in the mixed water ( $71 \pm 1 \mu\text{M}$  and  $68 \pm 2 \mu\text{M}$ ) were consistent with the calculated DOC concentrations (72  $\mu\text{M}$  and 69  $\mu\text{M}$ ) of a simulated mixed water column by diluting surface water DOC (84  $\mu\text{M}$ ) with 33% lower DOC water (47  $\mu\text{M}$ ) from 700 m, and 33% lower DOC water (41  $\mu\text{M}$ ) from 1,500 m, respectively. This indicates that the addition of mesopelagic or deep bacterial inoculum resulted in no notable differences between the *in situ* concentrations and initial DOC concentrations in the incubation (Table 1), and no inadvertent contamination of labile DOC was



added during the experimental preparation as suggested previously (Carlson et al., 2004; Li et al., 2021). The DOC concentrations increased to their highest values (76  $\mu\text{M}$  and 73  $\mu\text{M}$ ) from the initial concentrations after 25 days and then subsequently decreased with incubation time from 25-180 days (Figure 4). At the end of the incubation (180 days), the initial DOC concentration decreased from 71  $\mu\text{M}$  to 62  $\mu\text{M}$  (Expt. SM521) and from 68  $\mu\text{M}$  to 61  $\mu\text{M}$  (Expt. SD521), and approximately 13% and 10% of the DOC was respired, respectively (Table 1).

The isotopic values measured for DOC at the beginning of and after the incubation experiments also showed noticeable changes. In Expt. SM521 and Expt. SD521, the  $\delta^{13}\text{C}$  values of DOC decreased from their initial values of -22.3‰ and -22.4‰ to -22.8‰ and -23.1‰, respectively, by the end of the incubation experiments. For the  $\Delta^{14}\text{C}$  values of DOC, significant decreases of -25‰ and -76‰ were observed in Expt. SM521 and Expt. SD521, respectively, by the end of the incubation experiments (Table 1).

## Discussion

### Processes that control the concentration and profiles of DOC in the SCS

As the SCS is the largest marginal sea in the western NP, the distribution and dynamics of its DOC could be influenced by many factors, such as primary production, terrestrial inputs from the Pearl River, water exchange with the Kuroshio Current and hydrodynamic mixing of different water masses (Dai et al., 2009; Wu et al., 2015; Meng et al., 2017; Ding et al., 2020; Zhang et al., 2020; Ma et al., 2022). The large variations in the measured DOC concentrations (53-95  $\mu\text{M}$ ) above the 100 m water depth were consistent with the results of previous studies (55-97  $\mu\text{M}$ ) conducted in the SCS (Pan and Wong, 2015; Wu et al., 2015; Zhang et al., 2020). The surface DOC concentration was notably up to ca. 91  $\mu\text{M}$  at station 2A1, which was sampled after a heavy rain event in early summer near the Pearl River Estuary. Low

**TABLE 1** Concentration of DOC and its isotopic values measured at the beginning (Day 0) and end (Day 180) of the two laboratory incubation experiments using seawater collected from the SEATS site.

Experiment ID	Treatment	DOC ( $\mu\text{M}$ )		$\delta^{13}\text{C}$ -DOC (‰)		$\Delta^{14}\text{C}$ -DOC (‰)	
		Day 0	Day 180	Day 0	Day 180	Day 0	Day 180
SM521	5 m filtrate mixed with unfiltered 700 m seawater	71 ± 1	62 ± 1	-22.3	-22.8	-304	-329
SD521	5 m filtrate mixed with unfiltered 1,500 m seawater	68 ± 2	61 ± 1	-22.4	-23.1	-315	-391

For the two incubation experiments, approximately 6 L of filtered (0.2  $\mu\text{m}$ , to remove bacteria) surface seawater was mixed with 3 L of unfiltered seawater from a 700 m depth (SM521), and 6 L of filtered (0.2  $\mu\text{m}$ ) surface seawater was mixed with 3 L of unfiltered seawater from a 1,500 m depth (SD521) within 1 h of seawater collection and filtration.

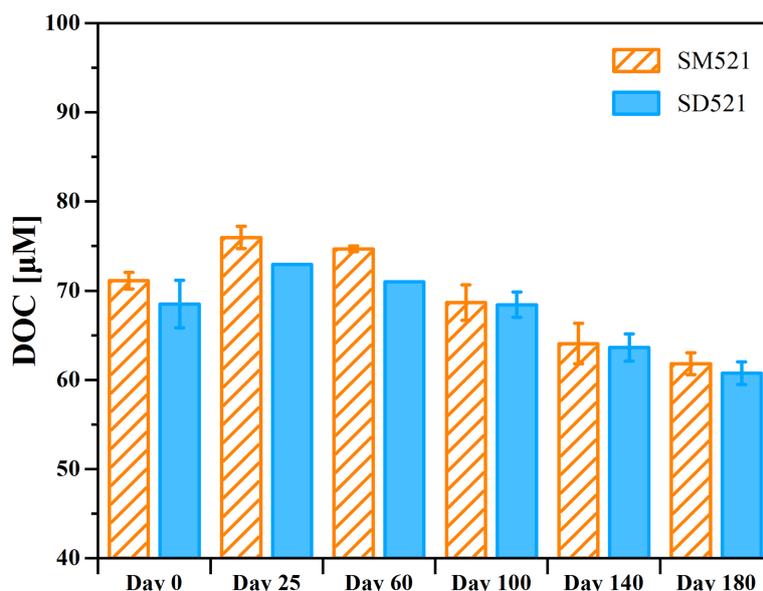


FIGURE 4  
Measured DOC concentration changes with time during the two incubation experiments (SM521 and SD521). The error bars denote the means with standard errors from the duplicate analysis.

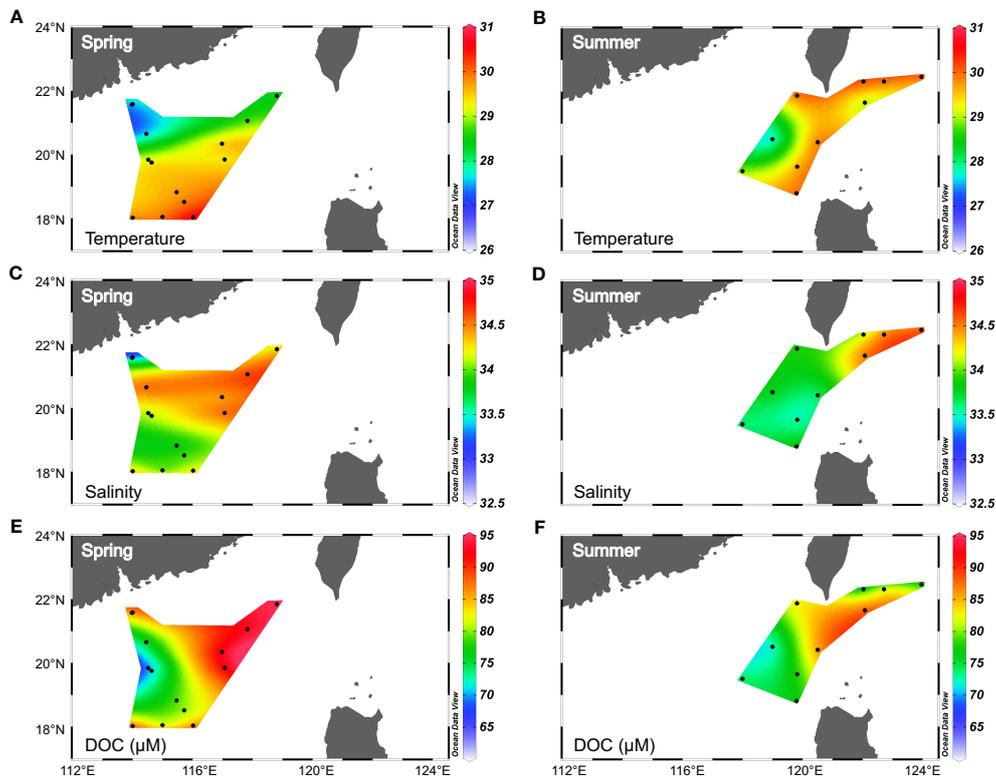
salinity ( $S=32.56$ ) water was measured at this station but not at other stations in the study area (Figures 2B, 3A), implying the limited dilution effect of fresh coastal waters. Combined with the low salinity ( $S=32.56$ ) and high temperature ( $T=28.3^{\circ}\text{C}$ ) of the surface water, the high DOC concentration at station 2A1 (Figures 2C, 3B) could be modulated by the cross-shelf transport of coastal water. As plotted in Figure 5, significantly high levels of DOC ( $93\text{--}95\ \mu\text{M}$ ) were observed in the surface water ( $\leq 15\ \text{m}$ ) at four other stations (D2, G2, F1 and Y1) located near southwestern Taiwan Island and the western Luzon Strait in spring (Figure 5E). At these stations, the salinity in the upper layer was clearly higher than that in the surrounding waters (Figures 5C, D), indicating the intrusion of the Kuroshio Current, which carries high salinity and high DOC water into the region. However, surface DOC concentrations and salinity were not significantly elevated at other stations in the vicinity of the western Luzon Strait in the summer season (stations S1, S5, C16, C20, C26 and C28 in Figures 5D, F). In this context, the intrusion of the Kuroshio Current and the high primary productivity in spring would increase the surface DOC concentrations in the western region of the Luzon Strait. Compared to that in the spring season, the Kuroshio intrusion was weaker in summer and had a limited impact with distance from the Luzon Strait (Du et al., 2013; Nan et al., 2015; Jiang et al., 2020); thus, high surface concentrations were not observed in summer around the same region and at other stations in the SCS basin.

Several studies have used an isopycnal mixing model proposed by Du et al. (2013) to quantify the impact of Kuroshio intrusion on the DOC and chromophoric dissolved organic matter (CDOM) in the upper 100 m water depth of the northern SCS (Wu et al., 2015; Wang et al., 2017; Li et al., 2021). Using this model, we calculated the mixing ratio of the Kuroshio water and SCS water along the isopycnal layer for the *in situ* observed water parcel shown in the  $T$ - $S$  diagram (Figure 3A). The relative contributions of SCS and Kuroshio water can be derived based on the conservative mixing of salinity or potential temperature (Equations 1-2).

$$R_S \theta_S + R_K \theta_K = \theta \text{ or } R_S S_S + R_K S_K = S \quad (1)$$

$$R_S + R_K = 1 \quad (2)$$

where  $R_K$  and  $R_S$  denote the Kuroshio and SCS water proportion in the mixed water;  $S_S$ ,  $S_K$ ,  $\theta_S$  and  $\theta_K$  are the end-member values of salinity and potential temperature for the SCS (station S3) and Kuroshio (station C08) water proper in Figure 3A. The station-integrated Kuroshio water fraction ( $R_{IKW}$ ) were obtained by integrating the Kuroshio water fraction ( $R_K$ ) over the upper 100 m water column (Figure 6). It appeared that the  $R_{IKW}$  in the region near the southwestern Taiwan Island (approximately 0.6) was higher than that in the central northern SCS ( $< 0.3$ ) in spring (Figure 6A), and both comparable but little higher than that reported in previous studies in the same season (Du et al., 2013; Wu et al., 2015;

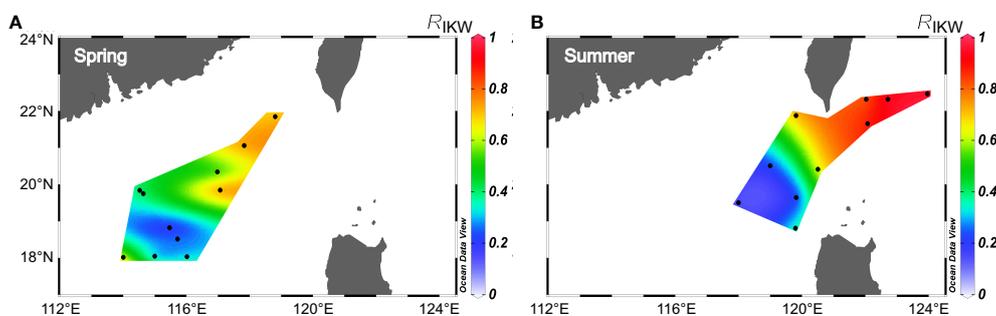


**FIGURE 5** Surface distribution ( $\leq 15$  m water depth) of (A, B) temperature ( $^{\circ}\text{C}$ ), (C, D) salinity and (E, F) DOC ( $\mu\text{M}$ ) in the northern SCS and western North Pacific during spring (A, C, E) and summer (B, D, F) in 2015–2016 and 2019. For comparison, the hydrographic data and DOC concentrations at stations S1, S2, S3, D2, A11, K2, C05 and C08 that were collected during the same three cruises by Ding et al. (2020) are presented. These figures were created using ODV (Schlitzer, 2017).

Wang et al., 2017). During summer, the  $R_{IKW}$  was relative lower in the eastern part of the northern SCS with values of approximately 0.2–0.3, excluding the stations C16 and C20 located nearby the Luzon Strait (Figure 6B). The spatial and seasonal distribution of  $R_{IKW}$  further support the impact of

Kuroshio intrusion on the DOC dynamics in the upper 100 m water depth in the northern SCS.

On the basis of the derived Kuroshio and SCS water fractions ( $R_K$  and  $R_S$ ), DOC concentrations (referred to as  $\text{DOC}_{\text{Model}}$ ) along the isopycnal surface due to conservative mixing between



**FIGURE 6** Station-integrated Kuroshio water fraction ( $R_{IKW}$ ) in the upper 100 m of the northern SCS during spring (A) and summer (B).

the Kuroshio water proper and SCS water proper can be calculated using Equation 3 (Wu et al., 2015).

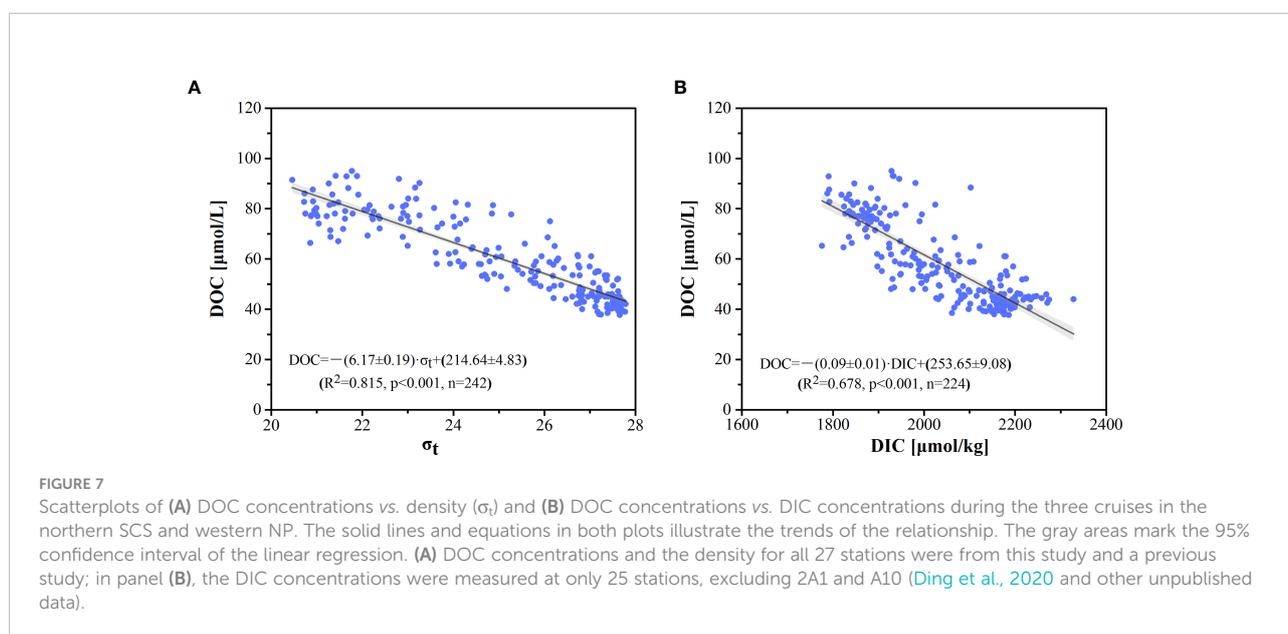
$$DOC_{Model} = DOC_S R_S + DOC_K R_K \quad (3)$$

Here,  $DOC_K$  and  $DOC_S$  are the end-member values of the Kuroshio water (station C08) and SCS water (station S3), respectively. The difference between the field and model calculated DOC concentrations ( $\Delta DOC = DOC_{measured} - DOC_{Model}$ ) could indicate other biologically mediated production (positive  $\Delta DOC$  value) or degradation (negative  $\Delta DOC$  value) of DOC in the upper water column. Through this isopycnal mixing model,  $\Delta DOC$  was estimated in the range of -11 to 10  $\mu M$  in the upper 100 m of the northern SCS. Therein, the positive  $\Delta DOC$  values that accounted for approximately 6-14% of the DOC pool at most stations during spring, suggesting a net DOC production. This is consistent with the ~7% increased DOC inventory due to the biological metabolism calculated by Wu et al. (2015) and the fact that the primary production was high in spring (Liu et al., 2002; Liu and Chai, 2009). However,  $\Delta DOC$  was negative in the upper 50 m at stations S1, S2, S4 and S5 during summer, indicating a net degradation of DOC which accounted for 7-12% of the field DOC pool. The removal of DOC might be attributed to enhanced biodegradation in the mixing zone of SCS and Kuroshio water in summer (Li et al., 2021).

In general, the wide basin of the SCS is oligotrophic year-round and has low primary production due to the low nutrient concentrations and limited influence of Pearl River input (Gong et al., 1992; Lin et al., 2010; Dai et al., 2013). As shown in Figures 2B, 3A, the high salinity signals without freshwater dilution in the slope and basin regions further indicate that the influence of river input was limited in the northern SCS. The

DOC depth profiles for the deep stations in the SCS exhibited the same typical vertical patterns as observed in the western NP (stations C05, C06, C08 and C09) and in other open ocean areas with relatively high values (~75  $\mu M$ ) in the surface layer, generally decreased with increasing depth below the euphotic zone by microbial consumption, and then remained low at constant values (~40  $\mu M$ ) at greater depths ( $\geq 1,500$  m) and were controlled mainly by hydrodynamic mixing (Carlson et al., 1994; Hansell et al., 2009; Hansell et al., 2012; Druffel and Griffin, 2015; Bercovici and Hansell, 2016). Hung et al. (2007) and Pan and Wong (2015) reported that the distribution of DOC in the northern SCS was largely modulated by physical mixing processes, and the seasonal cycle of surface DOC covaried with temperature rather than primary production, also indicating a physical control on the seasonal variations in DOC. In this respect, we observed a statistically significant negative correlation between DOC and density ( $\sigma_t$ ) ( $R^2 = 0.82$ ,  $p < 0.001$ ) at all stations (Figure 7A), suggesting that physical mixing processes played important roles in the distribution and variations in DOC in the northern SCS and western NP. The negative correlation between the DOC and dissolved inorganic carbon (DIC) concentrations (Figure 7B,  $R^2 = 0.68$ ,  $p < 0.001$ ) at the stations also suggested that physical mixing of the water largely influenced the DOC distribution in the northern SCS. However, since DOC is not conservative in the ocean, the observed correlation between DOC and DIC could involve biological and microbial processes (Yang et al., 2016).

Nevertheless, DIC concentrations and  $\Delta^{14}C$ -DIC could be used as tracers of water mass movement and parcel homogenization as predicted by a solution mixing model and thus supplied evidence of the intensified upwelling and vertical mixing in the water column of the SCS (Ding et al., 2020). To



further demonstrate the influence of the physical mixing processes of water on its hydrological and DOC properties, Figure 8 compares the longitudinal distributions of salinity, DOC/DIC concentrations and  $\Delta^{14}\text{C}$ -DIC values for stations across the Luzon Strait. Combined with the  $T$ - $S$  relationship shown in Figure 3A, the water characteristics in the northern SCS have upwelled and exhibit remarkably weak salinity maximum and minimum values and hence have less curved inverse “S” shapes than those in the western NP (Figures 3A, 8A), to which the intensified upwelling and vertical mixing occurring in the SCS might make a significant contribution (Tian et al., 2009; Shu et al., 2014; Wang et al., 2016). In comparison with the chemical parameters at stations C05, C06, C08 and C09 in the western NP, it appeared likely that the deep water in the northern SCS basin, with its low concentrations of DOC and high concentrations and low  $\Delta^{14}\text{C}$  of DIC, upwelled and mixed with the upper water at a depth between 200–500 m, thus diluting the DOC concentrations at

stations S1, S5, A11, A10 and K2 (Figures 8B–D). The calculation based on the  $\Delta^{14}\text{C}$ -DIC mass balance revealed that approximately 46–82% of the deep water ( $\geq 1,500$  m) upwelled and mixed with the water in the upper 200–700 m depth (Ding et al., 2020). With the derived deep water fractions, the conservative concentrations of DOC ( $\text{DOC}^0$ ) could be calculated using the same two end-member mixing model. For the end-member values of DOC in the upper 200–500 m depth and deeper waters, we used the average measured DOC concentration of  $69 \mu\text{M}$  in the upper waters and  $42 \mu\text{M}$  in the deep waters in the northern SCS. The  $\text{DOC}^0$  could subsequently be calculated within a range of  $50$ – $56 \mu\text{M}$ , which is slightly higher than the measured DOC concentrations ( $42$ – $53 \mu\text{M}$ ) in the upper 200–500 m depth of the water column at stations S1, S5, A10–A11 and K2 (Figure 8B). The negative  $\Delta\text{DOC}$  values ( $\text{DOC}_{\text{measured}} - \text{DOC}^0$ ) suggest that net DOC consumption from biological processes could modulate the DOC superimposed on the water physical mixing processes in the northern SCS basin.

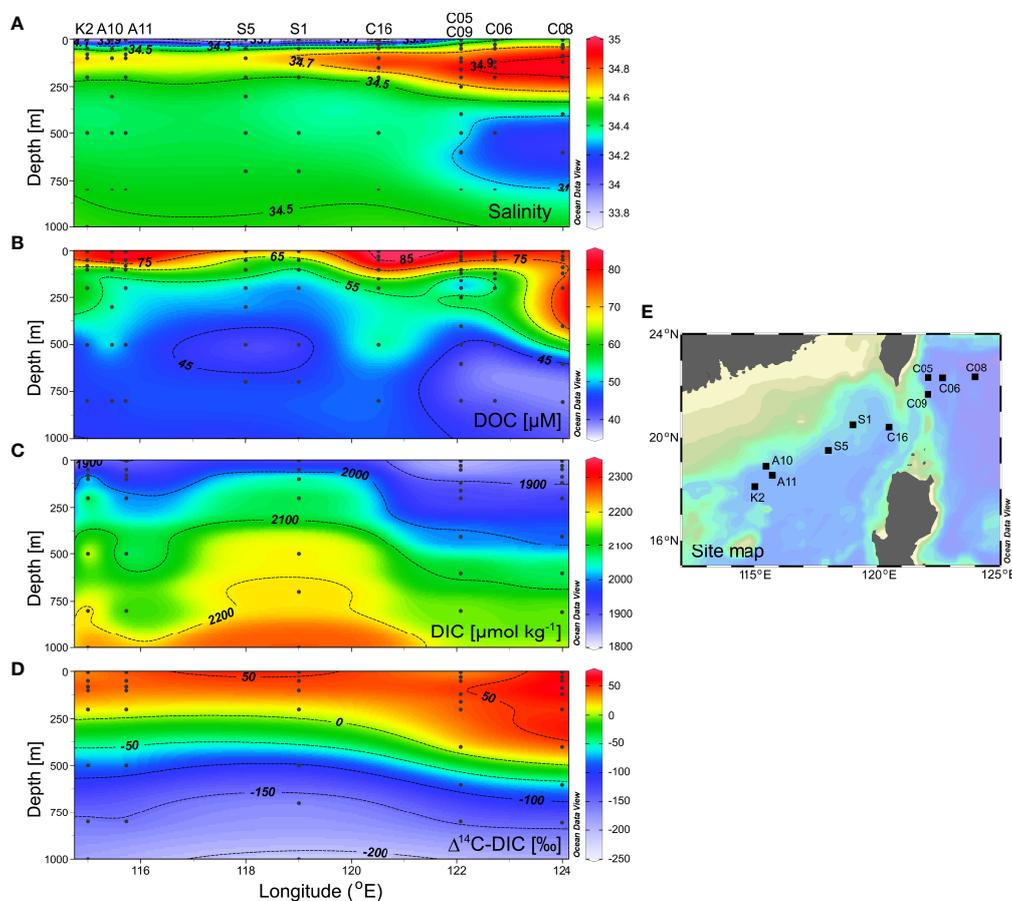


FIGURE 8

Transverse distribution of (A) salinity, (B) DOC concentrations, (C) DIC concentrations and (D)  $\Delta^{14}\text{C}$ -DIC values for (E) stations sampled from west to the east across the Luzon Strait using ODV (Schlitzer, 2017). Note that DIC concentrations and  $\Delta^{14}\text{C}$ -DIC values are provided for only five stations in panel (C, D) due to the lack of data at stations C09, C16, S5 and A10 (Ding et al., 2020).

Given the  $\Delta\text{DOC}$  and the field-measured DOC, we estimated that the bioavailability fraction of DOC in the upper 200–500 m water depth could be in the range of 3–9  $\mu\text{M}$  and account for 6%–20% (average  $13 \pm 5\%$ ) of the total DOC pool at these stations, which was comparable to previous results reported in the slope region of the East China Sea (7%) and the Kuroshio Extension region (8–20%) (Ding et al., 2019).

Below a 1,500 m depth, the DOC concentrations at the stations that were measured during the three cruises were in a narrow range of  $42 \pm 2 \mu\text{M}$ , showing no significant difference among stations and seasons, compared to that of  $41 \pm 2 \mu\text{M}$  on average at stations in the deep western NP. This deep water DOC appeared to be slightly and unusually enriched (approximately 3–4  $\mu\text{M}$ ) in the SCS compared to that found in the NP ( $39 \pm 1 \mu\text{M}$ ) (Feely et al., 2004; Ding et al., 2019; Druffel et al., 2019). The DOC  $^{14}\text{C}$  ages in the deep water ( $\geq 1,500$  m depth) were calculated from  $\Delta^{14}\text{C}$  values at four stations and were plotted against the average deep DOC concentrations of water samples from the northern SCS and other open ocean areas (Figure 9) (Druffel et al., 1992; Druffel and Griffin, 2015; Druffel et al., 2016; Druffel et al., 2019; Ding et al., 2020; Druffel et al., 2021). The  $^{14}\text{C}$  ages of the deep DOC in the northern SCS were observed to be close to the ages of the  $^{14}\text{C}$ -DOC reported for the deep NP, with values of  $\sim 6,000$  years (Druffel et al., 2019; Ding et al., 2020; Druffel et al., 2021) (Wang, unpublished data). However, the SCS values departed from the statistical relationship (black line) in the plot

shown in Figure 9, also indicating enhanced DOC concentrations at water depths below 1,500 m. The excess DOC in the deep SCS ( $\sim 3$ –4  $\mu\text{M}$ ) we observed was similar to that previously reported by Dai et al. (2009) and Wu et al. (2015). Strong fronts due to the Kuroshio intrusion and interactions with SCS water are associated with enhanced water diapycnal mixing, which could promote distinct lateral transport (Tian et al., 2009; Guo et al., 2017). In addition, a recent study revealed that particles (including sinking and suspended particles) could be laterally transported into the deep SCS and directly provide organic carbon to the dark part of the ocean (Shen et al., 2020). Considering that the residence time of the SCS deep water is less than 30 years (Qu et al., 2006), it is possible that this excess DOC in the deep SCS water results from the breakdown or dissolution of laterally transported particles. Analogously, Lopez and Hansell (2021) also reported deep DOC enrichment within the transitional zone in the NP and suggested that hydrographic fronts could stimulate more carbon export to great depths *via* deep-sinking particles and therefore transfer additional DOC into the deep ocean.

## Microbial degradation of DOC

The observed rapid decrease in the DOC concentrations below the euphotic zone in the northern SCS and western NP

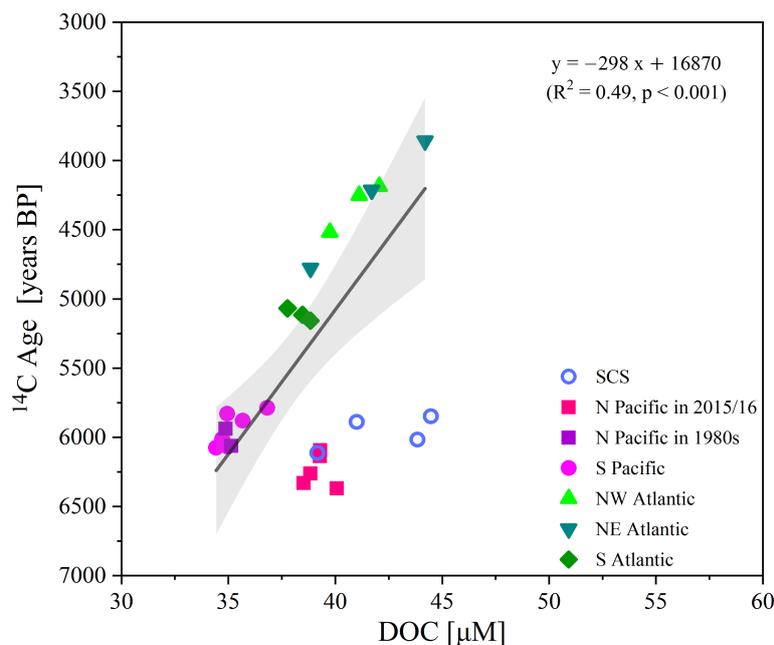


FIGURE 9

Average  $^{14}\text{C}$ -DOC ages versus average DOC concentrations at four stations in the northern SCS and in other open ocean sites in the Pacific and Atlantic. Data derived from the SCS (Ding et al., 2020), NP (Druffel et al., 1992; Druffel et al., 2019; Druffel et al., 2021), South Pacific (Druffel and Griffin, 2015; Druffel et al., 2021), and Atlantic (Druffel et al., 2016). The solid line is the Model II geometric mean regression of all points excluding the SCS, and the gray area marks the 95% confidence interval of the linear regression. The equation is also shown in the figure, with a slope of  $-298 \pm 48$  and a y-intercept of  $16,870 \pm 1,832$ .

clearly indicated that most microbial utilization of DOC took place in the upper water column. For instance, using the changed DOC concentrations in the upper 200 m and the average DOC concentrations (42  $\mu\text{M}$ ) below 1,500 m as the background level of refractory DOC in the deep SCS, we calculated the depth distribution of the fractions of labile DOC, semilabile DOC and refractory DOC based on the concentration gradient with depth in the northern SCS. A portion of the surface DOC (approximately  $31 \pm 5\%$ ) was consumed in the upper 200 m depth, which is comparable to the published bioavailable DOC data in the coastal ocean that concluded that bioavailable DOC accounts for  $22 \pm 12\%$  of the total DOC and the labile DOC fractions (20–40%) of the surface bulk DOC in western North Pacific (Lønborg and Álvarez-Salgado, 2012; Ge et al., 2022). However, most of the DOC, representing 48 to 68% of the surface bulk DOC with a mean value of  $54 \pm 5\%$ , appeared to be biologically resistant and remained in deep waters in the SCS and western NP.

DOC degradation experiments have been conducted to assess the availability of naturally producing substrates to bacterial assemblages and the corresponding changes in bacterial community structure (Carlson et al., 2004; Nelson and Carlson, 2012; Shen and Benner, 2018; Li et al., 2021). Considering that the labile DOC is largely limited to the euphotic zone (Hansell and Carlson, 2001; Carlson et al., 2010) and based on the depth distribution of the DOC fractions in the northern SCS, the refractory DOC fraction dominated (> 90%) the DOC pool in the 700 m and deeper water layers, indicating that the labile and semilabile DOC only constitutes a small fraction (< 10%, approximately  $\leq 5 \mu\text{M}$ ) of the bulk DOC in 700 m and deeper water depth layers. The introduction of labile and semilabile DOC from the mesopelagic and deep seawater could be ignored at a volume/volume ratio of 2:1. The results from our incubation experiments demonstrated that DOC produced in the surface water provided a preferential energy source for deep water bacteria. The bioavailable DOC accounted for a relatively small proportion (approximately 10–13%) and was consumed by microbes over 180 days in the incubation experiments (Figure 4). Most of the DOC ( $\geq 87\%$ ) persisted until the end of the experiments, confirming the current view that most of the DOC is resistant to microbial degradation in the deep ocean (Hansell et al., 2012; Hansell, 2013; Follett et al., 2014; Carlson and Hansell, 2015). However, in a recent study, the bioavailable DOC observed in the treatment of SCS surface filtered seawater inoculated with unfiltered SCS surface seawater was approximately  $3 \mu\text{M}$  and only accounted for a proportion of 5% (Li et al., 2021). In both the two studies, bioavailable DOC is the concentration difference between the initial DOC and the residual DOC at the end of the experiments. The relatively higher bioavailable DOC, therefore, indicated enhanced biodegradations of DOC by the mesopelagic and deep microbes in our experiments, which agrees with the currently accepted assumption that the accumulated DOC at one water depth can become utilized at another depth, although the reasons remain unknown (Carlson

et al., 2004; Carlson et al., 2010; Shen and Benner, 2018; Liu et al., 2022). It is interesting to note that the observed increase in DOC concentrations within 25 days was not detected in previous DOC utilization studies (Carlson et al., 2004; Liu et al., 2020). A notable difference among these studies was the incubation temperature, which was room temperature in the present study, while Carlson et al. (2004) and Liu et al. (2020) used the *in situ* temperatures of mesopelagic and deep waters. When the temperature increased from *in situ* to room temperature, little change in the bacterial community could be found (Zhao et al., 2019). In addition, diverse marine microbes have dual roles as producers and consumers of DOC in the ocean (Lechtenfeld et al., 2015; Shen and Benner, 2018). Lechtenfeld et al. (2015) demonstrated that the remaining DOC at the end of their bioassay experiments was directly or indirectly released from bacteria. Considering that factors such as environmental temperature and microbial assemblages impact the ocean carbon cycle, we propose that the DOC released from marine microbes *via* viral lysis, excretion and other processes (Kawasaki and Benner, 2006; Moran et al., 2022) is expected to increase with increasing environmental temperature during the initial 25 days of incubation. This hypothesis, however, needs to be tested in future research. Nevertheless, the increased DOC within 25 days may also contribute to the enhanced biodegradation of DOC, which is analogous to the priming effect observed when the labile substrates added and increased the removal of DOC in previous bioassay experiments (Shen and Benner, 2020).

It can be clearly seen that the isotopic composition of DOC (both  $^{13}\text{C}$  and  $^{14}\text{C}$ ) varied significantly during the incubation experiments. The remaining DOC at the end of the incubations had more depleted  $^{14}\text{C}$  values ( $-329\%$  and  $-391\%$ ) than did the original DOC ( $-304\%$  and  $-315\%$ ), but these values were approximately  $150\%$  higher than the  $\Delta^{14}\text{C}$ -DOC values in the deep SCS (average  $-525 \pm 15\%$ ). This indicated that the removal of DOC that was characterized by these enriched  $^{14}\text{C}$  values occurred in the water after 180 days of incubation. Our observations agree with previous studies showing that open-ocean bacteria preferentially assimilated modern components of DOC and were enriched in  $^{14}\text{C}$  relative to bulk DOC (Cherrier et al., 1999). Additionally, the  $\delta^{13}\text{C}$ -DOC values at the end of the incubation period were slightly lighter ( $-0.5\%$  and  $-0.7\%$ , respectively) than the original values, but all were within the range of  $-20.5\%$  to  $-23.5\%$  that was recently reported for DOC in the SCS and in other open ocean areas (Druffel et al., 2019; Ding et al., 2020; Druffel et al., 2021). The variations in  $\delta^{13}\text{C}$ -DOC values after 180 days of incubation in a closed system indicated that part of the enriched  $^{13}\text{C}$  constituents of marine autochthonous DOC could be preferentially removed in the microbial degradation processes, leaving behind DOC with depleted  $^{13}\text{C}$  constituents, thus resulting in the residual DOC being relatively depleted in  $\delta^{13}\text{C}$  ( $-22.8\%$  to  $-23.1\%$ ). This finding on the DOC isotopic composition changes ( $\Delta^{14}\text{C}$ -DOC and  $\delta^{13}\text{C}$ -DOC) supports the variations in the DOC concentrations discussed above, where recently produced and accumulated DOC can become utilized at

deeper water depth, although it was not available for microbial utilization at the upper depth.

## Conclusion

The results of our study indicate that the DOC concentrations ranged from 38 to 95  $\mu\text{M}$  in the shelf-slope-basin regions of the northern SCS and western NP in the spring and summer seasons. The large spatial variations in DOC (53 to 95  $\mu\text{M}$ ) in the upper 100 m among the shelf-slope-basin regions in the northern SCS were influenced by a combination of factors, including primary production, terrestrial inputs from the Pearl River and the intrusion of the Kuroshio Current. Compared with the DOC concentrations in the western NP, the diluted DOC concentrations at a mesopelagic depth in the northern SCS basin reflect the primary influence of the mixing process of upwelled deep water. Compared with the DOC levels in the deep Pacific and South Atlantic Oceans, a slight excess of DOC concentrations ( $\sim 3\text{--}4 \mu\text{M}$ ) was observed in the deep water of the SCS.

The results of these incubation experiments indicated that 10–20% of the surface DOC was consumed by mesopelagic and/or deep water bacteria. A distinct change in the isotopic compositions of DOC ( $\Delta^{14}\text{C}\text{-DOC}$  and  $\delta^{13}\text{C}\text{-DOC}$  values) was also simultaneously identified along with shifts in DOC concentrations in response to DOC degradation, indicating that modern and enriched  $^{14}\text{C}/^{13}\text{C}$  constituents of DOC are preferentially removed in the ocean.

## Data availability statement

The original contributions presented in the study are included in the article/[Supplementary Material](#). Further inquiries can be directed to the corresponding author.

## Author contributions

LD and SS collected samples, participated in the sample analysis and data process. CL analyzed the DOC samples. LD wrote the manuscript. XW designed the study and edited the manuscript. All authors provided intellectual input for data

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interpretation and contributed to the article and approved the submitted version.

## Funding

Financial support for this work was provided by the Ocean Program of the National Natural Science Foundation of China (Grant numbers 91858210 and 91428101).

## Acknowledgments

We thank Yuanzhi Qi and Hongmei Zhang for assistance with sample analysis in the laboratory. We also express our thanks to the captains and crew members of R/V *Shiyan 3*, R/V *Dongfanghong 2* and R/V *Haida* for their help during the cruises.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.973694/full#supplementary-material>

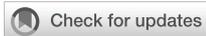
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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 02 May 2022

ACCEPTED 16 August 2022

PUBLISHED 08 September 2022

## CITATION

Liu H, Xie Y, Browning TJ, Xu F and  
Huang B (2022) Phytoplankton  
photophysiology across tropical  
eddies: Deconvolving nutrient, light,  
and community signals.  
*Front. Mar. Sci.* 9:934391.  
doi: 10.3389/fmars.2022.934391

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# Phytoplankton photophysiology across tropical eddies: Deconvolving nutrient, light, and community signals

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Fast repetition rate fluorometry (FRRf) based on active chlorophyll fluorescence is a powerful, noninvasive tool for studying phytoplankton physiological status at high spatial and temporal resolution. The South China Sea (SCS) is one of the largest tropical–subtropical marginal seas in the world, which plays an important role in modulating regional carbon budget and climate. In this study, underway *in situ* FRRf measurements were carried out throughout the outer continental shelf of the northern SCS, the basin of the northern SCS, the cyclonic eddy influenced domain in the western SCS, and the basin of the southeastern SCS. Pronounced diurnal variability of FRRf-derived parameters were observed, characterized by a large midday depression and slight nocturnal depression of the maximum quantum yield of photosystem II ( $F_v/F_m$ ) and a slight increase in the functional absorption cross-section of photosystem II photochemistry ( $\sigma_{PSII}$ ) at noon.  $F_v/F_m$  at the surface was typically as low as 0.1–0.3 and exhibited higher values (~0.4) where internal waves occurred. The cyclonic eddy increased  $F_v/F_m$  slightly, implying that it had a limited impact on surface phytoplankton photophysiology. With proper interpretation, FRRf has been a powerful tool to assess the physiological status of phytoplankton in the sea and to correlate that to ocean dynamics in an unprecedented fine scale.

## KEYWORDS

diel variation, fast repetition rate fluorometry, photoinhibition, nutrient limitation, South China Sea, eddy

## 1 Introduction

Phytoplankton account for around half of global primary production (PP) and play an important role in regulating carbon cycling and climate (Longhurst et al., 1995; Falkowski et al., 1998; Field et al., 1998). In contrast to conventional methods requiring water sampling and incubation (e.g., incubation with  $^{14}\text{C}$  tracer), fast repetition rate fluorometry (FRRf) (Kolber et al., 1998) is an active chlorophyll-*a* (Chl*a*) fluorescence technique rapidly probing phytoplankton physiological and bio-optical parameters *in situ* (Kolber and Falkowski, 1993; Behrenfeld and Kolber, 1999; Behrenfeld et al., 2006; Suggett et al., 2009; Schuback and Tortell, 2019; Zhu et al., 2022). There is significant potential to use FRRf signals to extend observations to much finer spatial and temporal scales than achievable with conventional techniques (Hughes et al., 2018). However, FRRf-derived signals are the result of the interacting influence of both the phytoplankton communities present and their physiological status, which in turn is impacted by environmental forcing (Suggett et al., 2009; Behrenfeld and Milligan, 2013). Their interpretation is therefore not always straightforward, and there is a need for more studies measuring ancillary biological and environmental parameters alongside FRRf in order to deconvolve these signals (Suggett et al., 2009; Behrenfeld and Milligan, 2013).

Phytoplankton growth in the ocean is frequently limited by nutrient availability, particularly in the sunlit surface waters of the low latitude oceans (Moore et al., 2013). Chlorophyll fluorescence measured by FRRf appears to be highly sensitive to nutrient limitation status, with limitation by either iron (Fe) and/or nitrogen (N)—the main limiting nutrients in the current ocean (Moore et al., 2013)—strongly regulating FRRf-derived parameters (Behrenfeld and Milligan, 2013). Specifically, at dawn and dusk when non-photochemical quenching (NPQ) processes are relaxed, values of the maximum quantum yield of photosystem II (PSII),  $F_v/F_m$ , are typically elevated under low N conditions and depressed under elevated N, low Fe conditions (Behrenfeld et al., 2006; Moore et al., 2008; Browning et al., 2014). Furthermore, in the tropical oceans under conditions of low Fe in combination with either low or elevated N,  $F_v/F_m$  values have been observed to show night time decreases from dusk to dawn (Behrenfeld and Kolber, 1999). This has been suggested to be due to cyanobacteria using their photosynthetic apparatus for respiratory electron transfer at night (Campbell et al., 1998), which, under low Fe conditions, leads to a strongly reduced plastoquinone (PQ) pool due to the restricted availability of Fe-rich photosystem I and cytochrome b6f proteins relative to the lower Fe PSII (Behrenfeld et al., 2006; Schrader et al., 2011). Night time reductions of  $\sigma_{\text{PSII}}$  also reflect increased night time reduction in the PQ pool under low Fe conditions (Behrenfeld and Kolber, 1999). Conversely, under Fe replete, N-limited conditions, nocturnal reductions in  $F_v/F_m$  and

functional absorption cross-section of PSII photochemistry ( $\sigma_{\text{PSII}}$ ) are much smaller or absent (Behrenfeld et al., 2006). Therefore, in addition to discrete measurements of  $F_v/F_m$  and  $\sigma_{\text{PSII}}$  made at any given time point, diurnal patterns in continuous active chlorophyll fluorescence could provide rich information for mapping nutrient stress status, specifically *via* distinguishing Fe, N, or N–Fe (co-)limitations (Behrenfeld and Milligan, 2013).

Independent of nutrient limitation, changes in  $F_v/F_m$  can also be related to phytoplankton community structure, with smaller values of  $F_v/F_m$  linked to increased antenna size of PSII, which has been found to be the case for small eukaryotic phytoplankton relative to large species in laboratory cultures (Suggett et al., 2009). Furthermore, strong decreases in  $F_v/F_m$  are associated with elevated light levels (reflecting light intensity that exceeds photosynthetic demand) (Wei et al., 2020). The suite of mechanisms downregulating  $F_v/F_m$  at elevated light levels are collectively termed non-photochemical quenching (NPQ), which can be reversed over timescales of seconds to hours upon exposure of phytoplankton to darkness (Falkowski and Raven, 2007).

The South China Sea (SCS) is the largest marginal sea of the North Pacific. Mesoscale physical processes, such as eddies, occur frequently in different parts of the SCS, significantly impacting nutrient distributions and carbon cycling (Xiu et al., 2010; Xiu and Chai, 2011; Jiao et al., 2014; Li et al., 2017; Zhang et al., 2020). These physical processes result in distinct patterns of phytoplankton community structure (Huang et al., 2010; Wang et al., 2016; Wang et al., 2018), PP (Ning et al., 2004; Liao et al., 2021) and supposedly physiology (Liao et al., 2021). Cyclonic eddies (CEs) occur frequently during summer in the western SCS, driving a shoaling of the nitracline depth to as shallow as 20 m relative to ~70 m in the background state (Jiao et al., 2014). Chl*a* biomass of diatoms and *Synechococcus* alongside overall rates of PP have been observed to rise significantly inside CE as a consequence of enhanced nutrient supply (Wang et al., 2016; Liao et al., 2021). In contrast, the effects of anticyclonic eddies (ACEs) on phytoplankton community structure are more diverse, with ACE leading to convergence of low nutrient surface waters leading to the community structure inside ACE being similar to that of surrounding waters (Huang et al., 2010). In addition, sub-mesoscale upwelling at the edge of ACE has been shown to drive a modest effect of increasing the Chl*a* biomass of diatoms and haptophytes (Wang et al., 2018).

So far, studies of eddies in the SCS accompanying measurements of photophysiology have not been made. However, other studies have indicated that nutrient availability impacts photophysiology in this region (Jin et al., 2016; Xie et al., 2018). Using diel FRRf measurements, Jin et al. (2016) investigated phytoplankton physiology at local noon in the northern SCS, showing decreasing  $F_v/F_m$  values from the coast

to central basin and increasing values from the surface to deep. Furthermore, Xie et al. (2018) measured the diel cycle of  $F_v/F_m$  for a natural assemblage of *Prochlorococcus*, the most abundant phytoplankton class in the SCS, and related the observed diel variability to nutrient stress. Here, we undertook an FRRf survey over a much larger area of the SCS than in these previous studies, ranging from the outer continental shelf to the entire basin and crossing CE and ACE features, and complementing these measurements with diagnostic pigment analyses to aid with deconvolving nutrient, community, and light effects on  $F_v/F_m$  and  $\sigma_{PSII}$ .

## 2 Materials and methods

### 2.1 Underway FRRf measurements

Observations were made during cruise KK1808 onboard the R/V *Tan Kah Kee* (18 September–14 October 2018). Underway FRRf measurements were conducted with a FastOcean coupled with an Act2 Laboratory System (Chelsea Technologies, UK). The ship's underway system collected seawater continuously from a depth of ~5 m, which then transitioned through a ~50-m dark pipe before flowing into the Act2 System. The dark acclimation period from water entering the vessel to FRRf measurement was estimated to last approximately 5 min, which is similar to that of Behrenfeld and Kolber (1999). The FRRf was set to perform acquisitions using 100 blue excitation (450 nm) flashlets with 2-ms intervals. Fluorescence transients were fit within the manufacturers' software (ActRun) to yield the initial fluorescence yield ( $F_o$ ), maximum fluorescence yield ( $F_m$ ), and  $\sigma_{PSII}$ . Blank fluorescence of deionized water (Milli-Q) samples was subtracted from raw  $F_o$  and  $F_m$  values and  $F_v/F_m$  recalculated.

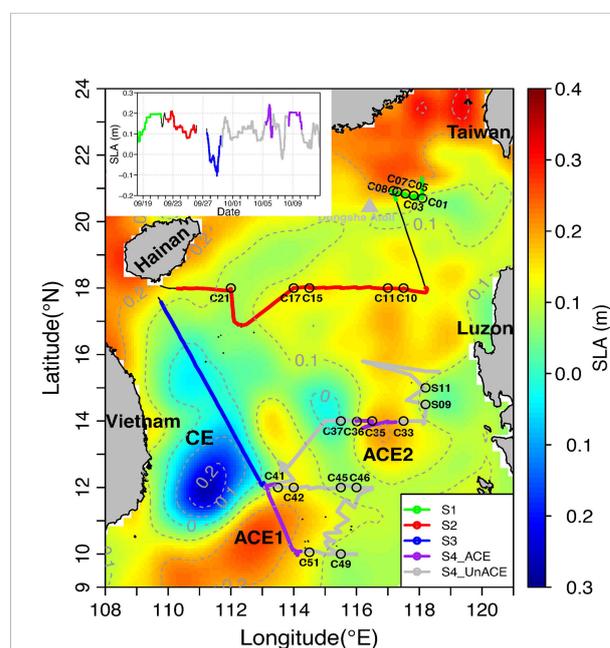
### 2.2 Continuous shipboard measurements and satellite data products

Time and geographic coordinates were recorded by the shipboard geographical positioning system (GPS). The time was converted to local time, allowing alignment of light–dark periods. Sea-surface temperature (SST) and salinity were continuously measured by an SBE21 CTD (Sea-Bird Electronics, USA). The FastOcean plus Act2 Laboratory System measured fluorescence-based chlorophyll *a* concentrations ( $Chla^{FRRf}$ ), which was then calibrated against high-performance liquid chromatography (HPLC)-determined concentrations of chlorophyll *a* pigments. To characterize the mesoscale eddies in the study area, daily, 1/4° gridded sea level anomaly (SLA) data between 8 September and 14 October 2018 were downloaded from the Copernicus Marine and Environment Monitoring Service (CMEMS)

(available from: <http://marine.copernicus.eu/>). In addition, a 10-min resolution instantaneous photosynthetically available radiation (iPAR,  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ) was extracted from Himawari-8 level 2 products (available from: <https://www.eorc.jaxa.jp/ptree/>), and daily integrated surface PAR data ( $\text{mol quanta m}^{-2} \text{day}^{-1}$ ) were extracted from the standard MODIS-Aqua Level-3 products at 4-km pixel resolution (available from: <http://oceancolor.gsfc.nasa.gov/>). Each *in situ* underway measurement was matched with a daily SLA, iPAR, and PAR dataset using the closest pixel of the respective satellite products. Himawari-8-derived iPAR values were consistent with *in situ* measurement derived from PAR sensor mounted in the CTD with  $p < 0.01$  and  $r = 0.87$  (Supplementary Figure S1).

### 2.3 Pigments and chemotaxonomic analysis

Seawater samples were collected with Niskin bottles from discrete depths at the stations (Figure 1). Seawater (4–6 L) at



**FIGURE 1**  
Map of sampling stations based on the average sea level anomaly (SLA) from 18/09/2018 to 14/10/2018. The top left box indicates the matched daily sea level anomaly (SLA) of the cruise track. The black dots were stations with phytoplankton pigment survey during the cruise. The color lines were the cruise track, which can be divided into four transects: S1 was selected as the continental shelf of northern SCS; S2 referred to the basin of northern SCS; S3 located in the western SCS where cyclonic eddies occurred; S4 distributed in the basin of southeastern SCS. CE, cyclonic eddy; ACE, anticyclonic eddy. S1, S2, S3, S4\_ANCE (through ACE1 and ACE2), and S4\_UnANCE (outside ACE1 and ACE2) were in green, red, blue, purple, and gray, respectively.

each depth was filtered onto a 25-mm diameter GF/F glass fiber filter under gentle vacuum (<75 mmHg) and stored in liquid nitrogen until analysis. In the laboratory, filters were immersed in N, N-dimethylformamide for pigment extraction. Extracts were analyzed with an UltiMate 3000 HPLC system (Thermo Fisher Scientific, USA) calibrated with pigment standards (DHI Water & Environment, Denmark) following the procedure of Furuya et al. (2003).

Thirteen pigments detected included chlorophyll *c*2, chlorophyll *c*3, peridinin, 19'-butanoyloxyfucoxanthin, fucoxanthin, neoxanthin, prasinoxanthin, 19'-hexanoyloxyfucoxanthin, violaxanthin, diadinoxanthin, alloxanthin, diatoxanthin, zeaxanthin, lutein, chlorophyll *a*, chlorophyll *b*, divinyl chlorophyll *a*,  $\alpha$ -carotene, and  $\beta$ -carotene. CHEMTAX software was then used to estimate the contribution of different phytoplankton groups to total chlorophyll *a* (the sum of chlorophyll *a* and divinyl chlorophyll *a*) (Mackey et al., 1996). The initial input matrix of ratios of diagnostic pigments to total chlorophyll *a* was identical to the input matrix used in previous studies in the South China Sea (Wang et al., 2015; Xiao et al., 2018). Successive runs were done for each group to gain convergence between input and output ratios according to the CHEMTAX protocols described by Latasa (2007). Nine phytoplankton groups were computed, including Dinoflagellates (Dino), Diatoms (Diat), Type-8 Haptophytes (Hapt\_8), Type-6 Haptophytes (Hapt\_6), Cryptophytes (Cryp), Chlorophytes (Chlo), Prasinophytes (Pras), *Synechococcus* (*Syne*), and *Prochlorococcus* (*Proc*), and we used only surface phytoplankton information in this study. In addition, the carotenoids were separated into photosynthetic carotenoids (PSCs) and photo-protective carotenoids (PPCs). The former includes peridinin, 19'-butanoyloxyfucoxanthin, fucoxanthin, and 19'-hexanoyloxyfucoxanthin. The latter includes violaxanthin, diadinoxanthin, alloxanthin, diatoxanthin, zeaxanthin,  $\beta\beta$ - +  $\beta\epsilon$ -carotene, and lutein (Barlow et al., 2007).

## 2.4 Statistical analysis

A one-way ANOVA was used for statistical analysis to compare the difference between environmental and FRRf-derived parameters among sections, Tukey's HSD test was performed for post-hoc test for multi-comparisons. In order to deconvolve light signal and other factors for  $F_v/F_m$ , a simple model was constructed. In the model,  $F_v/F_m$  is a linear function of iPAR, and the constant of the linear function represents influence of other factors together, but different regions have different constants for their own nutrient conditions; in addition, a two-way ANOVA was conducted in the beginning and determined that both light and region were significant factors and the slope of the linear function is invariant for different regions (because of no interaction effect between light and region, Supplementary Table S1). All statistical analyses were performed using open source statistical software R version 3.6.0 (R Development Core Team, 2016). Figures were plotted using Ocean Data View 5 (Schlitzer, 2019) and R software.

## 3 Results

Clear variations in hydrographic properties and SLA during the cruise were observed (Figures 1, 2). Chlorophyll fluorescence was relatively elevated in the northern SCS continental shelf transect selected as S1. Relatively lower SST and higher salinity were observed around 18° SCS and was named S2. SLA images identified the positions of one cyclonic eddy (CE) and two anticyclonic eddies (ACEs, ACE1, and ACE2) that our cruise passed through. Accordingly, we then classified S3, S4\_ ACE, and S4\_UnACE (regions outside of the eddies in S4) into different water masses in the western and southeastern SCS (Figure 1). A principal component analysis (PCA) was performed to segment the underway dataset based on biological and environment factors (Figure 3). The first two principal components

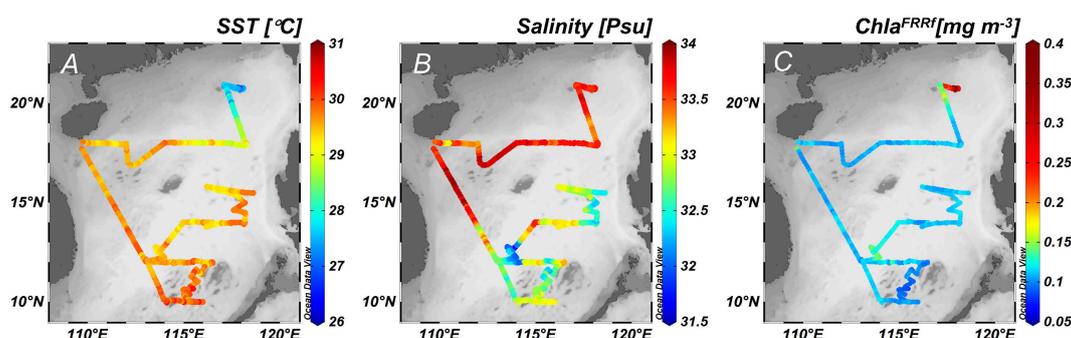


FIGURE 2 Underway surface sea temperature (SST) (A), surface salinity (B), and surface fluorescence ( $Chla^{FRRf}$ ) (C) during the cruise.

accounted for more than 60% of the total variation, with the first principal component driven by variations in temperature and chlorophyll fluorescence and the second by SLA (Figure 3). The groups distinguished based on chlorophyll fluorescence, SST, and SLA accordingly separated within PC1–PC2 space.

### 3.1 The shelf edge of the northern SCS (Section S1)

Section S1 was located at the edge of the northern continental SCS shelf close to the Dongsha Atoll (Figure 1). Section S1 had the lowest SST and highest  $\text{Chla}^{\text{FRRf}}$  of the survey (Figure 2; Table 1). Ranges of SST and  $\text{Chla}^{\text{FRRf}}$  along this section varied from 26.7°C to 28.0°C and from 0.12 to 0.51  $\text{mg m}^{-3}$ , respectively, but salinity showed small variability (33.4–33.76 psu; Figures 2, 5). Phytoplankton compositions in this region were also distinct, with higher proportions of Prasinophytes (6%–15%) ( $p < 0.01$ ), Type-8 Haptophytes (9%–19%) ( $p < 0.01$ ), and Diatoms (0%–15%) ( $p < 0.05$ ) and lower proportions of *Prochlorococcus* (18%–30%) ( $p < 0.05$ ) than the other sections (t-test for significantly different means; Figure 4). Between stations C01 and C03, SST declined by  $\sim 0.8^\circ\text{C}$ , and  $\text{Chla}^{\text{FRRf}}$  showed a synchronous peak (Figure 5C). Although C03 was not at the center of SST minimum,  $\text{Chla}^{\text{HPLC}}$  was the highest observed ( $0.4 \text{ mg m}^{-3}$ ), and the diatom percentage contribution

to total chlorophyll-a was also the highest for the cruise (15%). Following C03,  $\text{Chla}^{\text{HPLC}}$  gradually decreased landwards, while the proportions of Prasinophytes and Diatoms decreased but *Synechococcus* and *Prochlorococcus* increased (Figure 4).

A peak of  $F_v/F_m$  up to 0.40 matched the SST minimum, closely corresponding with  $\text{Chla}^{\text{FRRf}}$  (Figure 5C). Besides this peak,  $F_v/F_m$  values were relatively low ( $< 0.3$ ). The PSII functional absorption cross-section ( $\sigma_{\text{PSII}}$ ) remained relatively constant (mean of  $701 \text{ \AA}^2$ ; Figure 5C). Generally,  $F_v/F_m$  exhibited maxima at sunrise ( $\sim 0.30$ ) and sunset ( $\sim 0.24$ ) with depressions at midday ( $\sim 0.20$ ) and at about 22:00 ( $\sim 0.27$ ). By contrast, diel variability in  $\sigma_{\text{PSII}}$  ( $615\text{--}870 \text{ \AA}^2$ ) was relatively minor although demonstrated an afternoon increase (Figure 5E).

### 3.2 The northern basin (Section S2)

Section S2 defined the  $18^\circ\text{N}$  transect from  $118^\circ\text{E}$  to  $110^\circ\text{E}$  (Figure 1). SLA showed higher values in the eastern part of the transect, contrasting with lower values around C21 (Figure 1). SST between  $28.7^\circ\text{C}$  and  $30^\circ\text{C}$  was observed and increased gradually during the daytime in the area until reaching  $114^\circ\text{E}$  (Figure 6). Salinity increased generally from 33.5 to 33.9 psu until a sharp drop to 33.3 psu before the station C21 and then a further reduction to 33.0 near Hainan Island (Figures 2, 6B). Both  $\text{Chla}^{\text{FRRf}}$  ( $0.10\text{--}0.12 \text{ mg m}^{-3}$ ) and  $\text{Chla}^{\text{HPLC}}$  ( $\sim 0.08 \text{ mg m}^{-3}$ ) were lower than S1 (Figure 6C, Table 1), and phytoplankton compositions were *Synechococcus* (37%–57%) and *Prochlorococcus* (32%–51%) dominated (Figure 4).

Compared with Section S1,  $F_v/F_m$  (0.10–0.30) and  $\sigma_{\text{PSII}}$  ( $416\text{--}799 \text{ \AA}^2$ ) values in S2 were significantly lower ( $p < 0.01$ ) (Table 1). However, the fluctuation of diel patterns of  $F_v/F_m$  in S2 were more pronounced, showing lower peaks at sunrise ( $\sim 0.27$ ) and sunset ( $\sim 0.23$ ) and pronounced midday ( $\sim 0.14$ ) and more modest night time ( $\sim 0.20$ ) reductions (Figure 6D). Furthermore,  $\sigma_{\text{PSII}}$  showed a clear diel pattern, with a pronounced midday maximum that was approximately 1.4-fold higher than night time values (Figure 6E).

### 3.3 The eddy domain of the western SCS (Section S3)

Section S3 started from Hainan Island heading southeast, intersecting the edge of a cyclonic eddy to the east of Vietnam (Figure 1). Along this section, SLA were depressed ( $-0.07\text{--}0.13 \text{ m}$ ), and within the vicinity of the cyclonic eddy, SST ranged  $29.3^\circ\text{C}\text{--}30^\circ\text{C}$  (Table 1; Figure 7B).  $\text{Chla}^{\text{FRRf}}$  remained relatively constant ( $\sim 0.10 \text{ mg m}^{-3}$ ) (Figure 7C; HPLC samples not collected).

Values of  $F_v/F_m$  were significantly higher than S2 and S4 ( $p < 0.01$ ), varying between 0.14 and 0.32 in the cyclonic eddy (Table 1).  $F_v/F_m$  showed a clear diel pattern, with higher

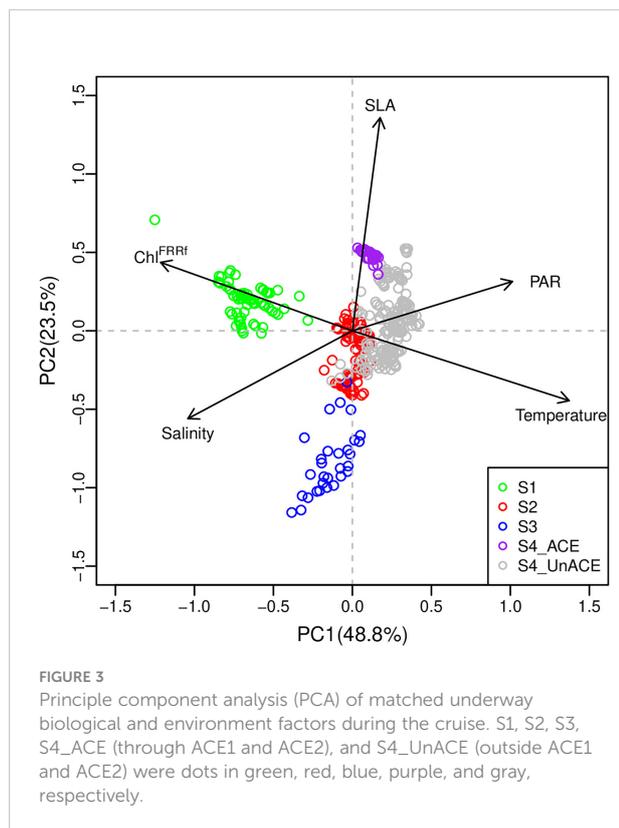


TABLE 1 Mean  $\pm$  SD of surface environment conditions and FRRF-derived parameters performance in the SCS along the cruise.

Location	Northern SCS		Western SCS	South Eastern SCS	
	Shelf (S1) 95	Basin (S2) 130	CE (S3) 57	ACE1 and ACE2 (S4) 84	Outside ACE1 and ACE2 (S4) 318
Temperature ( $^{\circ}\text{C}$ )	27.38 $\pm$ 0.28 <sup>a</sup>	29.32 $\pm$ 0.28 <sup>b</sup>	29.68 $\pm$ 0.17 <sup>c</sup>	29.53 $\pm$ 0.26 <sup>d</sup>	29.66 $\pm$ 0.28 <sup>c</sup>
Salinity (psu)	33.64 $\pm$ 0.08 <sup>a</sup>	33.61 $\pm$ 0.21 <sup>a</sup>	33.38 $\pm$ 0.46 <sup>b</sup>	32.98 $\pm$ 0.21 <sup>c</sup>	32.72 $\pm$ 0.37 <sup>d</sup>
Chl $a^{\text{FRRF}}$ ( $\text{mg m}^{-3}$ )	0.24 $\pm$ 0.10 <sup>a</sup>	0.11 $\pm$ 0.01 <sup>b</sup>			
SLA (m)	0.12 $\pm$ 0.04 <sup>a</sup>	0.13 $\pm$ 0.03 <sup>a</sup>	0.01 $\pm$ 0.05 <sup>b</sup>	0.21 $\pm$ 0.04 <sup>c</sup>	0.13 $\pm$ 0.04 <sup>a</sup>
PAR ( $\text{mol quanta m}^{-2} \text{ day}^{-1}$ )	41.70 $\pm$ 6.91 <sup>a</sup>	52.45 $\pm$ 3.07 <sup>b</sup>	44.29 $\pm$ 7.02 <sup>a</sup>	52.92 $\pm$ 0.58 <sup>b</sup>	52.65 $\pm$ 4.46 <sup>b</sup>
$F_v/F_m$	0.23 $\pm$ 0.06 <sup>a</sup>	0.20 $\pm$ 0.04 <sup>b</sup>	0.24 $\pm$ 0.04 <sup>a</sup>	0.15 $\pm$ 0.05 <sup>c</sup>	0.17 $\pm$ 0.05 <sup>d</sup>
$F_v/F_m$ (T=dawn)	0.29 $\pm$ 0.07 <sup>a</sup>	0.26 $\pm$ 0.03 <sup>a</sup>	0.27 $\pm$ 0.03 <sup>a</sup>	0.18 $\pm$ 0.04 <sup>b</sup>	0.21 $\pm$ 0.05 <sup>b</sup>
$F_v/F_m$ (T=midnight)	0.27 $\pm$ 0.05 <sup>a</sup>	0.21 $\pm$ 0.02 <sup>b</sup>	0.23 $\pm$ 0.02 <sup>ab</sup>	0.16 $\pm$ 0.04 <sup>c</sup>	0.17 $\pm$ 0.04 <sup>c</sup>
$F_v/F_m$ (T=noon)	0.17 $\pm$ 0.05 <sup>a</sup>	0.14 $\pm$ 0.02 <sup>ab</sup>	0.18 $\pm$ 0.02 <sup>a</sup>	0.09 $\pm$ 0.01 <sup>c</sup>	0.13 $\pm$ 0.04 <sup>b</sup>
$\sigma_{\text{PSII}}$ ( $\text{\AA}^2$ )	714 $\pm$ 70 <sup>a</sup>	547 $\pm$ 77 <sup>b</sup>	508 $\pm$ 41 <sup>c</sup>	437 $\pm$ 101 <sup>d</sup>	484 $\pm$ 77 <sup>c</sup>

The superscript labels a–d implied significant difference at the level of  $p < 0.05$  using one-way ANOVA (SLA, sea level anomaly; PAR, daily integrated photosynthetically available radiation at the surface).

sunrise ( $\sim 0.29$ ), sunset ( $\sim 0.29$ ), and lower midday ( $\sim 0.17$ ) and night ( $\sim 0.23$ ) values (Figure 7D; Table 1). Values of  $\sigma_{\text{PSII}}$  remained relatively constant (421–587  $\text{\AA}^2$ ) in the cyclonic eddy, with a small increase around midday (Figure 7E).

### 3.4 The southeastern basin (Section S4)

This section intersected anticyclonic eddies ACE1 and ACE2 (S4\_ACE) with regions outside of the eddies defined as S4\_UnACE (Figure 1). Salinity varied between 32.0 and 33.3 psu and SST between 29.2 $^{\circ}\text{C}$  and 30.6 $^{\circ}\text{C}$ . The map showed that this section, particularly outside the ACEs, was characterized by the lowest salinity among all sections (Figure 2B). Chl $a^{\text{FRRF}}$  remained relatively constant (0.11–0.12  $\text{mg m}^{-3}$ ) but increased ( $\sim 0.14 \text{ mg m}^{-3}$ ) on the outside of ACE1 associated with a salinity decrease ( $\sim 32.0$  psu). Two abrupt changes in Chl $a^{\text{FRRF}}$  were observed at the edge of ACEs, with Chl $a^{\text{FRRF}}$  increasing by  $\sim 0.03 \text{ mg m}^{-3}$ . Across ACE2, SST and salinity were relatively constant (29.3 $^{\circ}\text{C}$ –29.7 $^{\circ}\text{C}$ ; salinity,  $\sim 33.1$  psu), while Chl $a^{\text{FRRF}}$  gradually declined from 0.14 to 0.10  $\text{mg m}^{-3}$  (Figure 8). *Synechococcus* and *Prochlorococcus* together were the largest contributor to Chl $a$  throughout (Figure 4).

Ranges of  $F_v/F_m$  inside and outside the ACEs were 0.07–0.26 and 0.07–0.32, respectively. Smoothed  $F_v/F_m$  curves showed that the sunrise ( $\sim 0.19$ ) and sunset values ( $\sim 0.17$ ) inside the ACEs were similar with those outside ( $\sim 0.21$  and  $\sim 0.19$ ), but those curves overlooked spatial variations. For example, the ACE1 had apparent higher sunrise and sunset  $F_v/F_m$  than the ACE2. Nevertheless, the smoothed curves did capture the midday minimum (0.09  $\pm$  0.01) inside the ACEs, which was lower than those outside (0.13  $\pm$  0.04) (Figure 8D; Table 1). The values of  $\sigma_{\text{PSII}}$  were the lowest of the cruise and varied between 64 and 663

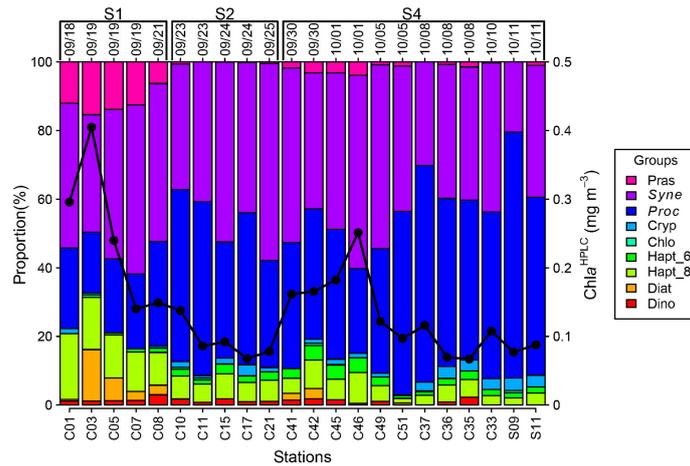
$\text{\AA}^2$  (Table 1), and the diel patterns showed a small increase in  $\sigma_{\text{PSII}}$  in the afternoon both inside and outside ACEs (Figure 8E).

### 3.5 Comparisons between and within sections

Section S1 was distinct to other sections and characterized by lower SST of 27.4  $\pm$  0.3 $^{\circ}\text{C}$  and higher Chl $a^{\text{FRRF}}$  of 0.24  $\pm$  0.10  $\text{mg m}^{-3}$  (Table 1). Section S1 was heterogeneous with the abrupt bump of  $F_v/F_m$  at the first night (Figure 5). Sections S2 and S3 were relatively homogeneous;  $F_v/F_m$  were consistently higher in Section S3 than those in Section S2, although the differences were quantitatively small (Table 1). Moreover,  $\sigma_{\text{PSII}}$  in Section S2 had a significant increase in the afternoon, which was not apparent in Section S3 (Figure 6). The average dawn  $F_v/F_m$  of sections S1–S3 were all smaller than 0.3 (0.26–0.29) but still higher than that in Section S4 (0.18 and 0.21 inside and outside ACEs, respectively). Corresponding to similar iPAR at noon, ACEs revealed significantly lower noon  $F_v/F_m$  (0.09  $\pm$  0.01) than that of S4\_UnACE. In general,  $F_v/F_m$  experienced <20% reduction at midnight but up to 50% at noon, by using the dawn value as the reference.

## 4 Discussion

Our results of the active fluorescence measurements in the SCS may reflect diurnal variations in FRRF-derived parameters and spatial changes in photophysiology of phytoplankton assemblages and their taxonomic compositions related to environmental forcing (Behrenfeld et al., 2006; Suggett et al., 2009). Therefore, it was

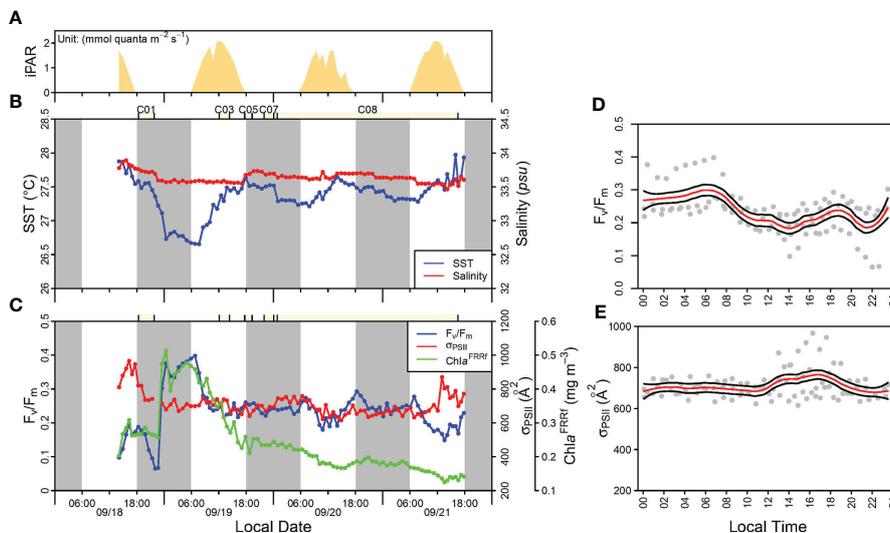


**FIGURE 4**  
The concentration of total Chl *a* ( $Chl a^{HPLC}$ ) and contributions of different phytoplankton groups during the cruise. Dino, Diat, Hapt\_8, Hapt\_6, Chlo, Cryp, Proc, Syne, and Pras are the abbreviations for Dinoflagellates, Diatoms, Haptophytes (type 8), Haptophytes (type 6), Chlorophytes, Cryptophytes, *Synechococcus*, *Prochlorococcus*, and Prasinophytes.

challenging to compare any instantaneous  $F_v/F_m$  measurement between regions (Supplementary Figure S2). We first discuss the influence of phytoplankton composition on FRRF-derived parameters and typical diurnal patterns over the entire SCS, followed by a discussion on effects of mesoscale eddy structure on phytoplankton photophysiology within the SCS.

### 4.1 Challenge 1: Understudied photophysiology of prokaryotic phytoplankton

Suggett et al. (2009) showed from active fluorescence data for phytoplankton cultures in previous studies that there was a negative relationship between (optimal)  $F_v/F_m$  and  $\sigma_{PSII}$  across



**FIGURE 5**  
The incident irradiance (A); environment conditions including SST and salinity (B);  $F_v/F_m$ ,  $\sigma_{PSII}$ , and  $Chl a^{FRRF}$  (C); diel pattern of  $F_v/F_m$  (D); and diel pattern of  $\sigma_{PSII}$  (E) for the continental shelf of the northern SCS (Section S1). Light yellow, white, and gray-shaded area indicate the HPLC sample station and local daytime and nighttime, respectively. The red lines in panels (D) and (E) are smoothed using the loess method (span = 0.3), while the black lines represent the regression values  $\pm$  SE.

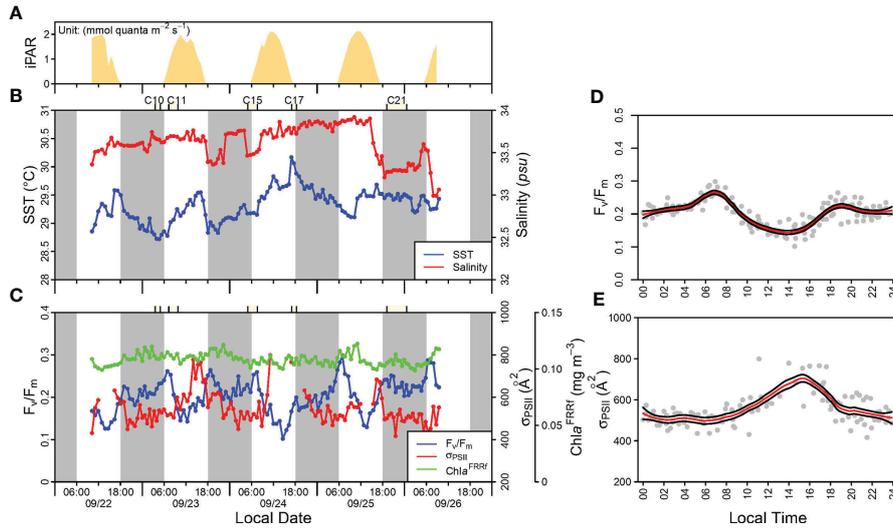


FIGURE 6 Same as Figure 5 but for basin of the northern SCS (Section S2).

eukaryotic taxa. The relationship was explained by the distinct light absorption and excitation energy transfer for each taxon and their energetic interpretation, which were likely related to selective pressure during phytoplankton evolution. However, in this study, no relationship was found between the two measurements (Figure 9A) despite that bulk  $\sigma_{PSII}$  actually reflected the relative amount of light-harvesting pigments (which was governed by phytoplankton composition) (Figures 9B, C). Assuming that  $F_v/F_m$  of eukaryotic

phytoplankton in the SCS was also taxonomic dependent, perhaps the lack of a negative relationship was due to the contribution by both FRRF parameters from *Synechococcus* predominated in the SCS surface waters. This is because *Synechococcus* have relatively low  $\sigma_{PSII}$  (at blue excitation waveband) and  $F_v/F_m$ , attributed to their light absorption maxima being more shifted to “green” and the fluorescence from their relatively abundant PSI and phycocyanin, which disturbs PSII fluorescence signal (Raateoja et al., 2004). On the

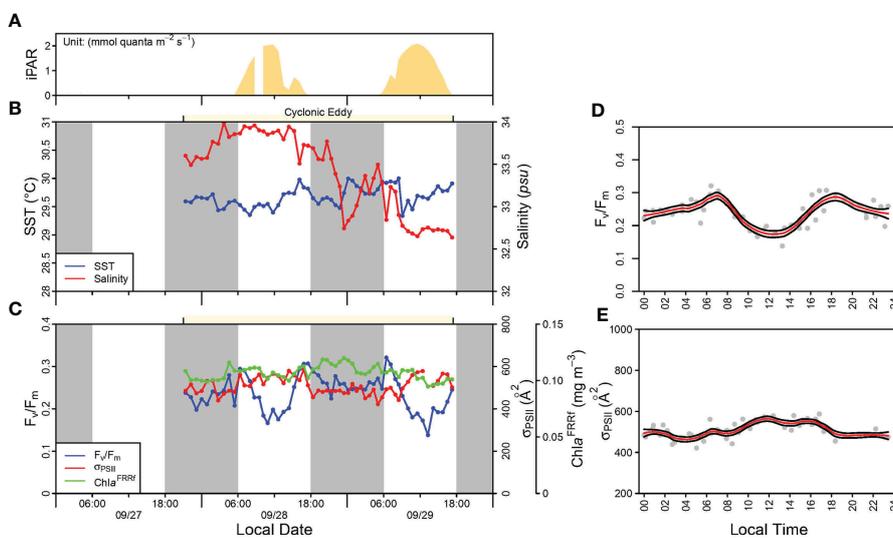


FIGURE 7 Same as Figure 5 but for eddy domains in the Western SCS (Section S3).

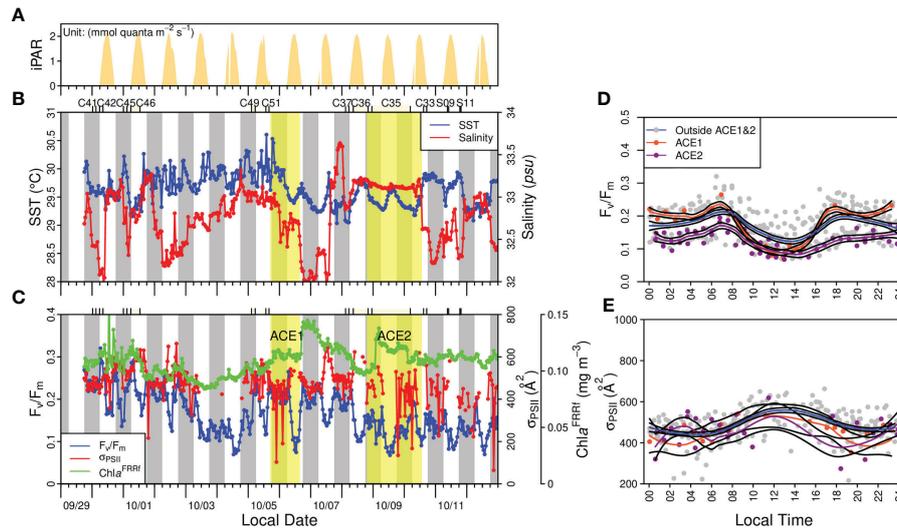


FIGURE 8 Same as Figure 5 but for the basin of the southeast SCS (Section S4).

other hand, *Prochlorococcus*, another dominant species of SCS, has been reported to have different  $F_v/F_m$  and  $\sigma_{PSII}$  than *Synechococcus* (Raateoja et al., 2004; Six et al., 2007; Suggett et al., 2009), probably due to distinct pigment compositions with predominantly divinyl chlorophyll (Ting et al., 2002). In any case, the lack of a negative  $F_v/F_m$  versus  $\sigma_{PSII}$  relationship as expected from taxonomic changes for eukaryotic phytoplankton was partly due to the dominance of *Synechococcus*, whose parameters do not follow it.

Meanwhile, the low drawn  $F_v/F_m$  below 0.3 may be related to the dominance of *Synechococcus*. This is because *Synechococcus* are known to overexpress iron-stress-induced chlorophyll binding protein IsiA under Fe-limited conditions, whereby the

extra fluorescence from the IsiA supercomplexes detached to reaction centers would reduce apparent  $F_v/F_m$  (Schrader et al., 2011). Antenna proteins similar with IsiA are also found in low-light ecotypes of *Prochlorococcus* (Bibby et al., 2003). However, this hypothesis of Fe deficiency in surface waters of the SCS basin appears unlikely, as the measured nitrate concentrations were 0.002–0.2  $\mu\text{M}$  and previously reported Fe concentrations for this region were 0.2–0.3 nM (Wu et al., 2003; Wen et al., 2022), by assuming a threshold of 10:1 N:Fe ratio ( $\mu\text{M}:\text{nM}$ ) for Fe limitation (Browning et al., 2017). Perhaps more likely is that absolute values of  $F_v/F_m$  are influenced by the active fluorescence measurement protocol and the instrument used and as such should be evaluated with caution (as discussed in Section 4.2).

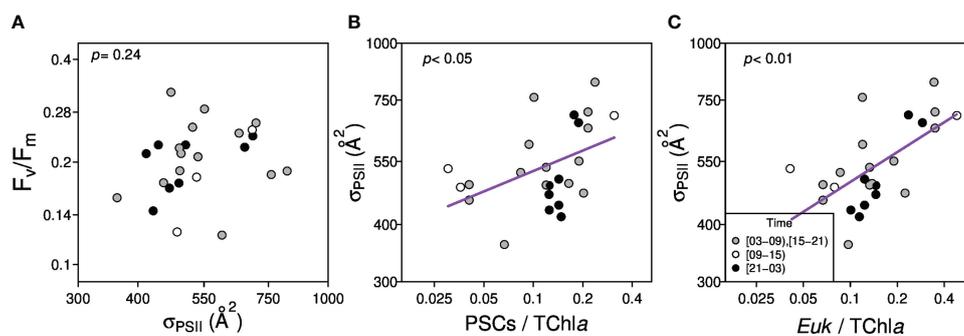


FIGURE 9 The relationship between  $F_v/F_m$  and  $\sigma_{PSII}$  (A);  $\sigma_{PSII}$  and photosynthetic carotenoids (PSCs)/TChl *a* (B);  $\sigma_{PSII}$  and *Euk*/TChl *a* (C). The color represents the time period with the legend in panel (C). *Euk* was short for eukaryotic phytoplankton.

## 4.2 Challenge 2: Widespread diel pattern of $F_v/F_m$ throughout the SCS

Diel variability of  $F_v/F_m$  was observed throughout the SCS, with midday minima, maxima at dawn and dusk, and a slight nocturnal decrease (Figures 5–8). This pattern has been observed previously in the central SCS (Xie et al., 2018) and the coastal SCS (Xu et al., 2020; Mai et al., 2021) and several other studies elsewhere in the tropical ocean (Behrenfeld et al., 2006; Mackey et al., 2008; Doblin et al., 2011; Browning et al., 2017). The magnitude of midday minima can vary with incident PAR at noon and the duration of dark acclimation. In this study, the 5-min dark acclimation did not provide sufficient time for phytoplankton to relax the slow NPQ components, which could last for tens of minutes to hours. Furthermore, any repair of damaged PSII reaction centers also requires longer timescales. The sustained quenching to chlorophyll fluorescence led to a lower dark-acclimated  $F_m$  and  $F_v/F_m$  than it would be with a longer dark acclimation timescale (Supplementary Figure S3). The decline in  $F_v/F_m$  relative to its dawn value was positively correlated with the incident PAR (Supplementary Figure S4), suggesting the photoprotective nature of NPQ.

In general, it is expected that the nocturnal reduction in  $F_v/F_m$  is due to PQ pool reduction by “chloro-respiration” in the dark and is thought to depend on the degree of Fe stress that would deplete photosynthetic components [such as cytochrome b6f and photosystem I (PSI)] on the acceptor side of the electron transfer chain (Behrenfeld and Milligan, 2013). The nocturnal reduction in the SCS was up to ~20% (in Section S4), which is smaller than the nocturnal reduction of >25% seen in Fe-limited surface waters in the equatorial Pacific reported by Behrenfeld et al. (2006). This may suggest that phytoplankton growth in the SCS was not severely Fe limited. Behrenfeld et al. (2006) also used dawn  $F_v/F_m$  maxima in combination with nocturnal reduction to delineate three ecophysiological regimes in the tropical Pacific (iron sufficient with low macronutrients, iron limited with low macronutrients, and iron limited with elevated macronutrients). Following their diagnostic diagram [Figure 4 in Behrenfeld et al. (2006)], our SCS data did not belong to any of those three since dawn  $F_v/F_m$  was <0.45. While this could imply a substantial difference between the tropical Pacific and the SCS with respect to nutrient availability, such comparisons should be made with caution. This is because absolute values of  $F_v/F_m$  are highly dependent on active fluorescence measurement protocols and employed instrumentation. For example, our correction using blank fluorescence from deionized water instead of filtered seawater might have underestimated  $F_v/F_m$  measurements (Cullen and Davis, 2003). If the underestimation of  $F_v/F_m$  in this study was true, the categorization of the SCS should possibly be the regime “iron-sufficient with low macronutrients,” consistent with the reported Fe and nitrate data in the SCS waters in literatures (Wu et al.,

2003; Wen et al., 2022). Such speculation should be examined in future nutrient addition experiments.

## 4.3 Nutrient effects on phytoplankton physiology by cyclonic eddies

Nutrients are usually considered as the most important factor for the growth of phytoplankton in the SCS (Ho et al., 2015) and always depleted in the upper mixed layer in the oligotrophic water along with strong stratification during summer. In this study, as described above,  $F_v/F_m$  at the surface was typically as low as 0.1–0.3, and phytoplankton communities were dominated by *Synechococcus* and *Prochlorococcus* at the surface, indicating the oligotrophic condition in most of our study area during this cruise. Within the study area, it is expected that Section S3 region crossing the cyclonic eddy was pumped with some nutrients from the nutrient-rich deeper layer (McGillicuddy, 2016), and therefore, phytoplankton physiological status may have been more favorable. The  $F_v/F_m$  exhibited consistently higher values in Section S3 where CE occurred than in the preceding Section S2 ( $p < 0.01$ ) (Figure 7; Table 1), but the increases (about 0.04) were small, and the diel variation remained the same (Figures 6, 7). The high SST of 29.5°C–30°C likely rejected the assumption of large amount of nutrient-rich water injected into the surface. At the same time, the Chl $a^{\text{FRRF}}$  values in Section S3 were about 0.11 mg m $^{-3}$ , as similar as that in Section S2. Previous studies in the SCS showed both elevated nutrient inventory and Chl $a$  in CE, but cold and nutrient-rich water was injected mainly into the lower part of euphotic zone. Chl $a$  at the deep chlorophyll maximum layer could be increased to larger than 1 mg m $^{-3}$ , which was more than double of the background concentration, but Chl $a$  at the surface was rarely increased; the reported surface Chl $a$  within cold eddies (normally 0.1–0.2 mg m $^{-3}$ ) was consistent with our observation (Jiao et al., 2014; Wang et al., 2016; Liao et al., 2021). Taken together, these results implied that the CE had limited impacts on the surface phytoplankton communities. On the contrary, small scale but large increases in  $F_v/F_m$  were observed in the shelf area. Section S1 adjoined the Dongsha Atoll, where internal waves were frequently detected (Hsu et al., 2000; Zhao et al., 2004; Liu et al., 2006; Pan et al., 2012) (Figure 1). The sudden drop of SST value, corresponding the higher Chl $a^{\text{FRRF}}$  than those in nearby subregion in this area, is consistent with previous studies and indicated the occurrence of the internal waves between the station C01 and C03 along this transect (Figures 2, 5). The dawn  $F_v/F_m$  of as high as 0.4 was accompanied by a Chl $a$  concentration of about 0.4 mg m $^{-3}$  and 15% contribution by diatoms at station C03. The results suggested that the  $F_v/F_m$  pattern observed in Section S1 was significantly affected by physical processes that can effectively supply nutrients to fuel phytoplankton growth. It also suggests that

this level of nutrient supply cannot be reproduced by physical processes associated with the mesoscale cyclonic eddy structure seen in Section S3. However, it should be noted that the change in  $F_v/F_m$  is usually disproportional to the change in carbon fixation; a study in the CE area of the western SCS but half month before this study found a twofold increase in the average Chla-specific carbon fixation rate inside the CE than outside (Liao et al., 2021), with the implication being that FRRf could not probe any altered photosynthetic efficiency that does not occur at the intracellular site of PSII.

#### 4.4 Effects of anticyclonic eddies on phytoplankton physiology

The lowest values of  $F_v/F_m$  were found in the southeast basin associated with ACE2 (Figure 8); these may be explained in the case of anticyclonic eddy based on the doming of its isopycnals and nutricline, therefore causing lower sustained phytoplankton biomass in this area (McGillicuddy, 2016). ACE1 was adjacent to the Mekong River plume area characterized by lower salinity of <32.5 psu, while ACE2 was located in the remote and more oligotrophic southeast basin. The river input provides nutrients to promote phytoplankton growth, and the dawn  $F_v/F_m$  in ACE1 was 0.24 (Figure 8D). Meanwhile, the ACE2 showed the lowest Chla of  $0.07 \text{ mg m}^{-3}$  corresponding to a dawn  $F_v/F_m$  of 0.17. Huang et al. (2010) reported two ACEs in the northern SCS during wintertime with much more abundant eukaryotic phytoplankton in the ACE with entrainment of coastal water. These phenomena highlighted the effects of source water mass on phytoplankton in ACEs. However, the midday minimums of  $F_v/F_m$  were the same ( $\sim 0.09$ ) between the two ACEs (Figure 8D), with the phytoplankton in the ACE1 exhibiting higher light sensitivity than those in surrounding waters.

### 5 Conclusions

In this study, underway FRRf measurements were used to record high-resolution diel changes in  $F_v/F_m$  and  $\sigma_{PSII}$  throughout the SCS. Pronounced diurnal variability of both  $F_v/F_m$  and  $\sigma_{PSII}$  were observed, characterized by large midday depressions and slight nocturnal depressions of  $F_v/F_m$ , and slight increases in  $\sigma_{PSII}$  at noon, which was especially pronounced under expected elevated nutrient stress conditions. Slightly but consistently higher  $F_v/F_m$  throughout the day in the western SCS compared to the northern SCS basin suggested the potential role of cyclonic eddies in partially relieving nutrient stress. Apparent increase in  $F_v/F_m$  occurred only in a shelf area influenced by internal waves, where we hypothesized that nutrients were injected into surface waters. The two ACEs exhibited different dawn, dusk, and night time values of  $F_v/F_m$ , indicating effects of source water mass on

phytoplankton photophysiology. We recommend that future studies investigating phytoplankton photophysiology in the SCS should focus on more detailed mechanisms (e.g., vertical structure of mesoscale eddies, any other mesoscale process, and typhoon) possibly *via* nutrient addition experiments conducted over different timescales to directly test the impact of nutrient supply on phytoplankton photophysiology.

### Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

### Author contributions

HL, YX, and BH conceived this study. HL and FX conducted the sample collection and analysis. HL drafted the original manuscript. YX and TJB critically reviewed and edited the manuscript. All authors contributed to the article and approved the submitted version.

### Funding

This work was supported by the National Natural Science Foundation of China through grants 42130401 and 42141002.

### Acknowledgments

We thank Yin-Ning Zhang who is very gentle to lend us FastOcean plus FastAct, and Gai-Lian Li for her support on cruise preparation. We also thank NSFC Shiptime SharingProject (41749906) for supporting the cruises by R/V *Tan Kah Kee* (No. NORC2018-06). We also thank the captain and crew of R/V *Tan Kah Kee* for their tremendous helps during the cruise. We also thank the reviewers (including one anonymous reviewer) for their thoughtful, and constructive feedback.

### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.934391/full#supplementary-material>

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 20 August 2022

ACCEPTED 26 September 2022

PUBLISHED 19 October 2022

## CITATION

Zhu Z, Wei H, Guan Y, Zhang L, Jia R,  
Sun P, Yang Y, Zhang Z and Zhang Q  
(2022) Distribution, spectral  
characteristics, and seasonal variation  
of dissolved organic matter in the  
northern Beibu Gulf, South China Sea.  
*Front. Mar. Sci.* 9:1023953.  
doi: 10.3389/fmars.2022.1023953

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# Distribution, spectral characteristics, and seasonal variation of dissolved organic matter in the northern Beibu Gulf, South China Sea

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Dissolved organic matter (DOM) in the land–ocean interface plays a critical role in the global carbon cycle, yet its dynamic is not well understood. Dissolved organic carbon (DOC) in the northern Beibu Gulf was measured seasonally from April 2020 to January 2021. Chromophoric and fluorescent DOM (CDOM and FDOM) were synchronously characterized by absorption and fluorescence spectroscopy. Three fluorescent components were identified from 597 samples using parallel factor analysis, including two humic-like and one protein-like component. DOC displays a significant seasonality with the average level being highest in summer (177  $\mu\text{mol/L}$ ) and lowest in winter (107  $\mu\text{mol/L}$ ). CDOM and FDOM levels in summer are also higher than those in winter. Although the variation ranges of DOC, CDOM, and FDOM in surface water are generally greater than in bottom water, the difference between the two layers is statistically insignificant. River discharge and anthropogenic input are important external sources of DOM in the low-salinity nearshore waters (i.e., estuaries and coast), whereas DOM in offshore waters is mainly produced by *in situ* biological activity. The mixing behavior of DOM in the northern Beibu Gulf varies spatially but minimally on a seasonal scale. Two distinct conservative mixing behaviors of DOC, CDOM, and humic-like FDOM occur in the nearshore waters from Tieshangang Bay and excluding Tieshangang Bay, respectively. The removal of protein-like FDOM along the salinity gradient and the negative correlation between protein-like FDOM intensity and apparent oxygen utilization are pronounced in the nearshore waters excluding Tieshangang Bay, jointly indicating that oxygen is consumed by microbial communities. In contrast, a net addition of DOC occurs in the high-salinity offshore waters, whereas the CDOM and humic-like FDOM undergoes quasi-conservative mixing. Overall, this study reveals notable spatial and seasonal variations in the concentration, source, and mixing of DOM at the

land–ocean interface and highlights the importance of sources and processes in shaping the amount and composition of DOM exported to the ocean margin.

#### KEYWORDS

northern Beibu Gulf, dissolved organic carbon, chromophoric dissolved organic matter, fluorescent dissolved organic matter, parafac, seasonality

## Introduction

Marine dissolved organic matter (DOM) at  $662 \pm 32$  petagrams of carbon (Pg C) is one of Earth's major, reduced, and exchangeable carbon reservoirs, comparable to atmospheric CO<sub>2</sub> storage (Hedges et al., 1997; Hansell et al., 2009). DOM consists of a heterogeneous mixture of organic molecules originating from a wide variety of sources, such as autochthonous biological production and allochthonous input (Hansell, 2013). The export of riverine DOM from land to the ocean is a crucial pathway of reduced carbon to coastal environments (Raymond and Spencer, 2015). The allochthonous DOM can undergo a variety of biogeochemical reactions in estuaries and coastal sea that ultimately determines both the concentration of dissolved organic carbon (DOC) and the composition of DOM reaching the ocean (Raymond and Spencer, 2015). Unsurprisingly, the behavior of terrestrial DOM during estuarine and coastal mixing varies significantly. The nonconservative mixing of DOM occurs in numerous estuaries and coastal regions because of additions, such as anthropogenic pollution, phytoplankton production, and desorption from sediments (Chen and Gardner, 2004; Spencer et al., 2007; Guo et al., 2014), and removals including flocculation, adsorption onto suspended sediments, and microbial and photochemical degradation (Shank et al., 2005; Yang et al., 2013a). Moreover, the export flux, composition, and fate of riverine DOM are dramatically affected by intensified human activities (Guo et al., 2014), the discharge of organic by-products (Levshina, 2008), dam construction (Zhang et al., 2014), and global climate change (Tian et al., 2013), which significantly impact coastal carbon cycling (Liu et al., 2020).

In addition to bulk DOC, measurements of chromophoric DOM (CDOM) and fluorescent DOM (FDOM) are widely reported from inland watersheds to the deep ocean (Massicotte et al., 2017; Wang et al., 2021a; Wang et al., 2021b; Qu et al., 2022). CDOM absorption coefficients at different wavelengths (e.g., 254, 280, 325, 350, 355, and 443 nm), and fluorescence intensities at specific excitation/emission wavelength pairs are commonly used as good indicators of DOM level (Coble, 1996; Guo et al., 2007; Yang et al., 2013b; Wang et al., 2017; Wang et al., 2021a; Wang et al., 2021b). The qualitative parameters, such as the spectral

slope (e.g.,  $S_{275-295}$ ), carbon-normalized absorbance (e.g.,  $SUVA_{254}$ ), fluorescence index (FI), humification index (HIX), and biological index (BIX), are good proxies for the molecular weight, humification degree, DOM freshness in natural aquatic environments, and its associated photochemical and biological processes (Zsolnay et al., 1999; Weishaar et al., 2003; Cory and Mcknight, 2005; Helms et al., 2008; Huguet et al., 2009). Specifically, FDOM excitation-emission matrices (EEMs) coupled with parallel factor (PARAFAC) analysis are used to characterize the natural DOM in numerous estuaries and coastal gulfs around the world, such as the Yangtze River estuary (Guo et al., 2014), Pearl River estuary (Li et al., 2019), Jiulong River estuary (Guo et al., 2011), Taiwan estuaries (Yang et al., 2013b), Gulf of Mexico (Yamashita et al., 2015), and Gulf of Alaska (Dainard and Guéguen, 2013). The humic-like components tend to be resistant to microbial use but are sensitive to solar radiation (Rochelle-Newall and Fisher, 2002; Helms et al., 2013), whereas the protein-like components are rapidly consumed by microorganisms (Xiao et al., 2021). Thus, bulk DOC combined with its specific optical properties can provide valuable information regarding the quantity, quality, and biogeochemical reactivity of DOM in natural aquatic environments.

Estuaries and coastal gulf waters comprise some of the most biologically productive yet anthropogenically stressed ecosystems from inland watersheds to the global ocean continuum. Besides the *in situ* production of DOM, terrestrial inputs of nutrients and organic carbon are important components driving estuarine and coastal biogeochemical cycling and have implications ranging from degraded ecosystem health to food web perturbations (Beusen et al., 2016). The Beibu Gulf, in the northwestern South China Sea, is located near the rapidly urbanizing and industrializing Beibu Gulf urban cluster. It is a tropical gulf and receives large freshwater discharge with marked seasonal fluctuation and a huge amount of industrial and domestic sewage (See *Study area*). Biogeochemical studies of the Beibu Gulf have thus far mainly focused on nutrient distribution (Kaiser et al., 2014; Lai et al., 2014; Lao et al., 2021a), heavy metals, and organic pollutants (Meng et al., 2013; Zhu and Zheng, 2013; Yang et al., 2015a; Lao et al., 2019; Lao et al., 2021b; Lao et al., 2022; Zhu et al., 2022a, b) and the associated ecological responses (Xu et al., 2019; Li et al., 2020). The results show that these materials are concentrated in

the coastal areas of the Beibu Gulf, suggesting a significant impact of river runoff discharge and human activity on the Beibu Gulf. Nevertheless, the distribution of DOC, CDOM, and FDOM and their seasonality in the Beibu Gulf has not been studied. It greatly limits our understanding of the carbon cycling and ecological effect of a human-influenced coastal gulf in a changing climate background.

For this study, water samples were collected at a high spatial resolution over the four seasons from April 2020 to January 2021 in the northern Beibu Gulf. Our major goals were to (1) provide the first baseline data set on DOC concentrations, CDOM, and EEMs-PARAFAC-derived FDOM parameters in the northern Beibu Gulf; (2) evaluate the sources and mixing behaviors of DOC, CDOM, and FDOM in the northern Beibu Gulf; and (3) reveal the seasonal variabilities of DOM dynamics in the northern Beibu Gulf. The results of this study further increase our understanding of DOM cycling in human-impacted estuarine and coastal waters.

## Materials and methods

### Study area

The Beibu Gulf is a shallow, semi-enclosed gulf located in the northwestern South China Sea and has an average depth of about 40 m and a total area of about 128,000 km<sup>2</sup>. Southwesterly winds prevail in summer, and northeasterly winds in winter are influenced by the East Asian monsoon (Chen et al., 2011). The circulation pattern of the Beibu Gulf is mainly controlled by the East Asian monsoon with cyclonical circulation in winter, causing water accumulation near the western coast of the gulf. The westward water transport from the Qiongzhou Strait could influence the most northern part of the gulf in winter. In contrast, water from the coastal area tends to move offshore and undergoes strong mixing in the center of the gulf in summer (Wang et al., 2018). Several rivers flow into the northern coastal area of the Beibu Gulf, including the Nanliu, Dafeng, Qin, Maoling, and Fangcheng Rivers. The total river runoff discharge is up to  $3.3 \times 10^{11} \text{ m}^3 \text{ yr}^{-1}$ , and more than 80% of the water discharge occurs in the wet season (April to October) (Lai et al., 2014). Consequently, the water in the northern Beibu Gulf falls into two categories: diluted and mixed water. The diluted water is found along the northern coast of the Beibu Gulf, and the mixed water mainly occupies the northern Beibu Gulf (Chen et al., 2011). The Beibu Gulf is also an important fishing ground and aquaculture base in China because of its year-round warm temperatures and rich nutrients. Additionally, the northern coastal area hosts numerous developing ports and cities (Beihai, Qinzhou, Fangcheng; see Figure 1). The intensive human activity in this area has led to a high loading of nutrients (Lao et al., 2020), metals (Lao et al., 2019), and organic pollutants (Lao et al., 2021a) in the Beibu Gulf, which has a

dramatical impact on the biogeochemistry and ecology of the gulf.

### Sample collection and pretreatment

The sampling area covered the northern Beibu Gulf, stretching from the upper estuaries and bays to near the center of the gulf with a total of 127 stations (Figure 1). The labels and coordinates of the stations alongside other sampling information are given in the [Supplementary Material](#). Water temperature and salinity were recorded by using a calibrated SBE 911 plus conductivity–temperature–depth profiler. Water samples were collected from the surface (approximately 1 m deep) and near the bottom (approximately 1 m above the seabed) using a 5 L Niskin sampler in April–May 2020 (spring), July–August 2020 (summer), October–November 2020 (autumn), and December 2020–January 2021 (winter). The samples were analyzed for dissolved oxygen (DO), DOC, CDOM, and FDOM. At 10% of the sampling stations, samples were collected in duplicate to ensure sampling and data reliability. The relative deviations of oxygen, DOC, CDOM, and FDOM for all duplicate samples were <2%. Samples for DO analysis were preferentially collected and measured on board by using the Winkler titration method (Carpenter, 1965). Apparent oxygen utilization (AOU) was calculated as the difference between the oxygen saturation concentration and measured DO concentration using algorithms from Ocean Data View (version 5.2.0). Samples for DOC, CDOM, and FDOM analyses were filtered immediately through precombusted (500°C, 5 h) GF/F filters (nominal pore size  $\approx 0.7 \mu\text{m}$ ) into precombusted amber glass vials with Teflon-lined screw caps under a gentle vacuum of <150 mm Hg (Wang et al., 2017). DOC samples were acidified to pH  $\approx 2$  with H<sub>3</sub>PO<sub>4</sub> (85%, Merck) and stored frozen until analysis. CDOM and FDOM samples were stored in the dark at 4°C until analysis, which was done within 1 week of collection.

### Analysis of DOC concentrations

DOC concentration for each sample was determined in triplicate by using an Elementar Vario TOC cube (Germany) in high-temperature catalytic oxidation mode with coefficients of variance of <2% (Wang et al., 2021b). A five-point standard curve was generated by using potassium hydrogen phthalate standards daily. The running blank was determined as the average of the peak area of the Milli-Q water acidified with H<sub>3</sub>PO<sub>4</sub>. DOC concentrations were obtained by subtracting the running blank from the average peak area of the samples and dividing by the slope of the standard curve. The analytical precision of the DOC analysis was <3% based on DOC consensus reference material provided by the Hansell Lab from the University of Miami, USA.

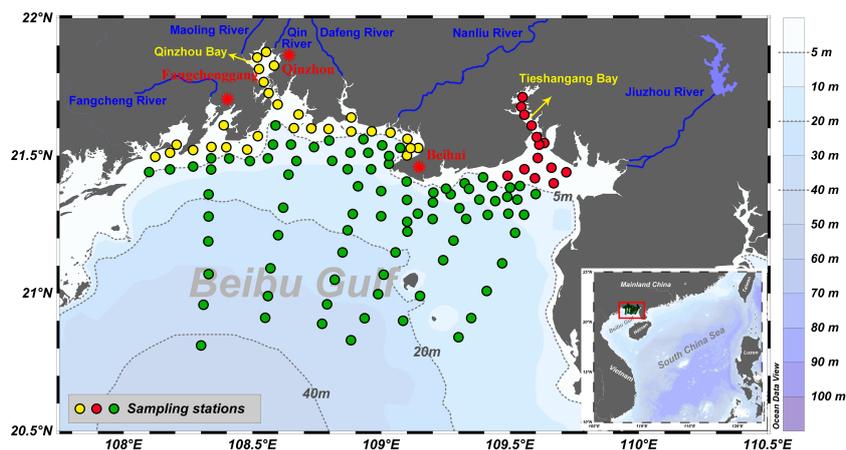


FIGURE 1

Map of the northern Beibu Gulf showing its topography (color background) and the locations of the sampling stations (green dots) in spring (April–May 2020), summer (July–August 2020), autumn (October–November 2020), and winter (December 2020 to January 2021). The blue lines and labels represent major rivers that flow into the northern Beibu Gulf, and the red stars represent cities. Sampling stations were divided into three regions: Region I (nearshore stations with a bottom depth of < 5 m excluding Tieshangang Bay, yellow circles), Region II (Tieshangang Bay, red circles), and Region III (offshore stations with a bottom depth of > 5 m, green circles).

## Measurements of CDOM and FDOM and data processing

The CDOM absorbance spectra were measured by using a Shimadzu UV-1780 dual-beam spectrophotometer and 10 cm quartz cuvettes at room temperature. Absorbance  $A_\lambda$  was obtained from 240 and 800 nm at 0.5 nm intervals. The Milli-Q water was used for baseline correction and all absorbance spectra were corrected for baseline offsets by subtracting the average absorbance between 690 and 700 nm (Guo et al., 2007). A Napierian absorption coefficient  $a_\lambda$  ( $\text{m}^{-1}$ ) was calculated as 2.303 times the absorbance  $A_\lambda$  divided by the light path length  $L$  of the cell in meters (0.1 m) to quantify CDOM concentration. Absorption coefficients at the wavelengths 254, 280, 300, 325, 350, 355, and 412 nm are widely reported from watershed to the open ocean continuum (Massicotte et al., 2017). The spectral slope between 275 and 295 nm ( $S_{275-295}$ ,  $\text{nm}^{-1}$ ) was calculated based on a linear fit of the log-transformed absorption coefficient, which is a good proxy of the relative molecular weight of the DOM (Helms et al., 2008). Specific ultraviolet absorbance at 254 nm ( $SUVA_{254}$ ,  $\text{mg C L}^{-1}$ ) was calculated to indicate the aromaticity of the DOM by dividing the decadal absorption coefficient [i.e.,  $A(\lambda)/L$ ] by the concentration of DOC (Weishaar et al., 2003).

Fluorescence EEMs were acquired by using a Hitachi F-7100 spectrofluorometer with a 1-cm quartz cuvette at room temperature. The excitation (Ex) wavelengths spanned from 240 to 450 nm in 5-nm increments, and the emission (Em) wavelengths spanned from 280 to 600 nm in 2-nm increments. Excitation and emission slit widths were set to 10 and 5 nm,

respectively. Raman scattering was compensated for by subtracting Milli-Q water EEMs that were scanned on the same day as the samples. The spectral fluorescence intensities presented in arbitrary units were then normalized to Raman units (RU) following the procedure of Lawaetz and Stedmon (2009). The normalized EEMs were calibrated for potential inner filter effects by using an absorbance-based approach (Kothawala et al., 2013). The HIX and BIX were calculated from the EEMs (Zsolnay et al., 1999; Huguet et al., 2009). The HIX, which is the ratio of fluorescence signals over the emission range 435–480 nm to those over the range of 300–345 nm with excitation at 254 nm, is an indicator of the extent of humification (Zsolnay et al., 1999). The BIX is a surrogate for the autochthonous FDOM and is the ratio of emission intensity at 380 nm to that at 430 nm upon excitation at 310 nm (Huguet et al., 2009).

A PARAFAC analysis was conducted on a total of 597 normalized and calibrated EEMs using the DOMFluor toolbox in MathWorks® MATLAB (R2020b) (Stedmon and Bro, 2008; Murphy et al., 2013; Wang et al., 2017). The models were constrained to nonnegative values. The number of PARAFAC components was determined based on split-half validation. The fluorescence intensity of each component was expressed as  $F_{\text{max}}$  in RU (Stedmon and Bro, 2008). Based on the analysis of duplicate samples, the uncertainty of  $F_{\text{max}}$  for each modeled component was <2%. The PARAFAC result was compared with known PARAFAC components taken from the online OpenFluor data set (<https://openfluor.lablicate.com/>, last access: August 8, 2022) to enhance the robustness of and confidence in the PARAFAC model (Murphy et al., 2014).

## Statistical analyses

The significance of DOM comparisons between surface- and bottom-water samples was tested by using Student's *t*-test (two-tailed,  $\alpha = 0.05$ ) in IBM SPSS Statistics 23. A one-way analysis of variance test was conducted to determine the differences in the mean values of the respective parameters at the sampling stations in the different seasons. Correlations between DOM variables were assessed based on Pearson's correlation coefficient *r*, and the significant level *p* was determined by applying a two-sided test.

## Results

### Hydrological and environmental backgrounds

As shown by the potential temperature-salinity ( $\theta$ -*S*) diagram in Figure 2 and by the spatial distributions of  $\theta$  (Figures 3A–H) and salinity (Figures 3I–P), the hydrographic properties of waters differ distinctively over the four seasons. The  $\theta$  in the northern Beibu Gulf varies from 20.8 to 31.6, 25.9 to 33.7, 17.2 to 25.5, and 12.7 to 22.2°C in spring, summer, autumn, and winter, respectively (Figure 2). The  $\theta$  of surface waters is almost homogeneous in summer but develops greater spatial differences over the other three seasons (Figures 3A–D). In spring and winter, surface water in the northern Tieshangang Bay, Naliu estuary, and Qinzhou Bay has low  $\theta$ , which also occurs near the Fangchenggang and eastern coasts of the sampling area. The  $\theta$  of bottom waters varies over smaller spatial scales but otherwise follows a spatial pattern similar to that of the surface water (Figures 3E–H). The salinity varies over the minimal range of 21.0–32.1 in winter, 10.0–31.8 in autumn, 4.1–34.2 in spring, and 2.2–32.4 in summer (Figure 2). Qinzhou Bay has the lowest salinity surface water over the four seasons, followed by the Nanliu–Dafeng estuary and then Tieshan Bay. High surface salinity is measured in the southwest of the study area in spring and autumn and in the southeast in summer (Figures 3I–L). The low-salinity river plumes are much weaker in bottom water but the spatial distribution of salinity in the bottom water is similar to that of the surface water (Figures 3M–P).

DO concentrations range from 3.5 to 8.7, 5.0 to 7.3, 4.0 to 7.7, and 6.5 to 10.3 mg/L in surface water in spring, summer, autumn, and winter, respectively (Figures 3Q–T). In spring, DO concentrations of surface waters are lower in Tieshangang Bay, Qinzhou Bay, and offshore regions but are higher in the coastal stations near Tieshangang Bay and the cities of Beihai and Fangchenggang (Figure 3Q). However, the distribution characteristics of the DO concentration in surface water in summer are generally opposite those in spring (Figure 3R). In

autumn, low surface DO concentrations occur in Tieshangang Bay, Naliu estuary, and Qinzhou Bay, whereas surface water at other sampling stations has either high or comparable DO concentrations (Figure 3S). The DO concentration of surface water generally decreases in going from nearshore to offshore in winter (Figure 3T). The variation ranges and spatial patterns of DO concentrations in bottom waters are very similar to those in spring, autumn, and winter, whereas DO concentrations of bottom water in summer are much less (3.4–6.7 mg/L) and follow completely different spatial distribution patterns than surface-water DO concentrations (Figures 3R,V). AOU in this study is greater than zero ( $18.5 \pm 22.4 \mu\text{mol/kg}$ ), suggesting that oxygen consumption occurs both in the surface and bottom waters over the four seasons. The AOU is greater in bottom water ( $22.5 \pm 22.2 \mu\text{mol/kg}$ ) than in surface water ( $15.0 \pm 23.7 \mu\text{mol/kg}$ ) and follows a pattern that is roughly opposite that of the DO. Notably, AOU reaches up to about  $90 \mu\text{mol/kg}$  in some bottom waters in spring and summer (southwest of the study region) and in the surface water in autumn (Tieshangang Bay).

### Distributions of DOC and CDOM

Figure 4 depicts the spatial (surface vs. bottom) and seasonal distributions of DOC concentration and CDOM variables. The DOC concentration of surface water over four seasons varies largely within the range 59.1–509  $\mu\text{mol/L}$  (spring), 95.6–616  $\mu\text{mol/L}$  (summer), 73.2–512  $\mu\text{mol/L}$  (autumn), and 70.8–292  $\mu\text{mol/L}$  (winter) (Figures 4A–D). In comparison, the bottom DOC concentration varies over a smaller range of 69.7–300  $\mu\text{mol/L}$  in spring, 83.3–347.6  $\mu\text{mol/L}$  in summer, 72–540  $\mu\text{mol/L}$  in autumn, and 60.2–414  $\mu\text{mol/L}$  in winter (Figures 4E–H). In terms of spatial distribution, the DOC concentration in spring, autumn, and winter generally follows a similar pattern in both the surface and bottom layers, that is, high DOC concentrations in estuaries and coastal water and low DOC concentrations in offshore water (Figures 4A, B, D–F, H). The horizontal distribution of DOC concentrations in autumn differs slightly with the highest concentrations in coastal water near Beihai and Fangchenggang but moderate concentrations in the Nanliu–Dafeng estuary, Qinzhou Bay, and Tieshan Bay in the surface layers (Figure 4C) and speckled features in the bottom layers (Figure 4G).

Strong positive correlations ( $p < 0.001$ ,  $n = 590$ ,  $r = 0.83$ – $0.99$ ) occur among CDOM absorption coefficients at the seven selected wavelengths (254, 280, 300, 325, 350, 355, and 412 nm). Thus, for simplicity, we choose the absorption coefficient  $a_{350}$  at 350 nm as the indicator of CDOM abundance, and the CDOM absorption coefficients at the other six wavelengths are presented in the Supplementary Material. The coefficient  $a_{350}$  is maximal in estuaries and coastal waters and generally decreases with increasing offshore distance over the four seasons

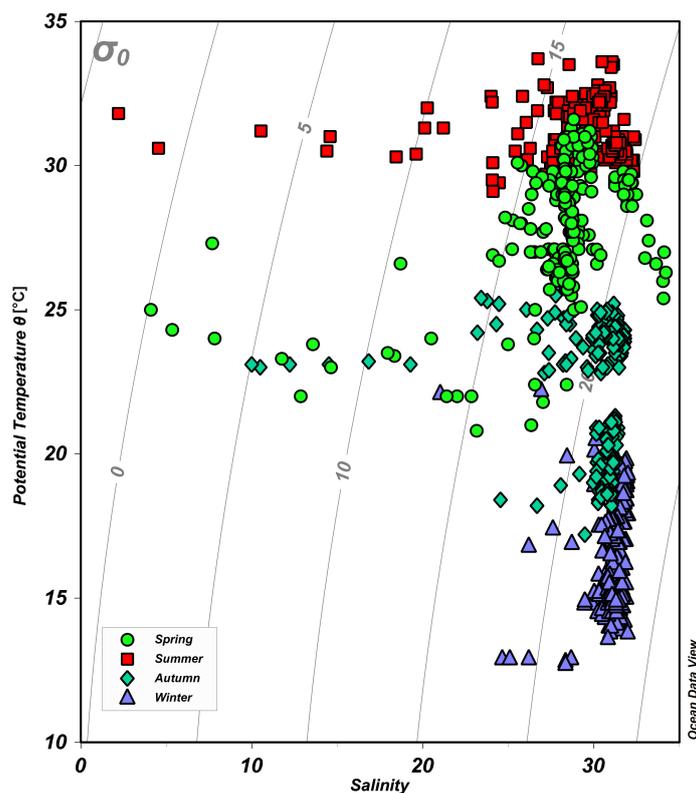


FIGURE 2

Potential temperature versus salinity plot ( $\theta$ - $S$  diagram) for the sampling stations on the northern Beibu Gulf. Green circles, red squares, cyan diamonds, and purple triangles denote spring, summer, autumn, and winter, respectively. The gray lines indicate the isopycnals ( $\sigma_0$ ). The plot was created by using Ocean Data View (<https://odv.awi.de>).

(Figures 4I–P). For summer and winter, when CDOM data are available at almost all stations,  $a_{350}$  in surface waters varies from 0.28 to 4.0  $\text{m}^{-1}$  in summer and from 0.33 to 1.6  $\text{m}^{-1}$  in winter (Figures 4J, L), and  $a_{350}$  in bottom water varies over a smaller range of 0.31–1.5  $\text{m}^{-1}$  in summer and 0.34–1.1  $\text{m}^{-1}$  in winter (Figures 4N, P). The coefficient  $a_{350}$  varies over the range 0.31–4.6  $\text{m}^{-1}$  in all water (excluding the offshore water without data in spring) and over the range 0.29–0.85  $\text{m}^{-1}$  [excluding the estuarine and coastal waters without data in autumn; see Figures 4I, K.

The spatial pattern of the spectral slope  $S_{275-295}$  contrasts with that of  $a_{350}$  (Figures 4Q–X), with a range of variation of 0.0161–0.0253  $\text{nm}^{-1}$  in surface water (Figures 4Q–T). The spectral slope  $S_{275-295}$  in bottom water varies over a range of 0.0170–0.0247  $\text{nm}^{-1}$ , which is comparable to that in surface water (Figures 4U–X). The specific ultraviolet absorbance  $\text{SUVA}_{254}$ , with surface and bottom combined, varies over a large range of 0.26–3.87  $\text{m}^2/\text{g C}$ . High  $\text{SUVA}_{254}$  values occur in estuarine and coastal water for spring and summer and in almost the entire study area except for the coastal water near Beihai and Tieshangang Bay in winter (Figures 4Y–AF).

## PARAFAC results and distribution of FDOM

Three distinct FDOM components are identified by using the EEM-PARAFAC approach (Figure 5) and are compared with FDOM components from the online OpenFluor data set based on the Tucker congruence coefficient (TCC) between different PARAFAC models (Murphy et al., 2014). Component 1 (C1) has two Ex maxima at  $\leq 250$  and 280 nm and one Em maximum at 390 nm. It resembles the humic-like C2 component of Murphy et al. (2011); Gueguen et al. (2015), and Yang et al. (2019) (TCC > 0.97). Its EEM contour covers Peak M areas (290–310/370–410 nm) and part of Peak A areas (260/400–460 nm) defined in Coble et al. (1998), which were previously recognized as a traditionally marine-derived humic-like fluorophore. Component 2 (C2, Ex/Em = 250, 345/440 nm) is highly similar to humic-like C1 in Jorgensen et al. (2011); Chen et al. (2017), and Amaral et al. (2021) (TCC > 0.98) and is close to the traditional terrestrial Peak A and Peak C (320–360/420–460 nm). Recently, two humic-like components have been found to be widely distributed in natural aquatic systems from inland

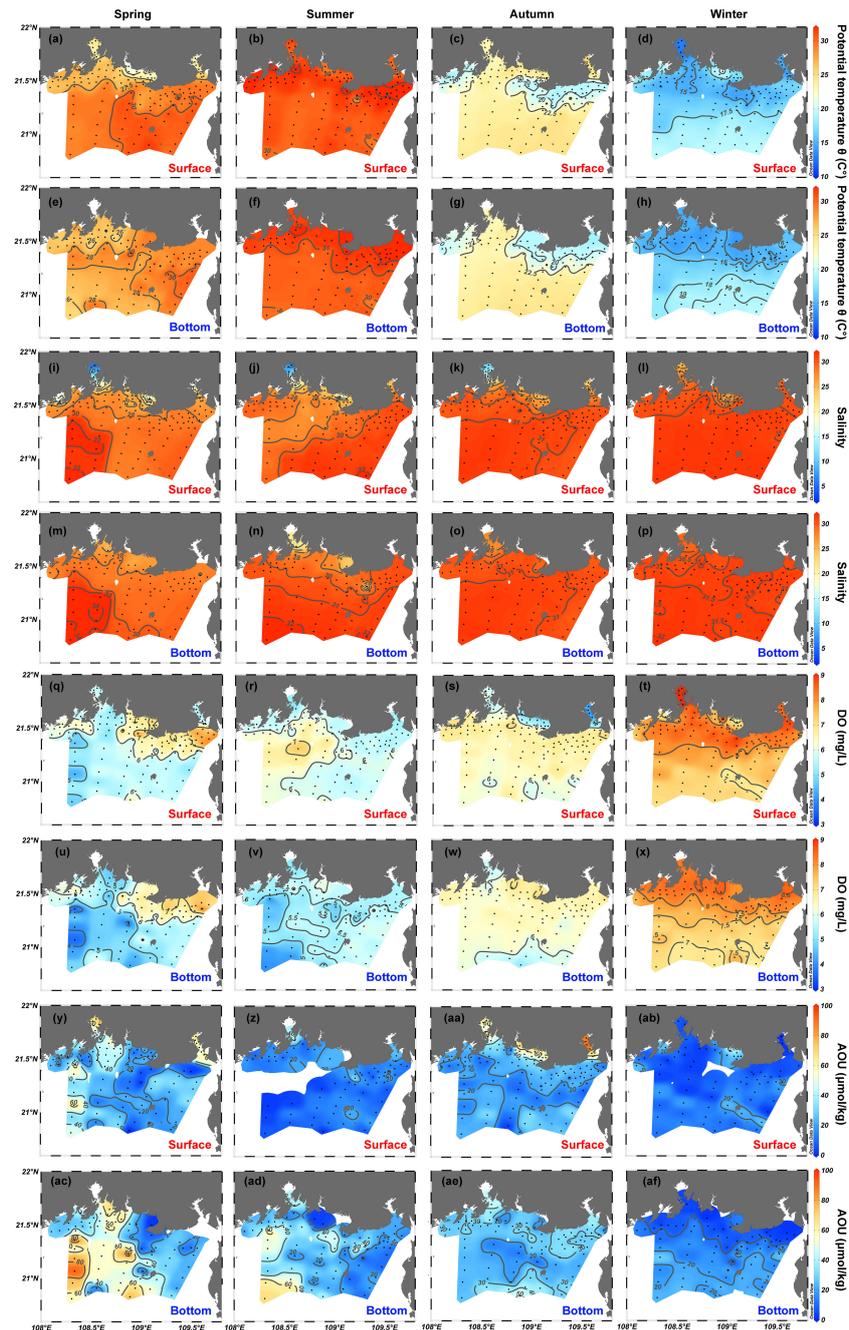


FIGURE 3

Spatial distributions of (A–H) potential temperature  $\theta$ , (I–P) salinity, (Q–X) dissolved oxygen (DO) and (Y–AF) apparent oxygen utilization (AOU) in the surface and bottom layers of the northern Beibu Gulf in spring, summer, autumn, and winter. Surface layer is 1 m deep and is labeled by red text. Bottom layer extends about 1 m above the seafloor and is labeled by blue text. The plot was created by using Ocean Data View (<https://odv.awi.de>).

watersheds to open oceans and correlate strongly with microbial activities (Qu et al., 2022; Catalá et al., 2015). C3 has Ex/Em maxima at 275/318 nm and is attributed to a combination of the autochthonous tyrosine-like peak B (275/305 nm) and the tryptophan-like peak T (275/340 nm) (Coble, 2007). C3 is

highly similar to C4 (TCC > 0.95) in Gao and Guéguen (2018), to C3 in Gueguen et al. (2015), and to C4 in Dainard et al. (2015).

The fluorescence intensities of two humic-like components (C1 and C2) correlate significantly ( $r = 0.94$ ,  $p < 0.001$ ,  $n = 539$ )

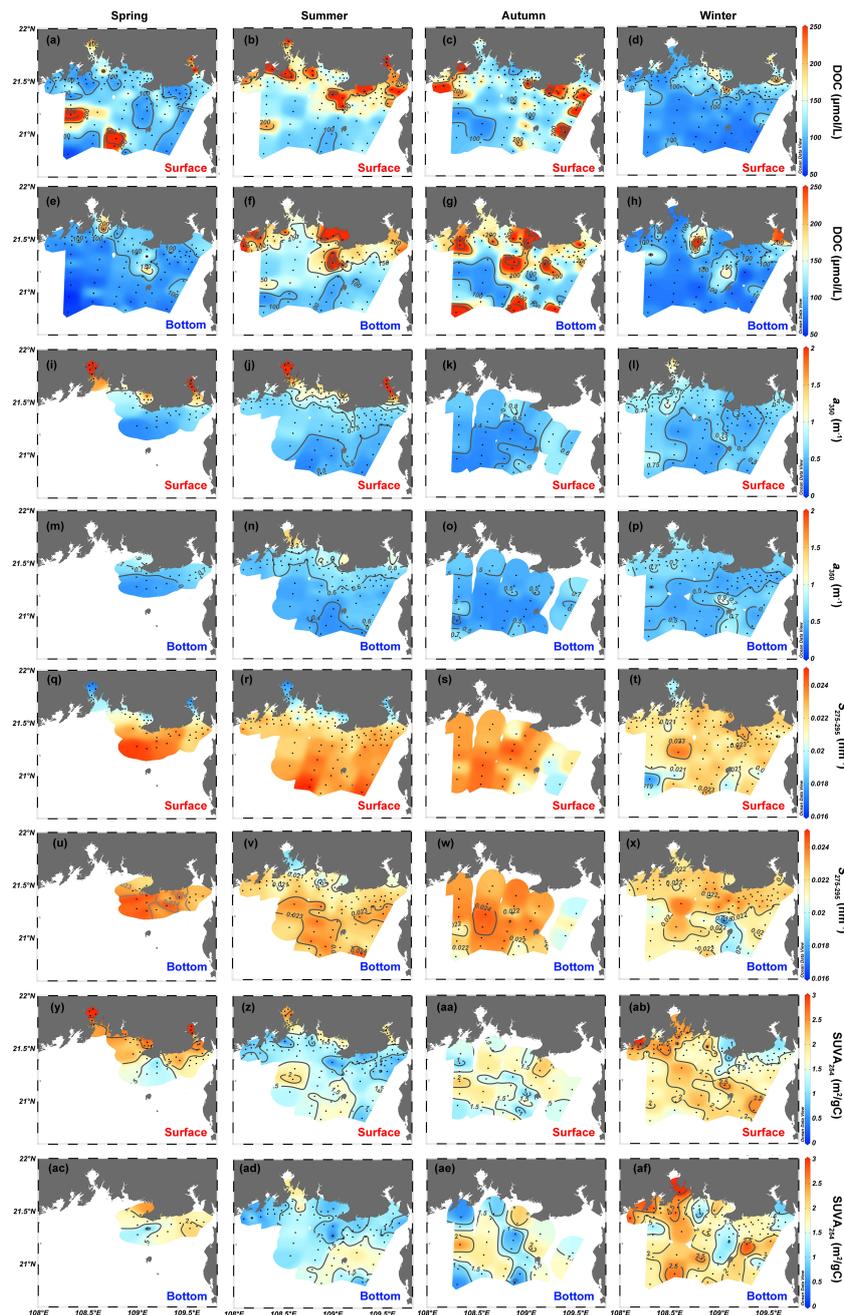


FIGURE 4

Spatial distributions of (A–H) DOC, (I–P)  $a_{350}$ , (Q–X)  $S_{275-295}$ , and (Y–AF)  $SUVA_{254}$  in the northern Beibu Gulf in spring, summer, autumn, and winter. Surface layer is 1 m deep and labeled by red text. Bottom layer extends about 1 m above the seafloor and is labeled by blue text. The plot was created by using Ocean Data View (<https://odv.awi.de>).

and follow spatial distribution characteristics very similar to those of  $a_{350}$  (Figures 4I–P). The range of variation of C1 and C2 in surface waters over the four seasons is 0.023–0.32 and 0.009–0.18 RU, respectively, whereas C1 and C2 for bottom waters vary over smaller ranges of 0.03–0.19 RU (C1) and 0.008–0.10 RU (C2) (Figures 6A–H). The intensity of protein-like C3 ranges

from 0.024 to 0.17 RU in surface waters and from 0.014 to 0.17 RU in bottom waters (Figures 6Q–X). Although weak correlations exist between the intensity of C3 and the two humic-like components ( $r = 0.25$  for C3 vs. C1 and  $r = 0.33$  for C3 vs. C2,  $p < .001$ ,  $n = 539$ ), the coefficients generally have spatial features like those of humic-like C1 and C2 both in

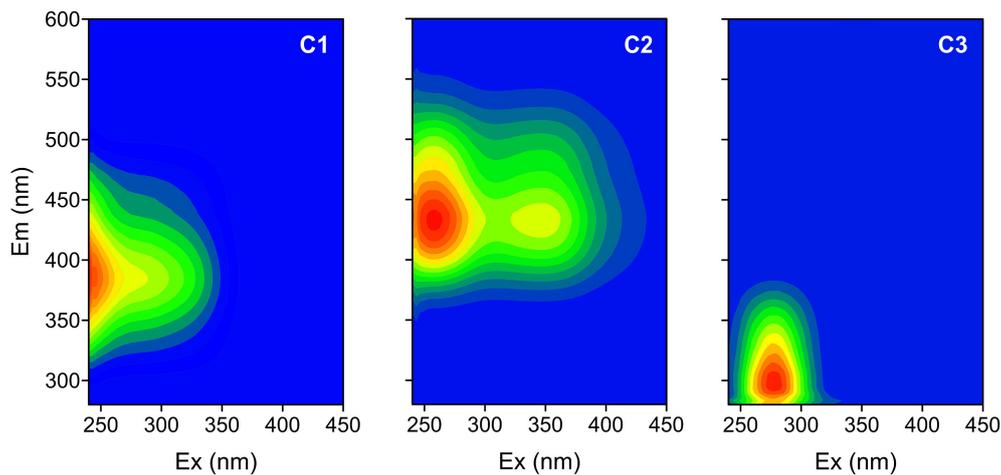


FIGURE 5  
Excitation-emission contours of three components identified by PARAFAC model.

surface and bottom water (Figure 6). In all four seasons, high values of C3 have been measured in estuarine and coastal water near cities [e.g., Nanliu–Dafeng estuary, Beihai, Tieshangang Bay, and Qinzhou Bay; Figures 6Q–X].

Compared with the quantitative variables of FDOM, the EEM-derived qualitative metrics (i.e., the HIX and BIX) also reveal clear variations in the horizontal gradient from estuaries and coastal water to offshore water both at the surface and at the bottom (Figures 7A–P). The HIX ranges from 0.4 to 4.3 and from 0.3 to 3.1 in surface and bottom water, respectively. The HIX correlates positively with the intensities of C1 ( $r = 0.74$ ,  $p < .001$ ,  $n = 539$ ) and C2 ( $r = 0.85$ ,  $p < .001$ ,  $n = 539$ ) and shares similar spatial distribution patterns (Figures 7A–H). The BIX varies from 0.88 to 1.54 and 1.04 to 4.33 in surface and bottom water, respectively, and shows a moderate negative correlation with the HIX ( $r = -0.39$ ,  $p < .001$ ,  $n = 560$ ). Except for extremely high values in Qinzhou Bay in winter, the BIX generally increases in going from estuaries and coastal waters to offshore water (Figures 7I–P). The FI is everywhere greater than 1.9 and varies over a small range in surface waters (2.17–2.79) and bottom waters (2.18–2.74). The FI does not correlate with other FDOM-derived variables ( $p > .05$ ) and does not exhibit clear spatial gradients for any of the four seasons (Figures 7Q–X).

## Relationships between DOC, CDOM, and FDOM

DOC linearly correlates with  $a_{350}$  in spring ( $r = 0.66$ ,  $p < .001$ ,  $n = 62$ ), summer ( $r = 0.30$ ,  $p < .001$ ,  $n = 204$ ), and autumn ( $r = 0.32$ ,  $p < .001$ ,  $n = 79$ ) but does not correlate with  $a_{350}$  in winter ( $p > .05$ ). The DOC has a moderate linear correlation with two humic-like

components (C1 and C2) in spring ( $r = 0.63$  and  $0.68$ ,  $p < .001$ ,  $n = 59$ ), very weak correlations with C1 and C2 in summer ( $r = 0.17$  and  $0.20$ ,  $p < .001$ ,  $n = 195$ ) and winter ( $r = 0.21$  and  $0.26$ ,  $p < .001$ ,  $n = 59$ ), and no correlation with C1 and C2 in autumn ( $p > .05$ ). DOC correlates weakly with protein-like C3 in summer ( $r = 0.16$ ,  $p < .001$ ,  $n = 195$ ) and winter ( $r = 0.29$ ,  $p < .001$ ,  $n = 206$ ) but does not correlate with C3 in spring and autumn ( $p > .05$ ). Separately,  $a_{350}$  correlates strongly with the intensities of humic-like C1 and C2 over the four seasons ( $p < .001$ ) with high Pearson  $r$  values in spring (0.77 and 0.86), summer (0.94 and 0.95), and winter (0.74 and 0.74) and low  $r$  values in autumn (0.30 and 0.38). However, the significant correlations between  $a_{350}$  and C3 occur only in summer ( $r = 0.48$ ,  $p < .001$ ,  $n = 195$ ) and winter ( $r = 0.31$ ,  $p < .001$ ,  $n = 206$ ).

## Discussion

### Sources of DOM in northern Beibu Gulf

The  $\theta$ -S plots and their spatial distribution patterns confirm that multiple water endmembers ( $\geq 3$ ) coexist in the northern Beibu Gulf, and their seasonal differences are evident (Figures 2, 3A–Q). The levels of DOC, CDOM, and FDOM in the northern Beibu Gulf vary by more than one order of magnitude from the estuaries and coastal waters to offshore waters (Figures 4, 6), which further indicates the various sources and processes in shaping the distribution and composition of DOM. The weak or nonexistent correlations between DOC, CDOM, and FDOM suggest that these components are subjected to different sources and processes in the northern Beibu Gulf. For example, anthropogenic input could supply many labile compounds,

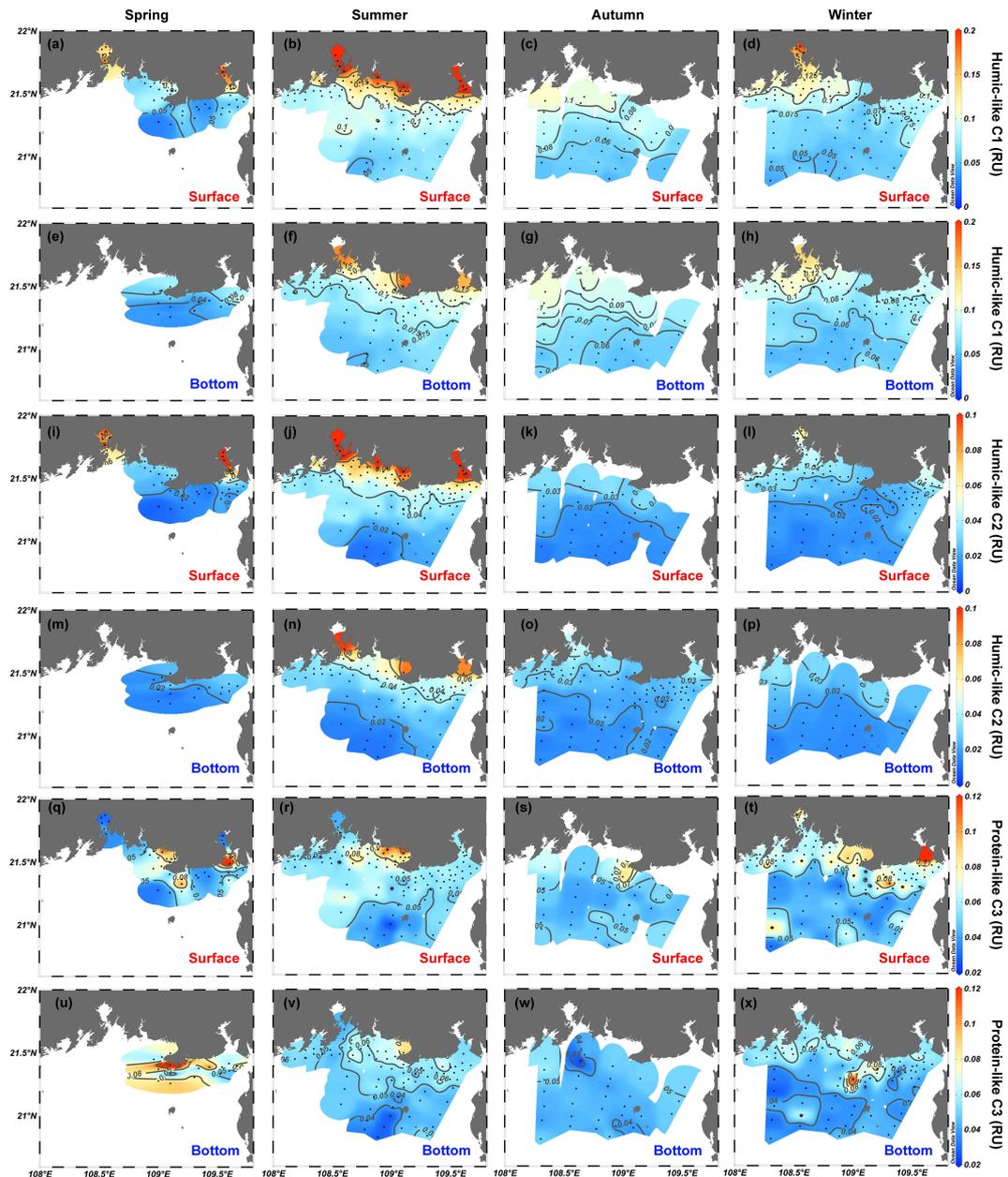


FIGURE 6

Spatial distributions of (A–H) humic-like C1 intensity, (I–P) humic-like C2 intensity, and (Q–X) protein-like C3 intensity in the northern Beibu Gulf in spring, summer, autumn, and winter. Surface layer is 1 m deep and is labeled by red text. Bottom layer extends about 1 m above the seafloor and is labeled by blue text. The plot was created using Ocean Data View (<https://odv.awi.de>).

such as DOC and protein-like FDOM but less refractory humic-like components (Guo et al., 2014). The *in situ* primary production and microbial activity cooperatively drive the transformation of DOM from a labile to refractory nature, resulting in the decoupling of relationships between DOC, CDOM, and FDOM (Wang et al., 2021a; Wang et al., 2021b).

Clearly, low-salinity waters (<20) are entrenched in Qinzhou Bay, the Nanliu–Dafeng estuary, and upper Tieshangang Bay and are most prominent in summer and spring, likely because of major river discharge in the wet season (April–October) (Lai et al., 2014). Correspondingly, the levels of DOC, CDOM, and humic-like FDOM are almost maximal in these three regions

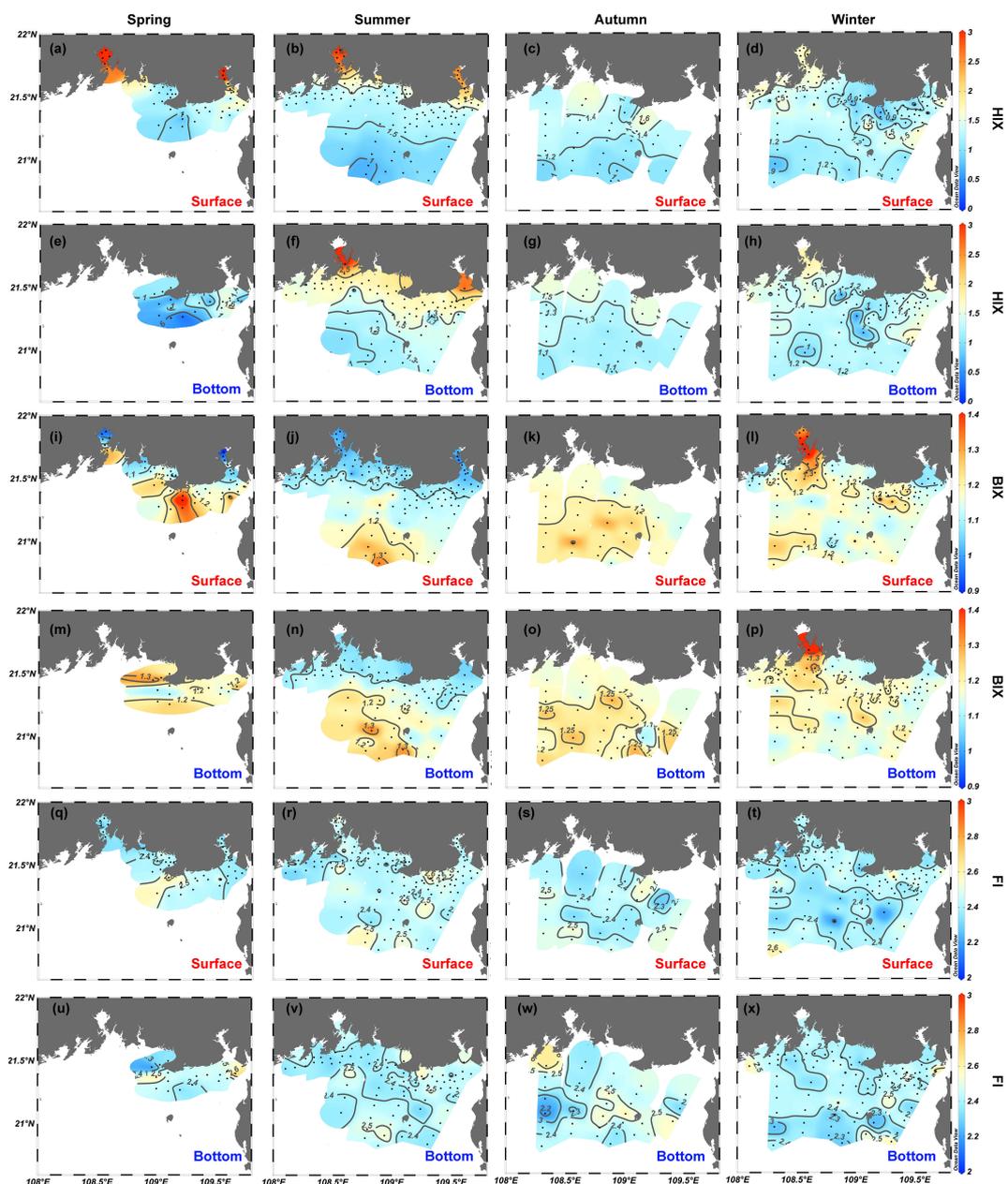


FIGURE 7

Spatial distributions of (A–H) humification index (HIX), (I–P) biological index (BIX), and (Q–X) fluorescence index (FI) in the northern Beibu Gulf in spring, summer, autumn, and winter. Surface layer is 1 m deep and is labeled by red text. Bottom layer extends about 1 m above the seafloor and is labeled by blue text. The plot was created by using Ocean Data View (<https://odv.awi.de>).

over two seasons (i.e., summer and spring), concurrent with low  $S_{275-295}$  and BIX but high HIX and  $SUVA_{254}$  (Figures 4, 7). This suggests that the terrigenous molecules with high molecular weight, humification degree, and aromaticity are very popular in DOM pools of the low-salinity regions over two seasons. By comparison with the reported DOC and CDOM data along the global aquatic continuum summarized by Massicotte et al.

(2017), both DOC and  $a_{350}$  in the low-salinity estuarine and coastal water of the northern Beibu Gulf fall within the range of river–estuary–coastal ecosystems but are lower than those in the inland wetlands and lakes with high productivity. In addition, the riverine terrigenous CDOM and humic-like FDOM signals are limited to a smaller region near the upper estuaries during autumn and winter (Figures 4, 6), which is consistent with the

low water discharges of several rivers to the northern Beibu Gulf in the dry season (Lai et al., 2014). Annually, it differs from other coastal areas with large river runoff, such as that the Yangtze River (Guo et al., 2014), Pearl River (Li et al., 2019), and Amazon River (Nelson et al., 2010), where river discharge makes a remarkable contribution to coastal DOM pools. Even so, considering the characteristics that river-borne organic material degrades easily in the sea by photochemical transformation and biomineralization (Fichot and Benner, 2014), the turnover of riverine DOM might significantly impact the budget of the nutrients and carbon in the estuaries and coast of the northern Beibu Gulf, especially in spring and summer.

Except for the low-salinity Qinzhou Bay, Tieshangang Bay, and Nanliu–Dafeng estuary, high salinity (>25) was measured at other sampling stations of the northern Beibu Gulf over the four seasons (Figures 3I–P). Although the levels of DOC, CDOM, and FDOM are much lower than those in the low-salinity estuarine and coastal water (Figures 4, 6), they are also significantly greater than those in the adjacent ocean-dominated surface of the South China Sea (Wu et al., 2015; Wang et al., 2017).  $S_{275-295}$  in particular is a reliable proxy tracing terrestrial input and photochemical degradation of DOM in the marine environment (Stedmon and Markager, 2003; Helms et al., 2013). Here,  $S_{275-295}$  values are less than  $0.025 \text{ nm}^{-1}$  at all high-salinity stations, which were comparable to the levels in rivers and estuaries dominated by terrestrial organic molecules (Stedmon et al., 2010) and the levels in the deep ocean where the DOM was fully reworked by microbial activities (Wang et al., 2017). However, they were far less than the value of  $> 0.04 \text{ nm}^{-1}$  in photodegraded surface oceanic water (Catala et al., 2016; Wang et al., 2021b). Thus, we conclude that DOM in the high-salinity water of the northern Beibu Gulf is dominated by terrestrial input and microbial reworking. Cultural experiments confirm that both terrestrial and microbial-derived DOM with low  $S_{275-295}$  can be easily degraded by light radiation (Helms et al., 2013; Yang et al., 2020). It can be expected that DOM in the high-salinity water of the northern Beibu Gulf also has a large potential for photodegradation, when it was transported into the upper stratified layer of the adjacent South China Sea (Chen et al., 2011). (Figures 4Q–X). Although no direct evidence links DOM and primary productivity, the relatively high chlorophyll concentration (average of  $3.0 \mu\text{g/L}$  in the wet season and  $1.5 \mu\text{g/L}$  in the dry season) measured in the same region (Lao et al., 2021b) supports the hypothesis that the *in situ* primary production and subsequent microbial transformation might be an important source of DOM (Wang et al., 2021b). The high FI (>1.9), high BIX, and low HIX over four seasons further confirm that humic-like FDOM in high-salinity waters of the northern Beibu Gulf is mainly sourced from recent microbial activities (Figure 7). A strong correlation between humic-like FDOM and  $a_{350}$  demonstrates that CDOM

is subjected to sources and processes similar to those of humic-like FDOM in the northern Beibu Gulf. In contrast, weak or zero correlation between DOC, CDOM, and humic-like FDOM in this study also occurs in coastal and oceanic environments (Massicotte et al., 2017). The most likely explanation is that the kinetic processes (e.g., physical mixing, photochemical transformation, and microbial degradation) operate at different rates on DOC and optical fractions of the DOM pool, which as a result may cause the mentioned decoupling or nonlinear relationship between these pools (Stedmon and Nelson, 2015).

Notably, the high levels of protein-like C3 but low levels of humic-like components were apparent in the outer Tieshangang Bay during spring and winter and on the Beihai coast over four seasons (Figure 6), suggesting the obvious contribution of anthropogenic input and/or enhanced primary production (Guo et al., 2014). The sediment release may also have a considerable contribution to DOM pools in the northern Beibu Gulf as indicated by the elevated levels of DOC, CDOM, and FDOM in the bottom layer (Figures 4, 6) and high concentration of organic carbon in the surface sediment (Liao et al., 2018). The impact of submarine groundwater discharge and atmospheric deposition on the DOM pool in the northern Beibu Gulf has large variability owing to the lack of supporting data. In addition, water intrusions by currents from the adjacent South China Sea considerably affect the water volume of the reservoir and nutrients in the northern Beibu Gulf (Lao et al., 2022) as well as the DOM pool. Thus, these sources and processes should be considered in future research on the DOM budget in the Beibu Gulf.

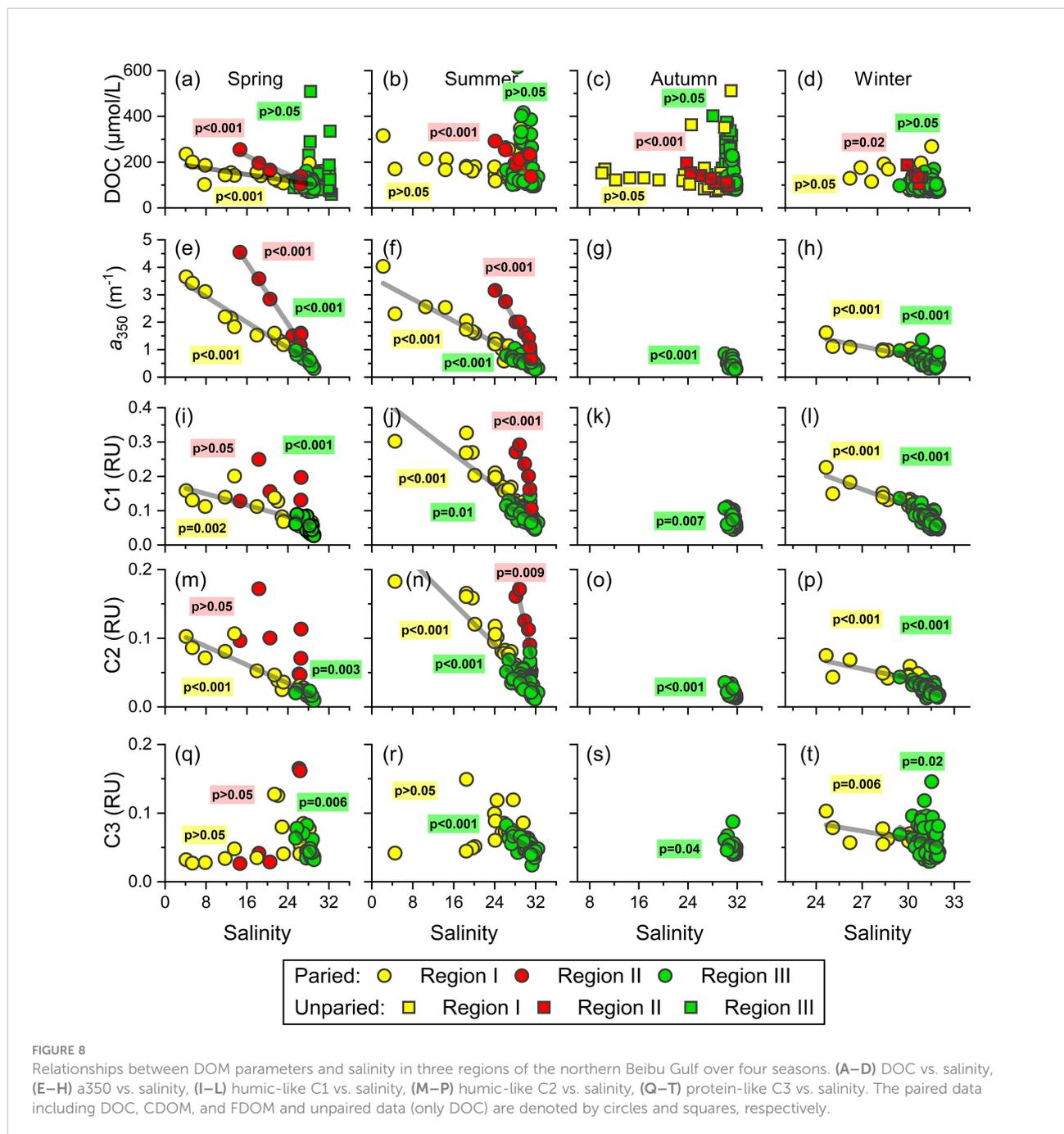
## Mixing of DOM in the northern Beibu Gulf

The mixing behavior based on the relationships between DOM variables and salinity has been used for decades to estimate the apparent removal or addition of DOM in land-ocean interfaces (Noureddin and Courtot, 1989; Guo et al., 2007; Yang et al., 2015b; Li et al., 2019). The hydrological cycle of the northern Beibu Gulf is complex (Figures 2, 3) and is influenced by multiple riverine and low-salinity water sources mixing with water from the adjacent South China Sea with the help of variable wind and circulation patterns (Chen et al., 2011; Wang et al., 2018; Lao et al., 2022). As a result, simple two-end-member mixing of constituents may be unsuitable to describe the mixing behaviors of DOC, CDOM, and FDOM in the northern Beibu Gulf. Over four seasons, DOM at all sampling stations appears remarkably nonconservative with net additions of DOC over four seasons, net additions of CDOM and humic-like FDOM during spring and summer, and net removal of protein-like C3 in spring and summer (Figure 8). However, upon dividing sample stations into the

three categories (Figure 1), the convincing mixing of DOM appears clearly in the northern Beibu Gulf.

In region I, the conservative mixing behavior of bulk DOC, CDOM, and humic-like FDOM occurs over four seasons (Figures 8A–P), which may reflect the fewer changes in these variables along the estuaries. Similar behavior during estuarine mixing was reported in other estuarine and coastal systems (Jaffe et al., 2004; Guo et al., 2007; Guo et al., 2011; Li et al., 2019; Yang et al., 2019; Amaral et al., 2020). Such mixing patterns indicate

that the physical mixing of fresh river water and coastal water dominates the distributions of DOC, CDOM, and humic-like FDOM in estuaries and coastal ecosystems. In contrast, the protein-like C3 points in region I in spring and summer fall below the theoretical mixing line, which indicates the removal of C3 during the estuarine mixing process (Figures 8Q, R). The protein-like FDOM components have been recognized as the labile fraction of DOM as indicated by the gradual decrease of its level during the microbial dark incubation (Yamashita and



Tanoue, 2003; Xiao et al., 2021). Coupled with the negative relationships between C3 intensity and AOU in this region in spring and summer ( $r = -0.65$ ,  $p < 0.001$ ), we conclude that protein-like C3 is degraded by bacteria in estuaries.

In region II (i.e., Tieshangang Bay), no CDOM and FDOM data were measured in autumn and winter (Figures 4, 6). The quasi-conservative mixing behavior was determined for DOC over the four seasons and for CDOM and humic-like FDOM in spring and summer (Figures 8A–P). However, the protein-like FDOM does not correlate with salinity in spring (Figures 8Q, R), which might be attributed to the abovementioned anthropogenic input during the sampling period (Figure 6Q). Similar nonconservative addition from human activities has been found in other estuaries and coasts, such as the Yangtze estuary (Guo et al., 2014), thereby potentially impacting the local coastal ecosystem when consumed by microbes. Notably, the conservative mixing lines between DOM and salinity are separated into two regions (Figure 8). The slopes of DOC, CDOM, and humic-like FDOM with respect to salinity in region I are less than those in region II, suggesting that the northern Beibu Gulf receives lower levels of DOM from rivers near the region (Qin River, Maowei River, Dafeng River, and Nanliu River) relative to those from the upper Tieshangang Bay (Figures 8A–N). Conversely, the different slopes for DOC versus salinity in the two regions is lower than slopes for its optical fractions versus salinity, which implies that the two major terrigenous DOM pools delivered into the northern Beibu Gulf differ in their nature and composition. DOM from rivers surrounding region I have higher DOC-normalized CDOM and humic-like FDOM values compared with region II, and, presumably, a high potential for photochemical degradation in the coastal shelf (Helms et al., 2013).

In region III, which contains high-salinity mixing waters from the coast and the adjacent South China Sea, the additions of DOC are highlighted over the four seasons (Figures 8A–D). This result reinforces the hypothesis that DOC in the offshore water of northern Beibu Gulf comes mainly from *in situ* biological activities. However, the CDOM and humic-like FDOM maintain quasi-conservative behavior like those in low-salinity estuarine and coastal waters. Except for the measured low spectral slopes  $S_{275-295}$  ( $< 0.025 \text{ nm}^{-1}$ ), the lack of correlation between CDOM, humic-like FDOM, and AOU is also a noticeable feature in this region. Thus, the biogeochemical processes, such as photochemical bleaching and microbial transformation, should make a minor impact on the distribution patterns of CDOM and humic-like FDOM in the northern Beibu Gulf.

## Conclusions

This study demonstrates seasonal and spatial variations of DOC and DOM optical fractions in the northern Beibu Gulf,

South China Sea. The DOC and CDOM absorption coefficients and the FDOM intensities range over more than one order of magnitude. Except for spring, DOC correlates weakly with CDOM and FDOM components, indicating that different sources and processes shape their distributions in the northern Beibu Gulf. River discharges are major sources of DOM in several estuaries and coastal waters, especially in spring and summer. Anthropogenic input makes a remarkable contribution to protein-like FDOM in some specific coastal waters near cities, whereas *in situ* biological activity acts as a major source of DOM in offshore waters. The mixing of DOM in the northern Beibu Gulf separates spatially into three regions but does not separate seasonally. The conservative mixing dominates the distributions of DOC, CDOM, and humic-like FDOM in the nearshore waters, excluding Tieshangang Bay (region I) and Tieshangang Bay (region II). This implies that two terrestrial DOM pools with distinct optical properties are transferred into the northern Beibu Gulf. However, the protein-like FDOM in region I is nonconservative and is removed by microbes coupled with the net consumption of oxygen. In the high-salinity offshore waters (region III), the net addition of DOC suggests that it comes from *in situ* biological sources, whereas the CDOM and humic-like FDOM forms a quasi-conservative mixing pattern. The multiple sources and complexity of mixing for various DOM components demonstrate the dynamics of DOM in the estuarine and coastal ecosystem, which make a considerable and varied impact on the carbon cycle in ocean margins.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Author contributions

ZhZ: Conceptualization, Sampling, Sample analysis, Writing. HW: Sampling, Sample analysis, Draft preparation. YG: Data management, Editing. LZ, RJ, PS, YY, ZZ, and QZ: Conceptualization. All authors contributed to the article and approved the submitted version.

## Funding

This work was supported by the National Natural Science Foundation of China (U20A20103), an open grant from Guangxi Key Laboratory of Beibu Gulf Marine Resources, Environment and Sustainable Development (MRES-D-2022-B01), the Guangxi Funding Project (304024XM20N0006, 2019GXNSFBA185036,

2018AD19280, 2018AD19321), and the Beihai Science & Technology Project (201995037, 202082022, 202082042).

## Acknowledgments

We thank all the crews on the R/V Yueke 1, R/V Yuexiayuzhi 20028, and R/V Haike 68 for their assistance with the sampling during the cruises in 2020 and 2021.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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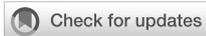
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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.1023953/full#supplementary-material>

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## OPEN ACCESS

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 29 August 2022

ACCEPTED 17 October 2022

PUBLISHED 03 November 2022

## CITATION

Zhong J, Ling K, Yang M, Shen Q,  
Abbas M and Lai Z (2022) Radiocarbon  
and OSL dating on cores from the  
Chaoshan delta in the coastal South  
China Sea.  
*Front. Mar. Sci.* 9:1030841.  
doi: 10.3389/fmars.2022.1030841

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# Radiocarbon and OSL dating on cores from the Chaoshan delta in the coastal South China Sea

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Accurate chronology plays a crucial role in reconstructing delta evolution. Radiocarbon (<sup>14</sup>C) and optically stimulated luminescence (OSL) dating are widely used to establish a stratigraphic chronology of the late Quaternary sediments. The Chaoshan plain is located on the southern coast of China and borders the South China Sea. The thickness of Quaternary sediments in this area extends to a depth of 140 m and is considered a valuable archive for studying the evolution of the delta in response to climate and sea-level changes. However, reliable chronological data are still very limited. In this paper, eighteen accelerator mass spectrometry (AMS) <sup>14</sup>C and thirteen quartz OSL ages were obtained from two cores from the Rongjiang plain, the middle part of the Chaoshan plain: ZK001 (90.85 m in depth) and ZK002 (100 m in depth). The present study aims to provide a reliable chronology of the Chaoshan plain based on OSL and <sup>14</sup>C dating methods and examine the upper limit of the <sup>14</sup>C dating on plant remains. Our results show that (1) OSL ages of cores ZK001 and ZK002 range from 56 to 1.7 ka and from 177 to 15 ka, respectively; (2) <sup>14</sup>C ages of core ZK002 range from 8.8 to 41 cal ka BP, showing that all <sup>14</sup>C ages below ca. 30 m depth are younger than OSL ages and that the upper limit of plant remains is around 35 cal ka BP. OSL ages are consistent with stratigraphic order within uncertainties. The oldest OSL age obtained from core ZK002 is 177 ± 20 ka at a depth of 93 m, and is considered a minimum age. This indicates that the Quaternary deposition in the Rongjiang plain can trace back to at least the marine isotope stage (MIS) 6 during sea-level lowstand, during which the plain is mainly influenced by the fluvial process. The comparison between <sup>14</sup>C and OSL ages in the Chaoshan plain suggests that <sup>14</sup>C ages older than 35 cal ka BP need to be re-evaluated. However, the OSL dating method proves reliable for establishing a comprehensive chronological framework for the late Quaternary sediments in this area.

## KEYWORDS

<sup>14</sup>C ages of plant remains, OSL dating, estuary sediments, Chaoshan plain, South China Sea

## Introduction

Robust chronology is essential for reconstructing the late Quaternary evolution in the delta environment. The radiocarbon dating method is regarded as a reliable dating method with an age limit, theoretically, up to approximately 55,000 years (Hajdas et al., 2021). However, a growing number of geochronologic studies corroborated that the reliability of radiocarbon dating for late Pleistocene sediments is problematic due to the age limit cluster around 35–40 ka BP (Yim et al., 1990; Pigati et al., 2007; Yi et al., 2013; Wang et al., 2018a; Miller and Andrews, 2019; Agatova et al., 2020; Al-Saqarat et al., 2021), or approximately 25 ka BP in practice (Lai et al., 2014; Wang et al., 2014; Song et al., 2015; Li et al., 2020c; Cheng et al., 2022; Long et al., 2022). The optically stimulated luminescence (OSL) dating method has become widely accepted in recent decades, with its merits of a long time-scale range (even up to 200 ka) and abundance of dating materials (e.g., quartz or feldspar) (Murray and Olley, 2002; Rhodes, 2011; Murray et al., 2021). The applicability of OSL dating to coastal and marine sediments allowed the reconstruction of the paleoenvironment of the delta (Chen et al., 2010; Guo et al., 2013; Yi et al., 2013; Wang et al., 2016; Xu et al., 2020; Gao et al., 2021; Long et al., 2022; Xu et al., 2022).

The Chaoshan plain is located on the eastern coast of Guangdong province (Figure 1). The Quaternary sediments in this area reach a depth of up to approximately 140 m, providing valuable archives for understanding the evolution of the delta in response to climate and sea level changes (Li et al., 1987; Wang

et al., 1997; Song et al., 2012). Due to the actual detection age limit of the  $^{14}\text{C}$  dating method, most of the late Pleistocene chronology of the Chaoshan plain is disputed (Li et al., 1987; Zong, 1987; Li et al., 1988; Sun et al., 2007). Some studies have demonstrated that the oldest Quaternary deposits (fluvial sediments, named the Nanshe Formation) do not exceed 55 ka BP, based on radiocarbon, thermoluminescence (TL) dating, and stratigraphic relationships (Chen, 1984a; Li et al., 1987; Zong, 1987; Li et al., 1988; Sun et al., 2007; Zhou, 2008). Nevertheless, results from other dating methods, including electron spin resonance (ESR) and OSL, have shown that the oldest Quaternary sediments in the Lianjiang plain, the southeastern part of the Chaoshan plain, date back to more than 260 ka (Song et al., 2012; Tang et al., 2018). Yet, our understanding of the formation and evolution of the Chaoshan plain delta is still debated, owing to different dating methods that require further evaluation. A direct comparison between different dating techniques applied to the basal Quaternary deposits would help to answer this question.

In this study, Quaternary estuarine sediments from two cores, ZK001 with a depth of 90.85 m and ZK002 with a depth of 100 m, in the Chaoshan plain were dated using  $^{14}\text{C}$  and OSL dating methods. We aim to understand the delta evolution and address the following issues: (1) examining the reliability of  $^{14}\text{C}$  and OSL dating by mutual verification; (2) exploring the practical detection limit of  $^{14}\text{C}$  dating using plant remains; and (3) determining the age of onset of Quaternary deposition in the area.

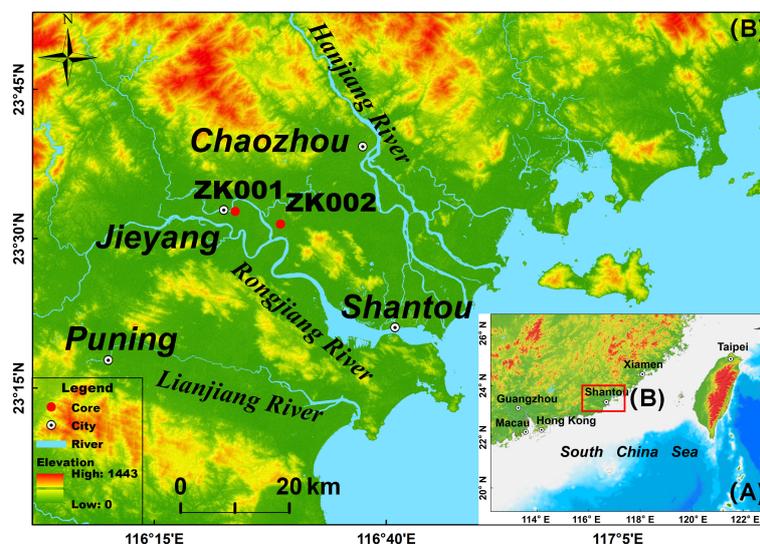


FIGURE 1

Location of the Chaoshan plain and sites of cores ZK001 and ZK002. (A) General map around the Chaoshan plain; red square box encompasses the major area of the Chaoshan plain. (B) The major area of the Chaoshan plain consists of the Hanjiang Delta, the Rongjiang alluvial plain, and the Lianjiang alluvial plain; two boreholes including cores ZK001 and ZK002 were obtained from the Rongjiang alluvial plain. Base maps are from <http://www.gscloud.cn/> and <https://www.ngdc.noaa.gov/mgg/global/global.html>.

## Geological setting and samples

The Chaoshan plain is located on the southeastern coast of China and borders the South China Sea. It consists of the Hanjiang Delta, the Rongjiang alluvial plain, and the Lianjiang alluvial plain and covers an area of 2,600 km<sup>2</sup> (Figure 1) (Li et al., 1987; Wang et al., 1997). The plain was developed during the Mesozoic Yanshan epoch and is mainly dissected by NE and NW direction fault zones (Li et al., 1987; Liu, 1995). Different uplift and subsidence movements during the Neogene to the early Quaternary transformed the Chaoshan plain into a down-faulted basin (Wang et al., 1997). This resulted in the creation of large accommodation spaces, with an average depth of up to 76.5 m, for the deposition of Quaternary sediments (Wang et al., 1997). The highest thickness of sedimentary sequences has been found in the Hanjiang Delta, the Rongjiang plain, and the Lianjiang plain at 168 m, 90 m, and 141.2 m, respectively (Chen, 1984a; Liu, 1995; Zhou, 2008).

Two terrestrial cores (ZK001 and ZK002) were obtained from Jieyang, the northwestern part of the Rongjiang plain by rotary drilling. Core ZK001 is located in Rongcheng District (116°23'36" E, 23°32'51" N; 8 m above sea level (asl)), with a depth of 90.85 m. Core ZK002 lies in Paotai Town (116°28'30"E, 23°31'36"N; 2 m asl), with a depth of 100 m. Both cores ZK001 and ZK002 are dominated by dark gray clay, gray silty clay, and yellowish or grayish-white coarse sands with gravel (Figure 4). Seven OSL samples dating samples were collected from core ZK001 and six from core ZK002. Four plant remains were obtained for <sup>14</sup>C dating from core ZK001, and eleven plant remains and three rotten leaves from core ZK002.

## Methods

### Radiocarbon dating

Eighteen samples from cores ZK001 and ZK002 were treated and analyzed at the accelerator mass spectrometry (AMS) laboratory of Peking University for <sup>14</sup>C dating. The half-life of <sup>14</sup>C used for age calculation in this study was Libby's half-life (5,568 ± 30 a). The <sup>14</sup>C ages were calibrated to calendar years (cal a BP or cal ka BP) using IntCal20 atmospheric calibration curves (Reimer et al., 2020) using the OxCal v4.4.4 program with a 95.4% calibrated range (Ramsey and Lee, 2013). The calibrated <sup>14</sup>C ages were converted to ka for comparison with OSL ages.

### OSL dating

A total of thirteen samples from cores ZK001 and ZK002 were collected for OSL dating. The laboratory work, including sample preparation and measurement, was carried out under

subdued red light according to the luminescence dating procedures (Lai and Wintle, 2006; Lai, 2010). The light-exposed outer samples were used to measure dose rate and water content, while the remaining samples were treated sequentially with 10% HCl and 30% H<sub>2</sub>O<sub>2</sub> to remove carbonates and organic materials. Wet sieving was used to obtain 38 - 63 μm and 90 - 125 μm fractions based on fraction availability in the sediments. Mid-grained fractions (38–63 μm) were etched by 35% H<sub>2</sub>SiF<sub>6</sub> for 2 weeks, while coarse-grained fractions (90–125 μm) were treated with 40% HF for ~30 min to obtain pure quartz and then washed with 10% HCl for about 30 min to remove acid-soluble fluoride. The purity of quartz was checked by infrared-stimulated luminescence (IRSL) signals. Mid-grained fractions (38–63 μm) were pretreated with H<sub>2</sub>SiF<sub>6</sub> again because of obvious IRSL signals (beyond 10%) (Lai and Brueckner, 2008). However, the IRSL results still show obvious signals even after retreatment with H<sub>2</sub>SiF<sub>6</sub> twice. Therefore, quartz signals of all treated mid-grains (38–63 μm) were stimulated by involving post-IR OSL signal (Roberts and Wintle, 2003). The treated grains were then mounted on the center (7 mm diameter) of 9.7-mm-diameter stainless-steel discs using silicone oil for D<sub>e</sub> measurements.

OSL measurements were conducted on a Risø TL/OSL-DA-20 reader equipped with a <sup>90</sup>Sr/<sup>90</sup>Y beta source and blue LEDs (λ = 470 ± 20 nm) (Bøtter-Jensen et al., 1999). All optical stimulation (IR and blue-light) measurements for mid-grains (38–63 μm) and coarse-grained quartz (90–125 μm) were stimulated at 130°C for 40 s and recorded by an EMI 9235QA photomultiplier tube fitted with a 7.5-mm Hoya U-340 filter. The equivalent dose (D<sub>e</sub>) in this study was measured by the SAR-SGC method (Lai and Ou, 2013), a combination of the single-aliquot regenerative dose (SAR) protocol (Murray and Wintle, 2000) and standard growth curve (SGC) (Roberts and Duller, 2004; Lai, 2006; Lai et al., 2007). The preheat temperature for natural and regenerative dose signals was 260°C for 10 s, and the preheating temperature for test dose response was 220°C for 10 s (Wintle and Murray, 2006). For each sample, 5–6 aliquots were measured by SAR protocol to establish an SGC curve, and 6–12 aliquots were measured by SGC protocol. The final D<sub>e</sub> value for a sample age calculation was the average of all D<sub>e</sub> values measured by both SAR and SGC protocols.

Inductively coupled plasma mass spectrometry (ICP-MS) was used to measure uranium (U), and thorium (Th), and inductively coupled plasma/optical emission spectrometry (ICP/OES) was used to determine potassium (K). The cosmic ray dose was calculated depending on the depth, altitude, and geomagnetic latitude of each sample (Prescott and Hutton, 1994). The shielding effect of water must be considered when calculating the water content because a 1% increase/decrease in the average water content during the lifetime will usually lead to a 1% increase/decrease in the dose rate (Wallinga and Cunningham, 2015; Murray et al., 2021). Variations in water

content over geologic time can have a significant effect on the dose rate in sediments, and uncertainties in dose rate are large because of the poorly understood history of water content (Lai and Ou, 2013; Wallinga and Cunningham, 2015). The measured water content for cores ZK001 and ZK002 range between 7.4% and 40.5% and between 8% and 18.2%, respectively. Yet, the measured water content cannot accurately reflect the real water content of the sediments at the time of burial. Therefore, based on the variation of water content within the burial period in the study region, we estimated the water content to be  $25\% \pm 5\%$  for all OSL samples in this study instead of using the measured water content.

## Results

### Radiocarbon ages

$^{14}\text{C}$  results of cores ZK001 and ZK002 are listed in Table 1 and can be shown in Figure 4. The plant remains ages of core ZK001 are infinite ( $>40$  ka BP) because these ages are out of the calibration range. The age of the rotten leaves obtained from sample ZK002-C14-01 (3.8 m depth) at  $19.34 \pm 0.17$  cal ka BP is considered overestimated. Plant remains from sample ZK002-C14-04 (18.05 m depth) are dated to  $8.81 \pm 0.17$  cal ka BP, and rotten leaves from sample ZK002-C14-05 (18.95 m depth) are dated to  $9.15 \pm 0.13$  cal ka BP; both are consistent with the stratigraphic order. At depths below 31 m, one sample of rotten leaves and three plant remains have  $^{14}\text{C}$  ages that are infinite ( $>40$  ka BP), and the other seven plant

remains have finite ages showing age reversals. Potential reasons for age reversals will be discussed below.

### OSL ages

OSL ages are listed in Table 2 and can be shown in Figure 4. In core ZK001, the age range is from  $1.7 \pm 0.1$  ka to  $56 \pm 4.8$  ka, and in core ZK002, the age range is from  $15 \pm 1.1$  ka to  $177 \pm 20$  ka. OSL ages of eight samples, namely, ZK001-G12, ZK001-G13, ZK001-G14, ZK002-G02, ZK002-G03, ZK002-G04, ZK002-G05, and ZK002-G06, are considered as minimum ages because of the OSL signal saturation ( $D_e$  exceeds 150 Gy) (Wintle and Murray, 2006; Murray et al., 2021). In general, OSL ages of cores ZK001 and ZK002 are in stratigraphic order. Recycling ratio and recuperation are key factors for evaluating the SAR protocol for  $D_e$  determination (Wintle and Murray, 2006). The accepted  $D_e$  aliquots for each sample in this study matched the criteria of recycling ratios between 0.9 and 1.1 and recuperation ratios of  $<5\%$ . Representative OSL decay and growth curves of samples ZK001-G04 and ZK002-G01 are shown in Figure 2. The decay curves show that the OSL intensity decreased rapidly to background levels within 2 s, indicating the dominance of the fast components (Wintle and Murray, 2006). The representative growth curves of samples ZK001-G04 and ZK002-G01 are well-fitted, indicating the applicability of a combined SAR-SGC method in this study (Figure 2). Furthermore, the  $D_e$  determined by the SGC is consistent with the  $D_e$  determined by the SAR protocol.

TABLE 1  $^{14}\text{C}$  ages for samples from cores ZK001 and ZK002, the Chaoshan plain.

Sample ID	Depth (m)	Dating material	$^{14}\text{C}$ age (a BP)	Calibrated age (95.4% calibrated range, cal ka BP)
ZK001-C14-10	37.62	Plant remains	$>40,820$	–
ZK001-C14-11	41.46	Plant remains	$>40,820$	–
ZK001-C14-13	54.80	Plant remains	$>40,820$	–
ZK001-C14-14	56.58	Plant remains	$>40,820$	–
ZK002-C14-01	3.8	Rotten leaves	$16,030 \pm 60$	$19.34 \pm 0.17$
ZK002-C14-04	18.05	Plant remains	$7,935 \pm 35$	$8.81 \pm 0.17$
ZK002-C14-05	18.95	Rotten leaves	$8,195 \pm 30$	$9.15 \pm 0.13$
ZK002-C14-06	30.95	Plant remains	$>40,820$	–
ZK002-C14-07	33.3	Plant remains	$>40,820$	–
ZK002-C14-08	40	Rotten leaves	$>40,820$	–
ZK002-C14-10	57.76	Plant remains	$35,450 \pm 220$	$40.57 \pm 0.51$
ZK002-C14-11	59.32	Plant remains	$34,130 \pm 240$	$39.22 \pm 0.66$
ZK002-C14-12	64.81	Plant remains	$>40,820$	–
ZK002-C14-14	74.54	Plant remains	$28,970 \pm 230$	$33.27 \pm 0.92$
ZK002-C14-15	87.15	Plant remains	$36,440 \pm 250$	$41.47 \pm 0.42$
ZK002-C14-16	91.6	Plant remains	$30,900 \pm 190$	$35.21 \pm 0.51$
ZK002-C14-17	94.16	Plant remains	$30,980 \pm 170$	$35.31 \pm 0.52$
ZK002-C14-18	96.43	Plant remains	$32,850 \pm 240$	$37.43 \pm 0.90$

TABLE 2 OSL dating results for samples from cores ZK001 and ZK002, the Chaoshan plain.

Sample ID	Depth (m)	Dating material	Grain size (μm)	Aliquot number	U (ppm)	Th (ppm)	K (%)	Water content (%)	Dose rate (Gy/ka)	D <sub>e</sub> (Gy)	OSL age (ka)
ZK001-G01	0.9	Polymineral	38–63	6 <sup>a</sup> + 11 <sup>b</sup>	4.9 ± 0.24	28.1 ± 2.81	2.44 ± 0.29	25 ± 5	5.11 ± 0.29	8.7 ± 0.4	1.7 ± 0.1
ZK001-G03	8.9	Polymineral	38–63	6 <sup>a</sup> + 10 <sup>b</sup>	4.77 ± 0.24	24 ± 2.4	2.24 ± 0.27	25 ± 5	4.81 ± 0.27	17.2 ± 0.5	3.6 ± 0.2
ZK001-G04	10.5	Polymineral	38–63	5 <sup>a</sup> + 12 <sup>b</sup>	4.36 ± 0.22	22.4 ± 2.24	1.96 ± 0.24	25 ± 5	4.59 ± 0.26	23.8 ± 0.4	5.2 ± 0.3
ZK001-G08	14.5	Polymineral	38–63	5 <sup>a</sup> + 12 <sup>b</sup>	8.02 ± 0.4	27.63 ± 2.76	4.28 ± 0.51	25 ± 5	5.94 ± 0.33	135 ± 1.7	23 ± 1.3
ZK001-G12	21.2	Quartz	90–125	4 <sup>a</sup> + 10 <sup>b</sup>	11.1 ± 0.55	33.76 ± 3.38	2.87 ± 0.34	25 ± 5	6.09 ± 0.33	>231 ± 18 <sup>c</sup>	>38 ± 3.7 <sup>d</sup>
ZK001-G13	21.5	Quartz	90–125	5 <sup>a</sup> + 12 <sup>b</sup>	5.78 ± 0.29	23.64 ± 2.36	2.33 ± 0.28	25 ± 5	4.16 ± 0.24	>235 ± 14 <sup>c</sup>	>56 ± 4.8 <sup>d</sup>
ZK001-G14	23.4	Polymineral	38–63	12 <sup>b</sup>	3.22 ± 0.16	10.23 ± 1.02	2.89 ± 0.35	25 ± 5	3.86 ± 0.22	>211 ± 4.2 <sup>c</sup>	>55 ± 3.3 <sup>d</sup>
ZK002-G01	19.9	Quartz	90–125	6 <sup>a</sup> + 12 <sup>b</sup>	2.03 ± 0.1	9.58 ± 0.96	0.66 ± 0.08	25 ± 5	1.43 ± 0.08	22 ± 0.9	15 ± 1.1
ZK002-G02	26.5	Quartz	90–125	5 <sup>a</sup> + 12 <sup>b</sup>	10.14 ± 0.51	28.97 ± 2.9	2.84 ± 0.34	25 ± 5	5.63 ± 0.31	>153 ± 7.3 <sup>c</sup>	>27 ± 2.0 <sup>d</sup>
ZK002-G03	41.1	Quartz	90–125	4 <sup>a</sup> + 12 <sup>b</sup>	5.53 ± 0.28	30.73 ± 3.07	1.43 ± 0.17	25 ± 5	3.78 ± 0.21	>204 ± 7.9 <sup>c</sup>	>54 ± 3.6 <sup>d</sup>
ZK002-G04	49.8	Quartz	90–125	11 <sup>b</sup>	1.28 ± 0.06	4.86 ± 0.49	1.11 ± 0.13	25 ± 5	1.37 ± 0.1	>191 ± 10 <sup>c</sup>	>140 ± 12 <sup>d</sup>
ZK002-G05	83.6	Quartz	90–125	10 <sup>b</sup>	5.74 ± 0.29	8.29 ± 0.83	1.4 ± 0.17	25 ± 5	2.58 ± 0.15	>280 ± 15 <sup>c</sup>	>109 ± 8.5 <sup>d</sup>
ZK002-G06	93	Quartz	90–125	10 <sup>b</sup>	2.88 ± 0.14	5.16 ± 0.52	0.28 ± 0.03	25 ± 5	1.02 ± 0.05	>180 ± 18 <sup>c</sup>	>177 ± 20 <sup>d</sup>

<sup>a</sup>Numbers of aliquots measured using the standard SAR method.

<sup>b</sup>Numbers of aliquots measured using the standard SGC method. <sup>c</sup>Minimum D<sub>e</sub>. <sup>d</sup>Minimum age.

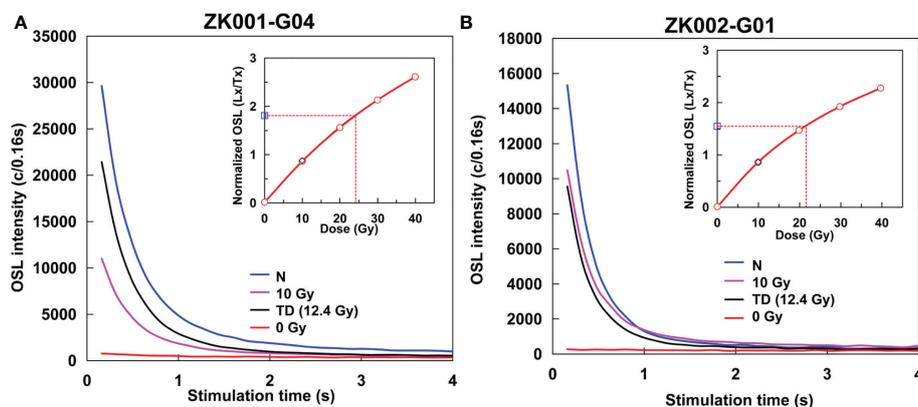


FIGURE 2

OSL decay and growth curves of samples ZK001-G04 (A) and ZK002-G01 (B). The growth curves show the dose–response L<sub>x</sub>/T<sub>x</sub> (where L<sub>x</sub> is the ratio of the luminescence signal and T<sub>x</sub> is the fixed dose). The decay curves of the natural dose (N), regeneration dose (R), and test dose (TD = 12.4 Gy) show the OSL signals decreasing rapidly during the first second of stimulation, indicating that the OSL signal is dominated by the fast component in these samples.

## Discussion

### Reliability and upper limit of plant remains $^{14}\text{C}$ dating by comparison with quartz OSL dating Cores

ZK001 and ZK002 were used to reconstruct paleoenvironmental changes by combining the Holocene diatom records, chronology, and sedimentology analysis (Zhang et al., 2020). In addition, the basal Quaternary deposits from core ZK002 were used to establish a new lithostratigraphic unit named the Paotai Formation in the Chaoshan plain (Ling et al., 2021). While the main objectives of this study are to explore the practical detection limit of  $^{14}\text{C}$  dating using plant remains and to determine the age of onset of Quaternary deposition in the area.

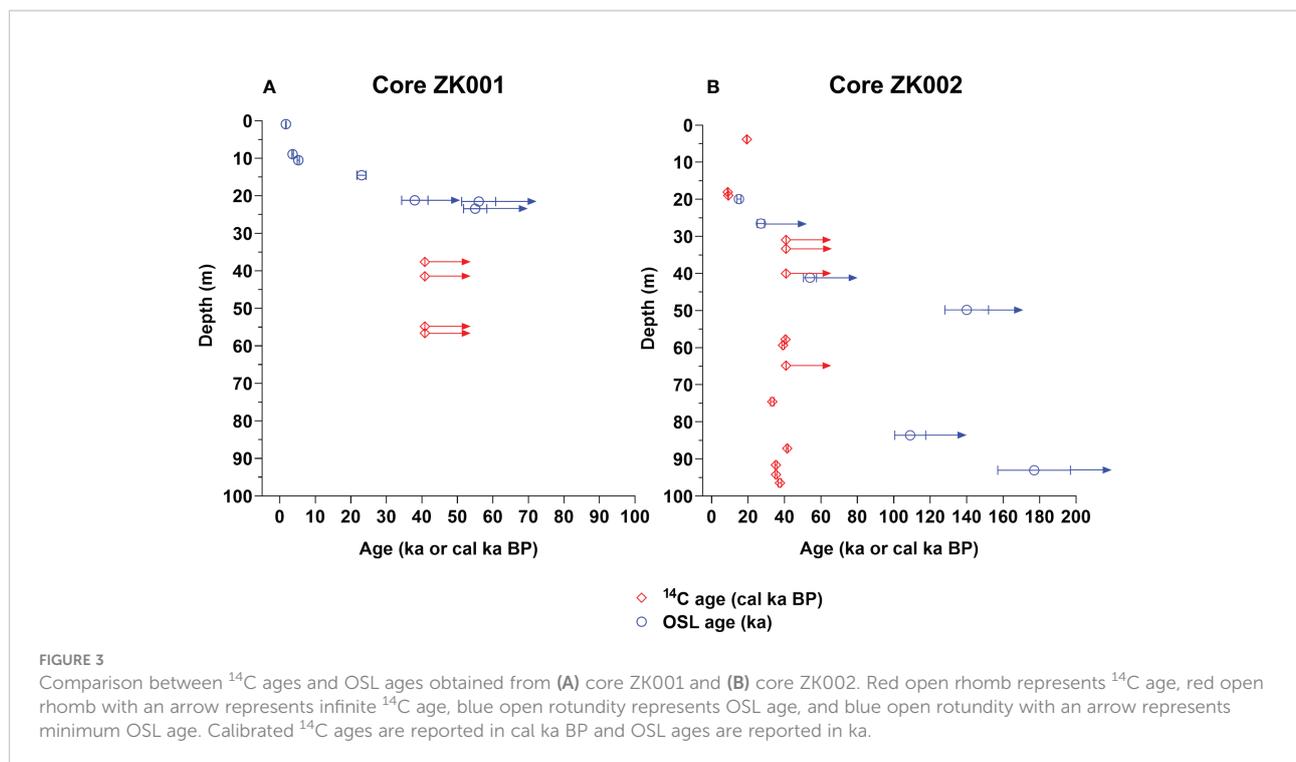
Eighteen  $^{14}\text{C}$  samples of plant remains and rotten leaves from the Rongjiang alluvial plain were dated in this study to obtain a detailed chronological framework. The results show that eight samples are infinite ( $>40.82$  ka BP) and others show age reversals. One rotten leaves  $^{14}\text{C}$  age (ZK002-C14-01;  $19.34 \pm 0.17$  cal ka BP) was collected from core ZK002 at a depth of 3.8 m. Plant remains  $^{14}\text{C}$  age (ZK002-C14-04;  $8.81 \pm 0.17$  cal ka BP) at a depth of 18.05 m and rotten leaves  $^{14}\text{C}$  age (ZK002-C14-05;  $9.15 \pm 0.13$  cal ka BP) at a depth of 18.95 m from a peat layer are consistent with stratigraphic order. Compared with the dates below, rotten leaves  $^{14}\text{C}$  age at the top (ZK002-C14-01) is reversed. Potential reasons for age reversals could be (1) sedimentary environment disturbances, (2) the erosion and re-deposition of older sediments, and (3) reworking along the dispersal path from the catchment area to the coast (Goodfriend and Stipp, 1983; Stanley and Chen, 2000; Nian et al., 2018). The layer at the top (3.8 m in depth) of core ZK002 is characterized by a tidal flat phase composed of dark gray silt and silty clay with insets of rotten wood, plants, and shell fragments. Disturbances in the sedimentary environment of the tidal flats might be the ultimate cause of age reversal at the top of the core.

$^{14}\text{C}$  age reversals are also common in core ZK002 at depths below 31 m. All reversed  $^{14}\text{C}$  ages are significantly underestimated and are not in agreement with quartz OSL ages. Quartz OSL ages of core ZK002 are stratigraphically consistent with general depths, ranging from 15 ka to 177 ka. The comparison between  $^{14}\text{C}$  and OSL ages from cores ZK001 and ZK002 is shown in Figure 3. OSL and  $^{14}\text{C}$  from core ZK002 at depths greater than ca. 30 m yielded consistent ages up to ca. 35 cal ka BP, while the difference becomes greater beyond 35 cal ka BP. All  $^{14}\text{C}$  ages of core ZK002 below ca. 30 m depth are not in agreement with stratigraphic order and clustered around 35–41 cal ka BP. However, OSL ages of core ZK002 successively increase at depths below ca. 30 m, with ages ranging from 54 ka to 177 ka (Figure 4; Table 2). In particular, three  $^{14}\text{C}$  ages of plant remains (35–37 cal ka BP) below ca. 91 m depth were underestimated compared with quartz OSL age (ZK002-G06,  $>177 \pm 20$  ka).

The potential reasons for the discrepancy between  $^{14}\text{C}$  and OSL ages in this study need to be investigated. Because of groundwater-level fluctuations and wet–dry seasonal variations in hydrochemistry in coastal plains and swamps, contamination of humus could occur at different times (Zhang and Shi, 1989). In addition, microbial or plant activities can lead to contamination. For instance, when the organisms (e.g., photosynthetic algae) in sediments are exposed to the atmosphere, they metabolize and produce new organic matters. This process can introduce modern carbon into the sediments, leading to an underestimation of the true age (Cheng et al., 2020). Plant activity such as tree roots could also alter the organic composition of sediments, which could be identified as secondary organic material prior to  $^{14}\text{C}$  dating (Palstra et al., 2021). Modern carbon contamination in peat and carbonaceous material cannot be completely eliminated even if some effective pretreatment techniques are used [e.g., acid–base–acid (ABA) and acid–base oxidation (ABOX) pretreatment]. Consequently, the dated samples represent a mixture of old and young carbon that cannot yet be separated at the present time (Bird et al., 1999; Hatté et al., 2001; Agatova et al., 2019).

The amount of original  $^{14}\text{C}$  used for age determination in an old sample is scarce due to the half-life ( $5,730 \pm 40$  a) of  $^{14}\text{C}$ , implying that it is susceptible to modern carbon contamination. The effect of contamination increases with age; even a small amount of secondary carbon can lead to significant errors (Pigati et al., 2007). Sediments at depths of ca. 91–97 m in core ZK002 are terrestrial swamp facies, composed of dark gray silt and clay with fine sands. Contaminant carbon such as humus probably causes an underestimation of the  $^{14}\text{C}$  ages of plant remains underestimation at a depth of ca. 91–96 m in terrestrial swamp facies. Finite  $^{14}\text{C}$  ages within these layers do not determine depositional dates because they may be intrusive or residual from bioturbation and post-depositional processes. Zheng and Li (2000) have also suggested that radiocarbon dates from terrestrial weathered samples in the Chaoshan plain may have been contaminated by young carbon during periods of low sea level. Contamination with modern carbon is probably the main factor that resulted in the underestimation of the  $^{14}\text{C}$  age in this study.

The significant age discrepancy between  $^{14}\text{C}$  and OSL from the Chaoshan plain is not particular. Several studies demonstrated that the radiocarbon ages are inconsistent with OSL ages for pre-Holocene samples (Liu and Lai, 2012; Sun et al., 2012; Zhao et al., 2013; Madsen et al., 2014). Regardless of the materials,  $^{14}\text{C}$  ages are much younger than luminescence ages. Inconsistencies between  $^{14}\text{C}$  and OSL dating have been reported in arid regions in China. Lai et al. (2014) compared previous  $^{14}\text{C}$  ages with new OSL ages on lacustrine sediments from Qaidam Basin, northeastern Qinghai-Tibetan Plateau (QTP), and found that the radiocarbon ages of 25–40 ka BP (MIS3) are older by up to approximately 100 ka (MIS 5) using OSL. They suggested that  $^{14}\text{C}$  ages older than approximately 25 ka BP are severely



underestimated, especially for samples from arid regions. The following study from the Ili Basin in Central Asia has confirmed that  $^{14}\text{C}$  ages older than 25 cal ka BP are greatly underestimated in other terrestrial deposits in Central Asia (Song et al., 2015). Song et al. (2015) concluded that the  $^{14}\text{C}$  ages of >30 cal ka BP from the Ili Basin are scattered between the 2% and 3%–4% modern carbon contamination lines. The problem of underestimation of radiocarbon ages also exists in humid regions. The contrast between  $^{14}\text{C}$  ages using plant remains and quartz OSL ages is reported from the Dongjiang plain, southwest of the Chaoshan plain, with an age difference of more than 30 ka when dating sediments beyond ca. 33 ka BP (Guo et al., 2013). Similarly, using plant macrofossil to date Devensian fluvial sediments in lowland Britain, Briant and Bateman (2009) found that radiocarbon ages beyond 40 cal ka BP were remarkably younger than OSL ages. All conventionally pretreated ABA radiocarbon ages fall in the range of ca. 40–45 cal ka BP, while OSL dates fall between ca. 69 and 110 ka (Briant and Bateman, 2009). Likewise, results from Weichselian alluvial in the Netherlands also showed that all  $^{14}\text{C}$  ages of Eerbeek-I below 2 m depth were infinite ages (>45.4 cal ka BP), while the OSL dates fall in ca. 49–102 ka at the same depth (Palstra et al., 2021).

It is suggested that plant remains are the ideal material for  $^{14}\text{C}$  dating (Nilsson et al., 2001; Reimer, 2012; Väiliranta et al., 2014), but  $^{14}\text{C}$  ages in this study along with research from fluvial sediments (lowland Britain) (Briant and Bateman, 2009) and Weichselian alluvial (the Netherlands) (Palstra et al., 2021)

demonstrated that it is challenging for  $^{14}\text{C}$  dating when samples are >35 cal ka BP in practice. Extreme caution is needed to interpret the reliability of published  $^{14}\text{C}$  ages beyond 40 ka BP. It is necessary to select plant materials that were most likely part of the original deposit (such as leaves or seeds) when feasible (Briant and Bateman, 2009). The influence of different geological environments on the  $^{14}\text{C}$  dating source material should be considered when using  $^{14}\text{C}$  ages to establish an age model (Zhang and Shi, 1989; Cheng et al., 2022). Combining radiocarbon dating with different dating techniques is suggested to obtain reliable results.

Luminescence dating has proven useful in addressing the upper age limit (beyond 35 cal ka BP) of radiocarbon dating. Quartz OSL has the advantages of rapid bleaching and signal stability. However, quartz encounters a problem of underestimating the true age at larger doses (150–250 Gy) (Lai, 2006; Wintle and Murray, 2006; Lai, 2010; Lai and Fan, 2014; Ou et al., 2014; Wang et al., 2018b; Li et al., 2020a; Li et al., 2020b; Murray et al., 2021; Xu et al., 2021). Underestimation of quartz OSL age due to saturation was found in estuarine and marine regions.  $D_e$  values of quartz OSL samples > 200 Gy from borehole TJC-1 in the western Bohai Sea, China, showed saturated ages beyond 80 ka (Long et al., 2022). Quartz OSL samples from core HPQK01 in Pearl River Delta have also shown that quartz OSL ages ranging from  $125 \pm 18$  ka to  $58 \pm 6$  ka are considered minimum ages due to the OSL saturation >150 Gy (Xu et al., 2022).  $D_e$  results (Table 2) show that seven quartz OSL and one polymineral sample have  $D_e$ s up to 150 Gy,

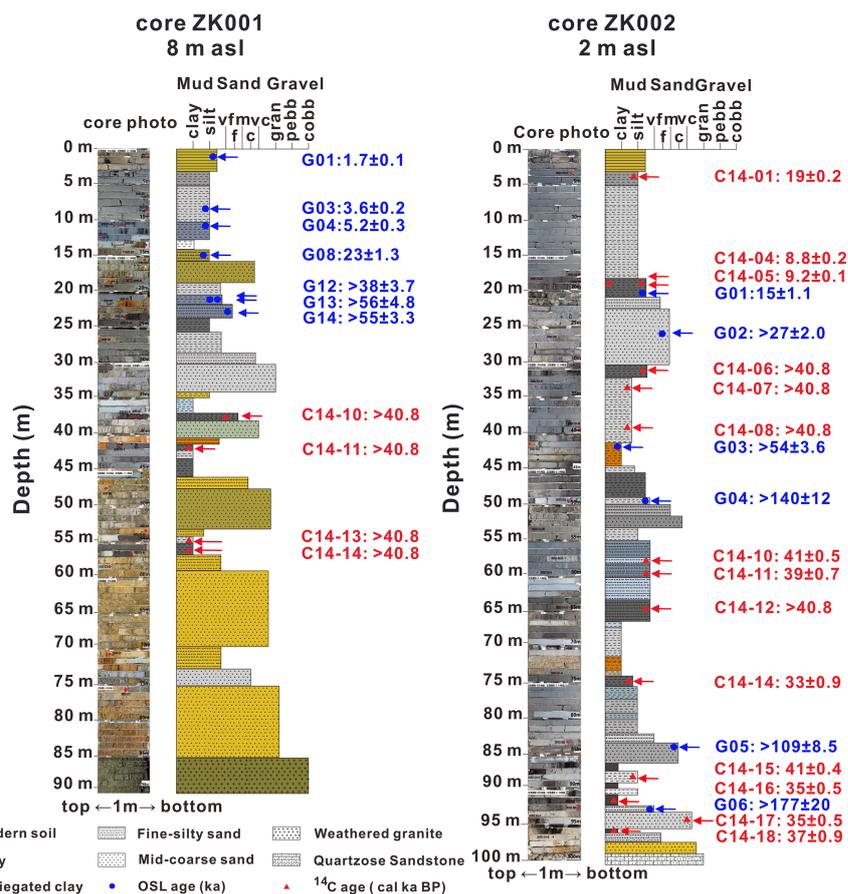


FIGURE 4 Profiles and ages of cores ZK001 and ZK002. The red triangle represents the <sup>14</sup>C age; blue circle represents the OSL age.

including ZK001-G12 (>231 Gy, 21.2 m in depth), ZK001-G13 (>235 Gy, 21.5 m in depth), ZK001-G14 (>211 Gy, 23.4 m in depth), ZK002-G02 (>153 Gy, 26.5 m in depth), ZK002-G03 (>204 Gy, 41.1 m in depth), ZK002-G04 (>191 Gy, 49.8 m in depth), ZK002-G05 (>280 Gy, 83.6 m in depth), and ZK002-G06 (>180 Gy, 93 m in depth). Usually, OSL growth curves can be fitted with a single saturating exponential function of the form  $I(D)/I_s = (1 - \exp(-D/D_0))$ , where  $I$  is the OSL signal intensity,  $I_s$  is the saturation intensity,  $D$  is the absorbed radiation dose, and  $D_0$  is indicative of the onset of saturation (Singarayer and Bailey, 2003; Wintle and Murray, 2006; Wallinga and Cunningham, 2015). Wintle and Murray (2006) suggested that the reliable  $D_e$  should be less than  $2 * D_0$  when the OSL signal is about 15% below the saturation level based on the assumption of exponential growth. A representative growth curve of five aliquots up to 280 Gy for sample ZK002-G02 (Figure 5) was constructed to investigate the degree of saturation. When fitting a single exponential [ $Y = 9.62 * (1 - \exp(-X/156.1))$ ] (Figure 5), the maximum reliable  $D_e$  of sample ZK002-G02 is 312 Gy

( $2 * D_0$ ). The values of  $D_0$  and  $2 * D_0$  for eight saturated samples are given in Table 3. The  $D_e$  values of samples ZK001-G12, ZK001-G13, and ZK001-G14 are greater than  $2 * D_0$  (Tables 2 and 3), indicating OSL saturation. As discussed previously, the saturation dose of estuarine samples is approximately 150–200 Gy (Long et al., 2022; Xu et al., 2022). Therefore, the  $D_e$ s of five samples from core ZK002 are more likely to reach the saturation level, even if these values are lower than  $2 * D_0$  (Tables 2, 3). The obtained ages of these samples should be considered as minimum ages for paleoenvironmental reconstruction.

### Late Quaternary chronological framework of cores ZK001 and ZK002 based on <sup>14</sup>C and OSL dating

The chronology of Quaternary deposits in the Chaoshan plain is lacking reliability since most previous work has relied essentially on a radiocarbon dating method confined to ca. 40 ka

TABLE 3 Values of  $D_0$  and  $2^*D_0$  for samples from core ZK001 and ZK002.

Sample ID	$D_0$ (Gy)	$2^*D_0$ (Gy)
ZK001-G12	101	202
ZK001-G13	116	232
ZK001-G14	116	232
ZK002-G02	156	312
ZK002-G03	183	366
ZK002-G04	183	367
ZK002-G05	185	369
ZK002-G06	181	363

\* represents a multiplication sign.

BP (Li et al., 1987; Li et al., 1988). Fossils of rhinoceros, giant pandas, and saber-toothed elephants from an alluvial layer in Jieyang County show that the deposition of the Quaternary sediments in the Chaoshan plain began as early as the middle Pleistocene (Chen, 1984b). On the contrary, some studies argue that the basal part of the Quaternary sediments (fluvial sediments, named the Nanshe Formation) in the Chaoshan plain was deposited no more than 55 ka BP based on  $^{14}C$ , TL ages, and stratigraphic relationships (Chen, 1984a; Li et al., 1987; Li et al., 1988). According to the chronologies published so far, the Nanshe Formation is overlain by a lower marine unit (M2, also named the Jiali Formation) dated at MIS 3 based on  $^{14}C$  (Li et al., 1987; Li et al., 1988). Zong et al. (2015) suggested that the global sea level during MIS 5e (ca. 130–120 ka) (Rohling et al.,

2008) was possibly 3–5 m higher than the present sea level but dropped to 60–80 m below the present sea level (BSL) during MIS 3 (ca. 58–24 ka) (Bard et al., 1990; Yokoyama et al., 2001). The depositional surface was very close to the present sea level during the deposition of the lower marine sequence in the Chaoshan plain, as the sea level during MIS 5e was several meters higher than the present (Zong et al., 2015). Accordingly, Zong et al. (2015) demonstrated that the lower marine unit (M2) was deposited at a depth of around 45 to 80 m BSL; therefore, the actual age is likely to be older than MIS 5, rather than MIS 3. Subsequent studies from the Lianjiang plain, the southeastern part of the Chaoshan plain, have confirmed these findings using ESR and OSL (Song et al., 2012; Tang et al., 2018). The combination of  $^{14}C$ , OSL, and ESR dating, of the lower marine unit (M2) from the Lianjiang plain, shows that the results are also at MIS 5 rather than MIS 3 (Song et al., 2012; Tang et al., 2018). ESR ages of the basal terrestrial layer from the core WYZK-06 (at a depth of ca.100–130 m) in the Lianjiang plain fall approximately within MIS 7 (ca. 243–190 ka) to MIS 6 (ca. 190–130 ka) (Song et al., 2012). Quartz OSL dates (>216 ka) from core CN-01 (23.57 m depth) in the Lianjiang plain also indicate that the basal terrestrial sediments were deposited mainly during a substage of MIS7 (Tang et al., 2018). In this study, the oldest quartz OSL age from core ZK002 in the Rongjiang plain (middle part of the Chaoshan plain) is  $>177 \pm 20$  ka (ZK002-G06, 93 m depth), which is much older than the results of previous studies (Chen, 1984a; Li et al., 1987; Li et al., 1988). The onset of deposition (consisting of coarse sand with

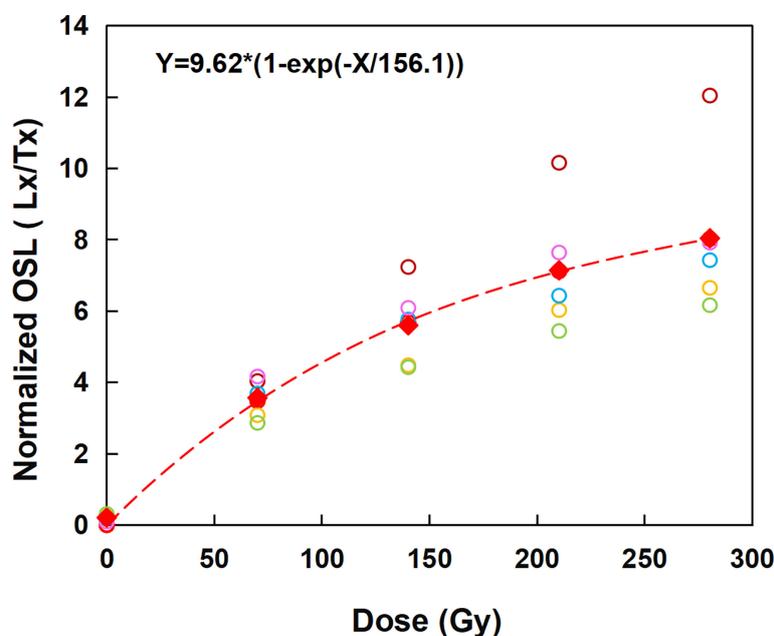


FIGURE 5 Growth curves of five aliquots up to 280 Gy for sample ZK002-G02. The dotted line denotes the average growth curve of the five aliquots.

gray-white color) in the Rongjiang plain is probably attributed to MIS 6 during the sea level lowstand, which was mainly influenced by fluvial processes.

The variegated clay is a weathered clay of fluvial deposits formed in a low-stand fluvial environment and is considered an important cycle boundary with hiatus in the coastal areas of the South China Sea. The results from the Pearl River Delta show that the first and second variegated clay layers were formed during the last glacial maximum (LGM) (ca. 30–20 ka) and MIS 4 (ca. 74–60 ka), respectively (Xie et al., 2014). Variegated clay layers are also found in this study in cores ZK001 and ZK002. The hiatus (from ca.  $23 \pm 1.3$  ka to  $5.2 \pm 0.3$  ka) of core ZK001 occurred at a depth of ca. 10.5–14.5 m, with sediments composed of clay and variegated clay. Quartz OSL age (ZK001-G08,  $23 \pm 1.3$  ka, 14.5 m depth) confirmed that variegated clay in this layer was deposited during the LGM in a low-stand fluvial environment (Figure 4). Hiatus (from ca. 15 ka to 9.2 cal ka BP) was also observed in core ZK002 at ca. 19–20 m depth (Figure 4). The sediments in this layer are composed of fluvial sediments of gray-white fine sands without variegated clay deposited mainly during the LGM. Unlike core ZK001, two variegated clay layers are recorded in core ZK002. The first variegated clay layer (ca. 41–45 m in depth) was likely to be deposited during MIS 4 based on the quartz OSL age (ZK002-G03,  $>54 \pm 3.6$  ka) at a depth of 41.1 m. The second variegated clay layer (ca. 69–74 m in depth) has not been dated in this study due to suitable sample insufficiency. However, we speculate that this layer is likely deposited by a fluvial channel in a sea-level lowstand environment. An obvious lithologic change from fine-grained clay with gray-white color at the bottom to silt with deep gray color on the top can be seen across the hiatus in core ZK001 at a depth of ca. 11–15 m. This variation in lithology is also recorded around the hiatus in core ZK002, from coarse sand with gravel in steel gray color at the bottom to middle-fine grain sand with gray color within a depth of ca. 19–30 m across the hiatus. The change in lithology around the depositional hiatus in both cores ZK001 and ZK002 reflects a change in the paleoenvironment from a fluvial to a coastal environment in response to sea-level change.

## Conclusions

In this study, we applied  $^{14}\text{C}$  and OSL methods to date the late Quaternary sediments from two cores, ZK001 and ZK002, from the Rongjiang plain. Chronological data based on  $^{14}\text{C}$  and OSL dating methods from both cores show discrepancies in dates older than 35 cal ka BP.  $^{14}\text{C}$  ages were significantly younger than their corresponding OSL ages, suggesting that  $^{14}\text{C}$  dating is underestimated. Instead, OSL dating is well applicable to provide a reliable chronology older than 35 ka. In addition, the oldest OSL age (ZK002-G06,  $>177 \pm 20$  ka, 93 m depth) from core

ZK002 indicates that the onset of sediment accumulation in the Rongjiang plain could be traced back to at least MIS 6.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

## Author contributions

JZ: Data curation, Visualization, Writing-Original draft. LK: Data curation, Investigation. MY: Data curation, Investigation. QS: Data curation, Investigation. MA: Writing- Reviewing and Editing. ZL: Conceptualization, Methodology, Supervision, Funding acquisition, Reviewing and Editing. All authors contributed to the article and approved the submitted version.

## Funding

This research was supported by the China Geological Survey Project (Grants No. DD20160032-14), National Natural Science Foundation of China (Grants No. 41877438), STU Scientific Research Start-Up Foundation for Talents (NTF19003, NTF20006), and Innovation and Entrepreneurship Project of Shantou (2021112176541391).

## Acknowledgments

We thank Xianmei Huang for the helpful discussions and Junxiang Miao for sample collection

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 30 August 2022

ACCEPTED 20 October 2022

PUBLISHED 16 November 2022

## CITATION

Lin P, Xu X, Yan C, Luo L, Abbas M  
and Lai Z (2022) Holocene  
sedimentary of the Pearl River Delta in  
South China: OSL and radiocarbon  
dating of cores from Zhuhai.  
*Front. Mar. Sci.* 9:1031456.  
doi: 10.3389/fmars.2022.1031456

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# Holocene sedimentary of the Pearl River Delta in South China: OSL and radiocarbon dating of cores from Zhuhai

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Deltaic sediments provide a window for investigating delta development processes and the effects of human activities. Despite the fact that numerous studies have been conducted in the Pearl River Delta (PRD), the chronological data are still very limited, which hinder the detailed interpretation of the sedimentary records. The current study aims to establish high-resolution chronology on two cores from Zhuhai using quartz optically stimulated luminescence (OSL) and radiocarbon (<sup>14</sup>C) dating and, further, to reconstruct the Holocene sedimentary history of the PRD. Core P1-1 has a depth of 79 m and core P3-2 a depth of 60 m. Thirteen quartz OSL samples from P1-1 produced ages between 10.4 and 0.16 ka. Eight OSL and eight <sup>14</sup>C ages from P3-2 span from 10.7 to 0.3 ka. The OSL and <sup>14</sup>C dates show a good agreement above the depth of 26 m (1.4–0.3 ka), but with discrepancies at depths of 26–54 m. <sup>14</sup>C ages (10.7–8.1 ka) are generally older (up to c. 2 ka) than quartz OSL ages, and the discrepancy decreases with depth. The age model shows three phases of the sedimentation process: (1) rapid accumulation rates of 7.48 (P1-1) and 7.52 (P3-2) m/ka between c. 10.7 and 7.5 ka in response to high sea level, (2) followed by a significantly reduced rate of 2.24 m/ka (P1-1) and a depositional hiatus (P3-2) from 7.5 to 2.5 ka as a result of reduced sediment supply and strong scouring by tidal processes, and (3) high sedimentation rates of 8.86 (P1-1) and 9.07 (P3-2) m/ka since 2.5 ka associated with intensive human activities and weakening tidal hydrodynamics. This sedimentary pattern is also evident in many other Asian deltas.

## KEYWORDS

Pearl River Delta, optically stimulated luminescence dating, radiocarbon dating, sedimentation rate, global change

# 1 Introduction

Coastal deltas are important sedimentary records for understanding palaeo-environmental changes in land–sea interaction areas in response to sea level variations (Saito et al., 1998; McLean and Tsyban, 2001; Woodroffe et al., 2006; Bianchi and Allison, 2009). Rapid sea level rise is considered as the main driver worldwide for the formation of deltas during the early Holocene (Stanley and Warne, 1994). The rising seawater penetrated into the incised valleys that formed during the last glacial maximum (LGM) (Hori and Saito, 2007; Tamura et al., 2009) and created an accommodation space for deltaic development (Zong et al., 2012; Xu et al., 2019; Wang et al., 2022). Subsequently, as the sea level forcing was almost eliminated by 7 cal ka BP, fluvial runoff and tidal currents controlled the delta progradation (Woodroffe, 2000; Saito et al., 2001; Hori et al., 2002; Zong et al., 2009a).

The Pearl River Delta (PRD) is one of the largest coastal deltas in the world. Boreholes and high-resolution seismic profiles demonstrated that a major marine sequence was deposited in the PRD during the Holocene (Fyfe et al., 1997; Owen et al., 1998; Zong et al., 2009a; Xu et al., 2020). Over the past two decades, several studies have attempted to reconstruct the Holocene evolutionary history of the PRD and elucidated the driving mechanisms by investigating the Holocene strata at different time scales and regional settings (Zong et al., 2009a; Zong et al., 2012; Wu et al., 2017; Xiong et al., 2018a; Fu et al., 2020; Xiong et al., 2020; Xu et al., 2020). For instance six stratigraphic transects from the head area through the central basin to northern estuaries were used to investigate the interactions between sea level rise, monsoon runoff, and the palaeo-landscape in the early Holocene (Zong et al., 2012). Middle Holocene studies have focused on the factors that led to low sedimentation rates and slow progradation in central deltaic plain (Zong et al., 2009a; Fu et al., 2020). For the late Holocene, Xiong et al. (2020) found a rise in land growth rate from 0.36–0.38 to 2.69–10.56 km<sup>2</sup>/a, *i.e.*, accelerated coastline advances after c. 2.2 ka using historical archives, genealogical books, and modern survey data. It has been hypothesized to be triggered by agricultural activities and land reclamation with strong human influence, as shown by organic and inorganic geochemical indicators (Zong et al., 2010; Hu et al., 2013) and bathymetric data (Wu et al., 2016).

Despite the fact that a relatively clear understanding of Holocene landform development has been established based on numerous records mentioned above, studies on the sedimentation processes of the southern estuary are limited. The Modaomen estuary, for example, is the largest mouth of the Pearl River (Huang et al., 1982; He et al., 2022), but relevant sedimentation research is scarce (Zong et al., 2009b; Lu et al., 2020). Earlier research suggested that sedimentary records from estuary sites could provide information about the palaeo-environment evolution in response to natural and human

impacts during the Holocene (Wu et al., 2017; Xiong et al., 2018b; Chen et al., 2019). Therefore, a better knowledge of the deltaic–estuarine sedimentation process is of great importance and may shed light on the interpretation of the evolutionary history of the PRD.

An accurate chronology of delta sediments is pivotal to understanding palaeo-environmental changes. The published ages of the PRD sediments have mainly been based on radiocarbon dating (Huang et al., 1982; Li et al., 1991; Zong et al., 2006; Hu et al., 2013; Wu et al., 2017). However, the carbon reservoir effect of the carbonate-rich PRD region can yield abnormal <sup>14</sup>C ages by releasing negative particulate organic carbon to depositional process (Liu et al., 2017). For instance the <sup>14</sup>C ages are generally older than OSL ages at similar depths in core DA from the southern PRD, and this discrepancy might be caused by the carbon reservoir effect (Xu et al., 2020). In addition, reworking of carbon materials in deltas with complex hydrodynamics usually causes overestimation of radiocarbon ages, which can be abnormally old by up to 2 ka for surface samples (Yim et al., 2006; Kong et al., 2014). Recently, optically stimulated luminescence (OSL) dating has been recognized as an invaluable method for dating coastal sediments (Yi et al., 2012; Wang et al., 2013; Yi et al., 2014; Gao et al., 2016; Wang et al., 2018; Wang et al., 2019; Xu et al., 2020; Nian et al., 2021; Xu et al., 2022; Long et al., 2022; Wang et al., 2022). In addition, multi-dating technique, such as OSL and <sup>14</sup>C dating, has been applied in Holocene deltaic sediments, *e.g.*, from the Yangtze River delta (Nian et al., 2021) and the PRD (Xu et al., 2020), and found to be appropriate for establishing comprehensive chronostratigraphic sequences.

In this study, cores P1-1 and P3-2, drilled from the estuary of the southern PRD, were dated by OSL and accelerator mass spectrometry (AMS) <sup>14</sup>C techniques to build a high-resolution chronological framework. Together with the analyses of sedimentological characteristics and sedimentation rates, the Holocene sedimentary history of the PRD was reconstructed.

## 2 Study area and samples

### 2.1 Study area

The Pearl River delta is located in the south-central part of Guangdong Province and faces the South China Sea (Figure 1A). The palaeo-Pearl River had evolved to a configuration similar to the present in the early Miocene (He et al., 2020). During the late Quaternary, active faulting and differential uplifting processes resulted in an expansion of accommodation for receiving sediments from drainage basins and marine transgressions (Huang et al., 1982; Yu et al., 2016). Since the Holocene, the fault activity in the PRD became weaker, and the river system basically inherited the pattern before the last marine transgression (Yao et al., 2013). Limited by the altitude of

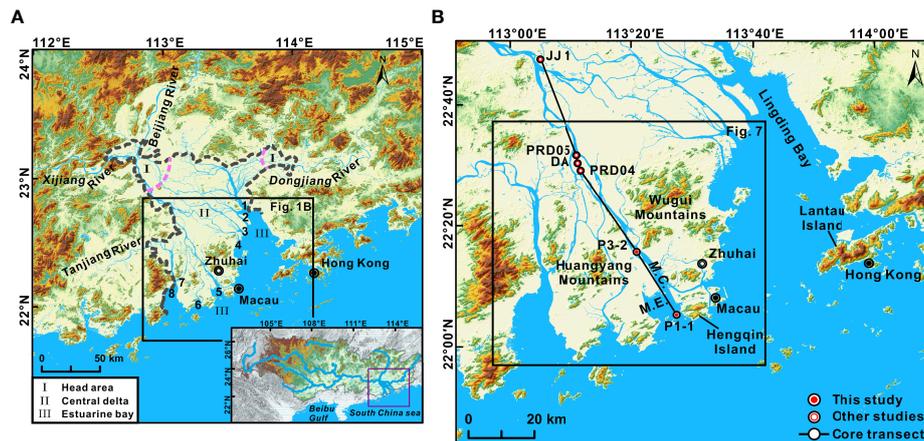


FIGURE 1

(A) Topographic characteristics of the Pearl River Delta (PRD). The PRD is divided into head area (zone I), central basin (zone II), and estuarine bay (zone III). Numbers 1–8 represent the eight estuaries of the PRD, namely, Humen, Jiaomen, Hongqimen, Hengmen, Modaomen, Jitimen, Hutiaomen, and Yamen, respectively. The inset figure shows the drainage system of the Pear River. (B) Topographic characteristics of the southern PRD, together with the locations of cores P1-1 and P3-2 (this study) and others reported: core JJ1 (Zong et al., 2009a), core PRD05 (Liu et al., 2008), core DA (Xu et al., 2020), and core PRD04 (Wei and Wu, 2011). M.E. and M.C. indicate the Modaomen Estuary and Modaomen Channel, respectively.

basement bedrock, the thickness of Quaternary sediments in the PRD varies between 10 to 40 m on average and reaches up to 60 m in incised valleys from Xijiang River and Beijiang River deltas (Huang et al., 1982).

The PRD can be divided into the head area, central delta, and estuarine bay according to the distance toward the sea (Figure 1A; Zong et al., 2009a). The water and sediments enter the dense distributary networks and flow out into the ocean through eight main outlets of the PRD (Wei and Wu, 2011). The outlets are morphologically separated by Wugui Mountains into two parts, *i.e.*, Humen, Jiaomen, Hongqimen, and Hengmen in the east and Modaomen, Jitimen, Hutiaomen, and Yamen in the west (Figure 1A). Among these outlets, the Modaomen estuary features the highest flood discharge and sand transport rates (He et al., 2022). The vast palaeo-Modaomen estuary contained a series of bi-directional jet systems which played an important role to the formation of sandbars and the major seaward channel of Xijiang River (Wu et al., 2007). The modern Modaomen estuary is under a stage of weakened estuarine jet (Wu et al., 2010). Due to the artificial deposition-promoting activities and land reclamations, the coastlines of the Modaomen estuary expanded seaward at a rate of 24 m/a during 1976–2006 AD (Zhang et al., 2015).

## 2.2 Sediment cores and sampling

Cores P1-1 [22°5′34.08″ N, 113°27′24.48″ E, 6 m below the present sea level (bpsl)] and P3-2 (22°15′42.99″ N, 113°20′59.87″ E, 0 m bpsl) are drilled from the southern PRD in

Zhuhai, southeast China (Figure 1B). The submarine core P1-1 (79-m-long) was drilled in the Modaomen estuary, and core P3-2 (60-m-long) was drilled in the Zhupai sandbar in the Modaomen Channel. Core P1-1 is composed mainly of a sandy stratum (79.0–58.2 m) and a layer of clay and silt (58.2–0 m). Core P3-2 comprises a basement of dark gray cataclastic breccia and its overlain sediments. The sediments are characterized by a sand layer (53.8–45.8 m) and a succession of clay and silt with sandy laminations (45.8–3.5 m). The top layer of light-yellow silt with plant roots is treated as modern soil (3.5–0 m).

Thirteen OSL samples were collected from cores P1-1 and eight from P3-2. Eight  $^{14}\text{C}$  samples were collected from core P3-2. Part of the OSL and AMS  $^{14}\text{C}$  data of core P3-2 were reported by Lu et al. (2020) (see Tables 1, 2 for details) to explore regional fault activity.

## 3 Methods

### 3.1 OSL dating

#### 3.1.1 Sample preparation and equipment

The outer layer of OSL samples that might have been light-exposed was removed, and the remaining samples were successively treated to remove carbonate and organic matter with 10% HCl and 30%  $\text{H}_2\text{O}_2$ , respectively. The samples were then wet-sieved to obtain 38–63 or 90–125  $\mu\text{m}$  fractions according to availability. In order to obtain pure quartz OSL grains, the 38–63- $\mu\text{m}$  grains were treated with 35%  $\text{H}_2\text{SiF}_6$  for

TABLE 1 | Optically stimulated luminescence (OSL) dating results from cores P1-1 and P3-2.

Num.	Sample ID	Depth (m)	Grain size ( $\mu\text{m}$ )	Aliquot number	K (%)	Th (ppm)	U (ppm)	Moisture (%)	Dose rate (Gy/ka)	$D_e$ (Gy)	Over-dispersion (%)	OSL age (ka)	References
1	P1-1-1	0.35	90–125	6 <sup>a</sup> +10 <sup>b</sup>	1.58	17.6	3.49	27.1 $\pm$ 5	2.97 $\pm$ 0.10	0.42 $\pm$ 0.04	39.97 $\pm$ 7.20	0.14 $\pm$ 0.02	This study
2	P1-1-2	4.95	90–125	6 <sup>a</sup> +10 <sup>b</sup>	1.67	14.4	3.57	28.4 $\pm$ 5	2.76 $\pm$ 0.10	0.92 $\pm$ 0.05	23.38 $\pm$ 3.79	0.33 $\pm$ 0.03	This study
3	P1-1-9	12.75	90–125	6 <sup>a</sup> +12 <sup>b</sup>	2.06	17	3.31	30.6 $\pm$ 5	3.01 $\pm$ 0.10	1.04 $\pm$ 0.02	6.81 $\pm$ 1.42	0.35 $\pm$ 0.01	This study
4	P1-1-17	27.95	90–125	6 <sup>a</sup> +10 <sup>b</sup>	1.85	18.1	3.21	36.9 $\pm$ 5	2.72 $\pm$ 0.09	9.33 $\pm$ 0.59	27.09 $\pm$ 4.85	3.43 $\pm$ 0.25	This study
5	P1-1-23	42.05	90–125	4 <sup>a</sup> +10 <sup>b</sup>	1.79	16.80	3.40	33.7 $\pm$ 5	2.70 $\pm$ 0.15 <sup>c</sup>	24.71 $\pm$ 1.15	16.34 $\pm$ 3.19	9.15 $\pm$ 0.67	This study
6	P1-1-25	47.05	38–63	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	32.0 $\pm$ 5	2.80 $\pm$ 0.16 <sup>c</sup>	24.54 $\pm$ 0.37	5.38 $\pm$ 1.14	8.78 $\pm$ 0.51	This study
7	P1-1-27	52.05	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	27.2 $\pm$ 5	2.87 $\pm$ 0.16 <sup>c</sup>	29.45 $\pm$ 0.91	11.86 $\pm$ 2.10	10.26 $\pm$ 0.67	This study
8	P1-1-28	54.05	38–63	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	32.0 $\pm$ 5	2.74 $\pm$ 0.16 <sup>c</sup>	28.57 $\pm$ 0.33	3.82 $\pm$ 1.00	10.41 $\pm$ 0.60	This study
9	P1-1-29	56.05	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	28.4 $\pm$ 5	2.89 $\pm$ 0.17 <sup>c</sup>	27.24 $\pm$ 0.56	7.87 $\pm$ 1.48	9.42 $\pm$ 0.57	This study
10	P1-1-30	57.15	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	27.8 $\pm$ 5	2.84 $\pm$ 0.16 <sup>c</sup>	28.03 $\pm$ 0.94	13.35 $\pm$ 2.33	9.86 $\pm$ 0.65	This study
11	P1-1-31	58.15	90–125	6 <sup>a</sup> +10 <sup>b</sup>	1.79	16.80	3.40	26.2 $\pm$ 5	2.89 $\pm$ 0.17 <sup>c</sup>	29.48 $\pm$ 1.10	15.31 $\pm$ 2.80	10.19 $\pm$ 0.79	This study
12	P1-1-32	61.2	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	30.0 $\pm$ 5	2.79 $\pm$ 0.16 <sup>c</sup>	25.24 $\pm$ 1.03	15.79 $\pm$ 2.81	9.04 $\pm$ 0.63	This study
13	P1-1-34	78.9	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.79	16.80	3.40	30.0 $\pm$ 5	2.79 $\pm$ 0.16 <sup>c</sup>	22.7 $\pm$ 1.01	19.17 $\pm$ 3.40	8.14 $\pm$ 0.59	This study
14	P3-2-1	4.68	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.48	11.40	2.32	27.3 $\pm$ 5	2.25 $\pm$ 0.08	0.66 $\pm$ 0.04	24.03 $\pm$ 3.90	0.29 $\pm$ 0.02	Lu et al. (2020)
15	P3-2-2	8.05	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.15	10.90	2.10	20.6 $\pm$ 5	2.02 $\pm$ 0.08	0.79 $\pm$ 0.04	19.20 $\pm$ 3.01	0.39 $\pm$ 0.02	This study
16	P3-2-3	16.7	90–125	5 <sup>a</sup> +11 <sup>b</sup>	1.40	10.50	2.31	27.9 $\pm$ 5	2.06 $\pm$ 0.08	0.83 $\pm$ 0.04	19.39 $\pm$ 3.45	0.41 $\pm$ 0.02	Lu et al. (2020)
17	P3-2-4	25.3	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.98	16.50	2.97	32.6 $\pm$ 5	2.80 $\pm$ 0.10	3.93 $\pm$ 0.16	18.11 $\pm$ 2.83	1.41 $\pm$ 0.07	Lu et al. (2020)
18	P3-2-5	32.1	90–125	6 <sup>a</sup> +12 <sup>b</sup>	2.03	16.90	2.82	36.9 $\pm$ 5	2.72 $\pm$ 0.09	17.08 $\pm$ 0.54	12.83 $\pm$ 2.14	6.28 $\pm$ 0.29	Lu et al. (2020)
19	P3-2-6	39.7	90–125	6 <sup>a</sup> +12 <sup>b</sup>	1.93	14.40	2.28	31.8 $\pm$ 5	2.54 $\pm$ 0.09	24.43 $\pm$ 0.80	14.86 $\pm$ 2.33	9.61 $\pm$ 0.46	Lu et al. (2020)
20	P3-2-7	46.6	90–125	6 <sup>a</sup> +11 <sup>b</sup>	1.61	13.24	2.50	25.4 $\pm$ 5	2.42 $\pm$ 0.14 <sup>c</sup>	23.93 $\pm$ 0.49	7.57 $\pm$ 1.48	9.90 $\pm$ 0.62	Lu et al. (2020)
21	P3-2-8	53.8	90–125	6 <sup>a</sup> +11 <sup>b</sup>	1.54	12.93	2.46	28.4 $\pm$ 5	2.28 $\pm$ 0.13 <sup>c</sup>	16.46 $\pm$ 0.65	15.27 $\pm$ 2.72	7.23 $\pm$ 0.51	This study

The superscript “a” means aliquot numbers for single-aliquot regenerative-dose protocol, and the superscript “b” means aliquot numbers for standard growth curve protocol. The superscript “c” represents the dose rates based on the averaged content of U, Th, and K of existing samples.

about 2 weeks, and 90–125- $\mu\text{m}$  grains were etched with 40% HF for 40 min. Both extracted quartz grains were washed with 10% HCl to eliminate fluoride precipitates. The purity of the quartz fractions was tested by OSL IR depletion ratio (Duller, 2003).

OSL measurements were performed on a Risø TL/OSL-DA-20 reader equipped with a  $^{90}\text{Sr}/^{90}\text{Y}$  beta source. The quartz OSL signals were stimulated by a blue light ( $\lambda = 470 \pm 20 \text{ nm}$ ) for 40 s

at 130°C and recorded by 9235QA photomultiplier through a 7.5-mm Hoya U-340 filter.

### 3.1.2 $D_e$ measurements

The equivalent dose ( $D_e$ ) was determined by a combination of single-aliquot regenerative-dose (SAR) protocol (Murray and Wintle, 2000) and standard growth curve (SGC) protocol (Roberts and Duller, 2004; Lai, 2006; Lai et al., 2007). Yu

TABLE 2 Accelerator mass spectrometry  $^{14}\text{C}$  dating results from core P3-2.

Sample ID	Depth (m)	Dating material	$^{14}\text{C}$ age (ka BP)	Cal date ( $2\sigma$ ) (cal ka BP)	Median cal age(cal ka BP)	References
C-P3-2-1	3.2	Bulk organic	$0.59 \pm 0.03$	0.65–0.58	$0.6 \pm 0.04$	This study
C-P3-2-2	5.2	Plant fragment	$0.19 \pm 0.03$	0.30–0.26	$0.3 \pm 0.02$	Lu et al. (2020)
C-P3-2-3	12.5	Plant fragment	$0.21 \pm 0.03$	0.30–0.27	$0.3 \pm 0.02$	Lu et al. (2020)
C-P3-2-4	21.0	Plant fragment	$1.10 \pm 0.03$	1.06–0.94	$1.0 \pm 0.07$	Lu et al. (2020)
C-P3-2-5	28.0	Plant fragment	$7.29 \pm 0.03$	8.20–8.02	$8.1 \pm 0.08$	Lu et al. (2020)
C-P3-2-6	43.8	Bulk organic	$9.21 \pm 0.03$	10.50–10.46	$10.5 \pm 0.02$	Lu et al. (2020)
C-P3-2-7	45.6	Bulk organic	$9.36 \pm 0.03$	10.66–10.51	$10.6 \pm 0.08$	Lu et al. (2020)
C-P3-2-8	53.6	Plant fragment	$9.43 \pm 0.04$	10.75–10.57	$10.7 \pm 0.09$	Lu et al. (2020)

(2017) and Xu et al. (2020) suggested that it is feasible to choose the preheat temperature of  $260^\circ\text{C}$  with a duration of 10 s during the SAR–SGC protocol for dating deltaic samples from the PRD. In this study, a preheat at  $260^\circ\text{C}$  for 10 s, and a cut heat at  $220^\circ\text{C}$  for 10 s were chosen for regeneration doses and test doses, respectively. For each OSL sample, four to six aliquots were measured by SAR procedures to obtain SAR  $D_e$ s and an SGC curve. The other 10–12 aliquots measured for nature signals ( $L_N/T_N$ ) were fitted in SGC to get the SGC  $D_e$ s. The final  $D_e$  was calculated using the arithmetic mean.

### 3.1.3 Dose rate measurements

The U, Th, and K content was determined using neutron activation analysis. The cosmic ray dose was calculated based on altitude, geographical location, and depth of the samples (Prescott and Hutton, 1994). An alpha efficiency factor ( $a$ -value) of  $0.035 \pm 0.003$  was used for the 38–63- $\mu\text{m}$  quartz grains (Lai et al., 2008). The water content was measured in the laboratory with an uncertainty of  $\pm 5\%$  by considering the seasonal variation of precipitation and the palaeo-climate change in the study area. The  $D_r$ s and final ages were calculated on the website program of Dose Rate and Age Calculator (Durcan et al., 2015). Unfortunately, some samples lack measured dose rates due to samples loss. Based on the average value of the available sample data, we calculated the estimated dose rate of these lost samples. The estimated dose rates are generally consistent with the measured dose rates of an upstream core DA (Figure 1B), ranging from 2.09 to 2.92 (Table 1; Xu et al., 2020).

## 3.2 Radiocarbon dating

Four organic-rich sediments and five plant fragments from core P3-2 were collected for AMS  $^{14}\text{C}$  dating. All these AMS  $^{14}\text{C}$  samples were analyzed by the Beta Analytic Radiocarbon Dating Laboratory (Florida, USA). All conventional ages were calibrated using Intcal 09 curve (Reimer et al., 2009).

## 4 Results

### 4.1 Luminescence characteristics and OSL ages

Figure 2 shows the representative decay and dose–response curves of samples P1-1-17, P1-1-28, P3-2-4, and P3-2-6. The OSL signals of these samples decay rapidly within  $\sim 2$  s, indicating the dominance of the fast component. The SGC can be fitted by a single exponential function with six regenerative dose aliquots, indicating that the SAR–SGC protocol is suitable to determine the  $D_e$  values of the PRD sediments.

Thirteen and eight quartz OSL ages are obtained from core P1-1 and P3-2, respectively. The OSL results are listed in Table 1 and shown in Figure 3. The OSL ages range from  $10.41 \pm 0.60$  to  $0.16 \pm 0.03$  ka within depths of 78.90–0.35 m for core P1-1 and from  $9.90 \pm 0.62$  to  $0.30 \pm 0.02$  ka within depths of 4.68–53.80 m for core P3-2 [the dating results were partly from Lu et al. (2020)]. Except for the outlier (the age of  $7.05 \pm 0.53$  ka from the sample P3-2-8), the OSL results are generally consistent with stratigraphic order within errors.

### 4.2 Radiocarbon ages

The AMS  $^{14}\text{C}$  ages of core P3-2 are summarized in Table 2 and Figure 3. The radiocarbon ages of the five plant fragment samples ranged between  $10.7 \pm 0.09$  and  $0.3 \pm 0.02$  cal ka BP within depths of 53.6–5.2 m. The three bulk organic samples yielded ages of  $10.60 \pm 0.08$  cal ka BP (45.6 m),  $10.50 \pm 0.03$  cal ka BP (43.8 m), and  $0.06 \pm 0.04$  cal ka BP (3.2 m) [the dating results are partly from Lu et al. (2020)]. The  $^{14}\text{C}$  sample C-P3-2-1 (3.2 m) yielded a slightly older age ( $0.6 \pm 0.04$  cal ka BP), which is considered as reworking material because of human re-filling and will not be considered in the sedimentation rate analyses. Except for this sample, the radiocarbon ages of core P3-2 are in agreement with stratigraphic order with depth.

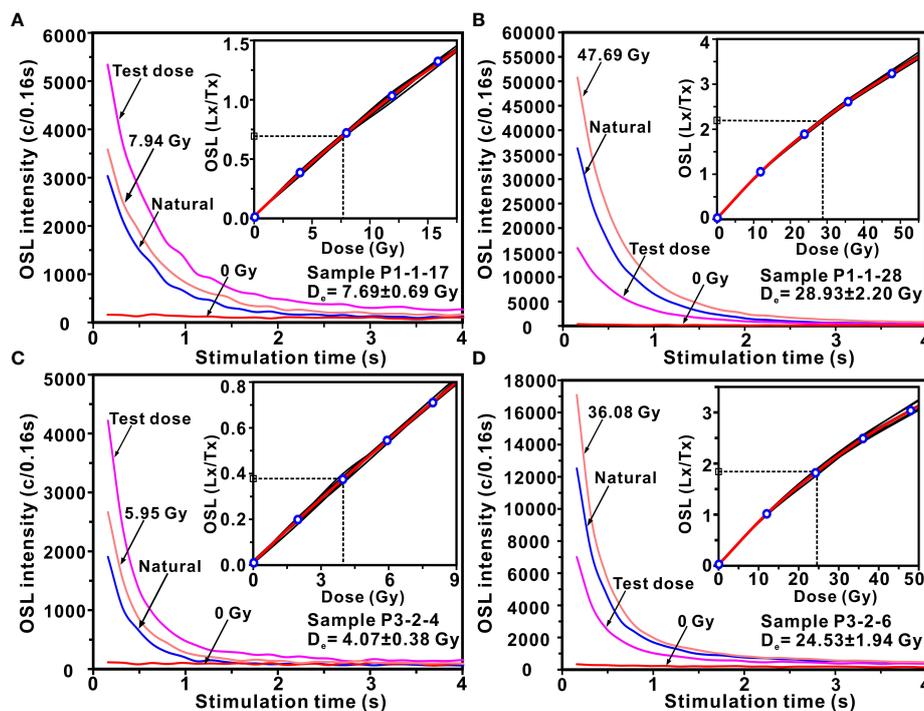


FIGURE 2

Luminescence properties of samples P1-1-17 (A), P1-1-28 (B), P3-2-4 (C), and P3-2-6 (D). The outset figure shows the decay curves of natural signal and the signals of regenerative dose, test dose, and 0 Gy, respectively. The inset figures show the dose–response curves (black lines) of each single-aliquot regenerative-dose aliquot and their standard growth curve (red line). The blue hollow cycles are the regenerative doses.

## 5 Discussions

### 5.1 Comparison of OSL and AMS $^{14}\text{C}$ ages

In this study, four quartz OSL ages and three AMS  $^{14}\text{C}$  ages of plant fragments show a good agreement above 26 m depth (Figure 4). With increasing depth, the OSL sample P3-2-1 and the  $^{14}\text{C}$  sample C-P3-2-2 yielded ages of around 0.3 ka at similar depths (within 0.5 m). The OSL samples P3-2-2, P3-2-3, and P3-2-4 show good constraints for  $^{14}\text{C}$  samples C-P3-2-3 and C-P3-2-4 within a range of 0.3 to 1.4 ka. However, the fitting curve show age differences appearing below 26 m in depth, *i.e.*, before 1.4 ka (Figure 4). For instance a c. 2 ka age difference appears between  $^{14}\text{C}$  sample C-P3-2-5 (28 m) with an age of  $8.1 \pm 0.1$  cal ka BP and an OSL sample P3-2-5 (32.1 m) with an age of  $6.3 \pm 0.3$  ka. About 30 km north of the study area, core DA revealed a similar age difference by fitting analysis, showing that  $^{14}\text{C}$  ages are older (c. 0.5–1 ka) than OSL ages before c. 1.8 ka (Xu et al., 2020). As the depth increases, the age discrepancy of core P3-2 becomes less apparent (Figure 4). For instance at 45.6-m depth, the organic sample C-P3-2-7 ( $10.6 \pm 0.08$  cal ka BP) is consistent with the lower OSL sample P-3-2-7 ( $9.9 \pm 0.6$  ka) considering age errors.

Comparisons between OSL dating and  $^{14}\text{C}$  dating results for Holocene deltaic sediments have been reported in many studies, but their results vary. Research in the eastern PRD showed a good consistency between the Holocene  $^{14}\text{C}$  and quartz OSL ages, with only one obviously younger  $^{14}\text{C}$  age (Guo et al., 2013). However, as indicated by core DA from the southern PRD, AMS  $^{14}\text{C}$  ages are, in general, older than quartz OSL ages for Holocene sediments at similar depths (Xu et al., 2020). The radiocarbon results from the incised valley of the Yangtze River delta likewise showed a good agreement with those in core NT but were mostly older than their corresponding OSL ages in the adjacent core TZ (Nian et al., 2018). Two cores from the Thu Bo River delta in Vietnam revealed a general consistency of quartz OSL and feldspar IR<sub>50</sub> ages with  $^{14}\text{C}$  ages, except two reversal  $^{14}\text{C}$  ages from shell samples in core VG-2 (Qiaola et al., 2022). The causes of these abnormal  $^{14}\text{C}$  ages were generally considered as influenced by carbon reservoir effect or the reworking of dating materials (Nian et al., 2018; Xu et al., 2020; Qiaola et al., 2022).

Knowledge of the local surface ocean  $^{14}\text{C}$  age offset relative to that of atmospheric  $\text{CO}_2$ , the so-called marine reservoir effect ( $\Delta R$ ), is essential for reliable radiocarbon dating of coastal sediments (Stuiver et al., 1986; Stuiver et al., 1998; Southon et al., 2002). During the Holocene,  $\Delta R$  variations for the South

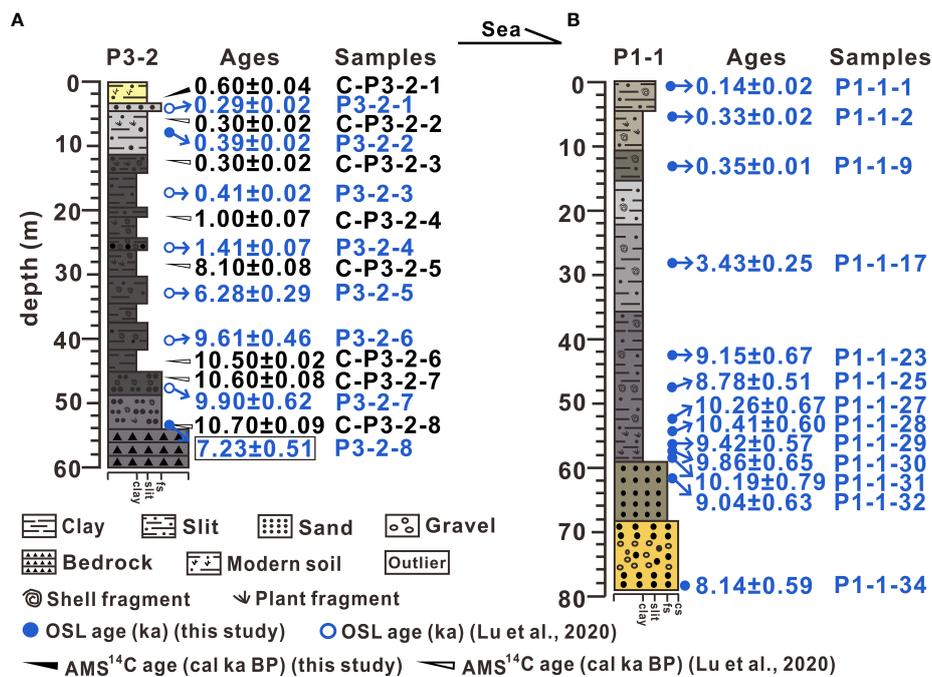


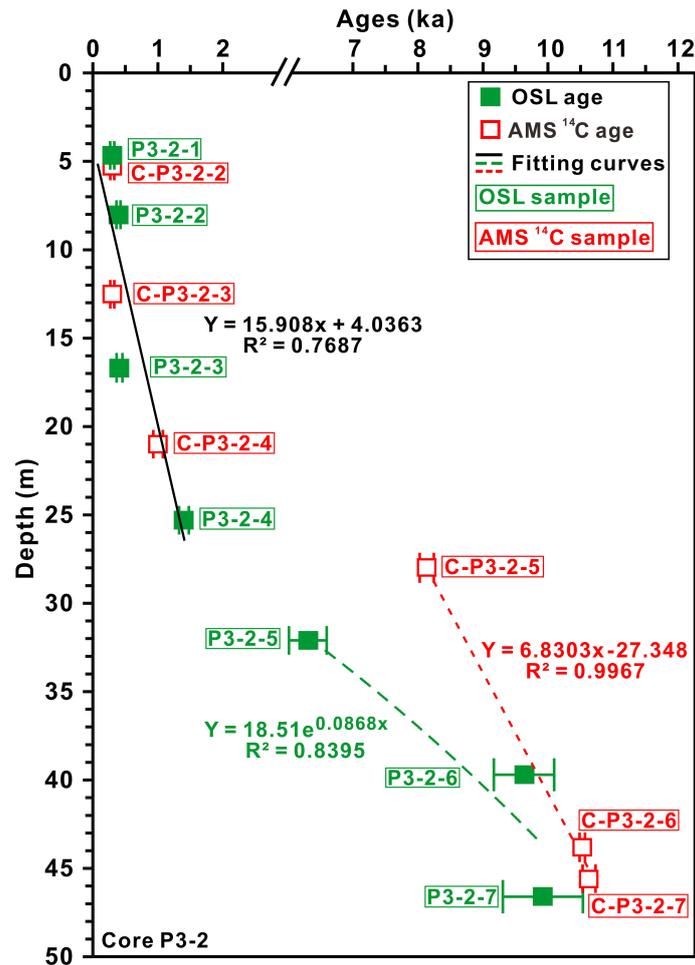
FIGURE 3 Lithology and chronology of cores P3-2 (A) and P1-1 (B). Ages were obtained by optically stimulated luminescence (blue) and accelerator mass spectrometry <sup>14</sup>C (black) dating methods.

China Sea was c. 410 years for c. 8.1–5.5 ka and c. 200 years for c. 3.5–2 ka (Hua et al., 2020). Over the past 500 years, the mean ΔR values for the south/central South China Sea was determined as -25 ± 20 years, while the ΔR values for the South China coast are even lower, between -25 ± 20 and -149 ± 7 years (Southon et al., 2002). In addition to local marine reservoir, calibration of <sup>14</sup>C dating requires analysis of the “dead carbon” effect. For plant samples from drainage systems, the reservoir correction might be higher than that for marine samples due to additional carbonate input from bedrock and soil (Nakanishi et al., 2013). Especially in the carbonated-rich PRD where river flows likely carry old carbon from deeper sediments and sedimentary rocks, the “dead carbon” effect could be more obvious (Liu et al., 2017). For organic matter from the shallow water of the PRD, the high hydrodynamics will produce old carbon and result in abnormally old, by up to 2 ka, <sup>14</sup>C ages (Yim et al., 2006; Kong et al., 2014).

As discussed above, the abnormally older <sup>14</sup>C ages in this study are likely due to the combined effects of regional carbon reservoir and carbon reworking. The mechanisms of the temporal differences in reservoir effects in the PRD, as observed in core P3-2, require further investigation. Considering that deltas are dynamic and actively evolving complex systems, it remains prudent to date Holocene sediments by radiocarbon dating alone.

## 5.2 Sedimentary units and depositional setting

According to the sedimentary characteristics, the stratigraphic sequences are identified in ascending order of each core (Figure 5). In core P3-2, a 53.8-m-thick Holocene layer consisting of four sedimentary units unconformably overlies the bedrock (dark gray cataclasite and breccia) (Figure 5A). The lowermost unit P3-U1 (53.8–45.8 m) consists of gray-to-dark gray and medium-to-fine sand with few fragments of plant and shell. Such lithology is likely an indication of a tidal channel deposition environment during c. 10.7–9.9 ka. A similar unit was also recorded at around 10.8–10.5 cal ka BP in core ZK19 (31.4–34.8 m in depth) from Lingding Bay (Chen et al., 2019). Unit P3-U2 (45.8–26.1 m) overlies P3-U1 with a mutation in grain size of dark gray muddy clay intercalated with silty-sand laminations and more shell fragments. The lithological transition implies that the depositional setting might have changed from fluvial channel to an estuary/prodelta environment at nearly 10 ka due to sea level rise. Along the Modaomen Channel, a similar sedimentary environmental change was also recorded in upstream cores PRD05, PRD04 (Wei and Wu, 2011), and DA (Xu et al., 2020) during nearly the same time gap (Figure 6). Unit P3-U3 (26.1–11.4 m) shows dark gray muddy silt and sand containing

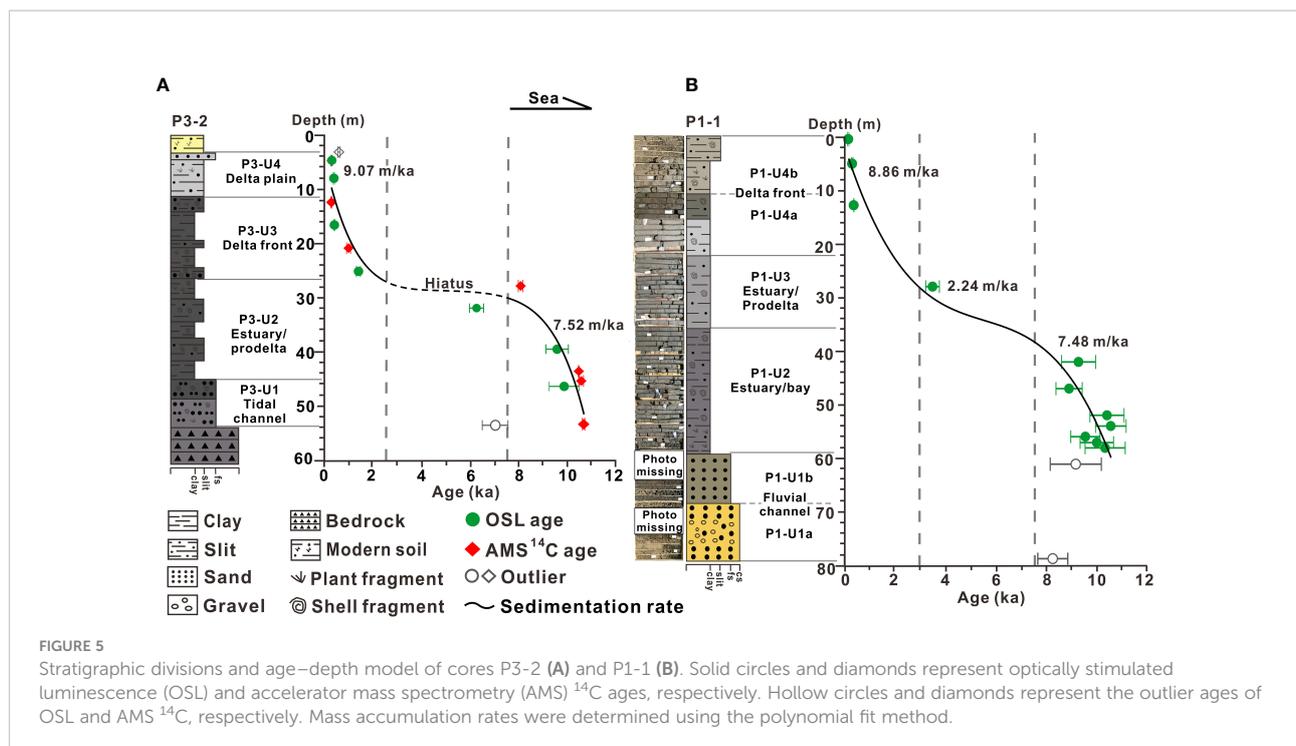


**FIGURE 4** Comparison of optically stimulated luminescence (OSL) ages and accelerator mass spectrometry (AMS) <sup>14</sup>C ages of core P3-2 and the fitting curves for these ages. Circles and diamonds represent OSL and AMS <sup>14</sup>C ages, respectively. Ages above 26 m in depth are well fitted by a linear function (black). Below 26 m in depth, OSL and AMS <sup>14</sup>C were fitted by an exponential (green) and a linear function (red), respectively.

abundant shell fragments and coarse sand laminations at the bottom and fine sand laminations at depths of 14.0–11.4 m. The uppermost P3-U4 (11.4–3.5 m) is mainly composed of light gray silt with sporadic fine sandier lenses and a thin sandy layer (5.0–3.5 m). The upward fining-to-coarsening successions and sandier lenses indicate that this site might be deposited in a delta front (P3-U3) to a delta plain (P3-U4) setting (Figure 5A).

Core P1-1 is divided into four units (Figure 5B). The basal unit P1-U1 consists of two subunits. The lower unit P1-U1a (79–68 m) is an 11-m-thick layer of brownish-yellow coarse sand with well-rounded and poorly sorted grave sediments, and the upper unit P1-U1b (68.0–58.2 m) is grayish-yellow medium-to-fine sand. Unit P1-U1 is interpreted as indicating a fluvial channel environment. Unit P1-U2 (58.2–36.0 m) is unconformably in contact with the underlying strata with mutation in color and grain size, consisting of the dark gray

color of silty clay, including abundant fragments of oyster shells and plant. The lithologic characteristics of this unit shows a similarity to P3-U2 and is considered as estuarine/bay deposits (Figure 5). This unit was also documented at depths of c. 51–32 m in the adjacent core ZK2, which has been interpreted as retrogradational estuary since c. 7.5 ka (Wei and Wu, 2011). Unit P1-U3 (36.0–22.0 m) appears as a continuous depositional succession of unit P1-U2 with gradual contact, composed of gray silty clay sediments with fewer shell fragments. Unit P1-U4 (22–0 m) occupies the uppermost part of core P1-1 with two subunits. The lower unit P1-U4a (22–16 m) is light gray clay sediments. The upper unit P1-U4b (16–0 m) consists of grayish-brown to brownish-gray clay and sandy silt with organic muddy sediments and a few plant fragments. The upward-coarsening trend and fewer shell fragments compared with P1-U2 probably represent a



transition from estuarine/prodelta (P1-U3) to delta front (P1-U4) environment.

In the present study, unit P1-U1 was subjected to a different depositional system (fluvial system) compared to other units in core P1-1 (Figure 5B) and is excluded from the sedimentation rate analysis. In both cores P3-2 and P1-1, three phases of depositional rate changes are identified based on the obtained ages (Figure 5). During 10.7–7.5 ka, cores P3-2 and P1-1 deposited at a rate of 7.52 and 7.48 m/ka, respectively. Between 7.5 and 2.5 ka, core P3-2 appeared as a sedimentary hiatus, whereas core P1-1 recorded a slow accumulation of 2.24 m/ka from 7.5 to 3.0 ka. The highest accumulation rate is recorded since 3 ka as c. 9.07 m/ka in core P3-2 and 8.86 m/ka in core P1-1.

## 5.3 Variation of sedimentation rates and its evolutionary implication for the Pearl River Delta

### 5.3.1 Rapid sea level rise and accommodation space infilling

Theoretically, one (or more) sequence(s) of marine sediments can be found within a tectonically subsiding delta basin and its incision valley systems due to sea level changes during glacial-interglacial cycles (Dalrymple et al., 1992; Zaitlin et al., 1994; Nichol et al., 1996). Previous studies have shown that at least two marine sequences have been consistently recorded

along the northern coast of the South China Sea during the Quaternary period (Huang et al., 1982; Yim et al., 2008; Zong et al., 2009b; Xu et al., 2022). As indicated by the Quaternary sedimentary record from the PRD, the catchment area was filled with older marine sediments that may have been deposited during MIS 5 (Yim et al., 1990; Zong et al., 2009b; Yu, 2017) as well as fluvial sands and gravels. This older sedimentary succession is generally overlain bedrock or gravel beds in the coastal areas of southern China (Zong et al., 2015). Since the LGM, when the sea level dropped to about 120 m bpsl (Chappell et al., 1996), the Pearl River cut through the older succession and created incised channels (Figure 7A; Zong et al., 2009b). Along with sea level that reached to about 40 m bpsl at c. 10 cal ka BP (Siddall et al., 2003; Xiong et al., 2018b), available space was created at many locations along the coast that would be filled during the Holocene (e.g., Zong et al., 2012).

When the relative sea level in the study area rose from about 40 to 0 m bpsl between c. 10 and 7.5 ka (e.g., Xiong et al., 2018b), seawater inundated the study area and transformed it into a broad estuary (Figure 7B). At the same time, units P1-U2 and P3-U1 deposited in an estuary environment at high accumulation rates of 7.47 m/ka in core P1-1 and 7.39 m/ka in core P3-2 (Figure 5). This rapid sedimentation during the early Holocene has been widely reported from the head (e.g., Zong et al., 2009a; Fu et al., 2020), central deltaic plain (Zhao et al., 2014; Fu et al., 2020), and estuarine areas (Chen et al., 2019) in the context of rising sea level. Previous studies showed that the rate of relative sea level rise increased from about 16.4 m/ka by

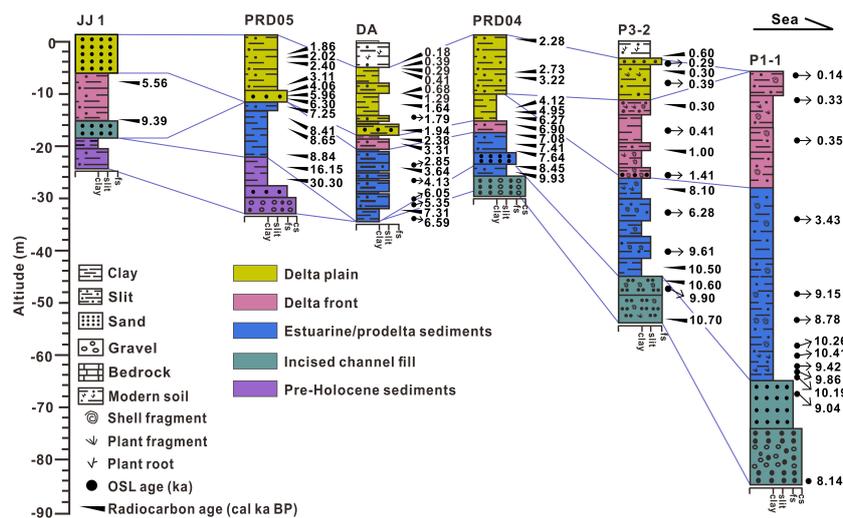


FIGURE 6

Stratigraphic transect along the palaeo-valley from central deltaic plain to the Modaomen estuary showing the distribution of major sediment facies and the seaward-thickening Holocene stratum. The stratigraphic analyses of cores were cited from previous studies, *i.e.*, JJ1 by Zong et al. (2009a), PRD05 by Liu et al. (2008), DA by Xu et al. (2020), and PRD04 by Wei and Wu (2011).

10.5 cal ka BP to a peak of c. 33.0 m/ka by 9.5 cal ka BP (Xiong et al., 2018b). As the seaward part of the delta, an estuarine area would respond immediately to rapid sea level rise, opening a narrow accommodation space for fast infilling of incision channels (Chen et al., 2019).

### 5.3.2 Slow sedimentation in the middle Holocene

As the relative sea level approximately ceased rising around 7.5 cal ka BP at a reduced rate of 1.7 m/ka (Xiong et al., 2018b), core P1-1 experienced a markedly slow deposition at 2.24 m/ka between 7.5 and 3.0 ka (Figure 5B). This slow sedimentation rate during the middle Holocene has been recorded in many boreholes from the PRD (Zong et al., 2009a; Hu et al., 2013; Liu et al., 2016; Fu et al., 2020). The reasons for this phenomenon are argued as follows: (1) low sediment supply as sedimentation was concentrated in the head area (Fu et al., 2020), (2) less sediment generation under the influence of the weakening summer monsoon (Zong et al., 2006), and (3) strong tidal currents that transported parts of suspended sediments into the South China Sea (Wu et al., 2007). Consequently, limited sediments entered the estuary and were frequently reworked by tidal currents. However, small and large former/present rocky islands across the estuary of the PRD may have contributed to the trapping of sediments to its adjacent areas (Huang et al., 1982; Wu et al., 2007; Fu et al., 2020). For example, in the bay area, core HKUV11 near Lantau Island (Hong Kong) recorded continuous sedimentation (1.8 m/ka) over the last 8 ka (Xiong et al., 2018b). Therefore, despite the low sediment supply from the PRD, Hengqin Island, adjacent to core

P1-1 (Figure 1B), may have supplied some sediment flux and contributed to the formation of unit P1-U3 (36.0–23.0 m) during 7.5–3.0 ka (Figure 5).

However, core P3-2, located about 20 km upstream of core P1-1, underwent an exceptionally low depositional rate of 0.7 m/ka from 7.5 to 2.5 ka. This rate is much lower than that of downstream core P1-1 (2.24 m/ka) during 7.5–3.0 ka (Figure 5) and also those (1.27–5.66 m/ka) in modern PRD estuaries (Liu et al., 2014). Here the slow sedimentation process is interpreted as a depositional hiatus during the middle Holocene (Figure 5A). In Lingding Bay, sedimentary hiatuses from 8.1 to 2.2 cal ka BP and from 7.6 to 2.9 cal ka BP were also reported for cores ZK19 and ZK13, respectively (Chen et al., 2019). In these cores, the palaeo-morphology of the PRD is suggested as a key factor of the hiatuses (Chen et al., 2019). Core P3-2 is located in the Modaomen Channel and is surrounded by mountains (*e.g.*, Wugui Mountains) and rocky islands (Figures 1B, 7B), where a special hydrology called tidal bi-directional jet flows (TBJFs) likely developed as the extensive transgression passed through (Wu et al., 2010). However, core P1-1 is located in the mouth of the Modaomen estuary, where tidal currents are more complex and exhibit significant dissipation of tidal energy. Such a turbulent environment is unfavorable for the existence of TBJFs (Wei et al., 2011). Recent studies reported that the TBJFs enhanced seafloor erosion in the tidal channel with strong influx and convergence of palaeo-tidal energy (Wu et al., 2010; Wei and Wu, 2014). A long-term morphodynamic model simulated the tidal current velocity in the PRD, showing that the highest

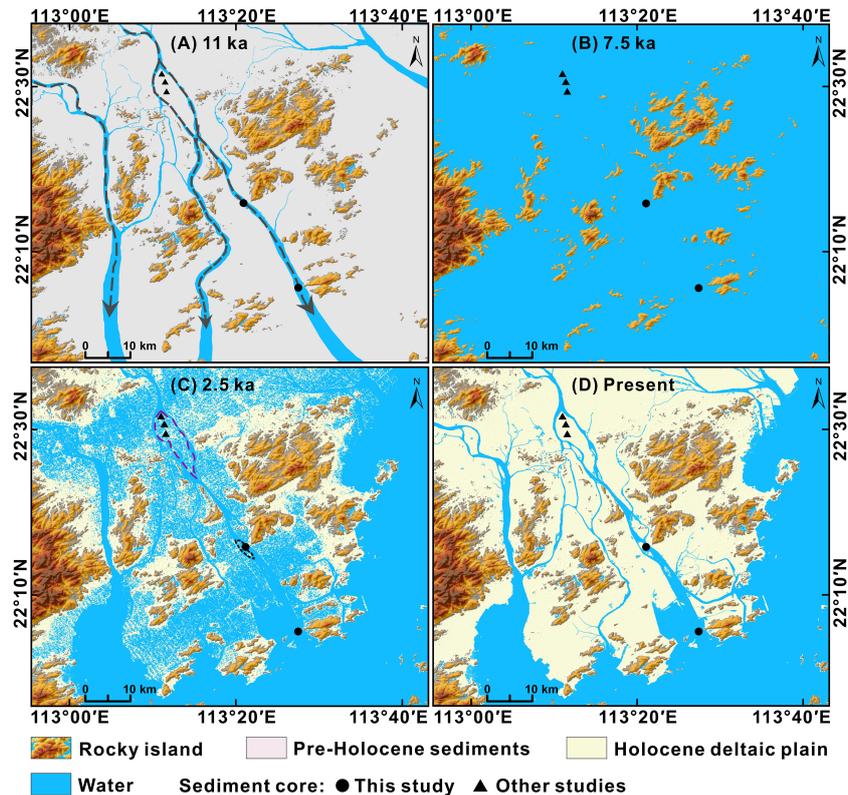


FIGURE 7

Changes of landscapes of the southern Pearl River Delta estuary during the Holocene. (A) Prior to the Holocene marine transgression, the fluvial forces (gray dotted arrows) created incised valleys and cut through pre-Holocene sediments. (B) Stage I occurred at the maximum transgressive invasion at c. 7.5 ka when seawater flooded the study area and turned it into estuarine condition, with only rocky islands emerging. (C) Stage II took place during marine regression when progradation of deltaic plain started since c. 2.5 ka, with sporadic wetlands. The dotted lines represent the developing formation of Daao sandbar (in purple) and Zhupai sandbar (in black). (D) In stage III of the past 2.5 ka, the Daao sandbar and Zhupai sandbar had already formed under rapid sedimentation rates. The black dots show the locations of the sediment cores by this study, with black triangles as those proposed by Liu et al. (2008); Xu et al. (2020), and Wei et al. (2011) from north to south, respectively.

velocity is distributed in the southwest side of Wugui Mountains from 6.0 to 2.5 ka (Wu et al., 2010). In core P3-2, such a strong hydrodynamic condition is characterized as plenty of coarse sand and shell fragments at depths of 26.1–26.3 m (Figure 3A). Together with the low sediment supply, core P3-2 has closely ceased deposition since 7.5 ka.

### 5.3.3 Accelerated sedimentation and formation of the deltaic plain

The slow sedimentation rate transitioned to rapid deposition (c. 9 m/ka) as observed in both cores in 2.5 ka (Figure 5). Extremely rapid deposition became a major feature of the PRD in a period of relative sea level stability during the late Holocene (Zong et al., 2010). As the central basin was gradually filled between c. 4 and 2 ka (Fu et al., 2020), the deltaic progradation shifted to the estuary area since c. 2.5 ka (Figure 7C), and the sedimentation rate was up to 5.33 m/ka, on average, for the Modaomen estuary (Wei et al., 2011). Human activities, such as

catchment land clearance, diking, and reclamation, have been intensified since c. 2.2 ka (Cheng et al., 2018; Xiong et al., 2020; Chen et al., 2022), causing increased soil erosion (Yu et al., 2010; Hu et al., 2013; Zong et al., 2013; Zheng et al., 2021) and more sediment trapping (Figure 7C; Zong et al., 2009a; Xiong et al., 2020). In the estuary, cores B1/2 and HKUV1 showed that the progressively higher kaolinite contents since 2.5 ka were due to older weathered soils caused by widespread agriculture (Hu et al., 2013); core ZK19 showed a strengthening of anthropogenic influence, as evidenced by gradually positive  $\delta^{13}\text{C}$  values at depths of 9.8–0 m (gray to brown and gray clayey silt) since c. 1.7 ka (Chen et al., 2019). A similar lithology was also recorded in unit P1-U4b (grayish brown to brownish gray, clay and silty sand) at the same time (Figure 5B). Therefore, the fast deposition in core P1-1 could be associated with intense human activities.

After c. 2.5 ka, the TBJFs has switched into a unidirectional jet flow with weakened tidal energy (Wu et al., 2010; Wu and

Wei, 2021), and thus sedimentation of core P3-2 in the Modaomen Channel is likely reactivated. Based on the records of cores PRD04 and PRD05, the tidal sand bodies of the Modaomen Channel were aerial in origin and formed sandbars (e.g., Daao sandbar; Figure 7C) at c. 2.2 ka (He et al., 2007; Wei and Wu, 2011). About 34 km downstream from core PRD05, units P3-U3 and P3-U4 consist of coarsening upward sediments near the land surface, implying that core P3-2 had gradually switched from the underwater sand body to the modern deltaic floodplain (Zhupai sandbar; Figures 5A, 7C, D). Xu et al. (2020) suggested that the Modaomen estuary changed from a relatively open estuarine bay to a relatively closed one at 2 ka, which caused the reduction in outward sediment flux and trapping of a large amount of sediment. Hence, the rapid sedimentation in core P3-2 is more likely to be controlled by the weakening tidal process.

## 5.4 Comparison with other Asian megadeltas

The three-stage sedimentation model proposed in this study has also been recorded in many Asian deltas. For instance the high sediment accumulation rates in the Yangtze River delta vary between c. 4.2 and 10.0 m/ka during the early Holocene (c. 10–7 ka) (Nian et al., 2018; Wang et al., 2018; Jiang et al., 2020). In addition, in the Mekong River delta, a c. 20-m-thick layer of marine and brackish-water sediments were deposited in a short time period of 11.6–9.1 cal ka BP (Tamura et al., 2012). A similar sequence has also been recorded in the southern Ganges–Brahmaputra delta (Goodbred and Kuehl, 2000) and the Song Hong delta (Tanabe et al., 2006). Subsequently during c. 7–2 ka, the sedimentation rate was less than 5 m/ka, on average, in the Yangtze River delta, possibly because of the migration of the depo-center (Nian et al., 2018; Wang et al., 2018; Jiang et al., 2020). In the Mekong River delta, the accumulation rate significantly slowed down to 0.3–0.7 m/ka, which was associated with the lateral switching of distributary channels (Tamura et al., 2009; Tamura et al., 2012). The delta front progradation rate at 10 m bpsl also decelerated from 22 to 4 m/a in the Song Hong delta at c. 6 cal ka BP (Tanabe et al., 2006). In the last 2 ka, accelerated sedimentation and rapid coastline advances occurred in the Yangtze River and Mekong River deltas due to the gradual seaward shift of sandy shoals and bars (Tamura et al., 2012; Wang et al., 2018; Jiang et al., 2020).

Though under common monsoon climate and sea level histories, differences still exist in Asian megadeltas on their sedimentary characteristics. In contrast to the broad channel of the Yangtze River delta (60–70-km-wide in the estuary; Li et al., 2002), the palaeo-incised valley of the PRD is tight [30–60-km-wide for the central basin and estuarine bay; from

Zong et al. (2012)]. In addition, the Holocene strata of the PRD show a trend of gradual thickening from the central deltaic plain to the estuary (Figure 6), with an average of about 20 m and more than 25 m in palaeo-incised valleys (Huang et al., 1982; Zong et al., 2009a). Nevertheless, cores P1-1 and P3-2 show remarkably thicker Holocene sediments (c. 79 and 54 m, respectively), which might be related to differences in palaeo-topography. The narrower and seaward deepening estuarine channel may have amplified the effects of monsoonal fluvial processes on the evolution of the estuarine-deltaic landscape during the early Holocene (Zong et al., 2012). Strong fluvial forcing was recorded at c. 10.6–9.6 ka in cores HKUV12 and HKUV10 along a palaeo-incised channel of Lantau Island, supported by a low abundance of brackish diatoms and high TOC content from terrigenous materials (Xiong et al., 2018a). Compared with tidal-dominated silt and clay deposited contemporaneously in other Asian deltas (Goodbred and Kuehl, 2000; Tamura et al., 2012; Wang et al., 2018), unit 1 (early-Holocene sequence) of cores P1-1 and P3-2 is dominated by sands (Figure 5), also implying a possible link to the strong fluvial influence.

## Conclusions

In this study, cores P1-1 and P3-2 were drilled in the Southern PRD for OSL and AMS <sup>14</sup>C dating. The chronology of core P1-1 ranging from 10.4 to 0.16 ka is obtained from 13 quartz OSL ages. Core P3-2 spans from 10.7 to 0.3 ka, including eight OSL ages and eight AMS <sup>14</sup>C ages. The OSL and <sup>14</sup>C data shows a good consistency above 26 m (1.4–0.3 ka), but with differences at depths of 26–54 m where AMS <sup>14</sup>C ages (8.1–10.7 ka) are, in general, older (up to c. 2 ka) than quartz OSL ages. This discrepancy decreases with depths. Therefore, one should remain cautious when dating Holocene sediments by only radiocarbon dating.

The obtained chronostratigraphy reveals three stages of sedimentation: (1) high accumulation rates of 7.48 (P1-1) and 7.52 (P3-2) m/ka between c. 10.7 and 7.5 ka as a result of rapid marine transgression, (2) followed by an exceptionally decreasing rate 2.24 m/ka (P1-1) and a sedimentary hiatus (P3-2) during c. 7.5–2.5 ka as most sediments were trapped in the head area and due to strong scouring by tidal forces in the estuary, and (3) rapid sedimentation at a rate of c. 9 m/ka since c. 2.5 ka, which was related to intensive human activities and weakening tidal hydrodynamics.

This sedimentary model is also evident in other Asian deltas. However, differences still exist due to the unique palaeo-morphology of the PRD. The narrower and seaward deepening estuarine channel may have led to the stronger fluvial influence during the early Holocene.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding authors.

## Author contributions

ZL organized the work. PL, XX, and ZL wrote the original draft. YC and PL measured the optically stimulated luminescence samples in the laboratory. MA revised and helped to improve the manuscript. PL, YC, XX, and ZL analyzed the data. ZL and LL collected the samples during drilling. All authors contributed to the article and approved the submitted version.

## Funding

This research was supported by STU Scientific Research Start-Up Foundation for Talents (NTF19003 and NTF20006), and Innovation and Entrepreneurship Project of Shantou (2021112176541391).

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## Acknowledgments

We thank Chang Huang of Hong Kong University and Ping Wang of China Earthquake Administration for help in the field work.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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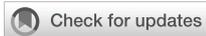
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## OPEN ACCESS

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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 25 October 2022

ACCEPTED 28 November 2022

PUBLISHED 20 December 2022

## CITATION

Yu X, Duan B, Zhao J, Gu D, Feng A,  
Liu Y and Li T (2022) Deep submerged  
speleothems in the Sansha Yongle  
Blue Hole (South China Sea) as  
determination of low sea levels during  
the Last Glacial Maximum.  
*Front. Mar. Sci.* 9:1079301.  
doi: 10.3389/fmars.2022.1079301

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# Deep submerged speleothems in the Sansha Yongle Blue Hole (South China Sea) as determination of low sea levels during the Last Glacial Maximum

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Although Last Glacial Maximum (LGM) sea levels have been the focus of much attention in climate and marine sciences, the timing, duration, and magnitude need further research. Here we present observations and analyses of the deepest speleothems (-116 m) collected from the deepest known blue hole on a global scale, the Sansha Yongle Blue Hole, Xisha Islands, South China Sea. The field investigations illustrate that submerged speleothems are irregular cauliflower-like coatings on the downward cave ceiling from water depths of ~90 to 120 m. The downward growth direction and negative stable carbon and oxygen stable isotopes of submerged speleothems suggest that they may deposit in an air-filled condition through gravity drip, indicating the maximum LGM sea level. The deep submerged speleothems were dated, indicating two U–Th ages of  $29.16 \pm 0.17$  and  $26.04 \pm 0.18$  ka BP and one radiocarbon age of  $18.64 \pm 0.12$  ka BP, respectively. The investigated deep submerged speleothems therefore clearly determine the minimum onset and maximum termination times for LGM terms of sea level. The results show that LGM began at ~29 ka BP and ended at ~18.5 ka BP. This study therefore provides initial evidence for the use of deep speleothems to determine LGM sea levels and emphasizes the importance of deep submerged speleothems in the reconstruction of Pleistocene low sea levels.

## KEYWORDS

sea level, U–Th dating, <sup>14</sup>C dating, speleothem, LGM, coastal cave

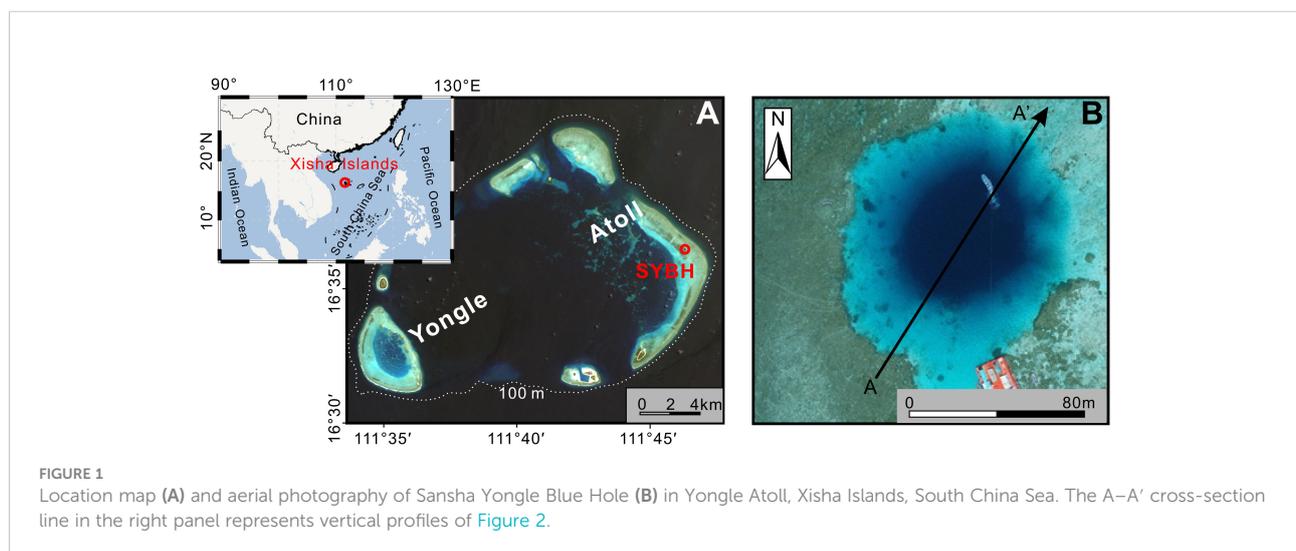
## Introduction

When global sea levels declined during past glacial epochs, speleothems might have deposited in air-filled coastal karst caves that were probably flooded by subsequent transgressions (e.g., Richards et al., 1994; Melim et al., 2001; Bard et al., 2002; Dorale et al., 2010; Moseley et al., 2015; De Waele et al., 2018; Dumitru et al., 2021; Steidle et al., 2021). Because elevations and absolute ages of speleothems can be accurately determined, they have significant potential for the determination of past maximum sea levels (Gascoyne et al., 1979; Richards et al., 1994; Bard et al., 2002; Dumitru et al., 2019). Though previous studies over four decades have thoroughly illustrated variable maximum sea levels using U–Th and U–Pb dating of speleothems in coastal caves (Harmon et al., 1978; Gascoyne et al., 1979; Bard et al., 2002; Dorale et al., 2010; Moseley et al., 2015; Dumitru et al., 2019), the deepest speleothems collected so far were from -54.9 m of a blue hole in South Andros Island (Richards et al., 1994). These speleothems are therefore unable to determine Pleistocene low sea levels, which were often over 100 m lower than they are at present (Richards et al., 1994; Lambeck and Chappell, 2001; Spratt and Lisiecki, 2016; Huybers et al., 2022). Therefore, deep submerged speleothems (-116 m) collected from the Sansha Yongle Blue Hole (SYBH) with reliable ages are useful for determining Last Glacial Maximum (LGM) low sea levels.

One of the most highlighted features of the LGM is its low sea level, which was ~120–130 m lower than it is today (Hanebuth et al., 2000; Yokoyama et al., 2001; Clark and Mix, 2002; Clark et al., 2009; Hanebuth et al., 2009; Lambeck et al., 2014; Yokoyama et al., 2018). The onset and termination of the LGM is of particular importance but poorly identified by field data. Mix et al. (2001) considered the LGM spanned 24–18 ka BP, whereas a slightly

earlier epoch from 26 to 21 ka BP, depending on global sea level minima, was proposed as the LGM duration by another study (Peltier and Fairbanks, 2006). A 10-m sea level rise that started around 19.6 ka BP was thought to have terminated the LGM (Hanebuth et al., 2000; Yokoyama et al., 2001; Hanebuth et al., 2009). Clark et al. (2009) compiled 5,704 reliable global ice-sheet extent data and demonstrated that the LGM extended from 26.5 to 19.0 ka BP. Recently, however, two studies concluded that the LGM ended around 19.0 ka BP and began around 31–29 ka BP with a rapid ~40-m sea level falling over 2,000 years (Lambeck et al., 2014; Yokoyama et al., 2018). These discrepancies about LGM onset and termination suggest that it is imperative to find more evidence, especially from remote areas such as the South China Sea (SCS). In addition to the discrepancies, previous LGM low sea level proxies, such as corals and tidal flat sediments, usually exhibit large errors of up to 5–10 m, which could cause large uncertainties of LGM low sea levels (Dumitru et al., 2021). Speleothems and their phreatic overgrowths, deposited under air-filled conditions indicating maximum sea level, potentially provide more accurate sea level determination (van Hengstum et al., 2019).

In this study, we reported timing results from the deepest submerged speleothems so far collected from the Sansha Yongle Blue Hole (SYBH), Xisha Islands, SCS (Figure 1). SCS is far removed from the glacial isostatic effect (Peltier, 2004), and the Xisha Islands have been a tectonic stable marginal setting since the Last Interglacial (Ma et al., 2021; Yu et al., 2022); hence, this area is suitable for determining past sea levels. Our speleothems were dated using radiocarbon and  $^{230}\text{Th}$ – $^{234}\text{U}$ – $^{238}\text{U}$  methods. Based on the obtained ages, we determined the onset and termination of the LGM. This novel evidence suggests that deep submerged speleothems may be a promising fingerprint for Pleistocene low sea levels.



## Regional setting

SYBH (latitude: 16°31'29" N; longitude: 111°46'04" E) is the deepest (-301.19 m) known coastal carbonate cave globally. This ocean blue hole is located in the eastern end of the Yongle Atoll (Figure 1A), which consists of highly porous carbonate corals and coralline algae bindstones that initially developed in the early Miocene (19.6 Ma) with a volcanic base belonging to the Xisha uplift (Jiang et al., 2019). Based on a lithological study of a CK-2 borehole from nearby Chenhang Island, the bottom of SYBH approximately matches with an interface of ~4.0 Ma (Fan et al., 2019). SYBH has a planform at the surface which is shaped like a comma, with a maximum width of 162.3 m (Figure 1B).

As shown in Figure 2, SYBH displays a fracture-guide hole pattern which is differentiated from other complex karst caves with branch-work caves (Myerloie et al., 1995; Li et al., 2018). SYBH exhibits four distinct and successive sections from top to bottom as caves I to IV, respectively (Figure 2B; Yu et al., 2022). Corals grow at the uppermost entrance of SYBH, whereas massive sediments and fallen rocks cover the lower hole (Figure 2A). For our study, fortunately, a ceiling (cave III) was observed at water depths between ~90 and 160 m on the northeastern inner wall. Irregular and popcorn-like cave coatings extensively cover the seaward ceiling between water depths of ~90 and 120 m (Figure 2A).

## Materials and methods

### Sampling and site description

In total, 13 short rock cores (5 cm in diameter) with length < 30 cm were drilled from the SYBH during May and June 2017

using a miniature underwater drilling rig carried by the FCV2000D remotely operated vehicle (ROV; Yu et al., 2022). Three-dimensional morphology was reconstructed using an unmanned sea-robot ship equipped with a multibeam system above 15 m and a professional-grade ROV equipped with a multibeam system below 15 m (Li et al., 2018). In addition to morphology, a high-resolution underwater camera was carried by the ROV to gather more detailed knowledge. This study focuses on a short core (length: 26 cm; latitude: 16.52°; longitude: 111.77°) consisting of speleothems and substrates from the depth of 116-m (Bh116; Figure 3). In Bh116, the speleothems broke into small pieces during the drilling processes due to their weak cements. Therefore, the relative positions for these broken pieces could not be accurately reconstructed though we carefully protected them during the collection, transportation, and rinsing.

### Petrography

We rinsed the subsamples one by one to remove covered slurries contaminated during the drilling process and put them back to their original positions. Substrates and the largest speleothem subsample (Figure 3A) were sliced for petrographic investigation under polarization microscopy. Unfortunately, the largest speleothem subsample of Bh116 was broken and lost after thin section grinding. In order to remove possible contaminants, we rinsed the subsamples by ultrasonic cleaning in deionized water for 12 hours and repeated three times before subsequent geochemical analyses. Generally, no debris was observed after the third rinsing. For the high-resolution surface structure analysis of calcite, samples of freshly broken pieces were studied with Hitachi-SU8010

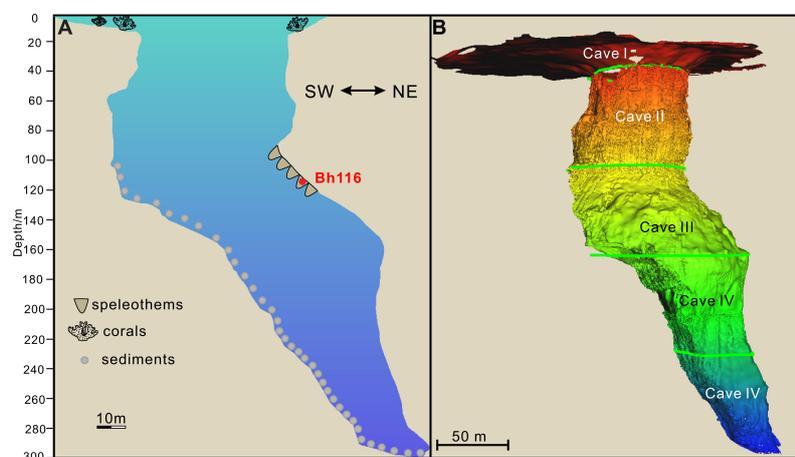
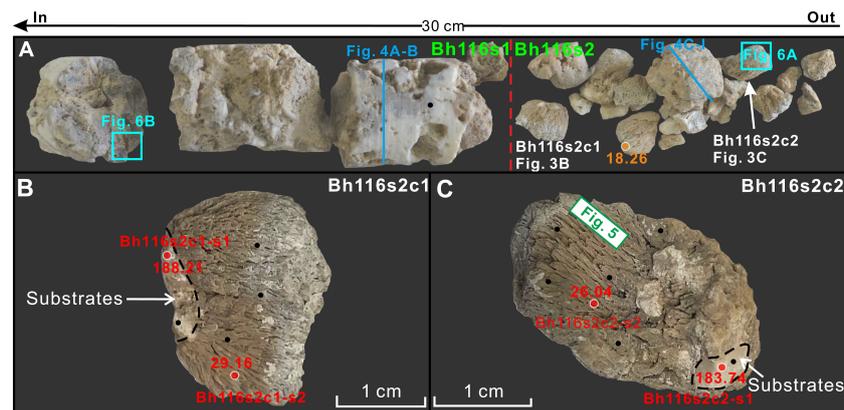


FIGURE 2  
Cross-section (A) and 3-D topography (B) of Sansha Yongle Blue Hole.



**FIGURE 3**  
Photographs of sample Bh116 (A) and two subsamples (B, C) showing locations for thin section (blue lines; Figure 4), scanning electron microscopy (green rectangles; Figure 5), XRD (cyan rectangles; Figure 6), carbon and oxygen isotopes (black circles; Figure 7), U–Th dating (red circles; Table 1), and radiocarbon dating (orange circles; Table 1). The dotted red lines in (A) divide the core samples into substrates and speleothems, i.e., Bh116s1 and Bh116s2.

(Japan) at Guangzhou Institute of Geochemistry, Chinese Academy of Sciences (Guangzhou, China). Calcium, carbon, oxygen, and magnesium concentrations of microporous calcite were determined by SAPHIRE EDAX detector and Apollo X-SDD detector, respectively.

## Mineralogy and elemental analyses

The carbonate mineralogy was determined by X-ray diffraction measurements. Two subsamples (~2 g) were milled from cleaned carbonates (Figure 3A) using a stage-mounted dental drill fitted with silicon carbide dental blade and measured using a Rigaku D/max-rB Theta-theta X-ray powder diffractometer at the First Institute of Oceanology, Ministry of Natural Resources. Copper  $K_{\alpha}$  radiation (40 kV, 100 mA) was used as an X-ray source. Samples were packed into a rectangle cavity in an aluminum holder and scanned in a step-scan mode ( $0.02^{\circ}/\text{step}$ ) over the angular range of  $3^{\circ}$  to  $70^{\circ}$  ( $2\theta$ ). The mineralogical compositions of samples were constructed using the 104 reflection of calcite with  $2\theta$  from  $29.25^{\circ}$  to  $29.80^{\circ}$ , the 111 reflection of aragonite with  $2\theta$  between  $25.5^{\circ}$  and  $26.5^{\circ}$ , and the 104 reflection of dolomite with  $2\theta$  from  $30.58^{\circ}$  to  $31.28^{\circ}$ . Usually, the calcite 104 reflection branches into two asymmetric peaks, of which both high-magnesium calcite and low-magnesium calcite co-exist (Kontoyannis and Vagenas, 2000; Csoma et al., 2006; Zhai et al., 2015).

For elemental analyses, four samples (~50 mg) were milled after having been repeatedly rinsed with carbonates using a stage-mounted dental drill fitted with tungsten carbide dental burs (Figure 3). Major elements, including Ca and Mg, were analyzed using an inductively coupled plasma optical emission spectrometer (ICP-OES), and minor elements were performed

by inductively coupled plasma mass spectrometry (ICP-MS) at the First Institute of Oceanology, Ministry of Natural Resources. First, 50.00 mg carbonate powder was dissolved in 1.50 ml high-purity  $\text{HNO}_3$  and 1.50 ml high-purity HF in a digestion bottle with Teflon liner at  $190^{\circ}\text{C}$  for 48 h. Solutions in the Teflon liners were evaporated to dryness on a heating plate. Next, the samples were added with 3 ml of 7 N  $\text{HNO}_3$  and 0.5 ml Rh internal standard solution and heated at  $150^{\circ}\text{C}$  for 8 h. Finally, the samples were diluted to 50.00 g to be measured by ICP-OES (Icap6300, Thermo Fisher, USA). Exactly 10.00 g solutions were extracted from the 50.00 g solutions and diluted to 20.00 g to analyze by ICP-MS (X Series II, Thermo Fisher, USA). These four carbonate samples were measured together with 34 further carbonate samples from the SYBH. Up to three standard solutions and a laboratory blank were used to calculate the calibration curves for each element.

## Stable carbon and oxygen isotopes

Stable carbon and oxygen isotopes were analyzed to discriminate the origins of deep submerged speleothems between seawater and air-filled conditions. Approximately ~10 mg carbonate powder was collected from 11 positions (Figure 3) and measured on a Thermo Scientific MAT-253 Plus mass spectrometer coupled with an online carbonate preparation device (Kiel-IV) in the Isotope Laboratory of the Xi'an Jiaotong University. Carbonates were reacted with anhydrous phosphoric acid at  $75^{\circ}\text{C}$ . Results are reported relative to the Vienna Pee Dee Belemnite standard. The analytical errors ( $1\sigma$ ) are  $\pm 0.06\text{‰}$  and  $\pm 0.03\text{‰}$  for  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  (Zhao et al., 2021), respectively.

## U–Th and radiocarbon dating

As relative positions for speleothems could not be accurately determined, we chose three subsamples with substrates to determine the possible onset and termination time of speleothems (Figure 3). Approximately 100 mg of carbonate powder was collected from the speleothems (Figures 3B, C) using a stage-mounted dental drill fitted with tungsten carbide dental bur. The U and Th isotopes of these samples were determined by a plasma-sourced multi-collector mass spectrometer (MC-ICP-MS; Neptune-plus, Thermo-Finnigan) at the Isotope Laboratory of the Xi'an Jiaotong University. Chemistry procedures to separate U and Th followed Edwards et al. (1987).  $^{229}\text{Th}$ – $^{233}\text{U}$ – $^{236}\text{U}$  isotope dilution method was applied to correct for instrumental fractionation and to determine Th/U isotopic ratios and concentrations. U and Th isotopes were measured on a Mas-Com multiplier behind the retarding potential quadrupole in a peak-jumping mode. The instrumentation, standardization, and  $^{230}\text{Th}$ – $^{234}\text{U}$  half-lives were reported in Cheng et al. (2000); Cheng et al. (2013). Uncertainties in U/Th isotopic data, including corrections for blanks, multiplier dark noise, abundance sensitivity, and contents of the same nuclides in spike solution, were calculated offline at  $2\sigma$  level (Cheng et al., 2013).

In addition to U–Th ages, one radiocarbon dating (Figure 3A) was determined by accelerator mass spectrometry at Beta Analytic, Inc. (Miami, USA) to provide additional geochronological identification. This radiocarbon date (Table 1) was subsequently calibrated to the calendar age using the recently published IntCal20 curve (Reimer et al., 2020).

## Results

### Morphology and distribution of speleothems in SYBH

The morphologic features of SYBH, interpreted from 3-D topography data (Figure 2B) and underwater photographs (Figure 8), provide meso-to-micro scale surface evidence to trace the deep submerged speleothems. The 3-D topography reveals a downward ceiling from -90 to -160 m along seaside walls (Figure 2). Irregular and popcorn-like cave coatings extensively cover the ceiling between -90 and -120 m (Figure 8). These coating-like speleothems presented in SYBH are small with centimeter dimensions. They prefer to cluster as a cauliflower-like shapes with downward growth direction from their substrates (Figures 8B, C). Most coatings, if not all, display a gravity-fed downward direction (Figure 8), which probably suggests that these speleothems were formed through slow water dripping in air-filled conditions (Bian et al., 2019).

### Petrology and geochemistry

Petrographic investigations show the textures and fabrics of Bh116 including substrates and speleothems (Figures 3, 4). The inside substrates and outside speleothems can be identified by the naked eye depending on their distinct structures (Figure 3A). The obtained substrates (Bh116s1) are composed of gray and gray-white broken limestone characterized by loose, yellow, fine sediments. The speleothems (Bh116s2), however, consist of popcorn-like precipitates characterized by column fibers

TABLE 1 U-series and radiocarbon ages of sample Bh116 from Sansha Yongle Blue Hole.

Sample number	$^{238}\text{U}$ (ppb)	$^{232}\text{Th}$ (ppt)	$^{230}\text{Th}/^{232}\text{Th}$ ( $\times 10^{-6}$ )	$\delta^{234}\text{U}$ (‰)	$(^{230}\text{Th}/^{238}\text{U})$	Raw $^{230}\text{Th}$ age (kyr)	$\delta^{234}\text{U}$ (T) <sub>corr</sub> (‰)	Age <sub>corr</sub> (kyr B.P.)
Bh116s2c1-s1	1,731.4 ± 3.9	499.09 ± 10.03	54 ± 1	112.7 ± 2.3	0.9494 ± 0.029	195.54 ± 2.04	192 ± 5	188.21 ± 5.49
Bh116s2c1-s2a	6,570.5 ± 15.5	0.18 ± 0.01	15,425 ± 60,911	108.2 ± 1.8	0.2616 ± 0.0012	29.23 ± 0.17	117 ± 2	29.16 ± 0.17
Bh116s2c2-s1	1,530.7 ± 1.5	225.87 ± 4.52	104 ± 2	110.5 ± 1.2	0.9319 ± 0.0015	187.48 ± 1.00	186 ± 3	183.74 ± 2.78
Bh116s2c2-s2a	675.9 ± 1.1	4.55 ± 0.09	555 ± 11	55.3 ± 1.7	0.2265 ± 0.0009	26.30 ± 0.13	59 ± 2	26.04 ± 0.18
Bh116s2c3 <sup>a</sup>	–	–	–	–	–	15.36 ± 0.04	–	18.64 ± 0.12

$\delta^{234}\text{U} = ([^{234}\text{U}/^{238}\text{U}]_{\text{activity}} - 1) \times 1,000$ .  $\delta^{234}\text{U}$  (0) was calculated based on  $^{230}\text{Th}$  age (T), i.e.,  $\delta^{234}\text{U}$  (0) =  $\delta^{234}\text{U}_{\text{measured}} \times e^{(\lambda^{234}\text{U}) \times T}$ .  $\delta^{234}\text{U}$  (T)<sub>corr</sub> =  $([^{234}\text{U}/^{238}\text{U}]_{\text{activity}} - 1) \times 1,000$ . Corrected  $^{230}\text{Th}$  ages assume the initial  $^{230}\text{Th}/^{232}\text{Th}$  atomic ratio of  $4.4 \pm 2.2 \times 10^{-6}$ . Those are the values for material at secular equilibrium, with the bulk earth  $^{232}\text{Th}/^{238}\text{U}$  value of 3.8. The errors are arbitrarily assumed to be 50%.

<sup>a</sup>Beta-511711: radiocarbon age.

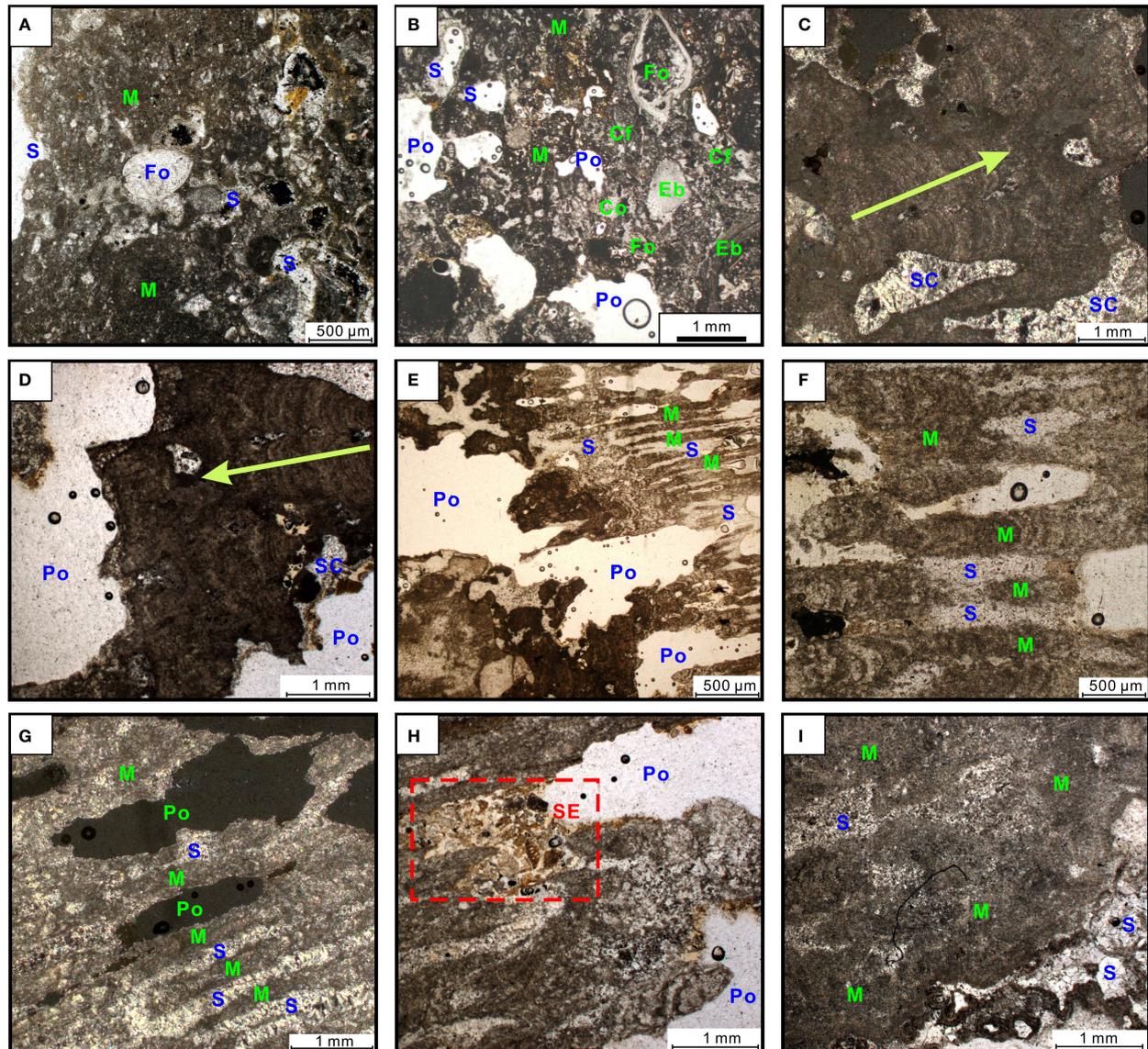
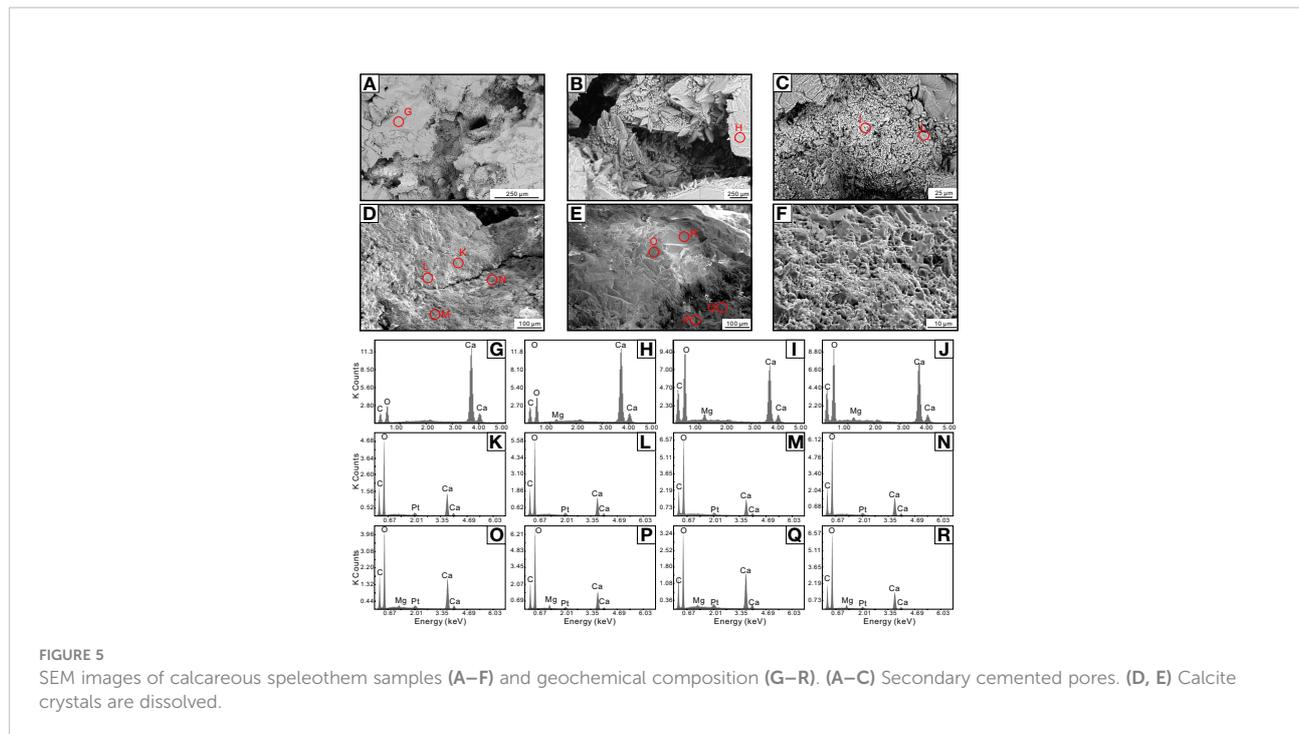


FIGURE 4

Thin-section micrographs of sample Bh116. (A, B) Micritic areas with sparite, foraminifera, echinoderm brachiopods, coral fragments, and pore structure in Bh116s1. (C, D) Laminated microcrystalline fabric structure with secondary cement and pores. The arrows show the growth direction. (E–G) Microcrystalline and sparite crystals alternating with fabric microporous calcite. (H, I) Sediments and sparite in the margin of the pores (see Figure 3 for the locations of the thin sections).

(Figures 3B, C). A thin section of the substrates shows that Bh116s1 consists of lagoonal sand with biologic debris consisting of coral fragments, foraminifers, echinoderms, and brachiopods (Figures 4A, B), reflecting a lagoon slope depositional environment, which corresponds to that found by Fan et al. (2019). While the speleothems are characterized by more complex textures (Figures 4C–I), they contain two recognizable fabrics: laminated microcrystalline fabric (Figures 4B–D) and microcrystalline and sparite crystals alternating with fabric microporous calcite (Figures 4E–H).

Both of these two structures are highly porous, some of which have been cemented by sparite crystal precipitates (Figure 4C). Moreover, the laminated microcrystalline fabric calcites are surrounded by sparite crystal precipitates with dark terminations (Figures 4C, D) (Csoma et al., 2006; Bontognali et al., 2016; De Waele et al., 2018). In addition, brownish fine sediments and broken marine shells are observed at the margin of pores (Figure 4H). The SEM images show secondary calcite crystals (Figures 5A–C), dissolution points, and edges of calcites (Figures 5D–F). The secondary calcite crystals show an inner-



core growth direction (Figures 5B, C), probably indicating the cements re-precipitated from the surrounding dissolved calcites (Tuccimei et al., 2010; Bontognali et al., 2016; De Waele et al., 2018). The geochemical compositions of surrounding calcites and cements are relatively homogeneous, in which  $\text{MgCO}_3$  varied between 5% and 20% (Figures 5G–R). The presence of Mg in the deep submerged speleothems is also confirmed by the existence of high-magnesium calcite from bulk sample analysis (Figure 6). Based on XRD, the substrates of Bh116 consist entirely of low-magnesium calcite (Figure 6A), while ~85% low-magnesium calcite and ~15% high-magnesium calcite constitute the speleothems in Bh116 (Figure 6B).

Both carbon and oxygen isotope values of speleothems and substrates are negative in the Bh116 (Figure 7). The speleothems exhibit isotopically depleted values with respect to the substrates in both  $^{18}\text{O}$  and  $^{13}\text{C}$ . In addition, the oxygen and carbon isotope ratios of the speleothems from different subsamples are variable, and the outer one (Bh116s2c2) is more depleted in  $^{13}\text{C}$  and slightly enriched in  $^{18}\text{O}$  with respect to those of the internal subsample (Bh116s2c1).

## Dating

The  $^{232}\text{Th}$  concentrations of substrates in two subsamples are 499.09 and 225.87 ppt (Table 1). In contrast, the  $^{232}\text{Th}$  concentrations of speleothems are 0.18 and 4.55 ppt, which are much lower than the substrates. The  $^{238}\text{U}$  concentrations of speleothems are variable with concentrations of 6570.5 and 675.9 ppb. The U–Th ages of substrates are  $188.21 \pm 5.49$  and

$183.74 \pm 2.78$  ka BP, suggesting that they may have experienced deposition and secondary alterations and are not suitable for U–Th dating. The substrates are expected to have been deposited at about 1.4 Ma based on the depth of nearby borehole CK-2 (Fan et al., 2019). The U–Th ages of the speleothems, Bh116s2c1 and Bh116s2c2, are  $29.16 \pm 0.17$  and  $26.04 \pm 0.18$  ka BP, respectively (Figure 3 and Table 1). The radiocarbon age of a subsample of speleothems (Bh116s2c3) is  $18.64 \pm 0.12$  ka BP.

## Discussion

### Aerial growth of speleothems

It is evident that deep submerged calcite coatings in SYBH are speleothems that precipitated under air-filled conditions during sea level lowstands. Substances of marine origin, including marine overgrowth on speleothems and marine substrates, are excluded for their negative carbon and oxygen isotopes with values as low as  $-6.7\text{‰}$  to  $-5.3\text{‰}$  and  $-5.0\text{‰}$  to  $-2.8\text{‰}$  (Figure 7), respectively. These isotopic features differ from the marine overgrowth on speleothem, marine corals, and substrates but are close to subaerial speleothems collected from other coastal caves (Suric et al., 2005; Tuccimei et al., 2010; Gischler et al., 2017). This suggests that the deep submerged calcite coatings developed in SYBH grew under aerial conditions and can be used to determine maximum sea levels (Richards et al., 1994). The calcareous coatings resemble mushroom-shaped speleothems and phreatic overgrowths on speleothems and are porous (Tuccimei et al.,

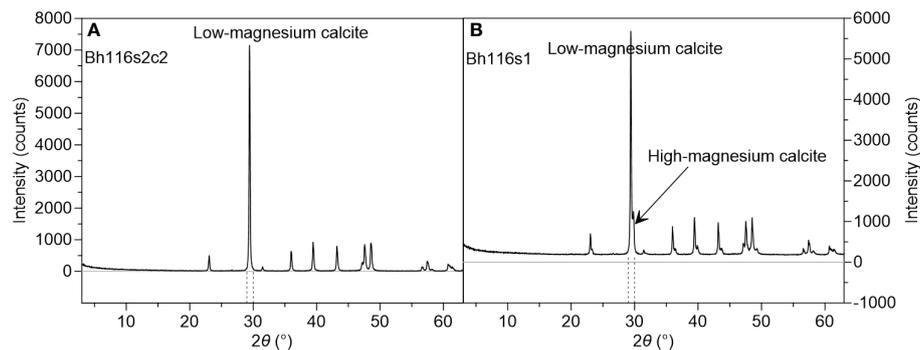


FIGURE 6  
Characteristic spectrum of minerals low-Mg calcite (A) and high-Mg calcite (B) in Bh116.

2010; Bontognali et al., 2016; De Waele et al., 2018), probably suggesting that they exhibit the same aerial origin.

## Determination of LGM low sea levels

As our deep submerged speleothems initially grew at ~29 ka under the air-filled vadose environment through calcite precipitation in coastal karst cave (Fairchild et al., 2006; Tuccimei et al., 2010; De Waele et al., 2018), the maximum sea level at ~29 ka BP should be lower than -116 m relative to the present. Our results agree well with previous data (Figure 9A) from Barbados (Bard et al., 1990; Peltier and Fairbanks, 2006) and Bonaparte (Yokoyama et al., 2000), as well as the

reconstructed global ice volume equivalent (Figure 9B) and mean sea levels (Figure 9C) (Lambeck et al., 2014; Yokoyama et al., 2018). Lambeck et al. (2014) documented a ~40-m sea level falling around ~31–29 ka BP according to compiled data. Our results confirm that this rapid fall in sea level reached as low as -116 m at ~29 ka BP, and LGM was initiated at ~29 ka BP. It is noted that the sea level data from Bonaparte, indicating the onset of LGM (Yokoyama et al., 2000), have large uncertainties of up to 7.8 m. Our data also refined large sea level errors.

The LGM was thought to have been terminated by a rise in sea level (Hanebuth et al., 2000; Yokoyama et al., 2000). It began at ~19 ka BP, and the sea level rose 10–15 m within 500 years based on the interpretation of mangrove muds from the Sunda Shelf and Bonaparte Gulf in which tectonics are stable (Figure 9A). Recently,

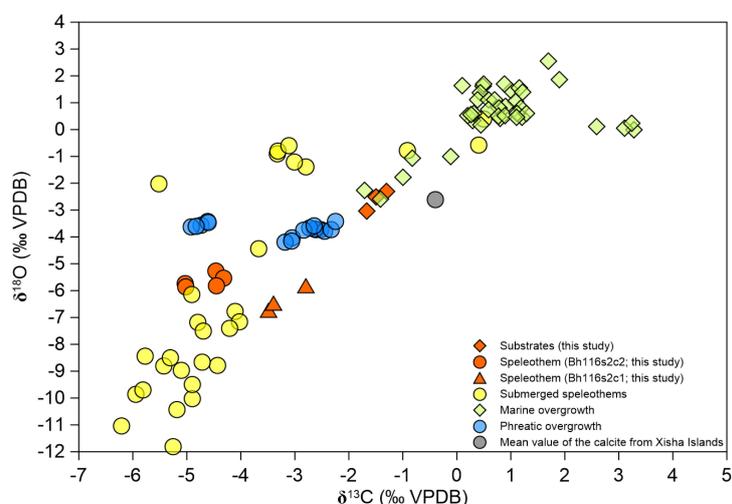
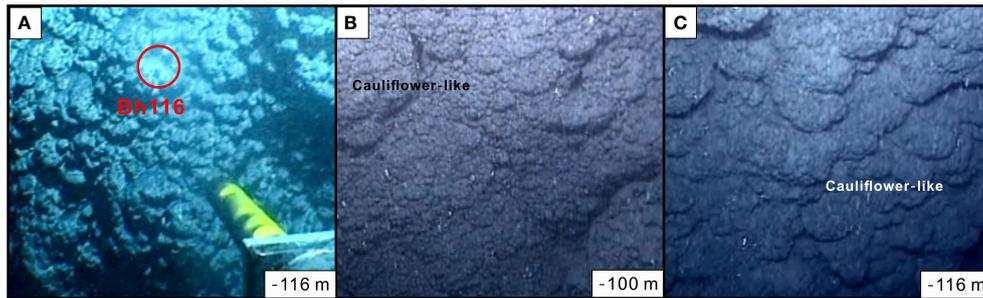
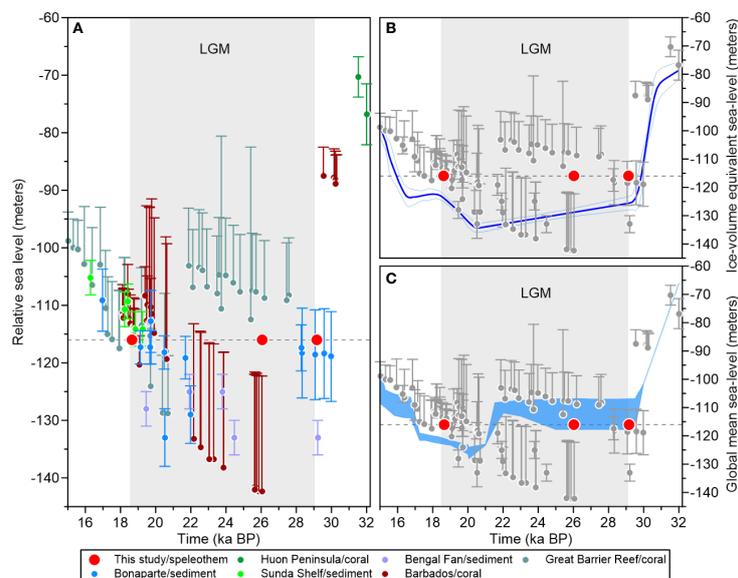


FIGURE 7  
Stable carbon and oxygen isotopic composition of subsamples from coastal caves. Data of submerged speleothems, marine overgrowth, and phreatic overgrowth are from coastal caves of Belize, Eastern Adriatic Coast, and Mallorca (Surić et al., 2005; Tuccimei et al., 2010; Gischler et al., 2017). The mean values of the calcite from Xisha Islands are adopted from Bi et al. (2018).



**FIGURE 8**  
Underwater photographs of deep submerged speleothems from Sansha Yongle Blue Hole with water depths of ~116 (A), ~100 (B), and ~116 m (C). The red circle in the left panel indicates the location of Bh116. Speleothems cluster as cauliflower-like coatings (B, C).



**FIGURE 9**  
Last Glacial Maximum (LGM) sea levels with observational data and ice volume equivalent and global mean sea levels. (A) Previously documented LGM sea level data from Bonaparte Gulf (Yokoyama et al., 2000; De Deckker and Yokoyama, 2009), Huon Peninsula (Yokoyama et al., 2001), Sunda Shelf (Hanebuth et al., 2000; Hanebuth et al., 2009), Bengal Fan (Wiedicke et al., 1999), Barbados (Bard et al., 1990; Peltier and Fairbanks, 2006), and Great Barrier Reef (Yokoyama et al., 2018). (B, C) Previously constructed ice volume equivalent (Lambeck et al., 2014) and global mean sea levels (Yokoyama et al., 2018).

however, both Lambeck et al. (2014) and Yokoyama et al. (2018) demonstrated that this 10–15-m sea level rise was slower and lasted for ~3,000 years from 21 to 18 ka BP (Figures 9B, C). The possible termination of the speleothems from the SYBH with a radiocarbon age of  $18.64 \pm 0.12$  ka BP suggests that the sea level was lower than -116 m at ~18.5 ka BP. Our results agree well with those sea levels reconstructed from Sunda Shelf and Bonaparte Gulf within errors and suggest that the termination of LGM is slightly later than the previous estimation (Figure 9A). However, our obtained results are inconsistent with the data reported from Barbados and the Great Barrier Reef, which experienced a rapid

tectonic uplift of up to 0.34 mm/year (Fairbanks, 1989). The divergence in the conclusions regarding the termination of the LGM based on by sea level data from the SYBH, Bonaparte Gulf (Yokoyama et al., 2000; De Deckker and Yokoyama, 2009), and Sunda Shelf (Hanebuth et al., 2000; Hanebuth et al., 2009), as well as those determined from Barbados (Bard et al., 1990; Peltier and Fairbanks, 2006) and the Great Barrier Reef (Yokoyama et al., 2018), could be due to diverse and aged carbon, local carbon reservoir effects, and contaminated cement (Nakada et al., 2016), as they were determined from different materials (Hanebuth et al., 2000; Yokoyama et al., 2000). In addition, we confirm that the

presence of old carbon was not significant in our samples and would not affect our results. Conversely, subsequent cement observed in thin sections from our obtained submerged speleothems may cause the measured radiocarbon age to be less than its actual value. In addition, our results coincide with the constructed ice volume equivalent and global mean sea level, suggesting that the deep submerged speleothems may be a promising way to determine Pleistocene low sea levels.

## Conclusions

This study used U–Th and radiocarbon ages of deep submerged speleothems (–116 m) from SYBH to determine low LGM sea levels. Our results show that the deep speleothems grew at  $29.16 \pm 0.17$  ka BP and were submerged at  $<18.64 \pm 0.12$  ka BP under air-filled conditions above sea level. The initial age of the speleothems indicates onset of the LGM at  $\sim 29$  ka BP, and the obtained radiocarbon age indicates the termination of LGM at  $\sim 18.5$  ka BP. As elevations and ages can be accurately identified, deep submerged speleothems may be a promising way to determine Pleistocene low sea levels.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://doi.org/10.6084/m9.figshare.13379504.v1>.

## Author contributions

TL conceived this study. TL, AF, YL, and DG designed this study. XY, BD, and JZ carried out the laboratory measurements.

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XY wrote the original manuscript. TL, BD, and JZ reviewed and edited the manuscript. All authors contributed to the article and approved the submitted version.

## Funding

This study was funded jointly by the National Natural Science Foundation of China (grant nos. T2192951 and U1906211) and the Taishan Scholars Project Funding (grant no. ts20190963).

## Acknowledgments

We would like to thank Prof. David Richards from the University of Bristol for his constructive comments to improve this manuscript.

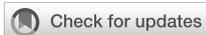
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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 26 July 2022

ACCEPTED 13 December 2022

PUBLISHED 28 December 2022

## CITATION

Wu Q, Liu Z, Colin C, Douville E,  
Zhao Y, Wu J, Dapoigny A, Bordier L,  
Ma P and Huang Y (2022) Dissolved  
rare earth element and neodymium  
isotope distributions in the South  
China Sea: Water mass source versus  
particle dissolution.  
*Front. Mar. Sci.* 9:1003749.  
doi: 10.3389/fmars.2022.1003749

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# Dissolved rare earth element and neodymium isotope distributions in the South China Sea: Water mass source versus particle dissolution

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Dissolved rare earth elements (REEs) and neodymium isotopes ( $\epsilon\text{Nd}$ ) have been jointly used to evaluate water mass mixing and lithogenic inputs in the ocean. As the largest marginal sea of the West Pacific, the South China Sea (SCS) is an ideal region for reconstructing past hydrological changes. However, its REE and  $\epsilon\text{Nd}$  distributions and underlying controlling mechanisms remain poorly understood. On the basis of four seawater profiles spread across the SCS, this study presents dissolved REE concentrations and  $\epsilon\text{Nd}$  data under summer condition to better understand the processes that potentially influence changes in these parameters and their marine cycling. The results show high concentrations of REEs and large variations in  $\epsilon\text{Nd}$  ( $-6.7$  to  $-2.8$ ) in surface water, likely caused by the dissolution of riverine and marine particles. Comparison with published data from samples taken during the winter of different years in this and previous studies suggests a possible seasonal variability of middle REE enrichment. The SCS deep water shows a narrow  $\epsilon\text{Nd}$  range from  $-4.3$  to  $-3.4$ , confirming the dominant presence of the North Pacific Deep Water in the deep SCS. The intermediate water in the central SCS is characterized by a more negative  $\epsilon\text{Nd}$  signal ( $-4.2$  to  $-3.4$ ) than that found in its counterpart in the West Pacific ( $-3.5$  to  $-2.8$ ), indicating alterations by deep water through three-dimensional overturning circulation from the northern to southern SCS below  $\sim 500$  m. The contributions of external sources could be quantitatively estimated for the SCS in terms of Nd. The dissolution of particles

from the SCS surrounding rivers (0.26–1.3 tons/yr in summer; 5.6–29 tons/yr in winter) and continental margins (2–12 tons/yr in summer; 23–44 tons/yr in winter) may play an important role in providing additional Nd to the SCS surface water.

#### KEYWORDS

rare earth elements, neodymium isotopes, South China Sea, West Pacific, particle dissolution

## 1 Introduction

The rare earth elements (REEs) are a chemically coherent group of fourteen elements. Dissolved REE concentrations in the ocean are typically higher in deep water than in the upper layers because of vertical processes (e.g., reversible scavenging) (Elderfield, 1988; Nozaki and Alibo, 2003; Akagi, 2013; Garcia-Solsona et al., 2014; de Baar et al., 2018; Pham et al., 2019). Dissolved REEs in seawater are potentially influenced by the mixing of water masses (Osborne et al., 2015; Zheng et al., 2016; Behrens et al., 2020). In addition, river discharge, dust, hydrothermal inputs, and the dissolution of marine particles can also influence dissolved REE concentrations and patterns in the ocean (e.g., Chen et al., 2013; Grenier et al., 2013; Rousseau et al., 2015; Stichel et al., 2015; Yu et al., 2017; Grenier et al., 2018; Che et al., 2022). These external inputs have been shown to possibly cause relative enrichments of middle REEs (MREEs: Sm, Eu, Gd, Tb, and Dy) and less depletion of Ce in surface water (Greaves et al., 1994; Grenier et al., 2013; Grasse et al., 2017; Yu et al., 2017; Grenier et al., 2018; Hathorne et al., 2020). Submarine groundwater discharge could also be a significant contributor to the REE budget of coastal seawater (Johannesson and Burdige, 2007; Kim and Kim, 2014). In addition, the diffusive benthic flux of REEs from pore fluid to bottom water may account for the “missing” flux of REEs in the ocean, as predicted by modeling (Abbott et al., 2015; Haley et al., 2017).

The neodymium (Nd) isotopic composition ( $^{143}\text{Nd}/^{144}\text{Nd}$ ) is expressed as  $\epsilon\text{Nd}$  and defined as:

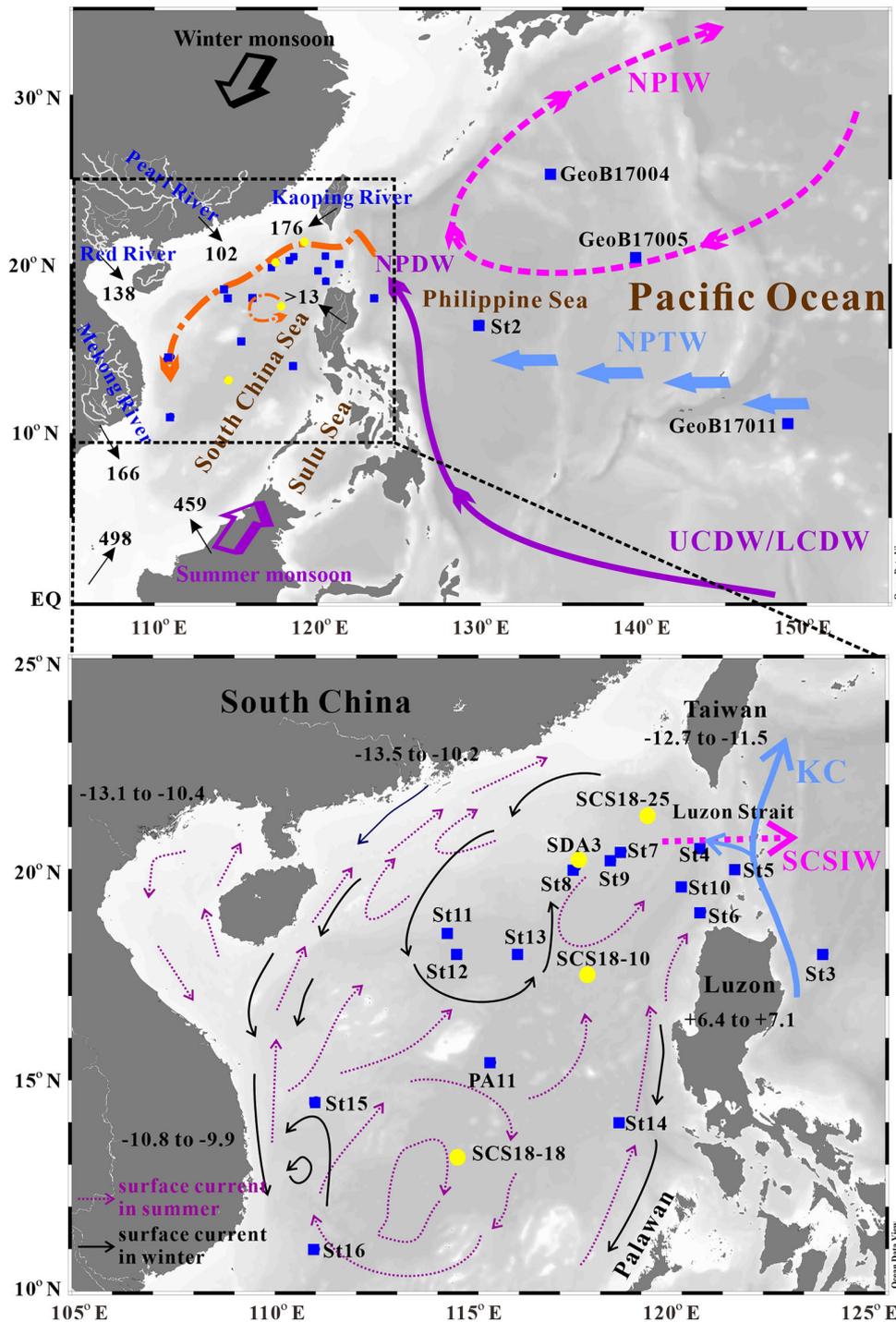
$$\epsilon\text{Nd} = \left( \frac{(^{143}\text{Nd}/^{144}\text{Nd})_{\text{sample}}}{(^{143}\text{Nd}/^{144}\text{Nd})_{\text{CHUR}}} - 1 \right) \times 10^4$$

where CHUR (Chondritic Uniform Reservoir,  $^{143}\text{Nd}/^{144}\text{Nd} = 0.512638$ ) represents the average  $^{143}\text{Nd}/^{144}\text{Nd}$  ratio of present-day Earth. Over the last decade, the accumulation of present-day Nd concentration and isotopic data – especially *via* the GEOTRACES Programme – has significantly improved our understanding of REE cycle in the ocean (van de Flierdt et al., 2016 and references therein; Tachikawa et al., 2017). On the basis of knowledge gained from the present-day ocean,  $\epsilon\text{Nd}$  has been recognized as a quasi-conservative property of water masses, and widely used to track

past changes in ocean circulation at different time scales (Piotrowski et al., 2012; Scher et al., 2015; Dubois-Dauphin et al., 2016; Hu et al., 2016; Le Houedec et al., 2016; Molina-Kescher et al., 2016; Tachikawa et al., 2017; Colin et al., 2019; Wu et al., 2019; Du et al., 2020; Colin et al., 2021). Nevertheless, the seawater-derived  $\epsilon\text{Nd}$  signal extracted from authigenic phases is not always reliable for reconstructing ocean circulation (Osborne et al., 2014; Abbott et al., 2015; Tachikawa et al., 2017; Blaser et al., 2019; Patton et al., 2021). The main debate centers on whether the record of temporal seawater  $\epsilon\text{Nd}$  can faithfully reflect the mixing of water masses, especially when  $\epsilon\text{Nd}$  values of seawater endmembers have changed (Wilson et al., 2014; Tachikawa et al., 2017; Zhao et al., 2019; Du et al., 2020).

Continental inputs of REEs are able to modify seawater  $\epsilon\text{Nd}$ , especially in surface water, where continental Nd signatures are distinct from those of upstream seawater (Singh et al., 2012; Fröllje et al., 2016). These inputs are part of a process referred as “boundary exchange”, which also integrates scavenging processes, and can alter the dissolved  $\epsilon\text{Nd}$  without necessarily affecting Nd concentration (Lacan and Jeandel, 2005; Zieringer et al., 2019). Enhanced lithogenic inputs during interglacial periods could have markedly impacted seawater  $\epsilon\text{Nd}$ , with  $\epsilon\text{Nd}$  values offset by as much as 1- to 2- $\epsilon$  units (Noble et al., 2013; Yu et al., 2018; Zhao et al., 2019). Seasonal changes in seawater REE concentrations and  $\epsilon\text{Nd}$  have been reported; these changes are generally attributed to the influence of biogeochemical cycling or seasonally variable terrigenous inputs (Crocket et al., 2018; Grenier et al., 2018; Hathorne et al., 2020). The Nd released from pore fluid can also act as a benthic source to bottom water, leading to the alteration of primary seawater  $\epsilon\text{Nd}$  value (Abbott et al., 2016; Du et al., 2016; Haley et al., 2017). Therefore, evaluating the applicability of seawater-derived Nd isotopes in paleoceanographic studies is crucial, especially in various marginal seas that are significantly affected by terrigenous inputs.

The South China Sea (SCS) is the largest marginal sea of the West Pacific and receives substantial sediment discharges from numerous large Asian rivers, which are characterized by a larger range  $\epsilon\text{Nd}$  (river sediments: –13.5 to +7) than the dissolved  $\epsilon\text{Nd}$  of SCS water masses (–8.5 to –1.9) (Milliman and Farnsworth, 2011; Wei et al., 2012 and references therein; Figure 1).



**FIGURE 1**  
 Sampling maps showing seawater stations mentioned in text and regional oceanic currents. Black arrows with numbers indicate fluvial sediment discharges from the surrounding continents ( $\times 10^6$  tons/yr; Milliman and Farnsworth, 2011; Liu et al., 2016, and references therein). Yellow dots represent the sampling stations in this study, while blue squares show the stations of published data (Alibo and Nozaki, 2000; Wu et al., 2015; Behrens et al., 2018a). The purple line denotes schematic pathway of the Upper/Lower Circumpolar Deep Water (UCDW/LCDW) in the western Pacific Ocean (modified after Kawabe and Fujio, 2010). The flow path of North Pacific Intermediate Water (NPIW) is indicated by the pink dashed line (after You, 2003). The North Pacific Tropical water (NPTW) is shown by the light blue arrows. The flow direction of North Pacific Deep Water (NPDW) in the South China Sea is shown by orange dashed lines. The local seasonal reversed monsoon directions are indicated by black and purple arrows. The black line and purple dashed line represent surface circulation in winter and summer, respectively. The map was produced using Ocean Data View (Schlitzer, 2014).

Therefore, the SCS is an ideal area to study the processes that control the marine REE cycle. Recent studies have shown that there is a decreasing trend in surface-water  $\epsilon\text{Nd}$  from the northern to southern SCS, whereas the maximum of Nd concentration occurs in the central gyre (Alibo and Nozaki, 2000; Amakawa et al., 2000; Wu et al., 2015). This indicates that besides lateral water mass mixing, there might be inputs of REEs from local sources to the SCS (Amakawa et al., 2000). However, existing vertical profiles of dissolved  $\epsilon\text{Nd}$  are mainly confined to the northern SCS, and seawater REE data have mostly been reported from the samples collected in winter (Alibo and Nozaki, 2000; Amakawa et al., 2000; Wu et al., 2015). To date, little is known about the geochemical cycling of seawater REEs and  $\epsilon\text{Nd}$  in the central and southern SCS, in particular the potential seasonal changes in REE distribution.

In this study, we present dissolved REE and  $\epsilon\text{Nd}$  data from 56 samples, collected in April–May 2018 at four seawater stations spread across the SCS. The objectives of this work are (1) to better understand the sources and geochemical cycling of dissolved REEs, in particular Nd, from the northern to central SCS; and (2) to evaluate the relative importance of water-mass mixing and external inputs (riverine water/particles, atmospheric dust, and marine particles from continental margins) on the dissolved  $\epsilon\text{Nd}$  distribution. Overall, this study examines the evolution of seawater  $\epsilon\text{Nd}$  as it is transported from the West Pacific to the central SCS basin.

## 2 Regional oceanography

The SCS is a semi-enclosed marginal sea connecting the Pacific and Indian oceans. The Luzon Strait is the single deep channel between the SCS and Pacific Ocean (Figure 1). Previous studies have revealed a vertical sandwich-like structure of the Luzon Strait, with three layers of net SCS inflow-outflow-inflow in the upper, intermediate, and deep layers, respectively (Yuan, 2002; Tian et al., 2006; Shu et al., 2014; Gan et al., 2016; Zhu et al., 2016; Zhu et al., 2019; Wang et al., 2019).

The upper layer includes the South China Sea Tropical Water (SCSTW), characterized by a salinity maximum at a depth of ~150 m. The salinity maximum of the SCSTW is relatively low compared with its counterpart North Pacific Tropical Water (NPTW) in the Philippine Sea (Figure 2). The surface circulation of the SCS is mainly driven by a complex system including the seasonally reversed monsoon activity and tidal processes (Fang et al., 1998; Li and Qu, 2006; Wang et al., 2019). In general, in the southern SCS, surface circulation is essentially driven by the seasonal reversal of monsoon winds that induces a cyclonic gyre during the winter and anti-cyclonic gyre during the summer (Fang et al., 1998). In the northern SCS, forcing induced by reversal of monsoon winds and the intrusion of the Kuroshio branch generates more complex surface currents (Fang et al., 1998; Wang et al., 2013). In addition to the wind-

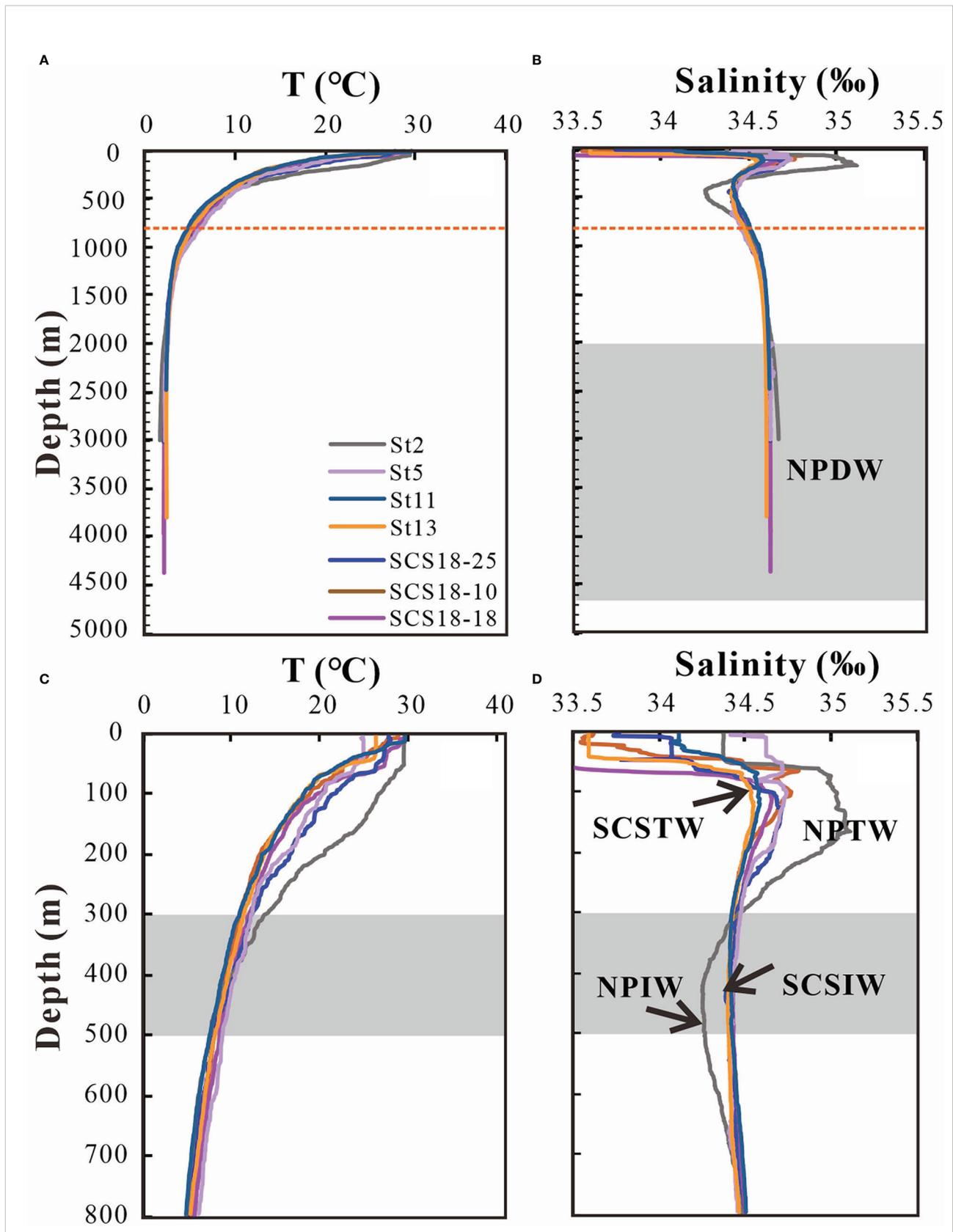
driven gyres, mesoscale anti-cyclonic eddies and coastal currents also affect areas along the northern continental slope of the SCS (Hu et al., 2000; Yuan et al., 2007; Han et al., 2021; Wang et al., 2021).

The South China Sea Intermediate Water (SCSIW), characterized by relatively low salinity with minimum value of ~34.38 between 300 and 500 m, plays an important role in the formation of meridional overturning circulation in the SCS (Tian et al., 2006; Yang et al., 2010; Gan et al., 2016; Zhu et al., 2016; Wang et al., 2019; Figure 2). The net transport of intermediate water is from the SCS to the West Pacific, balanced by a net inflow of both upper and deep water from the West Pacific (Tian et al., 2006; Tian et al., 2009). In addition, the outflow of intermediate water occurs in the northern part of the Luzon Strait in winter and the southern part in summer; while the westward flow of intermediate water into the SCS occurs in the southern part of the Luzon Strait in winter, and the northern part in summer (Tian et al., 2006; Yang et al., 2010; Xie et al., 2011; Zhu et al., 2016).

The deep water of the SCS is mainly fed by the North Pacific Deep Water (NPDW), which is derived from the Upper/Lower Circumpolar Water. With the deepest sill at ~2400 m in the Luzon Strait, the physical properties of the SCS deep water are relatively homogenous and similar to the NPDW in the Philippine Sea at ~2000 m (Broecker et al., 1986; Qu et al., 2006; Zhu et al., 2019). A basin-scale cyclonic deep current driven by the Luzon deep water overflow was observed based on density and oxygen distribution, and further supported by model results (Qu et al., 2006; Gan et al., 2016; Zhu et al., 2017). A deep western boundary current along the northern slope and a sub-basin-scale recirculation current have also been identified through modeling and mooring observations (Wang et al., 2011; Zhou et al., 2017; Zhou et al., 2020; Figure 1). In addition to these large scale currents, mesoscale eddy dissipation has been suggested to force the horizontal circulation and vertical diffusion in mid-deep layers, resulting in enhanced mid-deep overturning circulation (Tian et al., 2009; Chen et al., 2015; Wang et al., 2019).

## 3 Materials and methods

A total of 56 seawater samples were collected from four stations in the SCS during the KK1803 cruise carried out by R/V Jiageng from April to May, 2018 (Figure 1). The northernmost water station, SCS18-25, is located in the deep-water pathway close to the Luzon Strait. To our knowledge, station SCS18-18 is the southernmost station documenting the vertical profile of dissolved REE data in the SCS. Station SCS18-10 is located in the northeastern SCS between stations SCS18-25 and SCS18-18. Four seawater samples were collected at station SDA3, close to Dongsha Island, where a series of large drift sediments have been identified (Lüdmann et al., 2005).



**FIGURE 2**  
 Physical water properties (A–D) at our sampling stations over the northern and central SCS. Data of St2, St5, St11, and St13 stations (Wu et al., 2015) are combined with our data and shown.

For the SCS18-25, SCS18-10, and SCS18-18 stations, 10 L of seawater was collected per sample using Niskin bottles mounted on a 24-position Sea-Bird's 911plus CTD-rosette. The sensor of the WetLabs C-Star transmissometer was also mounted on the CTD to measure the seawater transmissivity. Four additional intermediate-bottom water samples were collected at station SDA3 using 6 L Niskin bottles mounted on a ROV (ROPOS). Approximately 500 mL of seawater was subsampled for REE concentration measurements. All seawater samples were immediately filtered onboard using a 0.45  $\mu\text{m}$  pore size filter (AcroPak<sup>TM</sup> 500 Capsules, Pall Laboratory) and acidified to  $\text{pH} \leq 2$  with ultraclean 6 N HCl.

At the land-based laboratory, seawater Nd was purified following the protocol described by Jeandel et al. (2013). In brief, the seawater was first preconcentrated on a C18 SepPak cartridge previously loaded with a REE complexant. REEs were separated from the matrix using Bio-Rad AG50W-X8 resin (200–400  $\mu\text{m}$  mesh size); Nd was then purified using Eichrom Ln-Spec resin (100–150  $\mu\text{m}$  mesh size). The Nd isotopes of the purified fractions were measured using a ThermoScientific Neptune<sup>plus</sup> Multi-Collector Inductively Coupled Plasma Mass Spectrometer (MC-ICP-MS) at the Laboratoire des Sciences du Climat et de l'Environnement (LSCE) in Gif-sur-Yvette (France). The Neptune<sup>plus</sup> was operated using a static mode with radio frequency ranging from 1200 to 1350 W. The sample and skimmer cones were Jet and X-shape cones, respectively. We used the Apex Omega system and ESI Apex-ST PFA 100  $\mu\text{L}/\text{min}$  as the desolvating system and nebulizer, respectively. During the analytical sessions, every group of two samples was bracketed with analyses of the Nd standard solution JNdi-1 ( $^{143}\text{Nd}/^{144}\text{Nd}$  of  $0.512115 \pm 0.000006$ ; Tanaka et al., 2000). Sample and standard concentrations were matched at 10 ppb. The  $^{143}\text{Nd}/^{144}\text{Nd}$  ratios were corrected for mass-dependent fractionation using  $^{146}\text{Nd}/^{144}\text{Nd} = 0.7219$  and an exponential law. The offset value between the results and the certified value of JNdi-1 was 0.3 epsilon units for all the analyses presented in this study. The analytical errors reported herein correspond to the external two-sigma standard deviation (based on repeat analyses of the JNdi-1 during different analytical sessions). The analytical errors obtained ranged from 0.3 to 0.4 epsilon units. Procedural

blanks corresponding to all analytical procedures, including the preconcentration of Nd from the seawater matrix, were less than 200 pg. This represents < 1.6% of the lowest Nd concentration of surface water in this study. As a result, no blank correction was applied.

Dissolved REE concentrations were measured in 250 mL seawater samples using the ThermoScientific XseriesII Inductively Coupled Plasma-Mass Spectrometer (ICP-MS) at the LSCE. Each sample was spiked with a Pr and Tm solution to correct for the recovery of REEs after the chemical extraction procedures. Rare earth elements were co-precipitated with ultra-pure iron hydroxide and then extracted by successive ion exchange procedures (AG1W-X8 resin) (Jeandel et al., 2013). The BCR-2 standard solution was diluted by 47,200 times. It was not put through the column chemistry and no spike was added. The diluted BCR-2 standard solution was analyzed ( $n = 6$ ) together with the seawater samples and yielded uncertainties (%; RSD; internal error of relative standard deviation was derived from a measurement repeated 10 times) of ~2% for LREEs (La, Ce, Pr, and Nd), ~3% for MREEs (Sm, Eu, Gd, Tb, and Dy), and ~5% for HREEs (Ho, Er, Tm, Yb, and Lu). REE concentrations were corrected for sensitivity drift (if any) of the machine using In and Re as internal standards. Owing to the systematic impact of the Pr spike on Tb concentrations of seawater samples, the Tb data have high uncertainties. The analytical uncertainties (RSD) of our measurements ranged from ~5% for the lightest REE to ~10% for the heaviest REE, except for a few samples with analytical uncertainties of ~20% for Sm and Eu (Table S1). The total blank of REEs averaged ~15%, except for the HREEs Yb and Lu (which averaged ~36% and ~40%, respectively), and was subtracted for all seawater samples.

## 4 Results

### 4.1 Seawater Nd isotopes

Dissolved  $\epsilon\text{Nd}$  values are reported in Table 1 and Figure 3A. There are significant variations in the  $\epsilon\text{Nd}$  values of surface/subsurface

TABLE 1 Location, water depths, salinity, potential temperature,  $^{143}\text{Nd}/^{144}\text{Nd}$  ratio,  $\epsilon\text{Nd}$  value and Nd concentration of the seawater samples analyzed in this study.

Depth(m)	Salinity	Pot.Temp (°C)	$^{143}\text{Nd}/^{144}\text{Nd}$ ( $\pm 2\text{SD}$ )	$\epsilon\text{Nd}$ ( $\pm 2\sigma^*$ )	Nd (pmol/kg)
1. Site SCS18-25 (21.307° N, 119.198° E; 3005 m; May 2018)					
5	33.935	27.511	$0.512494 \pm 0.000016$	$-2.8 \pm 0.4$	9.75
50	34.052	26.623	$0.512517 \pm 0.000014$	$-2.4 \pm 0.3$	11.09
150	34.671	18.518	$0.512496 \pm 0.000016$	$-2.8 \pm 0.4$	6.63
300	34.427	11.864	$0.512490 \pm 0.000014$	$-2.9 \pm 0.3$	7.92
(Continued)					

TABLE 1 Continued

Depth(m)	Salinity	Pot.Temp (°C)	$^{143}\text{Nd}/^{144}\text{Nd}$ ( $\pm 2\text{SD}$ )	$\epsilon\text{Nd}$ ( $\pm 2\sigma^*$ )	Nd (pmol/kg)
450	34.398	8.462	$0.512461 \pm 0.000011$	$-3.5 \pm 0.3$	11.24
600	34.427	6.661	$0.512463 \pm 0.000010$	$-3.4 \pm 0.3$	
750	34.472	5.251	$0.512462 \pm 0.000009$	$-3.4 \pm 0.3$	15.85
900	34.502	4.515	$0.512446 \pm 0.000008$	$-3.8 \pm 0.3$	16.88
1050	34.536	3.738	$0.512450 \pm 0.000008$	$-3.7 \pm 0.3$	17.18
1200	34.569	3.134	$0.512443 \pm 0.000008$	$-3.8 \pm 0.3$	20.06
1350	34.584	2.821	$0.512438 \pm 0.000007$	$-3.9 \pm 0.3$	20.36
1500	34.593	2.628	$0.512443 \pm 0.000009$	$-3.8 \pm 0.3$	23.12
1650	34.601	2.473	$0.512427 \pm 0.000009$	$-4.1 \pm 0.3$	24.75
1800	34.606	2.378	$0.512440 \pm 0.000008$	$-3.9 \pm 0.3$	24.90
1950	34.611	2.286	$0.512433 \pm 0.000011$	$-4.0 \pm 0.3$	25.74
2100	34.613	2.237	$0.512427 \pm 0.000009$	$-4.1 \pm 0.3$	25.41
2250	34.615	2.199	$0.512428 \pm 0.000008$	$-4.1 \pm 0.3$	25.22
2400	34.617	2.159	$0.512434 \pm 0.000008$	$-4.0 \pm 0.3$	25.32
2550	34.619	2.129	$0.512424 \pm 0.000008$	$-4.2 \pm 0.3$	25.64
2700	34.621	2.100	$0.512424 \pm 0.000007$	$-4.2 \pm 0.3$	25.02
2850	34.622	2.086	$0.512444 \pm 0.000008$	$-3.8 \pm 0.3$	25.97
2990	34.622	2.076	$0.512439 \pm 0.000009$	$-3.9 \pm 0.3$	23.10
<b>2. Site SCS18-10 (17.519° N, 117.744° E; 3960 m; April 2018)</b>					
20	33.638	26.905	$0.512343 \pm 0.000013$	$-5.8 \pm 0.3$	8.46
200	34.486	13.075	$0.512425 \pm 0.000016$	$-4.2 \pm 0.4$	9.07
500	34.414	8.176	$0.512441 \pm 0.000010$	$-3.8 \pm 0.3$	11.32
800	34.489	5.365	$0.512452 \pm 0.000018$	$-3.6 \pm 0.5$	15.15
1100	34.553	3.658	$0.512383 \pm 0.000008$	$-5.0 \pm 0.3$	17.68
1400	34.605	2.498	$0.512451 \pm 0.000008$	$-3.7 \pm 0.3$	20.57
1700	34.613	2.315	$0.512448 \pm 0.000007$	$-3.7 \pm 0.3$	23.39
2000	34.614	2.311	$0.512444 \pm 0.000008$	$-3.8 \pm 0.3$	24.26
2300	34.618	2.214	$0.512443 \pm 0.000008$	$-3.8 \pm 0.3$	25.62
2600	34.621	2.154	$0.512455 \pm 0.000009$	$-3.6 \pm 0.3$	26.78
2900	34.622	2.123	$0.512459 \pm 0.000008$	$-3.5 \pm 0.3$	26.50
3200	34.623	2.098	$0.512457 \pm 0.000008$	$-3.5 \pm 0.3$	26.47
3500	34.624	2.079	$0.512449 \pm 0.000010$	$-3.7 \pm 0.3$	25.18
3800	34.625	2.061	$0.512440 \pm 0.000009$	$-3.9 \pm 0.3$	24.30
3950	34.626	2.049	$0.512449 \pm 0.000009$	$-3.7 \pm 0.3$	25.26
<b>3. Site SCS18-18 (13.167° N, 114.498° E; 4250 m; May 2018)</b>					
5	33.416	26.668	$0.512296 \pm 0.000013$	$-6.7 \pm 0.3$	9.93
50	34.507	13.896	$0.512376 \pm 0.000010$	$-5.1 \pm 0.3$	7.39

(Continued)

TABLE 1 Continued

Depth(m)	Salinity	Pot.Temp (°C)	<sup>143</sup> Nd/ <sup>144</sup> Nd ( ± 2SD)	εNd ( ± 2σ*)	Nd (pmol/kg)
100	34.425	8.420	0.512424 ± 0.000013	-4.2 ± 0.3	10.83
300	34.488	5.509	0.512437 ± 0.000011	-3.9 ± 0.3	12.91
800	34.556	3.763	0.512423 ± 0.000010	-4.2 ± 0.3	15.99
1400	34.589	2.932	0.512424 ± 0.000010	-4.2 ± 0.3	20.02
1700	34.606	2.522	0.512438 ± 0.000008	-3.9 ± 0.3	23.14
2000	34.614	2.317	0.512451 ± 0.000009	-3.7 ± 0.3	24.07
2300	34.618	2.228	0.512444 ± 0.000008	-3.8 ± 0.3	25.32
2600	34.620	2.169	0.512464 ± 0.000009	-3.4 ± 0.3	
2900	34.622	2.129	0.512453 ± 0.000008	-3.6 ± 0.3	
3200	34.623	2.114	0.512450 ± 0.000007	-3.7 ± 0.3	
3500	34.623	2.106	0.512460 ± 0.000008	-3.5 ± 0.3	27.51
3800	34.624	2.101	0.512446 ± 0.000007	-3.8 ± 0.3	
4200	34.624	2.095	0.512459 ± 0.000008	-3.5 ± 0.3	
<b>4. Site SDA3 (20.132°N, 117.473°E, May 2018)</b>					
1100			0.512450 ± 0.000013	-3.7 ± 0.3	
1400			0.512420 ± 0.000013	-4.3 ± 0.3	
1700			0.512442 ± 0.000012	-3.8 ± 0.3	
1850			0.512417 ± 0.000009	-4.3 ± 0.3	

\*A combined error of  $\sqrt{(\text{internal error}^2 + \text{external error}^2)}$  was used when the internal error was larger than the external error.

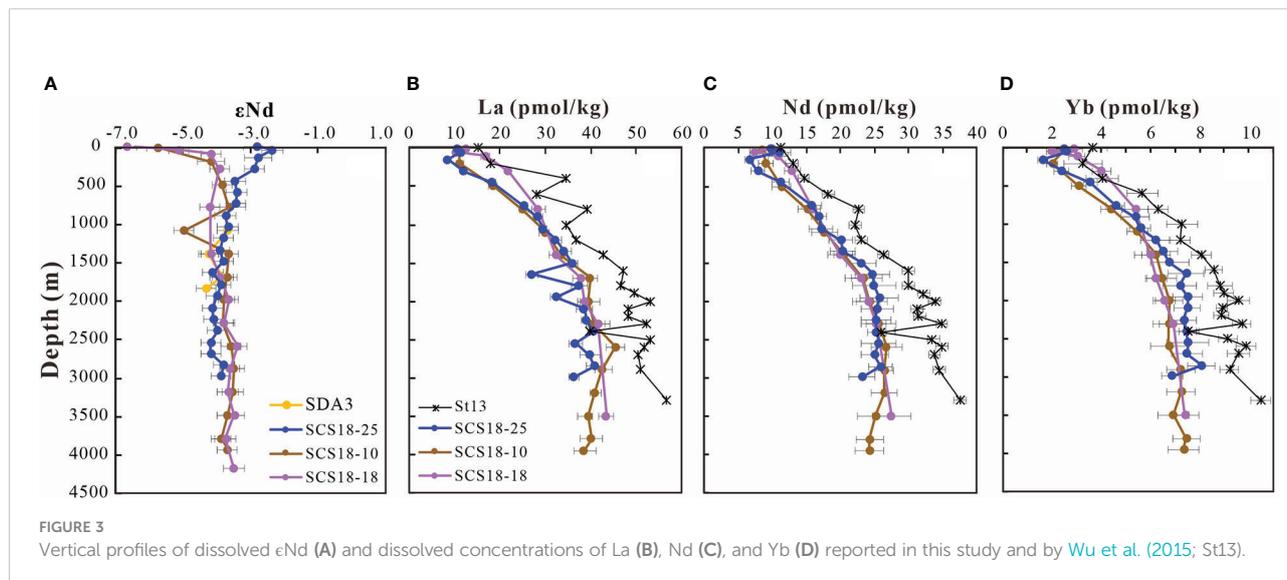


FIGURE 3 Vertical profiles of dissolved εNd (A) and dissolved concentrations of La (B), Nd (C), and Yb (D) reported in this study and by Wu et al. (2015; St13).

water between the investigated stations. The most unradiogenic εNd value of  $-6.7 \pm 0.3$  was observed at the southernmost station (SCS18-18) at the surface (5 m), while the most radiogenic εNd value of  $-2.4 \pm 0.3$  was found at the northernmost station

(SCS18-25) at 50 m. For station SCS18-10, εNd values were  $-5.8 \pm 0.3$  and  $-4.2 \pm 0.4$  at depths of 20 and 200 m, respectively.

The εNd values of intermediate water ranged from  $-3.9$  (1350 m) to  $-2.9$  (300 m) at station SCS18-25. Intermediate-

water  $\epsilon\text{Nd}$  at station SCS18-18 was slightly lower ( $-4.2$ ) than at stations SCS18-25 and SCS18-10 ( $-3.7$ ), except for a more negative value of  $-5.0 \pm 0.3$  obtained at 1100 m at station SCS18-10. For deep water,  $\epsilon\text{Nd}$  values exhibited a narrow range from  $-4.2$  to  $-3.4$  for the aforementioned stations. The four seawater samples from station SDA3 displayed  $\epsilon\text{Nd}$  values (from  $-4.3$  to  $-3.7$ ) similar to those found at corresponding depths at other stations in the SCS (from  $-4.2$  to  $-3.5$ ) and slightly lower than those in the West Pacific (from  $-4.1$  to  $-2.6$ ) (Wu et al., 2015; Behrens et al., 2018b; Figure 3A).

## 4.2 Seawater REE concentrations and patterns

Rare earth element concentrations are reported in Table S1. The vertical distributions of La, Nd, and Yb concentrations are plotted in Figure 3. The ranges of La, Nd, and Yb concentrations were 8.48–45.55, 8.46–27.51, and 1.63–8.08 pmol/kg, respectively. Figure 3 shows that REE concentrations decreased from surface to subsurface water, except for a minimum Nd concentration at 5 m at station SCS18-10. The lowest concentrations of other REEs were observed at 150 m at SCS18-25, 200 m at SCS18-10, and 50 m at SCS18-18. Below these water depths, REE concentrations at stations SCS18-10 and SCS18-25 gradually increased with depth, except for several deep-bottom samples below  $\sim 2500$  m, from where they generally decreased.

The REE concentrations of the upper  $\sim 300$  m in our study (La: 8.5–21.9 pmol/kg; Nd: 6.6–12.9 pmol/kg; Yb: 1.6–4.0 pmol/kg) are similar to those of station St13 (La: 15.2–18.0 pmol/kg; Nd: 11.2–13.4 pmol/kg; Yb: 3.3–3.6 pmol/kg; Wu et al., 2015; Figure 3). However, below this depth, the REE concentrations of this study are generally lower compared to station St13 (Wu et al., 2015; Figure 3).

Post-Archean Australian Shale (PAAS)-normalized (Taylor and McLennan, 1985) REE patterns are shown in Supplementary Figure S1. Typical seawater REE patterns with a negative Ce anomaly and an enrichment in HREEs were observed for all seawater samples, which are similar to the findings of previous studies on the SCS and open West Pacific (Wu et al., 2015; Behrens et al., 2020). In most cases, the levels of HREE enrichment were more pronounced in deep-water samples than in surface-water samples.

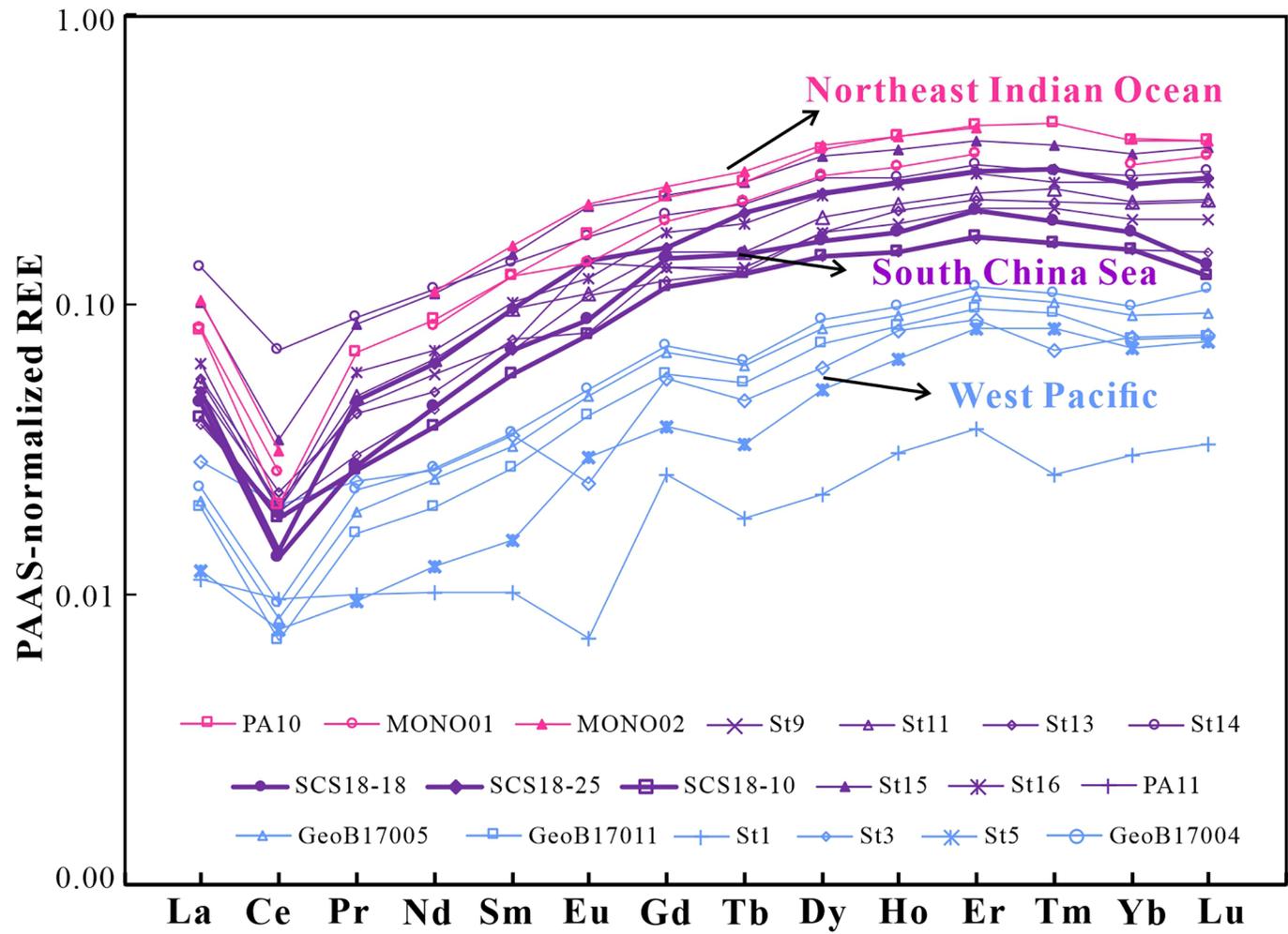
## 5 Discussion

### 5.1 Continental sources supplying surface water of the SCS

Figure 4 presents the PAAS-normalized REE patterns of the SCS surface water (5–20 m), together with previous results

obtained from the SCS, West Pacific and northeast Indian Ocean (Alibo and Nozaki, 2000; Nozaki and Alibo, 2003; Wu et al., 2015; Yu et al., 2017; Behrens et al., 2018a). The surface Nd concentrations in the SCS (8–15 pmol/kg) are considerably higher than those in the West Pacific (2–8 pmol/kg), but lower than those in the northeast Indian Ocean (18–51 pmol/kg) (Table 1; Alibo and Nozaki, 2000; Nozaki and Alibo, 2003; Wu et al., 2015; Yu et al., 2017; Behrens et al., 2018a). The higher Nd concentrations of surface water reported in the northeast Indian Ocean have been attributed to the immense sediment discharge ( $\sim 2000 \times 10^6$  tons/yr) from large Asian rivers, such as the Ganges–Brahmaputra, Irrawaddy and Salween (Nozaki and Alibo, 2003; Yu et al., 2017; Hathorne et al., 2020). Similarly, the SCS also receives substantial sediment discharge from several large rivers owing to frequent tectonic activity and heavy monsoon rainfall ( $\sim 300 \times 10^6$  tons/yr in the north and  $\sim 1200 \times 10^6$  tons/yr in the south; Milliman and Farnsworth, 2011; Liu et al., 2016, and references therein). The relatively high Nd concentrations in surface water in the SCS compared with the West Pacific are thus likely associated with REE inputs from external sources.

In order to assess which external sources could significantly contribute to the REE distributions in the SCS, we compiled REE data of filtered water, Asian dust (loess), marine particles, river sediments, and rock from the SCS and its surrounding continents (Ding et al., 2001; Chung et al., 2009; Bayon et al., 2015; Padrones et al., 2017; Liu et al., 2018; Ma et al., 2019; Figure 5). The REE pattern of the dissolved fraction of the Kaoping River exhibit negative Ce anomaly and relative MREE enrichment, similar to those of the SCS surface water (Figure 5). However, riverine dissolved REEs could be effectively removed in estuarine areas as a result of the salt-induced coagulation of riverine colloids (Goldstein and Jacobsen, 1987; Sholkovitz and Szymczak, 2000; Lawrence and Kamber, 2006; Rousseau et al., 2015; Adebayo et al., 2018). The average Nd concentrations in the Kaoping and Pearl rivers are  $\sim 12$  and  $\sim 370$  pmol/kg, respectively (Chung et al., 2009; Ma et al., 2019). If we assume that 50–90% of river-borne REEs would be removed in low salinity areas ( $S < \sim 5\text{‰}$ ) (Rousseau et al., 2015; Adebayo et al., 2018; Grenier et al., 2022), the remaining Nd concentrations supplied by the Kaoping and Pearl rivers would range from 1.2 to 6, and from 37 to 185 pmol/kg, respectively. The total dissolved Nd inputs from these rivers to the SCS were calculated. The runoff in the regions of Taiwan Island and South China are  $\sim 16.5 \times 10^6$  and  $\sim 355 \times 10^6$  tons/yr, respectively (Milliman and Farnsworth, 2011; Liu et al., 2016, and references therein). The result shows that the contributions of Nd from rivers after removal in estuarine areas range from 0.003 to 9 tons/yr, which are lower than the required Nd addition ( $53 \pm 9$  tons/yr) as estimated in section 5.3.1 (Table 2). In addition, the dissolved REE pattern of the Pearl River, characterized by significantly higher Nd concentration compared to the Kaoping River, does not show MREE



**FIGURE 4**  
 PAAS-normalized REE patterns of surface water in the SCS (this study; [Alibo and Nozaki, 2000](#); [Wu et al., 2015](#)), the West Pacific ([Wu et al., 2015](#); [Behrens et al., 2018a](#)), and the northeast Indian Ocean ([Nozaki and Alibo, 2003](#); [Yu et al., 2017](#)). The locations of stations studied by [Alibo and Nozaki \(2000\)](#); [Wu et al. \(2015\)](#) and [Behrens et al. \(2018a\)](#) are shown in [Figure 1](#). Stations PA10, MONO01, and MONO02 from the northeast Indian Ocean are located at 8° N, 89° E; 8° N, 89.4° E; and 11.8° N, 88.7° E, respectively ([Nozaki and Alibo, 2003](#); [Yu et al., 2017](#)).

TABLE 2 Estimated Nd inputs from surrounding rivers.

	Initial		Flow magnitude	Final		εNd of	F <sup>Addition</sup>	F <sup>Removal</sup>
	εNd (± 2δ)	[Nd] (± 2δ)	(10 <sup>6</sup> m <sup>3</sup> /s)	εNd (± 2δ)	[Nd] (± 2δ)	source	(tons Nd/yr)	(tons Nd/yr)
< 50 m (summer) <sup>a</sup>	-6.7 ± 0.3 (SCS18-25)	9.93 ± 0.32 (SCS18-25)	0.3	-2.8 ± 0.4 (SCS18-18)	9.75 ± 0.45 (SCS18-18)	0.6	15 ± 3	16 ± 3
						7.1	5 ± 1	5 ± 1
< 50 m (winter) <sup>b</sup>	-2.9 ± 0.5 (St5)	2.84 ± 1.1 (St5)	1	-7 ± 0.3 (St13)	11.24 ± 0.66 (St13)	-8	53 ± 9	15 ± 9
> 1500 m <sup>c</sup>	-3.6 ± 0.4 (St3)	27.19 ± 0.33 (St3)	1.6	-3.8 ± 0.3 (St13)	32.84 ± 0.29 (St13)	-4.3	81 ± 13	41 ± 14

<sup>a</sup>Initial and final εNd and Nd concentration data are from this study. Flow magnitude of surface water from the Luzon Strait is from Gan et al. (2016). εNd values of river sediment sources are from Wei et al. (2012).

<sup>b</sup>Initial and final εNd and Nd concentration data are from Wu et al. (2015). Flow magnitude of surface water from the Luzon Strait is from Gan et al. (2016). εNd values of river sediment sources are from Wei et al. (2012).

<sup>c</sup>Initial and final εNd and Nd concentration data are from Wu et al. (2015). Flow magnitude of deep water from the Luzon Strait is from Zhao et al. (2014). εNd values of river sediment sources are from Wei et al. (2012).

enrichment as observed in the SCS surface water (Figure 5). This indicates that the effect of riverine dissolved Nd inputs on the SCS is possibly limited.

Another potential factor that could influence the REE pattern of SCS surface water is the dissolution of deposited Asian dust. It has been suggested that the dust deposition flux decreases rapidly from the coastal area to open ocean in the North Pacific region (Uematsu et al., 2003). The estimated dry and wet depositions of dust to the SCS are ~1.3×10<sup>6</sup> and ~0.6×10<sup>6</sup> tons/yr, respectively (Uematsu et al., 2003). If the dissolution efficiency of the atmospheric particles (Nd concentration: ~29.5 μg/g; Ding et al., 2001) is similar to

basaltic particulate materials (~0.4%; Pearce et al., 2013), the net Nd contributions of the total atmospheric deposits to the SCS surface water are from 0.02 to 0.1 tons/yr, which are negligible compared to the required Nd inputs (53 ± 9 tons/yr; Table 2).

Furthermore, a plot of MREE/MREE\* versus εNd (Figure 6) was used to assess the extent to which these external sources could influence the surface-water REEs, particularly εNd, from the northern to southern SCS. The SCS surface data in this and previous studies were compared with those of surface water in the West Pacific, sediments offshore from southern Luzon, and sinking particles collected by sediment traps in the northern SCS

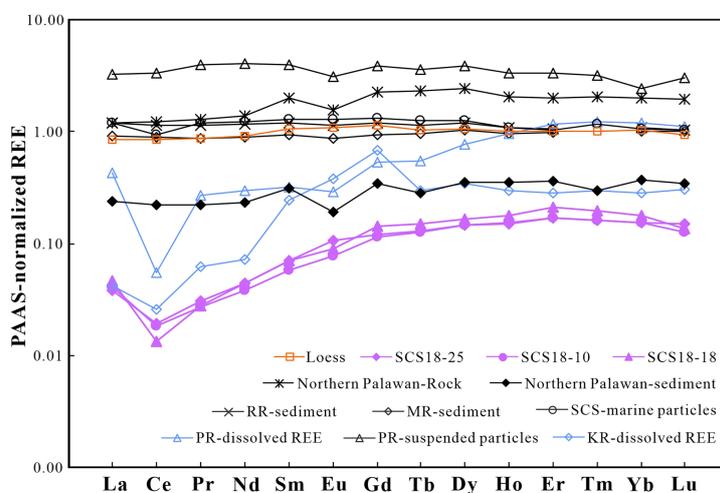


FIGURE 5

PAAS-normalized REE patterns of surface water in the SCS (x 10<sup>6</sup>), Pearl River (PR) water (x 1; NA: 22.53° N, 113.49° E) and PR suspended particles (x 10<sup>-5</sup>; L7: 22.06° N, 113.50° E) (Ma et al., 2019), Kaoping River (KP) water (x 10<sup>2</sup>; KP3) (Chung et al., 2009), Red River sediment (RR, x 10<sup>-3</sup>; 20: 20.26° N, 106.52° E) (Bayon et al., 2015), loess (x 10<sup>-3</sup>; Ding et al., 2001), northern Palawan rock (x 10<sup>-3</sup>) and sediment (x 10<sup>-3</sup>) (Padrones et al., 2017), Mekong River sediment (MR, x 10<sup>-5</sup>; 12: 10.96° N, 105.06° E) (Bayon et al., 2015), and northern SCS sinking particles (x 10<sup>-3</sup>; SCS-NW-1: 17.43° N, 110.67° E) (Liu et al., 2018).

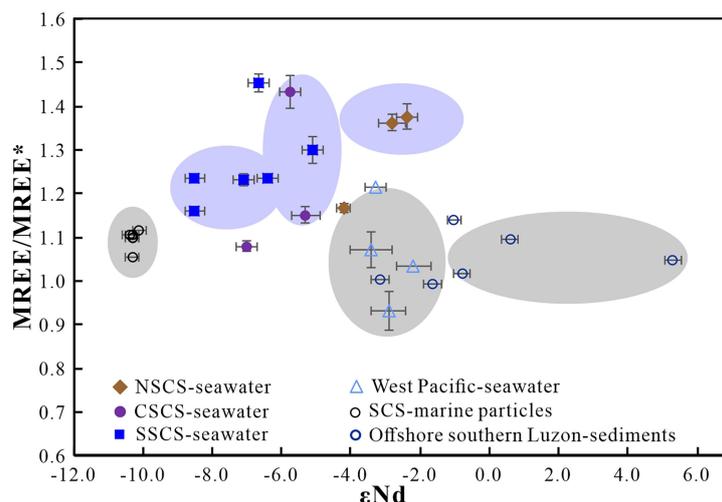


FIGURE 6

Plot of MREE/MREE\* vs.  $\epsilon\text{Nd}$  values for West Pacific seawater, SCS seawater, offshore southern Luzon sediments, and the SCS sinking particles (Amakawa et al., 2000; Wei et al., 2012; Wu et al., 2015; Behrens et al., 2018b; Liu et al., 2018).  $\text{MREE}/\text{MREE}^* = 2 \times (\text{Gd} + \text{Tb} + \text{Dy})/(\text{La} + \text{Pr} + \text{Nd} + \text{Tm} + \text{Yb} + \text{Lu})$  (All REEs are PAAS-normalized; Martin et al., 2010).

(Amakawa et al., 2000; Wei et al., 2012; Wu et al., 2015; Behrens et al., 2018b; Liu et al., 2018). Clearly, the MREE/MREE\* values of the SCS surface water are higher than those of the West Pacific surface water (Figure 6). MREE enrichment of seawater has been documented and linked to the chemical weathering of phosphate minerals and interaction with sediment and/or suspended particles (Sholkovitz et al., 1999; Pearce et al., 2013; Crockett et al., 2018; Molina-Kescher et al., 2018). The higher MREE/MREE\* values in the SCS surface water compared with those in the West Pacific indicate that the addition of MREEs may be associated with lithogenic inputs, which could preferentially release MREEs into seawater. However, most MREE/MREE\* values of the SCS are also higher than those of offshore Luzon sediments and the northern SCS sinking particles (Figure 6), the contributions from these external sources are possibly not sufficient to explain this difference. There might be other fractionation processes involved to generate MREE enrichments in the SCS surface water.

In terms of  $\epsilon\text{Nd}$ , the SCS surface water are generally characterized by less radiogenic Nd than West Pacific surface water. In the West Pacific, the surface-water  $\epsilon\text{Nd}$  values range from  $-3.5$  to  $1.6$  owing to the influence of volcanic materials (Grenier et al., 2013; Behrens et al., 2018b). The SCS surface water is characterized by large variations in  $\epsilon\text{Nd}$  ( $-7$  to  $-3$ ; Figure 6). Strongly negative  $\epsilon\text{Nd}$  values of surface water ( $< -5$ ) are mainly found in the central and southern SCS, and these are more negative than those in the northern SCS and West Pacific ( $> -3.5$ ; Wu et al., 2015; Behrens et al., 2018b). As there is no dissolved  $\epsilon\text{Nd}$  data reported for riverine inputs, we cannot assess the Nd contribution of river water to SCS surface water.

However, river sediments surrounding the SCS have  $\epsilon\text{Nd}$  values of  $-13.5$  to  $-9.9$ , except for those of Luzon Island ( $\epsilon\text{Nd}$ :  $6.4$ – $7.1$ ; Goldstein and Jacobsen, 1988; Wei et al., 2012, and references therein). The sinking particles obtained from the northern SCS sediment trap are also characterized by negative  $\epsilon\text{Nd}$  values of  $-10$  (Liu et al., 2018). We suspect that there is a modification of the  $\epsilon\text{Nd}$  of West Pacific surface inflow caused by terrigenous inputs, which are characterized by more positive  $\epsilon\text{Nd}$  values of  $\sim 7$  in Luzon Island and more negative  $\epsilon\text{Nd}$  values of  $\sim -10$  in the northern SCS (Goldstein and Jacobsen, 1988; Wei et al., 2012, and references therein). This might also explain the observed southward decrease in surface-water  $\epsilon\text{Nd}$  from the northern to southern SCS (Figure 7).

This spatial pattern agrees with previous studies showing the most negative  $\epsilon\text{Nd}$  in the southern SCS and the most positive  $\epsilon\text{Nd}$  in the vicinity of Luzon Island in the north (Amakawa et al., 2000; Wu et al., 2015; Figure 7). The less negative  $\epsilon\text{Nd}$  in the northern SCS compared with the southern SCS possibly results from the influence of advection of the NPTW, which is characterized by  $\epsilon\text{Nd}$  signatures of  $-3.1$  to  $-1.7$  (Behrens et al., 2018b). Meanwhile, the contribution of lithogenic inputs from Luzon Island, characterized by radiogenic Nd isotopic composition ( $\epsilon\text{Nd} > 6$ ) and relatively enriched MREEs, could also modify the  $\epsilon\text{Nd}$  of surface water in the northern SCS (Goldstein and Jacobsen, 1988; Padrones et al., 2017; Figure 6). For the southern SCS, the negative  $\epsilon\text{Nd}$  values are probably related to the dissolution of particles from the Pearl River, which mainly supplies sediments to the southwest of the northern SCS (Shao et al., 2009). Overall, the  $\epsilon\text{Nd}$  values of SCS surface water become more negative with increased distance

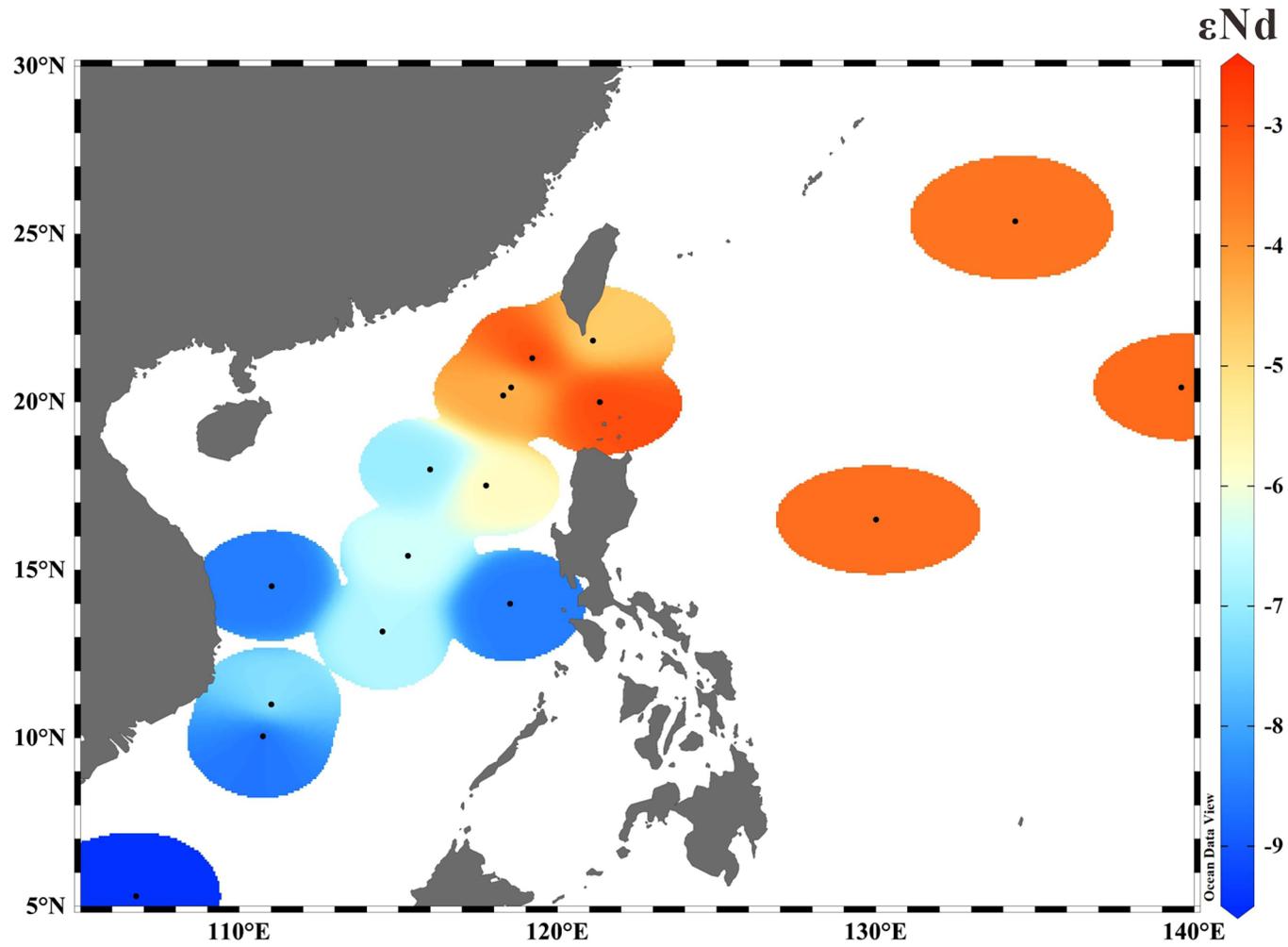


FIGURE 7  
 Distribution of surface water  $\epsilon\text{Nd}$  in the SCS and West Pacific. Data sources: this study, Amakawa et al. (2000), Wu et al. (2015), and Behrens et al. (2018b). The figure was created using Ocean Data View (Schlitzer, 2014).

from the Luzon Strait (Figure 7). The northeast-southwest decreasing contribution of particle dissolution from Luzon Island ( $\epsilon\text{Nd}$ :  $\sim 7$ ) and the increasing contribution of the northern SCS river sediments ( $\epsilon\text{Nd}$ :  $\sim -10$ ) might result in such persistent changes in SCS surface-water  $\epsilon\text{Nd}$ .

Combining basin-wide surface-water MREE/MREE\* values with our newly obtained SCS seawater  $\epsilon\text{Nd}$  data, our results suggest an important role of terrigenous inputs in controlling surface-water  $\epsilon\text{Nd}$  and emphasize the need for the investigation of whether such MREE enrichments can result from the dissolution of these particulate sources. Other possible explanations of MREE enrichments are explored below.

## 5.2 Seasonal variation in REEs in the SCS

We compiled published REE data from the SCS and West Pacific to better understand the exchange of REEs between the marginal sea and open ocean (Alibo and Nozaki, 2000; Wu et al., 2015; Behrens et al., 2018a). High-resolution vertical profiles of PAAS-normalized seawater MREE/MREE\*, MREE/HREE, and Nd/Yb ratios for the SCS and West Pacific are shown in Figure 8. All seawater samples investigated in this study were collected in late April and May, 2018, when the southwest summer monsoon starts to prevail in the SCS, whereas seawater samples at stations St5, St13, and PA11 were collected during the period in which the northeast winter monsoon intensifies (Alibo and Nozaki, 2000; Wu et al., 2015). Accordingly, our data compilation allows potential seasonal variability to be assessed.

Overall, the vertical profiles of MREE/MREE\*, MREE/HREE, and Nd/Yb ratios show a decrease from a maximum at the surface to a minimum at approximately 1000 m depth, followed by an increase, reaching another maximum at approximately 2000–3000 m depth.

Of particular interest is a clear seasonal variability in the vertical distribution of MREE/MREE\* and MREE/HREE ratios within the SCS (Figure 8), with higher values for the samples collected in summer (MREE/MREE\*: 1.0 to 1.4) and lower values for those collected in winter (MREE/MREE\*: 0.8 to 1.2) (Alibo and Nozaki, 2000; Wu et al., 2015). Notably, the SCS samples collected in winter show similar ratios of MREE/MREE\* and MREE/HREE to those of the West Pacific. However, there is no discernable seasonal variation in Nd/Yb ratios, suggesting that LREEs vary similarly to HREEs between summer and winter (Figure 8). In the following, we attempt to explain the observed vertical distributions of MREE/MREE\*, MREE/HREE, and Nd/Yb ratios in the SCS.

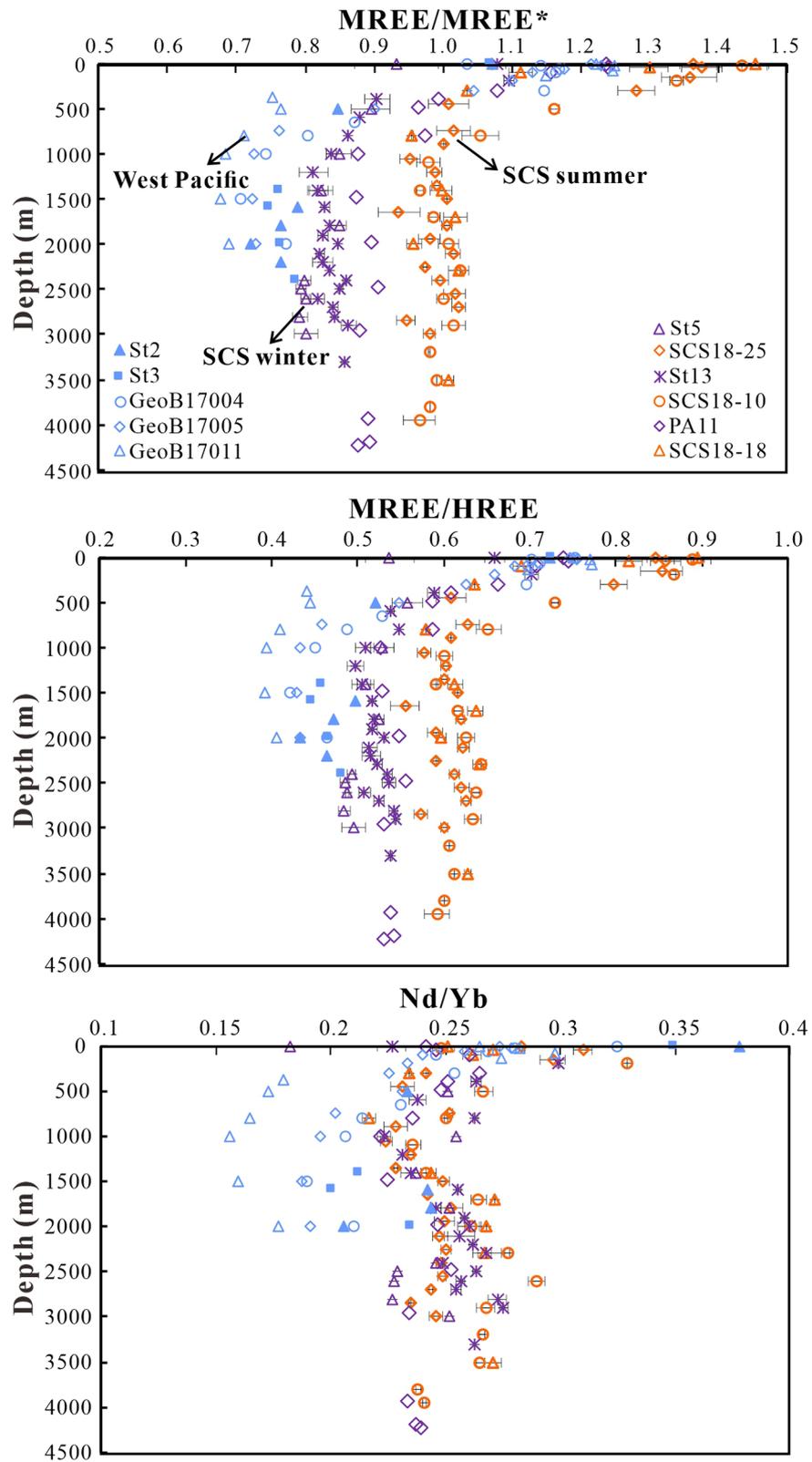
First, the seasonal variations may be associated with the enhanced inflow from the West Pacific to the SCS in winter, which is characterized by relatively low MREE/MREE\* and MREE/HREE ratios compared with those found in the SCS in summer. Specifically, the upper layer of the SCS is strongly fed (3.5–10 Sv) by West Pacific water through the Luzon Strait when

the northeast monsoon prevails over the SCS (i.e., in winter); while in summer, the upper layer water in the SCS outflows to the West Pacific ( $\sim 5$  Sv) (Tian et al., 2006; Yang et al., 2010; Gan et al., 2016). In this case, the seasonal variabilities in MREE/MREE\* and MREE/HREE ratios might reflect the variations of the water-mass mixing between the West Pacific and SCS. However, Nd/Yb ratios in the SCS do not show significant changes between summer and winter, but are higher than those in the West Pacific, suggesting that the mixing of West Pacific and SCS water masses cannot be fully responsible for the seasonal MREE/MREE\* variations in the SCS.

Second, the observed seasonal variations in MREE/MREE\* and MREE/HREE ratios could be related to lithogenic inputs and the associated preferential removal of LREEs and MREEs by particles. Sediment trap data from the northwest SCS have shown three peaks of lithogenic flux during August 2012, October 2012, and February 2013, while the flux is relatively low in May (Zhang et al., 2018). A potential release of LREEs and MREEs from sediment to the water column has been suggested (Crocket et al., 2018; Molina-Kescher et al., 2018). If the higher lithogenic inputs in winter are sources of MREEs in the SCS, the results would be contrary to those observed for MREE/MREE\* and MREE/HREE (i.e., lower values in winter compared with summer). In contrast, lithogenic inputs could also be a sink for LREEs and MREEs because these elements are more easily adsorbed to particles and surface coatings (i.e., iron-oxide) compared with HREEs (Elderfield, 1988; Sholkovitz et al., 1994). Various inorganic and biogenic phases can remove LREEs and MREEs in the Kerguelen Plateau area (Grenier et al., 2018). However, a preferential scavenging of LREEs and MREEs by lithogenic inputs is not supported by the similar distribution patterns of Nd/Yb ratios between summer and winter in the SCS.

A third possible explanation is the release of MREEs from surface coatings (i.e., iron-oxide) affiliated to particles caused by seasonal changes in dissolved oxygen content. Surface coatings, such as iron-oxides, can preferentially scavenge LREEs and MREEs in the surface ocean and preferentially release them in deep water layers (Sholkovitz et al., 1994). Meanwhile, the desorption of MREEs from iron-oxides is likely sensitive to environmental oxygen content (Haley et al., 2004). In the SCS, the water oxygen content in summer is lower than that in winter owing to the reduced inflow of deep water with a relatively high oxygen content from the West Pacific (Lin and Han, 1997; Li and Qu, 2006; Wang et al., 2018; Figure S2). Such an oxygen-depleted environment might favor the release of MREEs adsorbed to preformed iron-oxide into the water column, leading to higher MREE/MREE\* and MREE/HREE ratios in summer compared with winter (Figure 8).

The inferred seasonal pattern in oxygen content may be strengthened by an enhanced primary productivity in summer compared with winter, as higher productivity would consume more dissolved oxygen for the degradation of organic matters



**FIGURE 8**  
 Vertical distribution of MREE/MREE\*, MREE/HREE, and Nd/Yb ratios in the SCS (this study; Wu et al., 2015) and West Pacific (Behrens et al., 2018a). MREE/HREE is calculated using:  $MREE/HREE = (Gd + Tb + Dy)/(Tm + Yb + Lu)$ .

settling down through the water column. However, the seasonal change in primary productivity remains a matter of debate. A higher productivity in summer has been attributed to increased river discharge and coastal upwelling related to the Asian summer monsoon (Song et al., 2012; Xie et al., 2020). In contrast, evidence from particulate organic carbon fluxes suggests a higher productivity in the central SCS in winter, rather than summer, owing to stronger vertical mixing induced by the northeast monsoon (Chen et al., 1998; Li et al., 2017). Besides, the seasonal changes in oxygen concentrations in the SCS are not significant (Figure S2), with respect to seasonality, further studies on the relationship between changes in dissolved MREEs and oxygen content are required.

Fourth, microbial activity may also affect the distribution of MREEs in the SCS. It was implied that microbes (e.g., microbial siderophores) can complex REEs and may affect the fractionation of REEs through iron cycling in the ocean (Haley et al., 2014). In the Gulf of Alaska, the “bio-reactive” REE group shows different REE patterns from those of “passive” REE group. The MREE/MREE\* of “bio-reactive” group is ~1.2, which is higher than the latter group (~1.0) (Haley et al., 2014). The preferentially adsorption of HREEs by organically bound Fe, via microbial mediation, may result in the relative MREE enrichments in seawater.

Indeed, it has been suggested that relatively high temperature (~25 °C) and moderate pH (~7.5) would favor bacterial growth; when the pH is ~8.5, the bacterial growth decreased quickly (Sinha and Parli, 2020). A recent study on the Canadian Arctic Archipelago indicated that the pH and dissolved organic carbon concentration could partly influence the dissolved REE concentrations in rivers (Grenier et al., 2022).

In the SCS, bacterial production grew fast due to the increases in bacterial abundance and dissolved organic carbon inputs in summer (Xu et al., 2018). The surface water pH in summer (~7.6) is generally lower than in winter (~8.1) (Liu et al., 2014). The low pH in summer might also enhance bacterial growth including the siderophores production. It is likely that both lower pH and more dissolved organic carbon inputs in summer may result in the MREE enrichments of surface water as observed in this study. But note that, the available data are very scarce and mostly limited to the upper layer of the water column (Chen et al., 2021). The association between the MREE enrichment and microbial activity in the SCS requires to be better constrained.

As discussed above, although the seasonal variations in MREE/MREE\* and MREE/HREE ratios in the SCS is clear, it could not be explained by a single factor alone. It seems that MREE/MREE\* vary distinctly from LREEs and HREEs. However, as seawater samples in this and previous studies were collected in different years and the locations are slightly different (Alibo and Nozaki, 2000; Wu et al., 2015; Behrens et al., 2018b), it is uncertain that if the physical properties of seawater were changed in different years. Further investigations are highly

required to unravel and better understand the underlying processes leading to the MREE enrichments observed for the SCS.

## 5.3 Processes influencing the $\epsilon_{Nd}$ distribution in the SCS

### 5.3.1 Factors influencing the $\epsilon_{Nd}$ of the SCS upper 200 m

Figure 9 presents the comparison of the  $\epsilon_{Nd}$  between the SCS and Northwest Pacific (Wu et al., 2015; Behrens et al., 2018b). For the upper 200 m, the  $\epsilon_{Nd}$  values of the central SCS (−6.7 to −4.2) are more negative than those in the Northwest Pacific (−3.5 to −2.2) and northern SCS (−2.8 to −2.4; Figures 9B, D). The subsurface-water  $\epsilon_{Nd}$  values in the central SCS are more negative compared to those of the northern SCS (Figures 9B, D).

Modifications of seawater Nd isotopic signatures through continental inputs have been observed in several oceanic regions, especially near basaltic continental margins (Grasse et al., 2012; Grenier et al., 2013; Yu et al., 2017; Behrens et al., 2018b). In the northern SCS, sedimentary  $\epsilon_{Nd}$  values of the surrounding rivers range from −13.5 to −10.2, except for the more positive values obtained from the Luzon Island (0.6–7.1; Goldstein and Jacobsen, 1988; Wei et al., 2012 and references therein). Assuming that the shift in  $\epsilon_{Nd}$  from the northern to southern SCS surface/subsurface water are associated with the Nd released from suspended and sinking particles supplied by continental margins and river discharge, we attempted to calculate the Nd fluxes in the SCS. The calculation was performed using the following equations (1) and (2), defined by Lacan and Jeandel (2005).  $F_w$  and  $F_{Nd}$  refer to the flow magnitude of current (Sv,  $10^6$  m<sup>3</sup>/s) and Nd flux, respectively. *Initial* and *Final* refer to measured parameters of upstream and downstream, respectively. *Addition* and *Removal* refer to fluxes from the external sources to the water mass and leaving the water mass, respectively.

$$F_{Nd}^{Addition} = F_w \times [Nd]^{Initial} \times \frac{\epsilon_{Nd}^{Final} - \epsilon_{Nd}^{Initial}}{\epsilon_{Nd}^{Addition} - \epsilon_{Nd}^{Final}} \quad (1)$$

$$F_{Nd}^{Removal} = F_w \times \frac{[Nd]^{Final} \times (\epsilon_{Nd}^{Final} - \epsilon_{Nd}^{Addition}) - [Nd]^{Initial} \times (\epsilon_{Nd}^{Initial} - \epsilon_{Nd}^{Addition})}{\epsilon_{Nd}^{Addition} - \epsilon_{Nd}^{Final}} \quad (2)$$

The parameters were setup as follows: for the upper 50 m, the flow magnitude from the Luzon Strait to the SCS is approximately 0.3 Sv in summer and 1 Sv in winter. This setup is based on the simulated net transport and volume flux through the Luzon Strait (Gan et al., 2016). The flow magnitude of deep water across the Luzon Strait is ~1.6 Sv (Zhao et al., 2014).

Because there is no surface-water  $\epsilon_{Nd}$  data reported for the West Pacific in summer and the surface water outflow from the

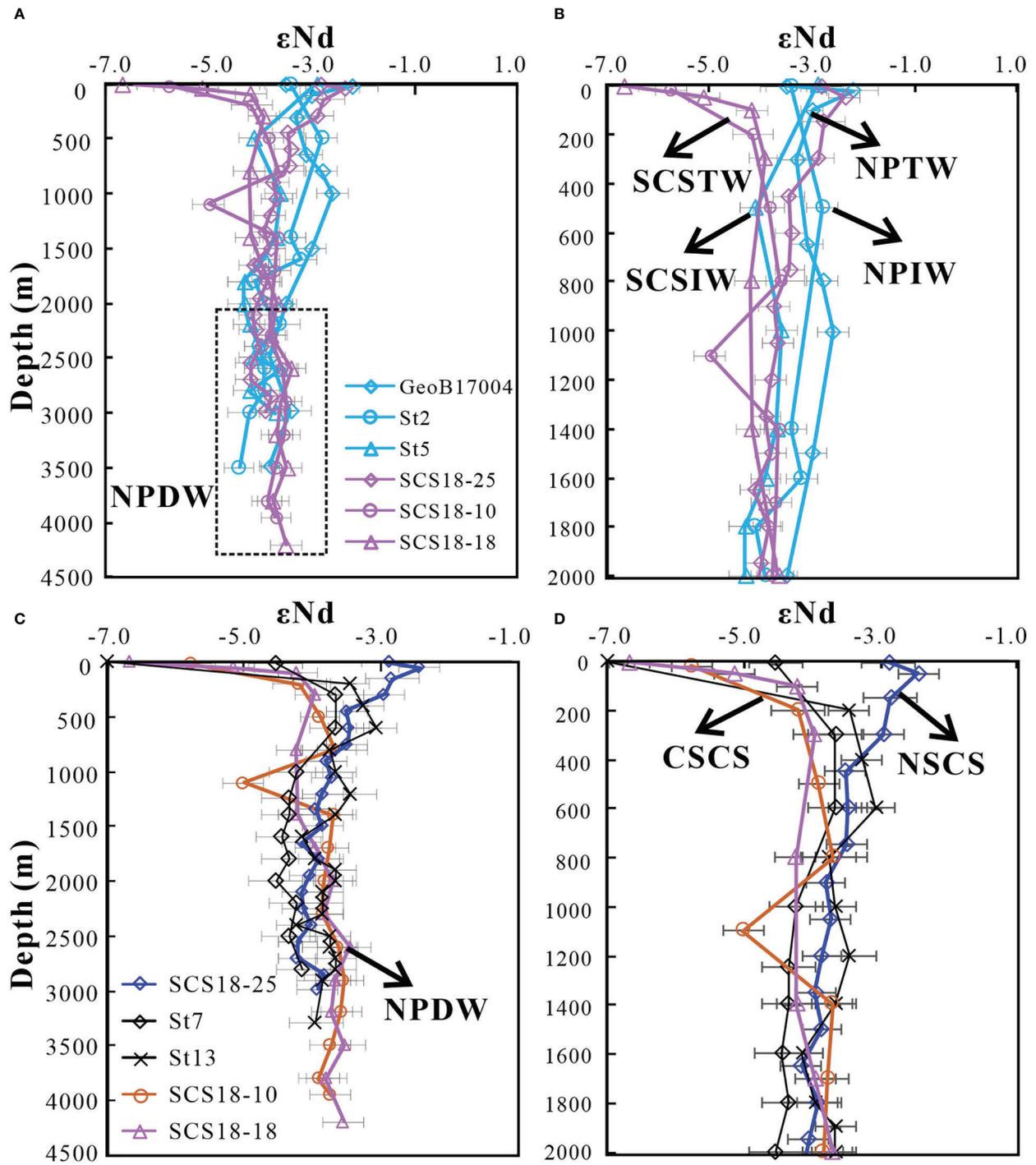


FIGURE 9 Comparisons of  $\epsilon\text{Nd}$  vertical profiles between the SCS and the North Pacific (A, B), and within the SCS (C, D).  $\epsilon\text{Nd}$  values of the North Pacific are from Wu et al. (2015); Behrens et al. (2018b).

SCS to the West Pacific, we took the surface-water  $\epsilon\text{Nd}$  and Nd concentrations from the southernmost (SCS18-18) and northernmost (SCS18-25) stations as the initial and final values, respectively (Table 2). The Nd from the Luzon Island ( $\epsilon\text{Nd}$ : 0.6–7.1) is the only external source that could provide radiogenic Nd to the SCS (Goldstein and Jacobsen, 1988; Wei et al., 2012 and references therein). In the winter season, surface-water  $\epsilon\text{Nd}$  and Nd concentrations from the Luzon Strait (St5, initial station) and central SCS (St13, final station) were used for calculation. To obtain the valid Nd addition and Nd removal ( $> 0$ ) in the SCS, the  $\epsilon\text{Nd}$  of external sources should range from  $-8.5$  to  $-7.3$  (average  $\sim -8$ ). This external  $\epsilon\text{Nd}$  source is the result of mixing of  $\sim 75\%$  of less radiogenic  $\epsilon\text{Nd}$  (average  $\epsilon\text{Nd}$  in Taiwanese and Pearl rivers sediments:  $\sim -12$ ) and  $\sim 25\%$  of more radiogenic  $\epsilon\text{Nd}$  (average  $\epsilon\text{Nd}$  in Luzon Island sediments:  $+4$ ) (Goldstein and Jacobsen, 1988; Wei et al., 2012 and references therein).

In summer, the Nd flux of the surface water from SCS18-25 to SCS18-18 was estimated as 5 to 15 tons/yr for Nd addition, and 5 to 16 tons/yr for Nd removal (Table 2). In winter, the estimated Nd flux from the stations St5 to St13 in the SCS suggests a Nd addition/removal of  $\sim 53/\sim 15$  tons/yr. To evaluate the relative Nd contributions of external sources to the SCS surface water, we further assessed the Nd inputs from the river-borne Nd and the dissolution of riverine and marine particles. The efficiency of particle dissolution ( $\sim 0.4\%$ ) and Nd removal ( $50\text{--}90\%$ ) in low salinity areas were taken into account when calculating the Nd inputs from particle dissolution (Pearce et al., 2013; Rousseau et al., 2015; Adebayo et al., 2018; Grenier et al., 2022).

Sedimentary Nd concentrations and the river discharge from the Luzon Island are  $\sim 50$   $\mu\text{g/g}$  and  $\sim 13$  tons/yr, respectively (Milliman and Farnsworth, 2011; Padrones et al., 2017), the calculated Nd inputs from the dissolution of riverine particles range from  $\sim 0.26$  to  $\sim 1.3$  tons/yr. These values are smaller than the required Nd addition in summer (5 to 15 tons/yr), suggesting more contributions from other external sources. The runoff from the Luzon Island are  $72 \times 10^6$  tons/yr, assuming that the Nd concentrations of river water from Luzon Island could be as high as those of Pearl River water ( $\sim 370$  pmol/kg), the contributions of dissolved Nd from Luzon Island rivers to the SCS surface water could be no more than  $\sim 1.8$  tons/yr (Milliman and Farnsworth, 2011). The total Nd inputs ( $< 3.1$  tons/yr) from the Luzon Island are still not sufficient to explain the required Nd inputs. The “missing” Nd inputs range from 2 to 12 tons/yr. We suspect that this “missing” Nd inputs might be from the dissolution of re-suspended particles from continental margins of the Luzon Island.

In winter, the required Nd addition is  $\sim 53$  tons/yr (Table 2). The estimated Nd addition from the unradiogenic (e.g., Taiwanese and Pearl rivers) and radiogenic Nd (e.g., Luzon Island) are  $\sim 39.75$  and  $\sim 13.25$  tons/yr, respectively. Nd concentrations of sediments from the Taiwanese ( $176 \times 10^6$

tons/yr) and Pearl ( $102 \times 10^6$  tons/yr) rivers are  $\sim 40$  and  $\sim 70$   $\mu\text{g/g}$ , respectively (Chung et al., 2009; Bayon et al., 2015; Ma et al., 2019). Thus, the Nd inputs to the SCS surface water through particle dissolution range from 5.6 to 28 tons/yr. The contributions of the remaining river-borne Nd (0.003 to 9 tons/yr) were also integrated, the total Nd inputs from these two external sources could be 6–37 tons/yr, which are smaller than the expected Nd addition from unradiogenic Nd ( $\sim 39.75$  tons/yr), especially when the strong Nd removal ( $\sim 90\%$ ) take place. There is also a gap of  $\sim 10$  tons/yr between the total Nd inputs ( $< 3.1$  tons/yr) and the expected Nd addition ( $\sim 13.25$  tons/yr) from the Luzon Island. Such results indicate that the Nd inputs from the dissolution of re-suspended particles from continental margins (23–44 tons/yr) are required.

Overall, the Nd fluxes to the SCS surface water in both summer and winter imply that the dissolution of lithogenic inputs, including particles supplied by river and continental margins, may play an important role in modifying the surface  $\epsilon\text{Nd}$  within the SCS.

### 5.3.2 Factors influencing the $\epsilon\text{Nd}$ of the intermediate and deep water in the SCS

For the intermediate water (300–1500 m), the  $\epsilon\text{Nd}$  values in the central SCS are generally lower ( $-4.2$  to  $-3.9$ ) than those in the northern SCS ( $-3.8$  to  $-2.9$ ) and Northwest Pacific ( $-3.3$  to  $-2.6$ ; Wu et al., 2015; Behrens et al., 2018b; Figures 9A, C). We observed a negative excursion of seawater  $\epsilon\text{Nd}$  at station SCS18-10 ( $-5.0 \pm 0.3$ ; Figures 3A, C) that was not accompanied by any change in the Nd concentration (Table 1). This is probably related to particle–seawater exchanges that mainly impact seawater Nd isotopic composition without altering seawater Nd concentration. This interpretation is supported by the transmissivity data showing a rapid decrease at  $\sim 1000$  m depth at station SCS18-10, which indicates the existence of a nepheloid layer that contains a large volume of suspended particles (Figure S3).

Nevertheless, the  $\epsilon\text{Nd}$  values of intermediate water in the central SCS are generally similar to those obtained from deep water in the northern SCS ( $-4.2$  to  $-3.8$ ; Figures 9A, C). Diapycnal mixing in the mid–deep SCS can reach up to  $10^{-3}$   $\text{m}^2/\text{s}$ , which is sufficient to maintain a three-dimensional circulation in the mid–deep layer of the SCS (Wang et al., 2019, and references therein). The generally low  $\epsilon\text{Nd}$  values in the central SCS compared with the northern SCS appear to be related to the three-dimensional overturning circulation (below  $\sim 500$  m) of the SCS (Wang et al., 2016; Wang et al., 2019, and references therein), permitting the Nd isotopic composition of deep water in the northern SCS to be mixed with intermediate water in the central SCS.

Below 2000 m in the SCS, the  $\epsilon\text{Nd}$  values generally range from  $-4.2$  to  $-3.4$ , similarly to those at  $\sim 2000$  m in the North Pacific ( $-3.9$  to  $-3.5$ ). This result is consistent with physical oceanographic studies, showing the predominance of NPDW in the SCS (Tian et al., 2006; Tian et al., 2009; Wang et al., 2019).

While the  $\epsilon\text{Nd}$  values of deep layer ( $> 1500$  m) are similar between the SCS and West Pacific, the SCS has higher Nd concentrations than the West Pacific. To understand the evolution of the Nd concentration and  $\epsilon\text{Nd}$  from the West Pacific to the SCS, we also calculated the Nd flux of deep water in the SCS. Averaged  $\epsilon\text{Nd}$  and Nd concentrations from stations St3 (1600–2000 m) and St13 (1600–3300 m) were set as the initial and final values, respectively. The required  $\epsilon\text{Nd}$  of external sources ranges from  $\sim -4.7$  to  $\sim -3.9$  (average  $\epsilon\text{Nd}$ :  $\sim -4.3$ ), as a result of the mixing of less radiogenic ( $\epsilon\text{Nd}$ :  $\sim -12$ ) and radiogenic Nd ( $\epsilon\text{Nd}$ :  $\sim +4$ ) (Goldstein and Jacobsen, 1988; Wei et al., 2012 and references therein). The relative contributions from the unradiogenic and radiogenic Nd are  $\sim 52\%$  and  $\sim 48\%$ , respectively (Goldstein and Jacobsen, 1988; Wei et al., 2012 and references therein). The estimated Nd addition to the SCS deep water is  $\sim 81$  tons/yr (Table 2). Thus, the expected Nd inputs from the unradiogenic and radiogenic Nd are  $\sim 42$  tons/yr and  $\sim 39$  tons/yr, respectively. These values are larger than the total Nd inputs from the unradiogenic (e.g., Taiwanese and Pearl rivers: 6–37 tons/yr) and radiogenic Nd (e.g., Luzon Island:  $\sim 3.1$  tons/yr). Such results imply that the Nd input from the SCS surrounding continental margins is required. Meanwhile, the Nd removal from the SCS deep water is  $\sim 41$  tons/yr (Table 2). The net Nd addition to the SCS deep water is  $\sim 40$  tons/yr, which could be the explanation for increased deep water Nd concentrations from the West Pacific to the SCS.

Our results indicate that the limited modification of deep-water  $\epsilon\text{Nd}$  is probably related to the similar  $\epsilon\text{Nd}$  between the mixed external sources and the SCS deep water that could contribute to the increased Nd concentration without altering the  $\epsilon\text{Nd}$  of deep water.

## 6 Conclusions

We present seawater REE and Nd isotope data from four stations collected in the South China Sea (SCS). Along with previous results, this study provides a better understanding of the behavior of dissolved REEs and Nd isotopes as they are transported from the West Pacific to SCS. The major conclusions drawn are as follows:

(1) Our data reveal a general increase in REE concentrations with depth, except for surface water and bottom water. The relatively high REE concentrations and low  $\epsilon\text{Nd}$  values in surface water compared with subsurface water in the SCS are possibly linked to the dissolution of particles from surrounding rivers and continental margins. The relatively low  $\epsilon\text{Nd}$  values in the SCS surface and subsurface water in the areas away from the Luzon Strait, when compared with those in the West Pacific, likely reflect the decreasing influence of the Luzon Island and increasing influence of the Taiwan Island and South China.

(2) Seasonal variations in the vertical distributions of MREE/MREE\* and MREE/HREE are clearly observed, while the Nd/Yb

ratios are similar between summer and winter. The features suggest that LREEs and HREEs vary similarly while MREEs vary distinctly from LREEs and HREEs. The fractionation processes affecting MREE enrichments in the SCS (e.g., particle dissolution/scavenge, oxygen content variation, and microbial activity) need to be further studied in future.

(3) The differences in intermediate-water  $\epsilon\text{Nd}$  values between the northern and central SCS are most likely related to the basin-scale three-dimensional overturning circulation that introduces the deep-water  $\epsilon\text{Nd}$  signature from the northern SCS to intermediate water in the central SCS. Our results show a relatively constant value of  $\sim -3.8$  for the SCS deep water, confirming the predominance of the NPDW in the deep SCS (Wu et al., 2015; Behrens et al., 2018b).

(4) Based on the present and previous data along with the relevant knowledge, we calculated the Nd contributions from major external sources, and the Nd exchanges between seawater and particles were quantitatively estimated for the SCS basin. We find that in addition to riverine particles, the dissolution of re-suspended particles from continental margins could be an important external source of Nd to the SCS. The limited modification of deep-water  $\epsilon\text{Nd}$  by particle–seawater interaction during the propagation of deep water from the West Pacific to the SCS is likely related to the similar  $\epsilon\text{Nd}$  between the mixed external sources and the SCS deep water.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repository and accession number(s) can be found below: [www.pangaea.de](http://www.pangaea.de), <https://doi.pangaea.de/10.1594/PANGAEA.943241>.

## Author contributions

QW, ZL, and CC designed the research and wrote the draft of the manuscript with contributions from ED and JW. YZ and PM collected seawater samples. QW, YH, AD, and LB performed Nd isotope and REE concentration analyses. All authors contributed to the data interpretation and writing the manuscript.

## Funding

This research was supported by National Natural Science Foundation of China (Nos. 42176058, 42130407, and 41506057), Open Fund of the Key Laboratory of Marine Geology and Environment, Chinese Academy of Sciences (No. MGE2019KG12), and the Labex L-IPSL (No. ANR-10-LABX-0018).

## Acknowledgments

We thank the scientific team, captain and crew of R/V Jiageng for their collaboration during sampling. We also thank Xiaodong Zhang for his assistance with seawater pretreatment in the laboratory. We are grateful to two reviewers who provided valuable views during our previous submission. We kindly thank the Editor Dr. Hathorne for his efforts to handle this manuscript and all the reviewers including two ones for their constructive suggestions that helped us to improve our present manuscript.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2022.1003749/full#supplementary-material>

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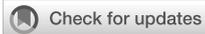
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## SPECIALTY SECTION

This article was submitted to  
Marine Biogeochemistry,  
a section of the journal  
Frontiers in Marine Science

RECEIVED 22 October 2022

ACCEPTED 05 January 2023

PUBLISHED 26 January 2023

## CITATION

Chou W-C, Fan L-F, Hung C-C,  
Shih Y-Y, Huang W-J, Lui H-K  
and Chen T-Y (2023) Dynamics of  
 $O_2$  and  $pCO_2$  in a Southeast Asia  
seagrass meadow: Metabolic rates  
and carbon sink capacity.  
*Front. Mar. Sci.* 10:1076991.  
doi: 10.3389/fmars.2023.1076991

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# Dynamics of $O_2$ and $pCO_2$ in a Southeast Asia seagrass meadow: Metabolic rates and carbon sink capacity

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Dissolved oxygen (DO) and partial pressure of  $CO_2$  ( $pCO_2$ ) were measured at half-hourly intervals from June 29 to September 9, 2019, in a seagrass meadow in the Southeast Asia archipelagos region. The open water mass balance of the  $O_2$  approach was used to calculate metabolic rates (i.e., gross primary production (GPP), community respiration (CR), and net community production (NCP). The calculations show that GPP and CR rates in the seagrass meadow of Dongsha Island were approximately 2.5 times higher than the global means (GPP,  $507 \pm 173$  vs.  $225 \pm 11$   $mmol\ O_2\ m^{-2}\ d^{-1}$ ; CR,  $497 \pm 171$  vs.  $188 \pm 10$   $mmol\ O_2\ m^{-2}\ d^{-1}$ ), while NCP was similar to the global mean ( $8 \pm 61$  vs.  $27 \pm 6$   $mmol\ O_2\ m^{-2}\ d^{-1}$ ), suggesting that seagrass meadows with high GPP may not necessarily hold high potential for carbon sequestration. The current data set also reveal that NCP tended to increase with GPP only at lower GPP levels, while NCP did not increase with GPP anymore at higher GPP levels. Moreover, the autotrophic/heterotrophic status did not correspond well to the sink/source behavior of  $CO_2$ , suggesting that organic carbon metabolism could not be the only dominant factor in determining the sink/source status in a typical seagrass meadow underlain by carbonate sediments, which was further supported by the observed decrease in the trend of  $pCO_2$  with a relatively stable NCP level over the study period. These results demonstrate that the metabolism and the relationship between NCP and  $pCO_2$  in the seagrass meadows of Dongsha Island may deviate greatly from the global mean condition. To obtain a better assessment of the global potential of seagrass meadows as a nature-based solution for carbon sequestration, more regional-specific studies are still needed in the key regions, such as Indonesia and the Pacific archipelagos, that support extensive seagrass meadows but have not been charted.

## KEYWORDS

seagrass, Dongsha Island, blue carbon, Southeast Asia, dissolved oxygen, carbon dioxide

# 1 Introduction

Seagrass meadows are highly productive habitats in shallow coastal waters and rank among the most productive ecosystems (Duarte and Chiscano, 1999). Recently, they have received substantial attention as a 'blue carbon' sink due to their high rates of primary production and carbon burial in sediments (Duarte et al., 2010; Fourqurean et al., 2012). As such, seagrass conservation and restoration are considered potential climate change mitigation and adaptation strategies (Nellemann et al., 2009; McLeod et al., 2011; Duarte et al., 2013). Yet, the high production and carbon input also render the seagrass meadow as sites of elevated abundance and activity of heterotrophs, which support high community respiration rates (Middelburg et al., 2005). As a result, the status of seagrass meadows as a source or a sink of carbon may largely depend on the metabolic balance of the primary producers (including seagrass itself, epiphytic algae, and microphytobenthos) and the associated heterotrophs (including the pelagic and benthic compartments) in the community.

The community metabolic status in seagrass meadows can be described by net community production (NCP), referring to the difference between gross primary production (GPP) and community respiration (CR). Autotrophic meadows ( $NCP > 0$ ) fix organic carbon in excess to local demand ( $GPP > CR$ ) and may, therefore, either store or export organic carbon to adjacent communities, while heterotrophic meadows ( $NCP < 0$ ) require additional organic carbon and that the community may be sustained by allochthonous organic carbon (Duarte et al., 2010). Determining the metabolic status of seagrass meadows is therefore crucial for a better understanding of their potential in "blue carbon" sink and thus in climate change mitigation (Champenois and Borges, 2021).

Seagrass meadows support a large range of metabolic rates from autotrophy (Barrón et al., 2006) to heterotrophy (Van Dam et al., 2019), which vary significantly among different community types and species along with seasonal variations (Duarte et al., 2010). In fact, earlier efforts at assessing seagrass metabolism existed a large imbalance in the geographic distributions of the data available. In particular, there is a considerable gap in reports of seagrass community metabolism in the Indo-Pacific, Africa, and South America (Duarte et al., 2013). To gain a better sink potential of seagrass meadows on a global scale, observations are required from the key regions where supporting extensive seagrass meadows have not been reported.

Despite being a hot spot for the diversity of seagrass species and habitat types, the seagrass meadows in Southeast Asia archipelagos have been poorly studied (Fortes et al., 2018), and to our knowledge, metabolic rates in this region have not yet been reported. Lee et al. (2017) have reported the community-scale metabolism of seagrass meadows in East Asia (Korea) for the first time as autotrophic state, but their study site was located in temperate area. In the present study, we report a data set of  $O_2$ , partial pressure of  $CO_2$  ( $pCO_2$ ), and pH measurements at half-hourly intervals, which have been recorded continuously from June 29 to September 9, 2019, in a seagrass meadow on the northern shore of Dongsha Island, located in the largest tropical marginal sea in Southeast Asia (i.e., the South China Sea). Community metabolic rates (GPP, CR, and NCP) were

estimated on a daily basis from this data set using the open water mass balance of the  $O_2$  approach (Odum, 1956; Champenois and Borges, 2021). We also investigated the relationship between metabolism and concurrent concentrations of  $pCO_2$  in the water column. Overall, the objectives of this study are (i) to document the metabolism rates and the relationships between them for the first time in the seagrass meadows in the Southeast Asia archipelagos region, (ii) to compare the present result with previously global synthesis, and (iii) to provide new insight into the relationship between metabolism and  $pCO_2$  dynamics for tropical seagrass meadows.

## 2 Materials and methods

### 2.1 The study site

Dongsha Island (also known as Pratas Island) is a coral island located on the western side of Dongsha Atoll, a ring-shaped coral reef ecosystem in the northern South China Sea (Figure 1). The island is quite small around 2.80 km long and 0.87 km wide with an area of approximately 1.74 km<sup>2</sup>, and it is made up of coral atolls and reef flats. Vines and bushes cover some of the island, and the rest is surrounded by white coral sand (Dai, 2006). The East Asia Monsoon prevails over Dongsha Island with distinct seasonality: the southwesterly monsoon starts in June and lasts until August in the summer, while the northeasterly monsoon starts in October and predominates over the winter and early spring. The transition periods are April-May for the southwesterly monsoon and September for the northeasterly monsoon (Chai et al., 2009). As a military control zone, there is no permanent inhabitants on the island, and it is visited only by military personnel, researchers and fishermen. Furthermore, there is no freshwater flow into the sea from the island, and most of freshwater on the island is produced by seawater desalination and recycled. Therefore, the inflow from the artifact at Dongsha Island nearly could be negligible.

Despite the small area of Dongsha Island, massive seagrass meadows extend from the intertidal to the subtidal zones with a total coverage area of 11.85 km<sup>2</sup> around the entire island (Huang et al., 2015). A total of seven seagrass species from six genera and two families have been identified at Dongsha Island, including *Thalassia hemprichii*, *Halophila ovalis*, *Cymodocea rotundata*, *C. serrulata*, *Halodule uninervis*, *Syringodium isoetifolium*, and *Thalassodendron ciliatum* (Lin et al., 2005), and the coverage of seagrass meadows was generally >75% around the island (Lee et al., 2021). Among them, the most abundant species around Dongsha Island are *T. hemprichii*, *H. uninervis*, *C. rotundata*, and *C. serrulata* (Huang et al., 2015), and which are also popular species in Indo-Pacific (Short et al., 2007). Besides, *T. hemprichii* and *H. uninervis* are widely appeared in Hengchun Peninsula and surrounding islands of Taiwan, but *C. rotundata*, and *C. serrulata* are only recorded in Dongsha and Penghu Islands (Lin et al., 2005). This study was conducted in the seagrass meadow on the northern shore (NS, Figure 1) of Dongsha Island, which is a multispecies seagrass meadow with three dominant species of *T. hemprichii*, *C. rotundata*, and *C. serrulata*. The average total seagrass biomass, total seagrass production, seagrass cover, and shoot density were previously reported to be  $949.4 \pm 62.1$  (g DW m<sup>-2</sup>),  $8.47 \pm 2.92$  (g DW m<sup>-2</sup>),  $81.91 \pm 2.13$  (%), and  $2677 \pm 485$

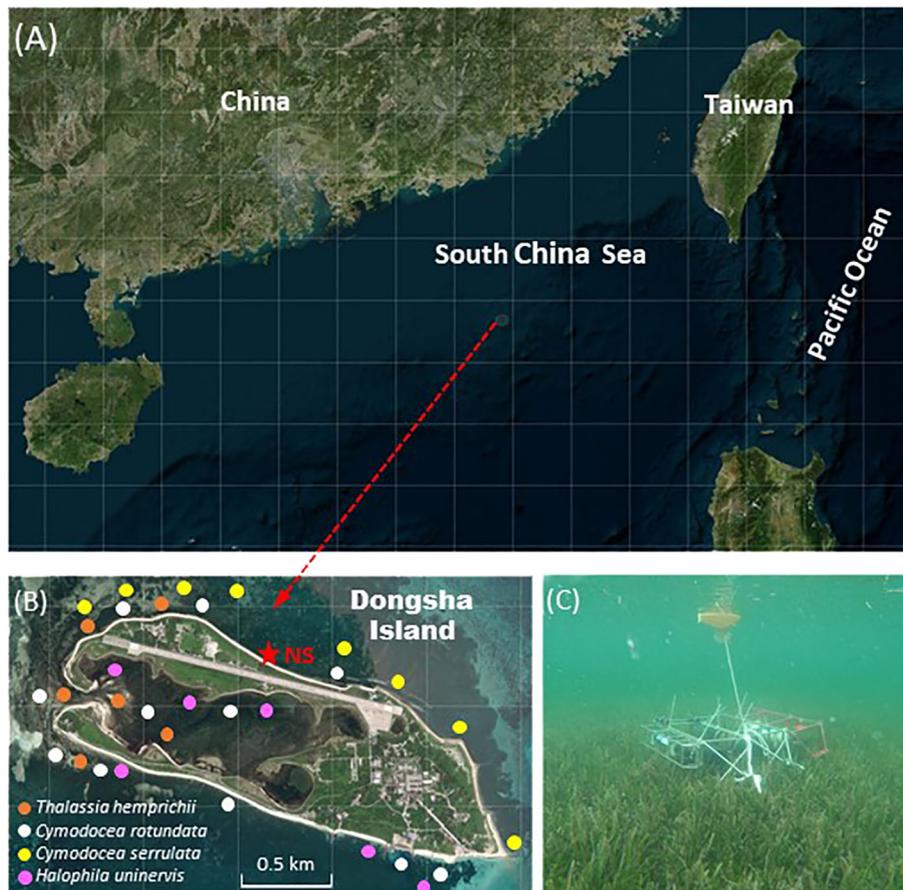


FIGURE 1

Map showing (A) the location of Dongsha Atoll, (B) the distribution of dominant seagrass (studies by Lin et al., 2005) and the study site on the northern shore of Dongsha Island (NS, asterisk), and (C) a photo of the sensors deployed within the seagrass canopy.

(shoots  $m^{-2}$ ), respectively, based on four seasonal surveys in April, August, October 2010, and February 2011 (Lee et al., 2015). The large area of the seagrass meadow, the high shoot density and coverage of seagrasses indicate that the seagrass on Dongsha Island is in a good health condition (Lin et al., 2005). The epiphytic algae cover on seagrass leaves was previously reported to be approximately 4%, 5%, 9%, and 5% in April, June, August, and October 2010, respectively (Chang, 2012). The seasonal variations in salinity and chlorophyll *a* concentration in water column around the Dongsha Island were relatively minor, which were  $33.8 \pm 0.4$ ,  $33.8 \pm 0.5$ ,  $33.8 \pm 0.5$ ,  $34.6 \pm 0.3$  for salinity (Chou et al., 2021), and  $0.81 \pm 0.37$ ,  $0.70 \pm 0.20$ ,  $0.60 \pm 0.25$ ,  $0.41 \pm 0.13$   $mg\ m^{-3}$  for Chlorophyll *a* (Liang, 2012) in spring, summer, autumn, and winter, respectively. Furthermore, only 4 rainy days were recorded during the entire study period with tiny precipitation less than  $2\ mm\ d^{-1}$ , suggesting that salinity could remain within a relatively stable level in the study site during the study period.

## 2.2 Dissolved oxygen, $pCO_2$ , pH, and light intensity measurements

High-resolution dissolved  $O_2$  (DO),  $pCO_2$ , and pH were measured simultaneously at intervals of 30 min, 1 h, and 30 min

using HOBO U26 Dissolved Oxygen Data Logger (Onset, MA, USA) and Submersible Autonomous Moored Instrument sensors (SAMI- $CO_2$  and SAMI-pH; Sunburst Sensors, LLC, MT, USA), respectively. To avoid biofouling, SAMI- $CO_2$  and SAMI-pH are designed to isolate the sensor in an enclosed cell that is periodically flushed with sample that has been exposed to a biocide such as tributyl tin or copper, which has been proven to be useful in keeping biofouling to a minimum (Lai et al., 2018). Furthermore, the sensors using in this study were covered by copper mesh to protect from fish bites and other sources of damages (Lai et al., 2018). Before deploying, the DO logger was first calibrated to 100% saturation by placing it in water-saturated air and then to 0% saturation by placing it in sodium sulfite solution. The accuracy and resolution of DO measurement were 6 and  $0.6\ \mu M$ , respectively. SAMI- $CO_2$  and SAMI-pH sensors were returned to Sunburst Sensors LLC for re-calibration and refurbishment just before their deployments. The accuracy and resolution of  $pCO_2$  and pH measurements were  $\pm 3\ \mu atm$  and  $<1\ \mu atm$  and  $\pm 0.003$  and  $<0.001$  pH units, respectively. The long-term drift of SAMI- $CO_2$  and SAMI-pH sensors was  $<1\ \mu atm$  and  $<0.001$ , respectively, over six months. Light intensity was recorded by a HOBO UA-002-64 Data Logger (Onset, MA, USA) at an interval of 30 min, and the measured lux was converted to photosynthetically active radiation (PAR,  $\mu mol/m^2/s$ ) by dividing lux by 52.63 (Langhans and Tibbitts, 1997). These sensors were deployed within the seagrass canopy 0.1 m above the bottom

(Figure 1C), for a period of 73 days, from 6/29 to 9/9 2019. The water column depth is approximately 1 m at the study site. Furthermore, the wind, wave and tide data during the study period were collected by Central Weather Bureau of Taiwan (Buoy Observation Data Annual Report 2019 and Tide Tables 2019).

## 2.3 Gross primary production, community respiration, and net community production calculations

The metabolic rates (i.e., gross primary production, GPP; community respiration, CR; net community production, NCP) were computed using the open water mass balance of O<sub>2</sub> (Odum, 1956). First, the hourly respiration was calculated as the temporal excursion in DO during the nighttime hours (18:00–06:00) with corrections for air-water gas exchange using the following equation:

$$CR = \frac{\Delta DO}{\Delta t} \times h - (\text{air} - \text{water O}_2 \text{ flux})$$

where  $\frac{\Delta DO}{\Delta t}$  is the rate of change in DO between two consecutive measurements (0.5 h);  $h$  is the water depth (m); and air-water O<sub>2</sub> flux is the air-water exchange flux of O<sub>2</sub>, calculated with a bulk transfer approach using the formula of Wanninkhof (1992):

$$\text{Air} - \text{water O}_2 \text{ flux} = k_{600} \times S_c \times (O_{2(\text{water})} - O_{2(\text{air})})$$

where  $k_{600}$  was the gas transfer velocity estimated using the parametrization of Ho et al. (2006), in which wind data was obtained from the meteorology station on Dongsha Island;  $S_c$  was Schmidt numbers calculated from *in situ* salinity and temperature data (Wanninkhof, 1992);  $O_{2(\text{water})}$  and  $O_{2(\text{air})}$  were measured and saturated DO concentrations, respectively. Time-series variations in  $k_{600}$  were shown in Figure S1, and an error analysis on the calculations of CR, GPP and NCP resulted from the uncertainty in  $k_{600}$  were given in Figure S2. Likewise, the change in the rate of DO between two consecutive measurements corrected for the exchange of O<sub>2</sub> with the atmosphere during daytime (06:00–18:00) corresponds to the hourly rate of NCP. Assuming a constant hourly rate of CR through the diel cycle, the hourly rate of GPP was calculated as NCP-CR (Champenois and Borges, 2012). Daily integrated GPP was computed by multiplying the average hourly rate by 12 (i.e., the daytime hours); daily integrated CR was calculated by multiplying the average hourly rate by 24 (i.e., the daytime + nighttime hours); and daily integrated NCP was computed as the sum of daily integrated GPP and daily integrated CR.

## 3 Results

### 3.1 Site characteristics: Wind, wave, and tide

The daily wind, wave and tide variations are showed in Figure 2. Daily mean wind speed ranged from 1.6 to 11 m s<sup>-1</sup> with an average speed of 6.0 m s<sup>-1</sup> during the study periods. Southwesterly wind direction was dominant before Julian day 232 and wind direction was

variated after that day. These results reflect that southwesterly monsoon was prevailed during the summer (July and August) but ceased in the early autumn (September), which is consistent with the general pattern of the East Asia Monsoon. Daily mean wave was within a range of 0.56 – 3.37 m with an average level of 1.9 m, and most of daily wave were southwesterly direction. Tidal range was from 0.04 m of neap tide to 1.42 m of spring tide with an average level of 0.73 m.

### 3.2 *In-situ* monitoring of temperature, light intensity, dissolved oxygen, pCO<sub>2</sub>, and pH

The diel patterns of temperature, light intensity, DO, pCO<sub>2</sub>, and pH are shown in Figure 3. Over the study period, water temperature varied between 27.5 and 35.0 °C and averaged 30.4 ± 1.4 °C with a regular diurnal trend (i.e., increasing during daytime and decreasing during nighttime). The lowest temperature period occurred on Julian days 213–214 and 232–233, which corresponded well with the lowest PAR intensity period, suggesting light intensity may exert a strong control over water temperature variation. The variation ranges and the means (± SD) of DO, pCO<sub>2</sub>, and pH were 16–529 μM and 195 ± 102 μM, 39–1565 μatm and 438 ± 252 μatm, and 7.55–8.38 and 7.97 ± 0.16 pH units, respectively. Generally, DO, pCO<sub>2</sub>, and pH revealed distinct diurnal patterns, with DO and pH increasing but pCO<sub>2</sub> decreasing during the day and DO and pH decreasing but pCO<sub>2</sub> increasing during the night. As shown in Figure S3, both DO saturation and pCO<sub>2</sub> closely followed the diurnal cycle of photosynthesis and respiration, suggesting that organic carbon metabolism could play an important role in regulating the CO<sub>2</sub> dynamics in the seagrass meadows of Dongsha Island (Chou et al., 2018). Furthermore, similar to the temperature variation, the smallest amplitude of diel variation in DO corresponded to the lowest PAR intensity period, implying a possible important role of light intensity in regulating metabolic activities (i.e., photosynthesis and respiration) in seagrass meadows.

Provided that the average atmospheric pCO<sub>2</sub> with 100% humidity was 395 μatm during the study period, seawater pCO<sub>2</sub> was lower and higher than the atmospheric pCO<sub>2</sub> in up to 52% and 48% of the time, respectively, indicating contrasting times when seagrass meadows could either act as a CO<sub>2</sub> sink or source for the atmosphere. Likewise, assuming that the pH value of the source water was 8.0, pH was lower and higher than the source water pH in up to 55% and 45% of the time, respectively, during the entire study period, demonstrating divergent times when seagrass meadows would either enhance or mitigate ocean acidification.

### 3.3 Daily variability of gross primary production, community respiration, and net community production

The daily variability of GPP, CR, and NCP is presented in Figure 4. Over the study period, GPP was characterized by strong day-to-day variability, ranging between 82 and 832 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>

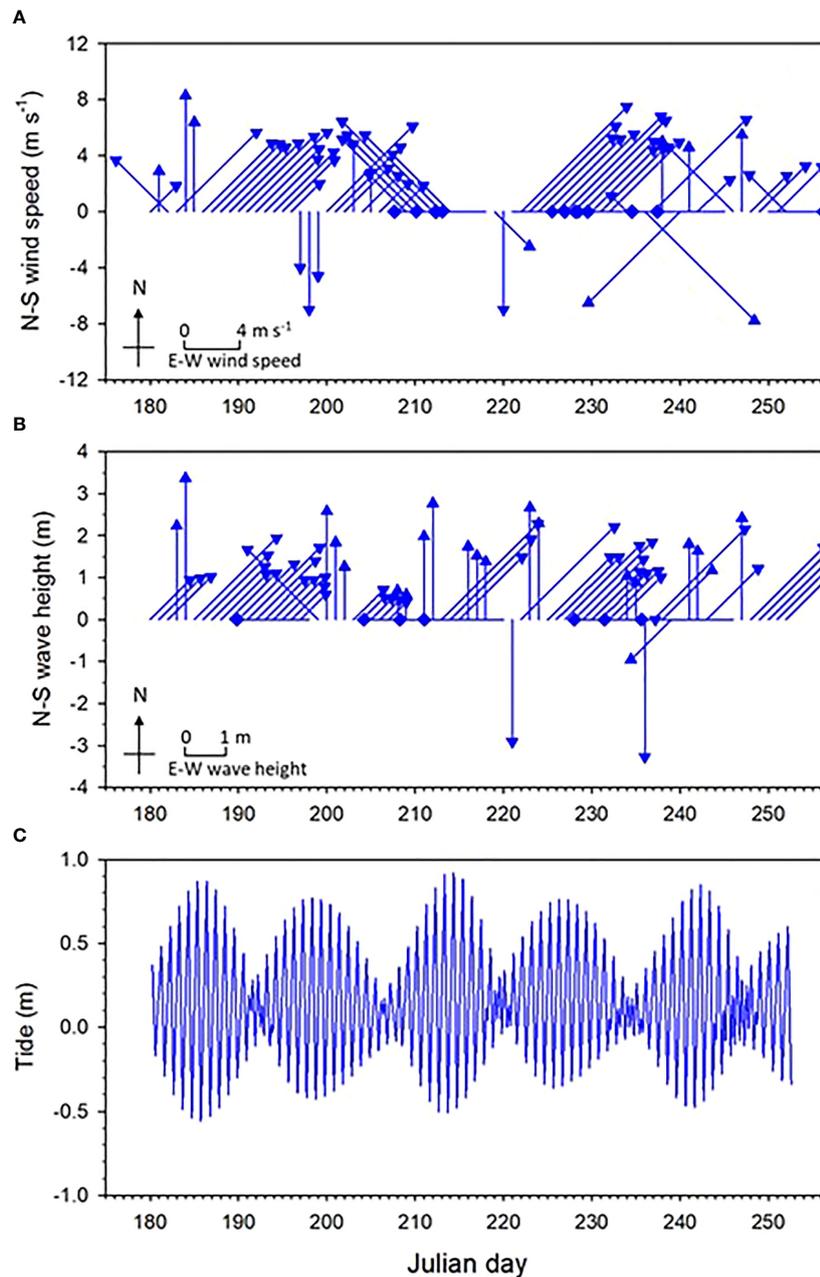


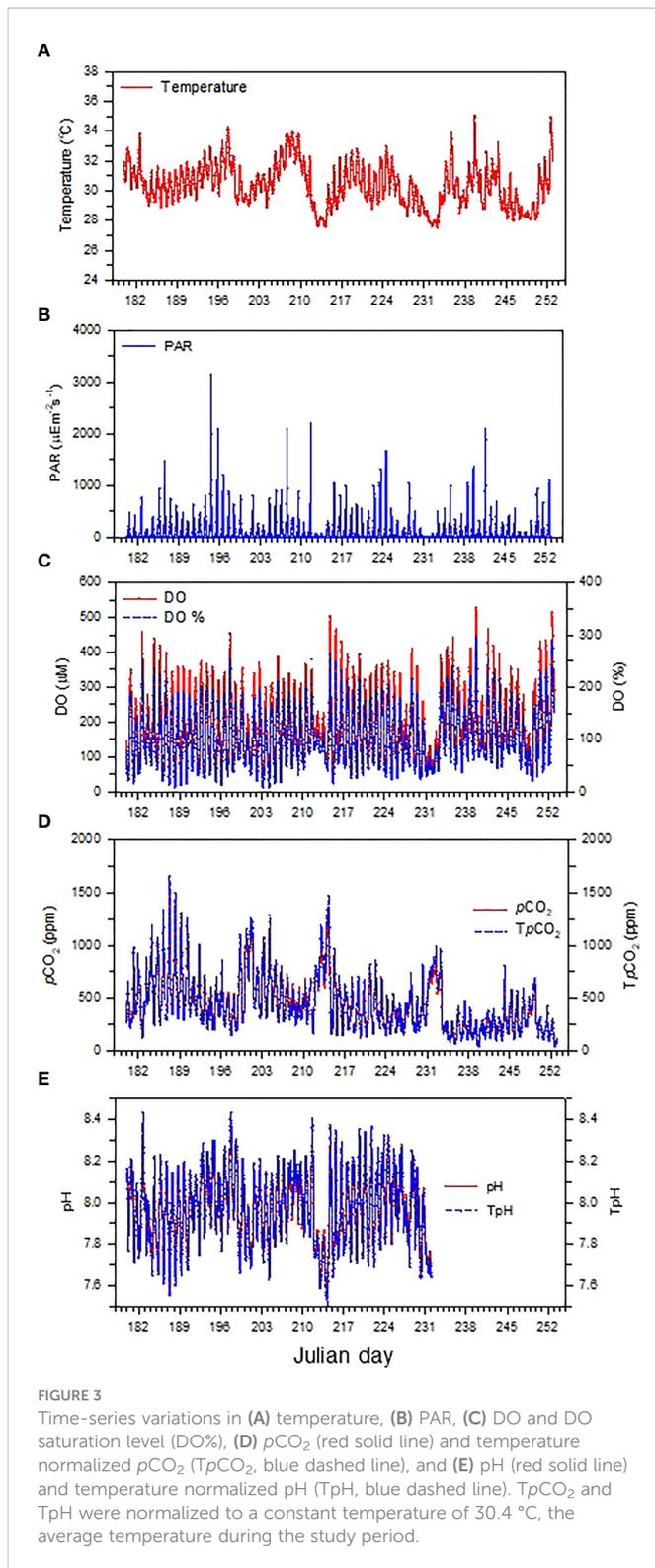
FIGURE 2  
Time-series variations in (A) wind, (B), wave, and (C) tide variation during the study period. Wind and wave are showed in eight directions.

with an average of  $507 \pm 173 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ . The lower GPP corresponded well with the lower PAR intensity, suggesting that GPP variations could be closely related to the daily variability of irradiance. CR ranged between 99 and 900  $\text{mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  with an average of  $497 \pm 171 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , and its variations closely tracked those of GPP, implying that high GPP could also support high CR. NCP also showed a strong day-to-day variability but did not track those of either GPP or CR. During the study period, NCP ranged between  $-138$  and  $197 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$  and averaged  $8 \pm 61 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , indicating the range of ecosystem metabolism from a distinctly autotrophic to a clearly heterotrophic status and might be near metabolic balance, in general.

## 4 Discussion

### 4.1 Comparison of seagrass community metabolism between the present study and global synthesis

Based on a data set containing 403 individual estimates derived from a total of 155 different sites, Duarte et al. (2010) estimated the global mean of GPP, CR, and NCP (mean  $\pm$  SE) in seagrass meadows to be  $225 \pm 11$ ,  $188 \pm 10$ , and  $27 \pm 6 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , respectively, while these metabolic rates were  $507 \pm 173$ ,  $497 \pm 171$ , and  $8 \pm 61 \text{ mmol O}_2 \text{ m}^{-2} \text{ d}^{-1}$ , respectively, in the present study (Table 1). This



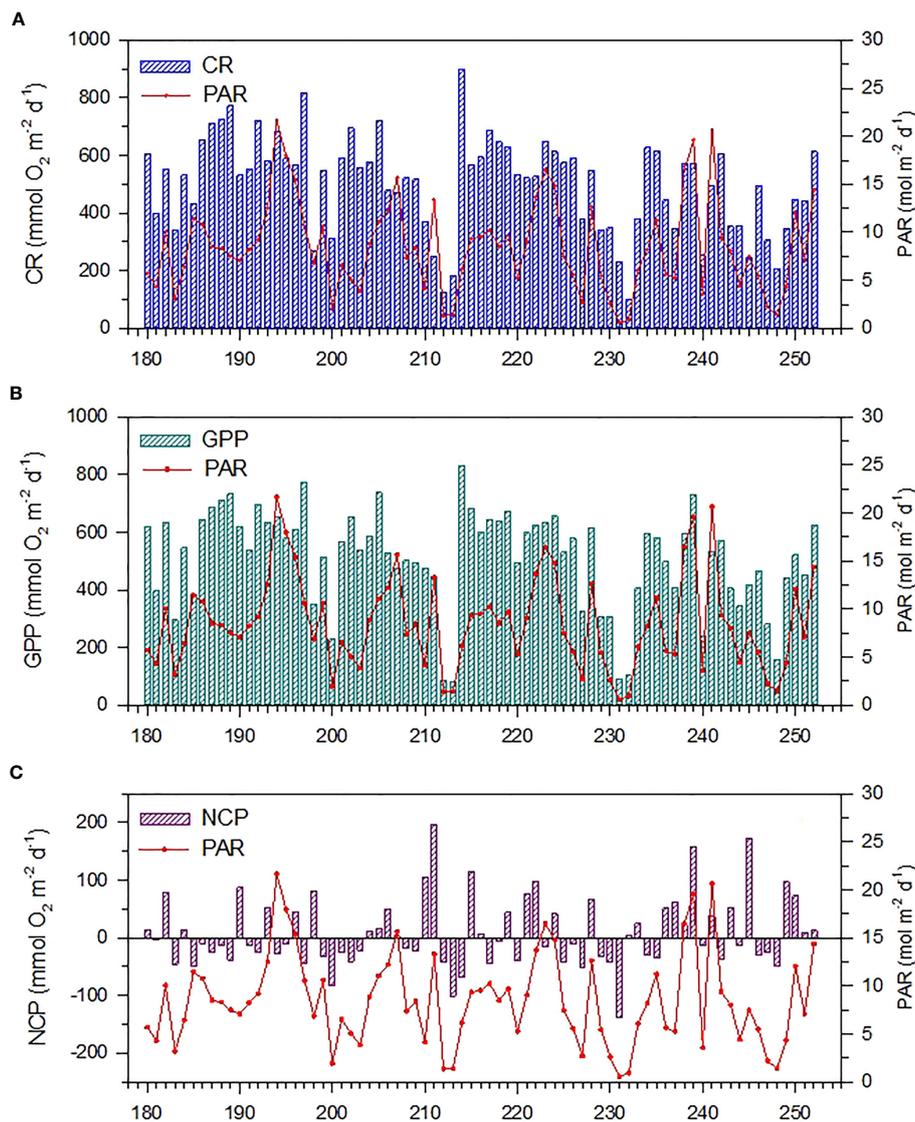
comparison clearly demonstrates that the averaged GPP and CR in the seagrass meadows on the northern shore of Dongsha Island were nearly 2.5 times higher than the global means, in contrast, the average NCP was similar to the global mean. This finding may not be surprising because the previous syntheses have shown that GPP, CR, and NCP reveal significant differences across different biogeographic locations and that tropical seagrass meadows tended to support higher metabolic rates, with somewhat lower NCP than

temperate ones (Duarte et al., 2010). As Dongsha Island is located in the largest tropical marginal sea (the South China Sea) in the northwest Pacific, higher GPP and CR but lower NCP could be expected. Additionally, it is worth noting that the average total biomass of seagrass meadows on Dongsha Island ( $947\text{--}1047\text{ g DW m}^{-2}$ , Huang et al., 2015) is within the high end of the global dataset ( $716 \pm 140\text{ g DW m}^{-2}$ , Fourqurean et al., 2012; Table 1). Furthermore, previous study has found that *Thalassia hemprichii* has the highest specific GPP to seagrass biomass ratio for meadows dominated by different species, including *Cymodocea nodosa*, *Cymodocea rotundata*, *Enhalus acoroides*, *Halodule uninervis*, *Halodule wrightii*, *Halophila ovalis*, *Posidonia oceanica*, *Ruppia maritima*, *Syringodium filiforme*, *Syringodium isoetifolium*, *Thalassia hemprichii*, *Thalassia testudinum* and *Zostera marina* (Duarte et al., 2010), and *Thalassia hemprichii* is one of the dominant species in the seagrass meadows on the northern shore of Dongsha Island (Lee et al., 2015). Therefore, the high biomass and high species-specific GPP to biomass ratio may partially explain the observed high GPP in the present study.

With the increasing recognition of their importance for carbon sequestration, seagrass conservation and restoration have been widely proposed as blue carbon strategies to mitigate and adapt to climate change (Nellemann et al., 2009; McLeod et al., 2011; Macreadie et al., 2019). Nevertheless, the present study suggests that seagrass meadows with high GPP in the tropical northwest Pacific do not necessarily hold a high potential for carbon sequestration due to the lower NCP. Accordingly, to obtain a better assessment of the potential of seagrass meadows as a nature-based solution for carbon sequestration, more regional-specific metabolic studies are imperatively needed before implementing any seagrass conservation and restoration plans, particularly in the northwestern Pacific archipelagos, where are hot spots of seagrass meadows but with very few record of community metabolism.

## 4.2 Relationships between community respiration, net community production, and gross primary production

A strong quadratic relationship was observed between CR and GPP ( $\text{CR} = 129 + 0.42 \times \text{GPP} + 0.0006 \times \text{GPP}^2$ ,  $r = 0.94$ ; Figure 5A), indicating that high production rates may support high community respiration rates. In seagrass meadows, CR comprises both autotrophic respiration (AR) and heterotrophic respiration (HR). Generally, AR is linked to GPP at the time scale of minutes to hours, whereas HR is linked to GPP at the time scale of hours to days for bacteria and days to weeks for metazoans (Del Giorgio and Williams, 2005; Champeno and Borges, 2021). We observed a strong coupling between daily CR and GPP (Figure 5A), thus suggesting that HR from metazoans is less likely the dominant contributor to the observed strong coupling of GPP and CR in seagrass meadows of Dongsha Island. In contrast, seagrasses could release a substantial amount of DOC (Barrón and Duarte, 2009), which can support bacterial HR, so enhanced HR in response to DOC release associated with high GPP might contribute to the close coupling of GPP and CR. It is then likely that the tight coupling between CR and GPP is mainly due to a close coupling between AR and GPP and/or HR for bacteria and GPP. Furthermore, the



**FIGURE 4**  
Daily (A) community respiration (CR), (B) gross primary production (GPP), and (C) net community production (NCP) from June 29 to September 9, 2019 (Julian day 180–252) over a seagrass meadow on the northern shore of Dongsha Island. The superimposed red line represents the daily photosynthetically active radiation (PAR).

quadratic increasing trend between CR and GPP suggest that the rate of increase in CR with GPP would be lower at lower GPP than that at higher GPP. The different CR increasing rates at various GPP levels implies that the individual contribution of AR and HR to CR could

respond differently to the variation in GPP. In fact, the separation of the individual contribution of AR and HR to CR remains a challenge to date in the metabolism studies of seagrass meadows (Champenois and Borges, 2021). The current results further suggest that how the

**TABLE 1** Comparison of gross primary production (GPP), community respiration (CR), net community production (NCP), and seagrass biomass in dry weight units of Dongsha Island (this study) with those for global, temperate, and tropical seagrass meadows as studied by Duarte et al. (2010) for GPP, CR and NCP, and Fourqurean et al. (2012) for total biomass.

	GPP	CR (mmol O <sub>2</sub> m <sup>-2</sup> d <sup>-1</sup> )	NCP	Seagrass biomass (g DW m <sup>-2</sup> )
Global	225±11	188±10	27±6	716 ± 140
Temperate	166 ± 14	130±10	33±8	
Tropical	252±14	217±14	24±8	
This study	507±173	497±171	8±61	947–1047

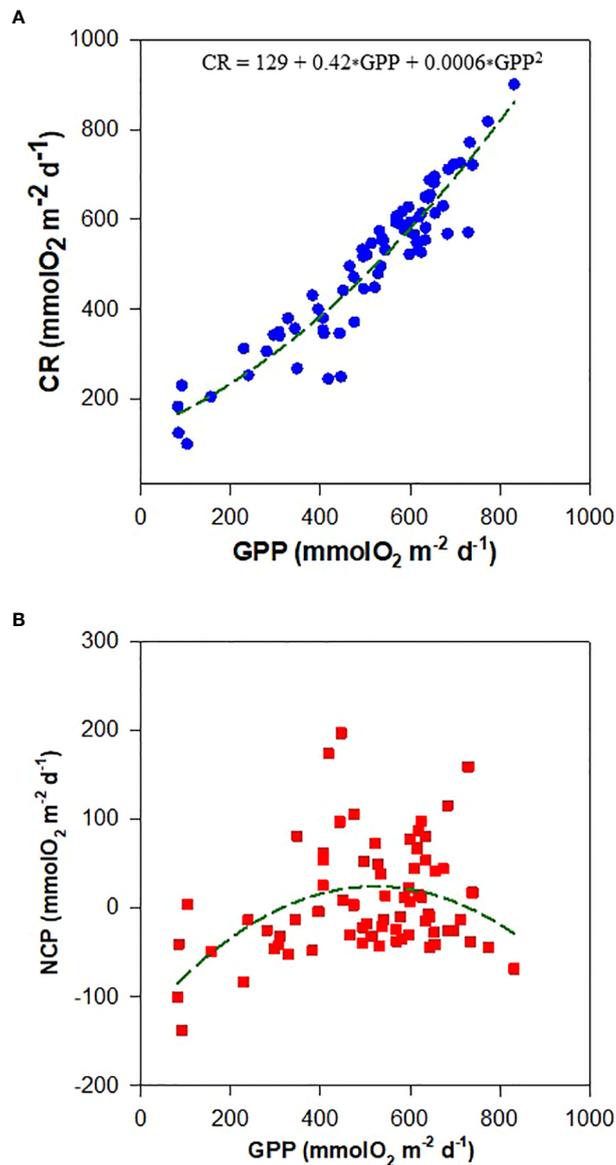


FIGURE 5

The relationship between (A) community respiration (CR) and gross community production (GPP) and (B) net community production (NCP) and GPP in seagrass meadows of Dongsha Island.

AR and HR may respond differently to GPP variations is also an open question that needs to be carefully examined for a better understanding of the relationship between CR and GPP.

Unlike the strong correlation between CR and GPP, variability was observed in the relationship between NCP and GPP (Figure 5B). Although previous studies have suggested that NCP tends to increase with GPP (Duarte and Agustí, 1998; Champenois and Borges, 2021), the present dataset revealed that the increasing trend of NCP with GPP only held true at lower GPP (GPP < ~500 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>), and NCP did not increase with GPP anymore at higher GPP (GPP > ~500 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>; Figure 5B). Interestingly, the lower rate of increase in CR at low GPP but a higher rate of increase in CR at high GPP can reasonably explain the observed divergent relationships between NCP and GPP at different GPP levels.

An average threshold GPP of 186 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup> on a global scale was proposed by Duarte et al. (2010), above which the seagrass

meadows tended to be autotrophic (NCP > 0) and shifted to heterotrophy (NCP < 0) at lower GPP values. The average GPP in the current study was higher than 186 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>, and NCP was positive and thus qualitatively in agreement with the global assessment. In spite of this agreement, the present dataset revealed a quite different GPP threshold from the global average; the present results show that seagrass meadows in the study area tended to be heterotrophic when GPP < 347 and GPP > 620 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup>, but they tended to be autotrophic when GPP between 347 and 620 mmol O<sub>2</sub> m<sup>-2</sup> d<sup>-1</sup> (Figure 5B). These results demonstrate again that the metabolic relationship in the seagrass meadows of Dongsha Island may deviate greatly from the global mean condition reported in previous synthesis studies, and thus more regional-specific studies are still needed in the key regions where support extensive seagrass meadows but have not yet been charted, such as Indonesia and Pacific archipelagos.

### 4.3 Relationship between net community production and $p\text{CO}_2$

Although NCP and daily average  $p\text{CO}_2$  showed an expected negative correlation (Champenois and Borges, 2021; Figure 6A), the autotrophic/heterotrophic status did not correspond well to the sink/source behavior of  $\text{CO}_2$  in the seagrass meadow of Dongsha Island on a daily scale. As shown in Figure 6A, when  $\text{NCP} < 0$  (heterotrophic),  $p\text{CO}_2$  could fluctuate from a strong sink status ( $p\text{CO}_2 < 200 \mu\text{atm}$ ) to a strong source status ( $p\text{CO}_2 > 900 \mu\text{atm}$ ), providing air  $p\text{CO}_2 = 395 \mu\text{atm}$ . Similarly, when  $\text{NCP} > 0$  (autotrophic),  $p\text{CO}_2$  could also demonstrate either a sink or a source status. These results suggest that the organic carbon metabolism could not be the only dominant factor in determining the sink/source status of seagrass meadows (Macreadie et al., 2017; Saderne et al., 2019). This proposition can be further supported by the observed divergent temporal trends of NCP and  $p\text{CO}_2$  in the present dataset. As shown in Figure 6B, NCP did not show either a decrease or an increasing trend over the study period, while  $p\text{CO}_2$  revealed a significant decreasing trend with time.

Several recent studies have highlighted that in addition to organic metabolism, inorganic carbon processing (i.e., calcium carbonate

precipitation/dissolution; Macreadie et al., 2017; Saderne et al., 2019) and the external sources of land-based pollutants (Banerjee et al., 2018) may also play a pivotal role in regulating the capacity of  $\text{CO}_2$  sequestration of seagrass meadows. Since Dongsha Island is a military control zone, there is no permanent inhabitants neither no freshwater flow into the sea from the island, the impact of land-based pollution sources could be negligible. In terms of carbonate precipitation/dissolution, Van Dam et al. (2021) recently reported that calcification-induced  $\text{CO}_2$  emissions may exceed organic carbon sequestration in the seagrass-dominated estuary in central Florida Bay, and they argued that net carbon sequestration of seagrasses might be overestimated if calcification-induced  $\text{CO}_2$  emissions are not accounted for. On the contrary, our previous studies around Dongsha Island revealed that the enhancement of total alkalinity (TA) coupled with carbonate dissolution may enhance the  $\text{CO}_2$  sequestration capacity in seagrass meadows (Chou et al., 2018; Chou et al., 2021). We suggest that the lower  $p\text{CO}_2$  in late August and early September (Julian day 230–250) compared with that in late June and early July (Julian day 180–200) could be associated with the favorable condition for TA accumulation in water column deriving from sedimentary carbonate dissolution and/or anaerobic metabolism. During the monsoon

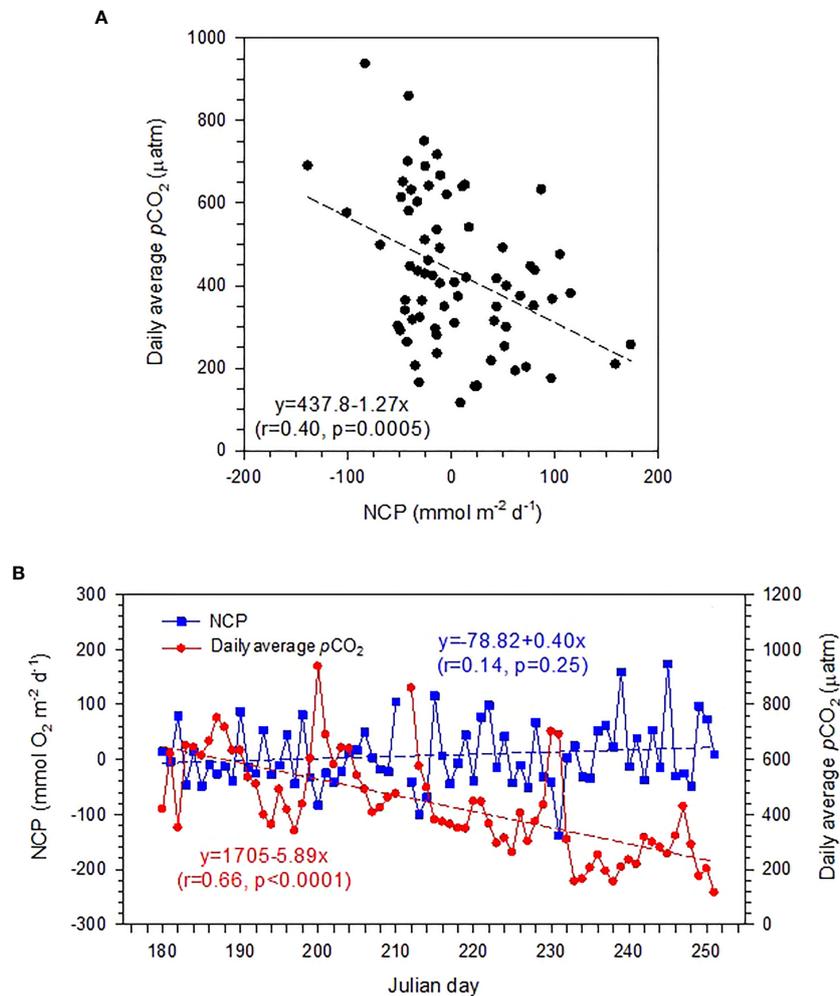


FIGURE 6 (A) The relationship between daily average  $p\text{CO}_2$  and net community production (NCP), and (B) daily variations in NCP and average  $p\text{CO}_2$  during the study period (June 29 to September 9, 2019, Julian day 180–252).

transition period, the weak wind results in less energetic hydrodynamics and longer residence time for the waters around Dongsha Island, making it favorable for the occurrence of sedimentary metabolic carbonate dissolution and/or anaerobic TA generation and the subsequent TA accumulation in the overlying waters (Chou et al., 2018; Chou et al., 2021). The elevated TA may lead to a lower  $p\text{CO}_2$  level at a relatively stable NCP level. Unfortunately, TA data were unavailable during the monsoon transition period for northeasterly in September, but Chou et al. (2021) indeed found significantly higher TA during the monsoon transition period for southwesterly in April than in summer. This result implies that the different hydrodynamic regimes may lead to divergent sedimentary organic and inorganic metabolic processes, which may exert an important influence on regulating the carbon sequestration capacity of seagrass meadows. Therefore, the focus on organic carbon metabolism alone may not be enough, and hydrodynamics and calcium carbonate cycling remain key uncertainties that will need to be addressed to more accurately estimate the net carbon sequestration potential of seagrass meadows, especially for seagrass meadows with underlying carbonate sediments.

In summary, the present study is the first to report the metabolic rates of seagrass meadows in the Southeast Asia region. The results show that although daily NCP varied largely from autotrophy to heterotrophy, the average NCP over the entire study period was nearly in balance. Furthermore, the calculated GPP and CR in the present study were significantly higher, but NCP was similar to the global means, suggesting that seagrass meadows with higher GPP may not necessarily hold higher blue carbon potential. We also found that organic carbon metabolism was not the only dominant factor in determining the sink/source status in seagrass meadows of Dongsha Island, and hydrodynamics and calcium carbonate cycling may exert an important influence on regulating the carbon sequestration capacity of carbonate seagrass meadows. Since the present work was done mainly during the southwesterly monsoon period, more studies with better temporal coverage, in particular during the northeasterly monsoon and monsoon transition period are still needed to elucidate the linkage between monsoon-driven change in hydrodynamic and biogeochemical processes controlling  $\text{CO}_2$  variation in seagrass meadow on Dongsha Island. Overall, the current results in the Southeast Asia region deviate greatly from the global mean condition, and thus regional-specific studies are imperatively needed before implementing any seagrass conservation and restoration as a nature-based solution for carbon sequestration.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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## Author contributions

W-CC conceived the study design, performed the field work, and wrote the manuscript. L-FF, C-CH, Y-YS, W-JH, H-KL, and T-YC contributed to the data collection and interpretation. All authors contributed to the article and approved the submitted version.

## Funding

Funding was provided by the Ministry of Science and Technology of Taiwan, under grants no. #110-2611-M-019 -012 – and #111-2119-M-019 -002, awarded to W-CC.

## Acknowledgments

We are grateful to the Dongsha Atoll Research Station, Dongsha Atoll National Park, and Coast Guard Administration for assistance in field sampling. We would also like to thank Ying-Hsuan Chen, Hui-Chuan Chu, Kuan-Chieh Wu, and Chang-Chang Yang for their help with the field and laboratory work.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2023.1076991/full#supplementary-material>

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