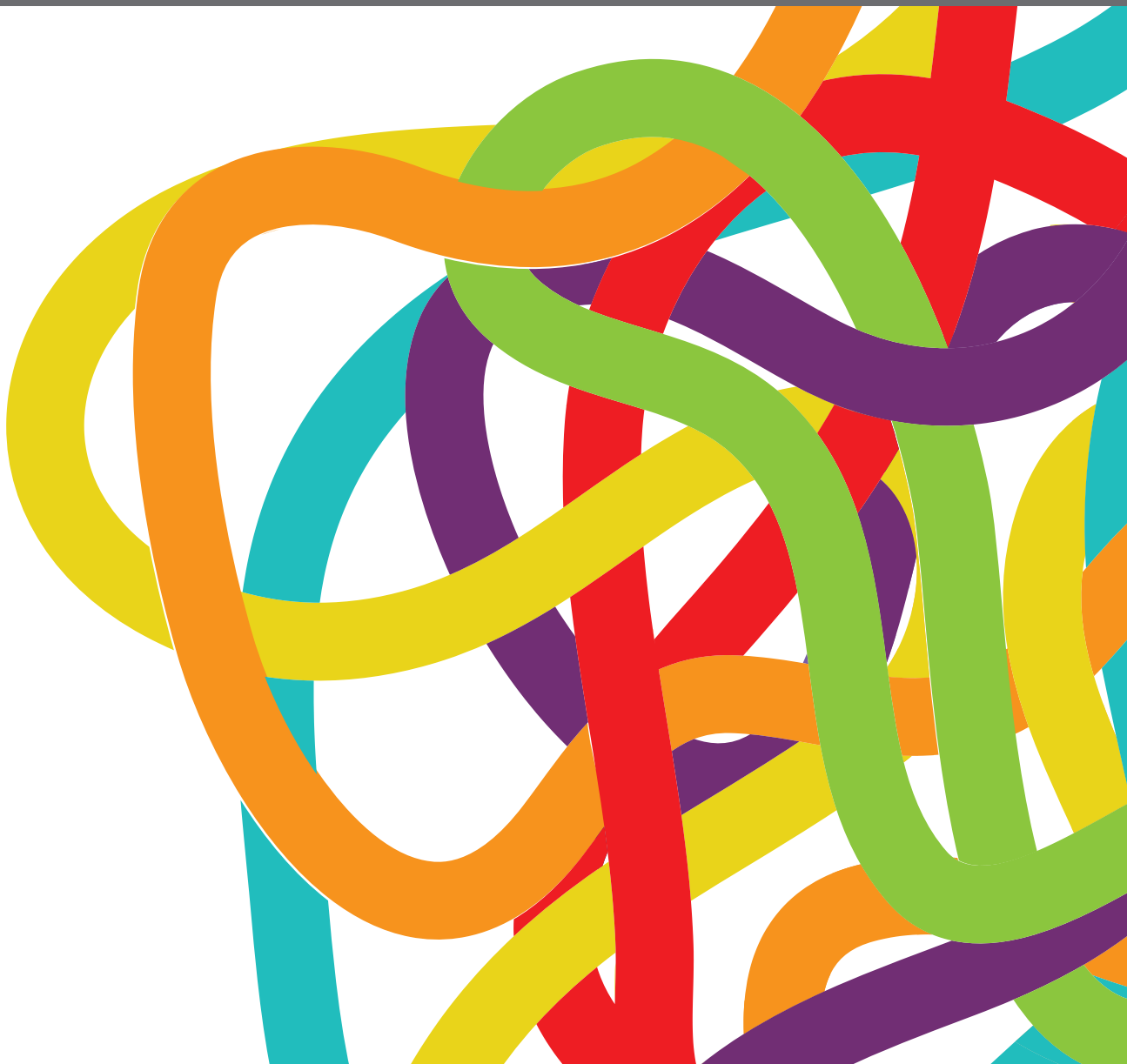


THE ROLE OF DNA REPAIR PATHWAYS IN RESISTANCE TO CHEMOTHERAPY AND RADIOTHERAPY IN CANCER

EDITED BY: José Díaz-Chávez, Rosa Maria Bermudez-Cruz and David Y. Lee

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THE ROLE OF DNA REPAIR PATHWAYS IN RESISTANCE TO CHEMOTHERAPY AND RADIOTHERAPY IN CANCER

Topic Editors:

José Díaz-Chávez, Instituto Nacional de Cancerología (INCAN), Mexico

Rosa Maria Bermudez-Cruz, Centro de Investigaciones y Estudios Avanzados, Instituto Politécnico Nacional de México (CINVESTAV), Mexico

David Y. Lee, University of New Mexico, United States

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Editorial: The Role of DNA Repair Pathways in Resistance to Chemotherapy and Radiotherapy in Cancer

David Y. Lee¹, Rosa María Bermúdez-Cruz² and José Díaz-Chávez^{3*}

¹ Department of Internal Medicine, Division of Hematology/Oncology, Section of Radiation Oncology, University of New Mexico School of Medicine and Comprehensive Cancer Center, Albuquerque, NM, United States, ² Departamento de Genética y Biología Molecular, Centro de Investigación y de Estudios Avanzados (CINVESTAV-IPN), Ciudad de México, Mexico, ³ Unidad de Investigación Biomédica en Cáncer, Instituto de Investigaciones Biomédicas, Universidad Nacional Autónoma de México (UNAM)/Instituto Nacional de Cancerología (INCan), Ciudad de México, Mexico

Keywords: DNA repair pathways, cancer, chemoresistance, radioresistance, DNA damage response

Editorial on the Research Topic:

The Role of DNA Repair Pathways in Resistance to Chemotherapy and Radiotherapy in Cancer

INTRODUCTION

Over the past decade, there has been enormous progress in treating cancer patients with the continued development of novel targeted therapies (1), the advent of immunotherapy (2), and novel radiation therapy technologies (3). However, resistance to radiation therapy and chemotherapy continues to be a major problem in our field, for which many patients ultimately succumb to the disease. Although we celebrate the approval of each new targeted therapy, we invariably find that cancer cells develop resistance to each one. Furthermore, it is still very difficult to predict who will respond to immunotherapy. Therefore, the search for radiation therapy sensitizers continues.

Through our Research Topic, several principles have emerged that may guide us in the future to overcome this resistance. First, some biomarkers may predict who will be more resistant to radiation and/or chemotherapy. Zhang et al. showed that nasopharyngeal carcinoma patients with elevated levels of LCN2 (Lipocalin 2) showed resistance to radiation therapy. We could consider treatment intensification for these patients with LCN2 elevation by considering higher radiation doses or the addition of novel targeted therapies. Similarly, Fang et al. demonstrated that increased levels of NTGN1 (neuroligin 1) predicted resistance to cisplatin treatment in epithelial ovarian cancer cells, identifying a subgroup of patients for treatment intensification with additional systemic agents. Huang et al. utilized epithelial-mesenchymal transformation and DNA repair gene panels to classify colorectal cancer patients, which may guide treatment selection of chemotherapy vs immunotherapy to optimize treatment response.

Second, some pathways can ameliorate existing treatments by synergistic effect or through synthetic lethal interactions. Rose et al. prepared a wonderful review on the role of PARP inhibitors, specifically in the setting of tumors harboring BRCA1/2 mutations. The synthetic lethal interaction between PARP inhibitor and BRCA1/2 mutations represent one of the successful translation of basic research (4). Cancers with defects or mutations in the homologous recombination (HR) DNA repair pathway also

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Edited and reviewed by:

Tao Liu,
University of New South Wales,
Australia

*Correspondence:

José Díaz-Chávez
jdiazchavez03@gmail.com

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respond to radiation therapy. Therefore, the PARP inhibitor and radiation therapy combination should be considered to obtain a durable response. Interestingly, Sabbatino et al. observed that patients with intrahepatic cholangiocarcinoma harboring BAP1 (BRCA1 Associated Protein 1) mutation may be sensitive to a PARP inhibitor. This is because BAP1 interacts with BRCA1, and BAP1 mutation likely alters the HR DNA repair pathway. Thus, consideration should be given for a potential role to PARP inhibitors in situations with alternations in the HR pathway, not just BRCA1/2 mutations.

The synergistic effect between temozolomide and mifepristone was shown by Llaguno-Munive et al. Mifepristone, an antihormonal agent, can enhance the effects of temozolomide by decreasing the levels of VEGF (vascular endothelial growth factor) and P-glycoprotein in murine orthotopic glioblastoma model. Since mifepristone would be repurposed for glioblastoma treatment, this drug represents a potential target for rapid clinical translation. Whether mifepristone and temozolomide can be combined with radiation therapy safely would be an important question to address. Similarly, Hong et al. demonstrated that the inhibition of thioredoxin reductase 1 by isodeoxyelephantopin synergistically enhanced the effect of cisplatin in colon cancer cells. Thus, the addition of new agents such as mifepristone or thioredoxin reductase 1 inhibitor to existing treatment can lead to synergistic effects and overcome or delay potential chemo/radiation resistance.

Third, there are potential novel pathways and inhibitors that can modulate the effect of radiation or chemotherapy. The role of non-coding RNAs and exosomes in radiation and chemotherapy response was addressed by Zhang et al. and Zhong et al., respectively. While a role for non-coding RNAs was shown in neck and head cancer radiotherapy, exosomes, vesicles which also transport non-coding RNAs plus protein are suggested to play a role in drug resistance in cancer. Concerning chemo/radiation resistance, these two areas of research, poorly studied, hold the potential to dramatically alter our understanding of chemo/radiation resistance. How the RAS oncogenic pathway impinges on the DNA repair pathway and subsequent therapeutic resistance is addressed by Caceres-Gutierrez et al. with the recent approval of RAS G12C mutant inhibitor (5), one could consider how this inhibitor could be combined with radiation therapy in lung and pancreas patients who frequently harbor this mutation. Wang et al. provide evidence for alternations in DNA damage repair pathways in esophageal

squamous cell carcinomas, and Carlos-Reyes et al. describe biological adaptations of tumor cells to radiation therapy along with implications of such adaptation in patients outcome.

Avery et al. reviewed the role of GLI1 (glioma Family Zinc Finger 1) as a therapeutic target in cancer. One of the GLI1 inhibitors, Vismodegib, has shown a dramatic effect on unresectable basal cell carcinomas of the skin (6). Clinically, we are now able to convert some of the unresectable basal cell carcinoma patients to surgery by shrinking the tumor. Questions still remain on the duration of Vismodegib treatment before resistance develops, the extent of surgical resection, and radiation therapy's role in the optimal management of basal cell carcinoma patients. Then, Lagunas-Rangel et al. provide a list of natural compounds that target DNA repair pathways. Currently, there has been significant difficulty in developing novel radiation therapy sensitizers, and the list of natural compounds provides an excellent starting point.

A fourth approach to sensitize drug-resistant cancers has been by including PDT (photodynamic therapy) in different types of cancer treatment (7). Gemcitabine has been described to cause DNA damage and is used to control hepatic cancer cells (8). Yang et al. in this particular case, have shown that cholangiocarcinoma cells resistant to gemcitabine and exposed to PDT display apoptosis, viability is reduced, and they are arrested in the G1 cell cycle phase.

In summary, our Research Topic has illuminated our understanding of radiation and chemotherapy resistance mechanisms, also some novel biomarkers to predict such resistance, novel pathways that interact by synergistic or synthetic lethal interactions, and potential inhibitors and pathways that may enhance the effect of radiation and/or chemotherapy.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the Research Topic and approved it for publication.

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PARP Inhibitors: Clinical Relevance, Mechanisms of Action and Tumor Resistance

Maddison Rose¹, Joshua T. Burgess¹, Kenneth O'Byrne^{1,2}, Derek J. Richard¹ and Emma Bolderson^{1*}

¹ Cancer & Ageing Research Program, School of Biomedical Sciences, Institute of Health and Biomedical Innovation, Translational Research Institute, Queensland University of Technology, Brisbane, QLD, Australia, ² Princess Alexandra Hospital, Brisbane, QLD, Australia

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Edited by:

José Díaz-Chávez,
National Cancer Institute (INCAN),
Mexico

Reviewed by:

Kai Fu,
Central South University, China
Helen E. Bryant,
The University of Sheffield,
United Kingdom

*Correspondence:

Emma Bolderson
emma.bolderson@qut.edu.au

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The Poly (ADP-ribose) polymerase (PARP) family has many essential functions in cellular processes, including the regulation of transcription, apoptosis and the DNA damage response. PARP1 possesses Poly (ADP-ribose) activity and when activated by DNA damage, adds branched PAR chains to facilitate the recruitment of other repair proteins to promote the repair of DNA single-strand breaks. PARP inhibitors (PARPi) were the first approved cancer drugs that specifically targeted the DNA damage response in BRCA1/2 mutated breast and ovarian cancers. Since then, there has been significant advances in our understanding of the mechanisms behind sensitization of tumors to PARP inhibitors and expansion of the use of PARPi to treat several other cancer types. Here, we review the recent advances in the proposed mechanisms of action of PARPi, biomarkers of the tumor response to PARPi, clinical advances in PARPi therapy, including the potential of combination therapies and mechanisms of tumor resistance.

Keywords: BRCA, PARP inhibitors, DNA damage, DNA repair, cancer, targeted therapy

INTRODUCTION

Cancer is a large subset of diseases characterized by the uncontrollable growth of abnormal cells. Globally, there are 17 million new cancer diagnoses each year, with an estimated 9.6 million cancer-related deaths occurring in 2018, placing an enormous burden on health care systems (Bray et al., 2018). The advances in targeted cancer therapies have gained significant momentum in recent years, although chemotherapy treatment regimens remain the gold standard in the treatment of several cancer types. Chemotherapeutic agents are designed to target rapidly dividing cells; however, the major disadvantage of this treatment type is that the drugs are unable to discriminate between malignant and non-malignant cells. Therefore, chemotherapy patients often experience off-target toxicity and detrimental side effects due to the impact of chemotherapy on healthy tissues. The most commonly experienced side effects are nausea and vomiting, with greater than 90% of chemotherapy patients requiring anti-emetic medications whilst undergoing treatment (Lorusso et al., 2017). Additional patient reported side effects include fatigue, generalized pain

and other gastrointestinal disturbances (Pearce et al., 2017). In contrast, targeted therapies directly target cancer-specific mutations and abnormalities to inhibit tumor growth and progression, while minimizing the effects on surrounding non-malignant tissue. Targeted therapies are often associated with more favorable patient outcomes, given they are significantly less likely to result in off-target side effects.

PARP Poly (ADP-ribose) polymerases are a family of 17 proteins involved in several cellular processes, including the stress response, chromatin remodeling, DNA repair and apoptosis (Krishnakumar and Kraus, 2010; Pines et al., 2012; Hu et al., 2014; Zhao Q. et al., 2019). The most well recognized and characterized member of the PARP protein family is PARP1, initially identified for its role in the detection and repair of single-strand DNA breaks (Fisher et al., 2007; Hanzlikova et al., 2016; Heeke et al., 2018). More recent evidence suggests that PARP1 may also have a role in alternative DNA repair pathways, including nucleotide excision repair, non-homologous end joining (both classical and alternative), homologous recombination and DNA mismatch repair (Wang et al., 2006; Haince et al., 2008; Sugimura et al., 2008; Bryant et al., 2009; Boehler et al., 2011; Rulten et al., 2011; Pines et al., 2012; Fenton et al., 2013; Min W. et al., 2013; Beck et al., 2014).

The first member of the PARP protein family was discovered in 1963 during investigations of an enzyme that was activated by nicotinamide mononucleotide (NMN) in a DNA dependent manner and hypothesized to have involvement in a PolyA producing reaction (Chambon et al., 1963). However, later studies revealed that the resulting molecule did not possess PolyA characteristics, given it had the adenylic moiety of ATP and the ribose and phosphate moieties of NMN. Thereby, suggesting the enzyme had transglycosidase activity which catalyzes the polymerization of nicotinamide adenine dinucleotide (NAD) intermediates to form an ADP-ribose polymer, via the simultaneous formation of ribose-ribose bonds and removal of the nicotinamide residues (Chambon et al., 1969). In 1967, numerous studies further identified and characterized this ADP-ribose polymer producing enzyme (Fujimura et al., 1967; Hasegawa et al., 1967; Nishizuka et al., 1967; Reeder et al., 1967; Sugimura et al., 1967). Reeder et al. (1967) and Sugimura et al. (1967) independently identified the reactant product as the negatively charged polymer termed poly(ADP-ribose) (PAR).

Poly (ADP-ribose) polymerase (PARP) inhibitors (PARPi) are a novel class of anti-cancer therapies which compete with NAD⁺ for the catalytically active site of PARP molecules. PARPi have shown to be effective in the treatment of homologous recombination repair (HR) deficient tumors. Specifically, PARP inhibitors have been used to target tumors with mutations in the essential HR genes, Breast Cancer Associated 1 and 2 (BRCA1 and BRCA2) (Fong et al., 2009, 2010; Coleman et al., 2019; Tuli et al., 2019). Several PARP inhibitors have been approved for the treatment of BRCA-mutated ovarian, breast and pancreatic cancer. In addition, there are currently 269 clinical trials registered on clinicaltrials.gov examining the use of PARP inhibitors as an anti-cancer therapy in chemo-resistant germline or somatic BRCA1/2 mutated breast, ovarian, lung, and pancreatic cancers (Dockery et al., 2017).

PARP1 and SINGLE-STRAND BREAK REPAIR (SSBR)

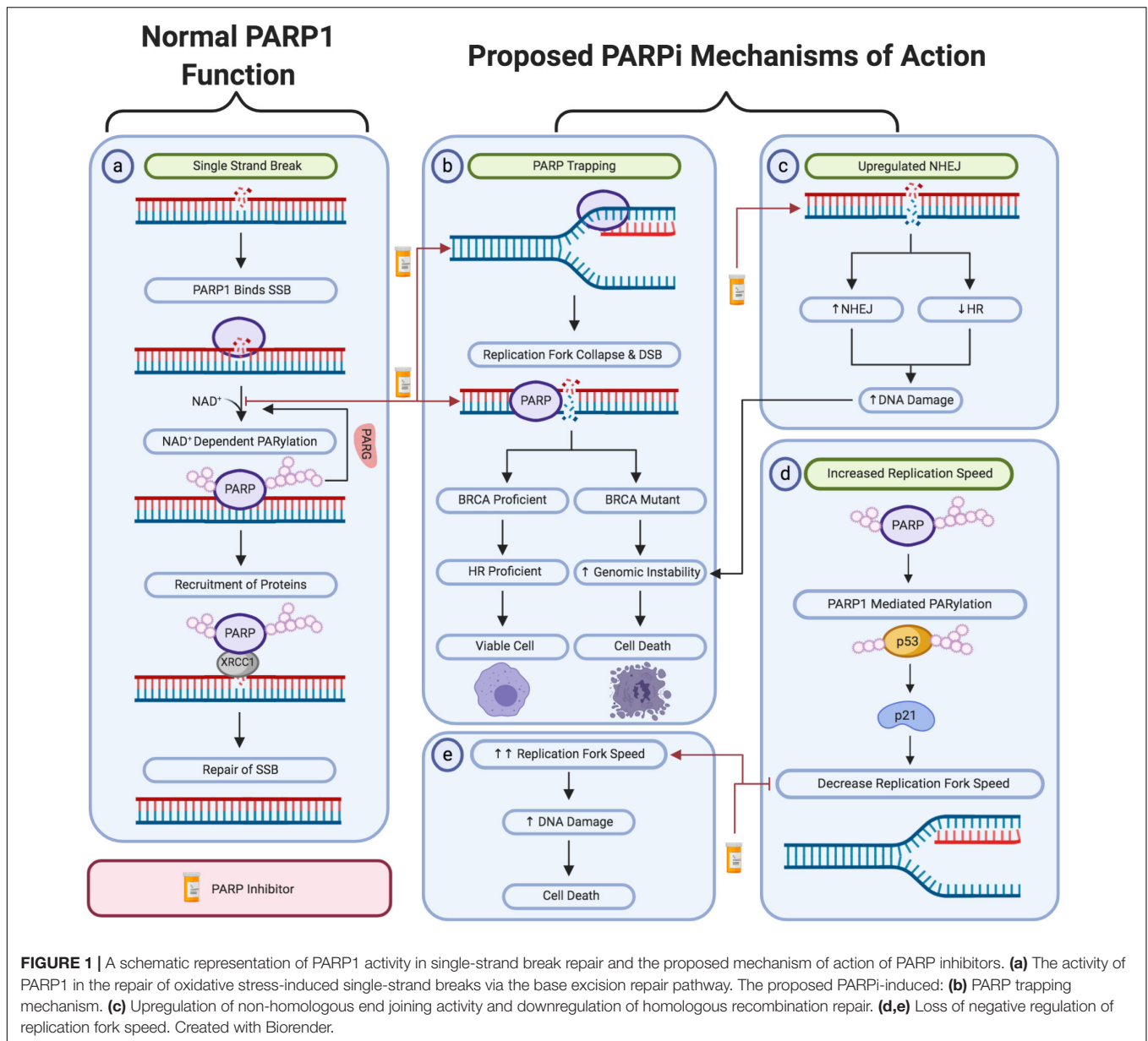
PARP1 is vital for the repair of single-strand breaks (Fisher et al., 2007; Hanzlikova et al., 2016). Since single-strand breaks are also produced as an intermediate of Base-Excision Repair (BER); PARP is also sometimes considered to be required for BER, as suggested by several studies (Dantzer et al., 1999, 2000). However, there is contradictory evidence for the sensitivity of PARP1 deficient or PARP1 inhibited cells to agents that induce base damage (de Murcia et al., 1997; Dantzer et al., 1999; Vodenicharov et al., 2000; Allinson et al., 2003; Pachkowski et al., 2009). Another study found that PARP was not required to repair base damage but was required to repair hydrogen peroxide-induced single-strand breaks (Strom et al., 2011). There is also some evidence that PARP1 dependent and independent pathways of SSBR may exist with one study showing that PARP1 was required for SSBR in G1 but not S phase of the cell cycle. In contrast PARPi inhibited SSBR in all phases of the cell cycle (Godon et al., 2008).

DNA damage is rapidly detected through the conserved N-terminal DNA-damage sensing and binding domain of PARP (Ali et al., 2012). Subsequently, PARP1 catalyzes the post-translational polymerization of ADP-ribose units (PARs) from NAD⁺ molecules onto target proteins via covalent linkages to acidic residues (Bian et al., 2019). PARP1 activation enables the auto-PARYlation of PARP1 itself at serine, tyrosine and glutamic acid residues within the PARP1 auto-modification domain. This auto-PARYlation further activates PARP1 and enables the PARYlation of histones and other chromatin-associated proteins (Chaudhuri and Nussenzweig, 2017). Collectively, this auto- and hetero-modification recruits additional DNA repair molecules, such as XRCC1 to the site of damage, promoting the effective repair of DNA (**Figure 1a**) (Liu et al., 2017).

PARP2 and PARP3 also have roles in DNA repair processes and share partial redundancy with PARP1 in some of these roles. Demonstrating this redundancy, PARP2 deficient mice display post-replicative genomic instability and PARP1 and PARP2 double mutant mice are embryonic lethal (Ménissier de Murcia et al., 2003). PARP2 also has a role in SSBR and has an overlapping role with PARP1 for recruitment of XRCC1 (Hanzlikova et al., 2017). In addition, PARP3 deficient cells also display genome instability and delayed repair of single-strand breaks, but no radiosensitivity (Boehler et al., 2011). PARP1, PARP2, and PARP3 share structural similarities and were also shown to be activated in a similar manner through DNA-dependent catalytic activation through a local destabilization of the catalytic domain (Langelier et al., 2014).

DNA DOUBLE-STRAND BREAK REPAIR PATHWAYS

Targeted therapies, such as PARPi, have greater specificity and less off-target side effects than traditional therapies, such as chemotherapy or radiation treatment, and can lead to more favorable outcomes in cancer patients. As mentioned previously,



PARPi have been found to target tumors with defects in the HR pathway due to BRCA1 or BRCA2 mutations but have little toxicity on normal cells with functional HR. The two main pathways of DNA double strand break (DSB) repair are briefly described below.

Homologous Recombination Repair

Although HR is considered the least error-prone form of DSB repair, it is restricted to the S and G2 phases of the cell cycle due to the requirement of a template sister chromatid (Brandsma and Gent, 2012).

HR is a complex process, requiring a myriad of proteins. The MRN-complex, composed of MRE11, Rad50 and Nbs1 has several roles in the DNA damage response. Most well recognized, is the role of the MRN-complex as a sensor of DSB to initiate

HR following their detection (Krajewska et al., 2015). The MRN-complex is rapidly recruited to the sites of DSBs, facilitating the recruitment and full activation of the ATM kinase and initiates the subsequent ATM-mediated phosphorylation of each member of the MRN-complex. This then promotes further recruitment of the MRN-complex and initiates ATM-dependent downstream signaling (Cassani et al., 2019). The MRN-complex, in conjunction with CtIP, then initiates the 5' to 3' nucleolytic resection of the DNA to produce a 3' overhang of single-stranded DNA (Zhu et al., 2008; Yun and Hiom, 2009; Brandsma and Gent, 2012). This end strand resection is further mediated by other exonuclease proteins, such as Exo1.

The resulting 3' overhang is then bound by a RPA heterotrimer at a high affinity, mediating the removal of a secondary structure and protecting the section of ssDNA (Chen et al., 2013).

Subsequently, the BRCA1 and BRCA2-mediated displacement of RPA by Rad51 occurs, forming a helical nucleoprotein filament on the single-stranded DNA (Jensen et al., 2010). This filament locates a homologous sequence of DNA and catalyzes strand invasion to form a Holliday junction intermediate (Hiom, 2001). The 3' end of the invading strand is then used to prime DNA synthesis and extend the region of homology. The resulting Holliday junction is resolved, primarily by the BTR complex, consisting of Bloom's syndrome helicase (BLM), topoisomerase III α , RMI1, and RMI2 (Xue et al., 2013). Holliday junction dissolution signals the completion of HR activity, indicating the effective repair of the dsDNA break (Matos and West, 2014; Ma et al., 2017).

Non-homologous End Joining

Unlike HR, non-homologous end joining (NHEJ) does not require a homologous template for the repair of DSBs and directly ligates DNA ends (Khanna and Jackson, 2001; Davis and Chen, 2013). Furthermore, it is active throughout all phases of the cell cycle (Mao et al., 2008).

Given the lack of a template strand, NHEJ is considered to be a comparatively error prone DSB repair mechanism, associated with an increased prevalence of nucleotide insertions and deletions and therefore, a greater probability for genomic instability (Bassing and Alt, 2004). NHEJ is initiated by the binding of a Ku heterodimer, composed of the Ku70 and Ku80 proteins, to a DSB (Sishe and Davis, 2017). The Ku70/80 heterodimer then acts as a scaffold protein to recruit and activate DNA-dependent protein kinase (DNA-PKcs) at the site of damage and produce a catalytically active complex. DNA-PKcs mediated bridging across the break enables DNA resection or gap-filling by several known enzymes. The Ligase IV/XRCC4 complex then ligates the DNA ends back together (Sharma et al., 2016).

PARP INHIBITORS – SYNTHETIC LETHALITY

Poly (ADP-ribose) polymerases (PARP) inhibitors (PARPi) are a class of anti-cancer drugs which compete with nicotinamide (NAD⁺) for the catalytically active site of PARP molecules. Inhibition of PARP activity was initially demonstrated in 1971, following treatment of HeLa cells with thymidine and nicotinamide (Preiss et al., 1971). Several later studies identified numerous benzamides as inhibiting PARP activity via NAD⁺ competition. However, these compounds were considered clinically unviable due to their low potency and specificity (Purnell and Whish, 1980; Canan Koch et al., 2002; Skalitzky et al., 2003). Although PARP1 is generally considered the major target of PARPi, due to the structural similarity of the NAD-binding domain of some of the PARP family members, some PARPi also inhibit the activity of other PARPs, including PARP2 and PARP3 and some other off-target effects on kinases have also been observed (Murai et al., 2012b; Antolin et al., 2020).

PARPi have been shown to be effective against homologous recombination repair deficient tumors in a synthetically lethal

interaction. Synthetic lethality is where loss of one gene is compatible with cell viability; however, simultaneous disruption of two genes results in cell death (Geenen et al., 2018). The synthetic lethality between PARP inhibition and BRCA mutation or depletion was first observed in 2005, where it was originally hypothesized that inhibition of PARP1 activity would lead to replication fork collapse and the subsequent HR-dependent repair of these forks. Therefore, given that BRCA1/2 mutated tumor cells have disrupted HR activity, the collapsed replication forks are unable to be repaired and cell death occurs (Bryant et al., 2005; Farmer et al., 2005).

There are currently several PARP inhibitors approved for the treatment of BRCA1/2 mutated breast, ovarian, pancreatic and prostate cancers. Due to the relatively low frequency of BRCA1/2 mutations, this limits their applicability to the treatment of 10–15% of breast and ovarian tumors, 4–7% of pancreatic tumors and 1.5% of prostate carcinoma (Bryant et al., 2005; Iqbal et al., 2012; Oh et al., 2019). However, more recent studies suggest that PARP inhibitors may have much wider applications. This includes the treatment of tumors with alternative HR deficiencies or mutations in other DNA damage response genes (Bryant et al., 2005; Turner et al., 2008; Jonsson et al., 2019). Tumors with high levels of oxidative and replicative stress may also be sensitive to PARP inhibitors as a monotherapy, irrespective of HR status (Majuelos-Melguizo et al., 2015; Kukulj et al., 2017; Schoonen et al., 2017; Michelena et al., 2018).

The indications for which PARP inhibitors have been approved for are summarized below (**Table 1**). In 2014, Olaparib (Lynparza) was the first PARPi approved by the Food and Drug Agency (FDA) and European Medicines Agency (EMA) as a monotherapy for the treatment of advanced, germline BRCA mutated ovarian cancer (Kaufman et al., 2015). In 2017, this was extended to include maintenance therapy of reoccurring ovarian, fallopian and primary peritoneal tumors, regardless of BRCA mutational status (Pujade-Lauraine et al., 2017; Friedlander et al., 2018). Olaparib has also been approved for the treatment of germline BRCA1/2 mutated HER2-negative breast and metastatic pancreatic cancer in 2018 and 2019, respectively (Moore et al., 2018; Golan et al., 2019; Robson et al., 2019). Most recently, Olaparib was approved for the treatment of HRD-positive metastatic castration-resistant prostate cancer (de Bono et al., 2020).

Several other PARP inhibitors, including Rucaparib (Rubraca), Niraparib (Zejula), and Talazoparib (Talzenna) have also been approved for use in various clinical settings. In 2016, Rucaparib was granted an accelerated approval for the treatment of germline or somatic BRCA1/2-mutated advanced ovarian carcinomas, following multiple chemotherapy treatments (Oza et al., 2017). Subsequently, Rucaparib maintenance therapy was approved in 2018 for reoccurring ovarian, fallopian and primary peritoneal, regardless of BRCA mutational status (Coleman et al., 2017). In May 2020, Rucaparib gained FDA approval for the treatment BRCA1/2 mutated metastatic castration-resistant prostate cancer (Abida et al., 2019).

Niraparib was initially approved in 2017 for the maintenance treatment of reoccurring ovarian, fallopian and primary

TABLE 1 | Food and Drug Administration (FDA) and European Medicines Agency (EMA) approval history of PARP inhibitors.

PARP inhibitor	Approving organization	Year of approval	Indication	Mutational requirement	Relevant studies
Olaparib	FDA and EMA	2014	Advanced ovarian carcinoma	Germline BRCA1/2 Mutation	NCT0107662 (Kaufman et al., 2015)
	FDA and EMA	2017	Reoccurring ovarian, fallopian and primary peritoneal carcinoma	Independent of BRCA1/2 Mutational Status	SOLO-2 (Pujade-Lauraine et al., 2017) and Study 19 (Friedlander et al., 2018)
	FDA EMA	2018 2019	HER-2 negative breast cancer	BRCA1/2 Mutated	OlympiAD (Robson et al., 2017)
	FDA EMA	2018 2019	First-line treatment of advanced ovarian, fallopian and primary peritoneal carcinoma	Germline BRCA1/2 Mutation Complete or partial chemotherapy response.	SOLO-1 (Moore et al., 2018)
	FDA	2019	Metastatic pancreatic cancer	BRCA1/2 Mutated	POLO (Golan et al., 2019)
	FDA	2020	First-line treatment of advanced ovarian, fallopian and primary peritoneal carcinoma in combination with Bevacizumab	HRD-Positive Complete or partial chemotherapy response.	PAOLA-1 (Ray-Coquard et al., 2019)
	FDA	2020	Metastatic castration-resistant prostate cancer	HRD-positive	PROfound (de Bono et al., 2020)
Rucaparib	FDA EMA	2016 2018	Advanced ovarian carcinomas, following multiple chemotherapy treatments	BRCA1/2 Mutated	ARIEL2 and Study 10 (Oza et al., 2017)
	FDA EMA	2018 2019	Reoccurring ovarian, fallopian and primary peritoneal carcinoma	Independent of BRCA1/2 Mutational Status	ARIEL3 (Coleman et al., 2017)
	FDA	2020	Metastatic castration-resistant prostate cancer	BRCA1/2 Mutated	TRITON2 (Abida et al., 2019)
	FDA and EMA	2017	Reoccurring ovarian, fallopian and primary peritoneal carcinoma	Complete or partial chemotherapy response.	ENGOT-OV16/NOVA Study (Mirza et al., 2016)
Niraparib	FDA	2019	Reoccurring ovarian, fallopian and primary peritoneal carcinoma	HRD-positive Independent of chemotherapy response	QUADRA Study (Moore et al., 2019)
	FDA and EMA	2020	Advanced ovarian carcinomas and primary peritoneal carcinoma	Independent of biomarker status Complete or partial chemotherapy response.	PRIMA Study (Gonzalez-Martin et al., 2019)
	FDA and EMA	2018	Advanced or metastatic HER2-negative breast cancer	Germline BRCA1/2 Mutated	EMBRACA Study (Ettl et al., 2018)

peritoneal carcinomas, regardless of BRCA mutational status that show a complete or partial chemotherapy response (Mirza et al., 2016). In 2019, this was expanded to the late-line treatment of the aforementioned carcinomas, that were specifically HRD-positive, irrespective of prior sensitivity to chemotherapy (Moore et al., 2019). Subsequently, this was further expanded in 2020 to include the treatment of reoccurring ovarian, fallopian and primary peritoneal carcinomas that have previously shown complete or partial response to chemotherapy, independent of biomarker status (Gonzalez-Martin et al., 2019).

In 2018, Talazoparib was approved for the treatment of germline BRCA1/2-mutated advanced or metastatic HER2-negative breast cancer (Ettl et al., 2018). Since this approval, Talazoparib has not gained approval for the treatment of any further malignancies.

A fifth PARPi, Veliparib (ABT-888) is currently undergoing clinical trials; however, is not yet approved for use in clinical practice (Kummar et al., 2009; Baxter et al., 2020). Lastly, Fluzoparib (HS10160) was initially identified in 2017 as a novel PARPi (Jhan and Andrechek, 2017). Clinical trials for Fluzoparib commenced in 2019 for the treatment of solid tumors, including ovarian, breast, pancreatic and lung cancer (Han et al., 2019; Luo et al., 2019).

PARPi BIOMARKERS

Biomarkers which can predict the PARPi sensitivity of tumors are of great interest within the scientific community. The identification of biomarkers will not only further our understanding of the mechanism by which PARP inhibitors mediate their anti-cancer capacity but may also increase the subset of patients treated with PARP inhibitors. Since their approval in 2014, significant efforts have been made to establish validated biomarkers for PARPi sensitivity, but with little success. As such, germline and somatic BRCA1/2 mutations remain the main predictive biomarkers for the majority of PARP inhibitors (Ganguly et al., 2016). However, in 2019, a Homologous Recombination Deficiency (HRD) assay was approved as biomarker for the use of Niraparib in patients with advanced ovarian cancer.

The BRCA1 and BRCA2 Genes

The Breast Cancer Susceptibility Genes, BRCA1 and BRCA2, have well established roles in the maintenance of genomic stability. Germline mutations in the tumor suppressor BRCA1 and BRCA2 genes have been strongly associated with an increased risk of breast and ovarian cancer (Antoniou et al., 2003; Brekelmans et al., 2006). Specifically, it is estimated

that a woman's lifetime risk of developing breast or ovarian cancer without a BRCA mutation is approximately 12 and 1.3%, respectively (Kotsopoulos, 2018; Pasanisi and Bruno, 2018). However, in women carrying a harmful BRCA1 mutation this is elevated to 60% lifetime risk of developing breast cancer and 44% risk of developing ovarian cancer (Cavanagh and Rogers, 2015). Similarly, it is estimated that women carrying harmful BRCA2 mutations have a 26 and 17% lifetime risk of inheriting breast and ovarian cancer, respectively (Kuchenbaecker et al., 2017). These mutations are of substantial prevalence, with between 1/400 and 1/800 people carrying a harmful BRCA1/2 mutation (Hall et al., 2009).

Collectively, more than 3500 pathogenic BRCA1/2 mutations have been identified (Godet and Gilkes, 2017). Many of the BRCA1 mutations are frame shift mutations which have a deleterious effect on BRCA1 protein expression, resulting in a non-functional or missense protein. In individuals that have inherited a single mutated BRCA1/2 allele, the wild-type allele is often somatically mutated or silenced as they age (Godet and Gilkes, 2017). This second event often leaves the individual without a functional BRCA1/2 allele and significantly increases the mutation burden within their cells (Petrucci et al., 2010). BRCA2 frame shift mutations have been shown to frequently result in premature truncation of proteins. Many of these mutations render the BRCA2 gene ineffective and the cells are unable to perform HR repair of stalled replication forks or DSBs.

Under current guidelines, women presenting with breast or ovarian tumors are routinely tested for hereditary mutations in BRCA1/2 and this guides whether they are treated with PARP inhibitors. A recent study showed that over 40% of BRCA1/2 mutations were somatic, suggesting that the tumors should also be tested, to identify more patients that would benefit from PARP inhibitor treatment (Vos et al., 2020.) However, growing evidence suggests that BRCA1/2 mutational status does not always accurately correlate with PARPi sensitivity (Jonsson et al., 2019) and there is a need to find more accurate predictive PARPi biomarkers.

A recent study of ovarian cancer samples, from patients treated with Olaparib maintenance therapy, indicated that Olaparib also significantly improved survival outcomes in patients who lacked BRCA1/2 mutations; but harbored other DDR gene mutations. This indicates that alternative DDR proteins, beyond BRCA1/2, may have the capacity to be an effective PARPi biomarker (Hodgson et al., 2018). Several HR repair mutations have been identified as potential prospective PARPi biomarkers, including ATM, FANCA/F, CHK2, RAD51B/C and CDK12 (Mateo et al., 2015; Criscuolo et al., 2019).

Homologous Recombination Deficiency Score

Homologous recombination deficiency score is defined as the unweighted sum of the loss of heterozygosity (LOH) score, telomeric-allelic imbalance (TAI) score and large-scale state transitions (LST) score. HRD score has been previously identified as a predictive biomarker for tumor response to neoadjuvant

chemotherapy treatment (Telli et al., 2016). Tumors with BRCA1/2 mutations are recognized to have the highest HRD scores; however, tumors with homologous recombination repair defects have also been shown to have intermediate HRD scores (Hodgson et al., 2018). Given tumors with HR deficiencies have been shown to be more sensitive to PARP inhibitors than HR proficient tumors, it was hypothesized that HRD score may be an effective PARPi biomarker. However, studies have shown mixed outcomes about the applicability of HRD score as a PARPi biomarker. Several studies have been conducted examining the link between HRD score and Progression Free Survival (PFS) in BRCA wild-type tumors. PFS is defined as the period of time in which a tumor does not worsen following a treatment regime. Hurley et al. (2019) showed that higher HRD scores did correlate with significantly greater PFS following Niraparib treatment in BRCA wild-type ovarian cancer. However, an earlier study indicated that HRD status did not strongly correlate with tumor shrinkage following Veliparib treatment (Mirza et al., 2016; Hurley et al., 2019).

Furthermore, several observational studies have been conducted to investigate potential predictive biomarkers of PARPi response; however, significant research is required to validate these targets prior to them being implicated in clinical practice. These include biomarkers other than gene mutations, including hypermethylation of the promoter regions of BRCA1 and RAD51, hypermethylation of H0XA9 in circulating DNA, high expression of Ku80 and low 53BP1 expression (Montavon et al., 2012; Kondrashova et al., 2018).

PROPOSED MECHANISMS OF ACTION OF PARP INHIBITORS

The underlying mechanism of action by which PARP inhibitors induce their anti-cancer activities has yet to be fully uncovered. However, recent findings have significantly improved our understanding of PARPi activity, and several broadly recognized theories have emerged, although a consensus is yet to be reached.

Inhibiting Single Strand Break Repair

PARP1 has been identified to have an essential role in Single-Strand Break Repair (SSBR). Therefore, it was initially hypothesized that PARP inhibitors may induce lethality by impairing the repair of DNA single-strand breaks and leading to the accumulation of damage (Bryant et al., 2005). However, other studies suggest that the synthetic lethality induced by PARP inhibitors is not due to the inhibition of SSBR. Supporting this, there is little evidence that PARP inhibitors lead to the accumulation of DNA single-strand breaks (Gottipati et al., 2010). In addition, siRNA-mediated depletion of XRCC1, a key protein in the SSBR response, did not increase sensitivity to PARP depletion via PARP1 siRNA (Nazarkina et al., 2007; Patel et al., 2011). Although, XRCC1 depletion did increase the sensitivity to two PARP inhibitors, Olaparib and Veliparib, in cellular cytotoxic assays (Horton et al., 2014). This is consistent with findings that genetically inhibiting PARP is significantly less cytotoxic than utilizing a PARPi, which may be expected to be similarly cytotoxic

if the mechanism of PARPi toxicity was due to inhibiting SSBR (Murai et al., 2012a). In light of the above, this suggested that PARPi sensitivity may be mediated via other mechanisms in addition to inhibiting SSBR.

Replication Fork Stalling and PARP Trapping

It is well recognized that PARP activation is required at the site of stalled replication forks to facilitate MRE11-mediated restart of replication (Bryant et al., 2009; Koppensteiner et al., 2014). DNA DSBs are likely to arise following the collision of the replication fork with a DNA lesion or single strand break (Liao et al., 2018). Based on these findings, it was hypothesized that PARP inhibitors may induce tumor cell death because stalled replication forks are unable to be restarted in PARP inhibited homologous recombination repair-deficient cells. This is supported by the evidence that PARP inhibitors are synthetically lethal with tumors which possess either HR or fork stabilization defects (Liao et al., 2018).

The PARP trapping mechanism of PARP inhibitors is also linked to replication fork stalling and is one of the most well-established theories. This proposed mechanism also offers insight into why inhibiting PARP activity is significantly more cytotoxic than genetically removing PARP1 through methods such as small-interfering RNA (siRNA) technologies (Murai et al., 2012a). The initial PARP trapping theory proposed that PARP inhibitors competitively bind to the NAD⁺ binding domain on PARP1. This results in PARP1 becoming trapped on the DNA due to the inability to auto-PARylate PARP1 (Shen et al., 2013). There is strong evidence supporting this theory, including the observation that PARP1-DNA complexes pre-exposed to a PARPi had less ability to dissociate following NAD⁺ induced auto-modification of PARP1. Therefore, indicating that the PARPi mechanism could involve PARP trapping to some extent (Hopkins et al., 2015).

Given PARP1's involvement in single strand break repair, it was proposed that PARP1 trapping results in a DNA lesion that cannot be bypassed by replication forks (Farmer et al., 2005). Subsequently, leading to the formation of DSBs and stalled replication forks at the site of damage, as the cell progresses through S-phase (Solier and Pommier, 2014). DSBs can only be repaired through homologous recombination (HR) repair or non-homologous end joining (NHEJ). As previously discussed, HR is essential for the error-free repair of DSBs and requires functional BRCA1/2 proteins (Offit, 2006; Palomba et al., 2014; Vos et al., 2018; Bu et al., 2019). In HR deficient tumors, such as BRCA1/2 mutated tumors, the inhibition of PARP yields DSBs which can only be repaired through NHEJ. NHEJ mediates the direct re-ligation of DNA lesions without the requirement of a homologous template. This direct re-joining increases the incidence of catastrophic genomic instability which may result in cell death. Furthermore, PARPi-induced collapsed replication forks cannot be repaired by NHEJ, resulting in death in HR-deficient tumor cells (**Figure 1b**) (Min A. et al., 2013).

Several studies have examined the correlation between PARP-trapping and tumor sensitivity. The main evidence

supporting this mechanism is that the PARP-trapping activity of PARP inhibitors correlates with their cell line toxicity (from the most to the least potent): Talazoparib >> Niraparib > Olaparib = Rucaparib >> Veliparib (Murai et al., 2012a; Murai et al., 2014a). This mirrors the cytotoxicity observed in tumor cell lines, with Talazoparib being active at nanomolar concentrations and Veliparib remaining inactive at 100 μM.

A recent study used a modified proximity ligation assay to detect chromatin-trapped PARP1 and concluded that PARP1 trapping correlated with cellular toxicity in both non-malignant and tumor cells, which may limit the therapeutic advantage of potent trapping activity. It was also observed that three different PARP inhibitors caused similar tumor growth inhibition, regardless of their PARP-trapping potency, suggesting that PARP-trapping may not entirely mediate the anti-cancer activity of PARP inhibitors (Hopkins et al., 2019). Consistent with the conclusions from this study, the link between PARP-trapping and tumor toxicity remains unclear in clinical studies. Veliparib, which was determined to have the lowest PARP-trapping activity, was shown to have a response rate of 47% in patients with platinum-resistant or partially platinum-sensitive BRCA-mutated epithelial ovarian cancer (Vergote et al., 2015). This was comparable to the response rate of platinum sensitive/resistant or BRCA-mutated ovarian tumors to Niraparib (40%) (Sandhu et al., 2013), Olaparib (46%) (Fong et al., 2010), and Talazoparib (42%) (de Bono et al., 2017).

Therefore, although it is tempting to speculate that PARP-trapping mediates its anti-cancer activity, there is a lack of clinical evidence to support this theory. Specifically, the extent of each PARP inhibitor's PARP:DNA trapping capacity does not correlate clearly with the overall toxicity of each drug in the clinic, suggesting that other factors are also involved.

Activation of the Non-homologous End Joining Repair Pathway

Several studies have suggested that the synthetically lethal interaction between BRCA1 and PARP inhibition is due to the upregulation of NHEJ activity in HR-deficient tumor cells. This hyper-activation of NHEJ increases the likelihood of catastrophic genomic instability and subsequent cell death (George et al., 2017). This was initially hypothesized following the finding that PARPi treatment increases the phosphorylation of DNA-PK substrates, consequently promoting NHEJ activity (**Figure 1c**) (Patel et al., 2011). In support of this theory, studies have shown that anionic poly (ADP-ribose) (pADPr) scaffolds produced by PARP1 activation directly interact with Ku70 and Ku80 to inhibit classical NHEJ (Scott et al., 2015). Thereby, inhibiting PARP1's activity removes this negative regulation to promote the upregulation of NHEJ activity. Furthermore, Veliparib treatment was also shown to enhance NHEJ activity in BRCA-deficient ovarian carcinoma cell lines (Patel et al., 2011). This was further supported by another study which demonstrated that depletion of several NHEJ proteins, including DNA-PK and Ku80, induced PARPi resistance in previously sensitive cell-based models (Choi et al., 2016).

Shieldin has been recently identified as a 53BP1 effector complex that is recruited to DSBs via the ATM-RNF8-RNF16-53BP1-RIF1 axis (Dev et al., 2018). Shieldin recruitment at the site of damage has been shown to promote NHEJ activity, fusion of unfinished telomeres and class-switch recombination (CSR) (Greenberg, 2018). Deletion or inhibition of Shieldin, 53BP1, RIF1 or REV7 has been shown to correlate with increased PARP1 resistance (Xu et al., 2015; Francica and Rottenberg, 2018; Gupta et al., 2018). Furthermore, a recent study demonstrated TRIP13 ATPase acts as a negative regulator of REV7 via catalyzing the conformational transformation of REV7 to an inactive state. It was also observed that tumors with elevated expression of TRIP13 ATPase possessed significant Olaparib resistance, mediated by the down regulation of REV7 activity (Clairmont et al., 2020). Given the finding that Shieldin activity directly promotes NHEJ, this correlation supports the hypothesis that PARPi lethality is due to the hyper-activation of NHEJ activity.

In contrast, simultaneous treatment with a DNA-dependent protein kinase (DNA PKcs) inhibitor (AZD7648) and a PARPi (Olaparib) has been shown to have synergistic effects in BRCA mutated tumor cells (Fok et al., 2019). It was hypothesized that this was due to the catastrophic genomic instability induced by concurrent inhibition of NHEJ via the DNA PK inhibitor and the pre-existing HR defect of these cells. This finding suggests that the PARPi mechanism is not fully described by the NHEJ activation theory, given that suppression of NHEJ would be predicted to induce PARPi resistance in these circumstances.

Disrupted Processing of Okazaki Fragments and Replication Fork Speed

It was recently demonstrated that inhibition or depletion of the replication fork regulators, FEN1 and LIG1, results in PARP1 accumulation, thereby enabling XRCC1-mediated processing (Hanzlikova et al., 2018). Supporting a role for PARP1 in responding to unligated Okazaki fragments, it has also been found that PARPi therapy increased replication fork progression speed by 1.4-fold (Figures 1d,e) (Maya-Mendoza et al., 2018). This suggests an underlying mechanism of PARPi toxicity could be the result of DSBs occurring as a result of high-speed replication (Maya-Mendoza et al., 2018; Quinet and Vindigni, 2018). Based on these findings, it was also recently proposed that increased replication speed may result in the accumulation of replication-associated single-stranded DNA (ssDNA) gaps (Cong et al., 2019). It was hypothesized that these cytotoxic ssDNA gaps were attributed to PARP1's role in processing Okazaki fragments or the reversal of stalled replication forks. Therefore, inhibiting the action of PARP within these processes would result in the formation of short single-stranded gaps in the DNA sequence. Although not yet well recognized, this theory does possess significant supporting evidence. This includes the substantially increased prevalence of ssDNA gaps following PARPi treatment in BRCA-deficient tumor cell lines, in comparison to those that were BRCA-wild type. Furthermore, significantly less ssDNA gaps were observed in PARPi resistant cell models, demonstrating that PARPi sensitivity correlates with the level of ssDNA gaps induced by PARPi treatment (Cong et al., 2019).

Disruption of the Role of PARP1 in Transcription

In addition to roles in DNA repair, PARP1 also regulates the transcription of several proteins, by mechanisms such as regulating chromatin structure and histone PARylation, directly acting as transcriptional co-regulator and direct binding to transcription sites (Schiewer and Knudsen, 2014). As such, PARP1 also regulates the transcription of several proteins implicated in cancer cell survival, including p53 and NF- κ B (Stanisavljevic et al., 2011; Lee et al., 2012). Therefore, inhibition of PARP1 using PARPi could also lead to the inhibition of oncogenes regulated by PARP-dependent transcription. An example of this is the sensitization of Ewing's sarcoma by PARPi, in part due to the inhibition of PARP-dependent transcription of ETS gene fusions such as EWS-FLI-1 (Brenner et al., 2012). PARPi treatment also reduces the transcription of DDX21, which leads to the inhibition of rDNA transcription and ribosome biogenesis in BRCA1/2 proficient breast cancers leading to reduced cancer growth (Kim et al., 2019).

In conclusion, multiple mechanisms have been proposed to mediate PARPi toxicity in BRCA1/2 mutated tumors since their initial discovery and clinical application. However, it is not yet established whether one or several of these mechanisms mediate the anti-tumor effects induced by PARPi therapy and further study is required to increase our understanding. It is considered likely that PARPi-induced inhibition of the repair of DNA single-strand breaks and PARP-trapping contributes to the collapse of replications forks, but that other mechanisms are also likely to be involved.

PARPi RESISTANCE

A major complication associated with anti-cancer therapies is the development of acquired resistance in tumors. Human and rodent models have shown that the extent of initial responsiveness to PARPi therapy correlates with the severity of resistance. Therefore, this suggests that individuals who are more likely to see a substantial effect during initial PARPi treatment are most likely to experience poor long-term sensitivity.

Restoration of HR Activity

One of the most well-established mechanisms of acquired PARPi resistance is through the restoration of HR capacity. Through restoring HR capacity, DSBs can be effectively repaired, and the tumor cell continues to survive. This mainly occurs as a result of reversion mutations or the suppression of NHEJ activity.

Reversion Mutations

The most frequent method by which HR is restored is by the reactivation of BRCA1/2 due to secondary mutations. These reversion mutations have been identified in patients diagnosed with both germline and somatic BRCA1/2 mutated breast and ovarian carcinomas (Shroff et al., 2018). A study of high-grade ovarian cancers showed BRCA reversion mutations were identified in the circulating cell-free DNA of 18 and 13% of platinum-refractory and platinum-resistant tumors, respectively.

Furthermore, the presence of a BRCA1/2 reversion mutation was shown to have decreased the PFS induced by Rucaparib treatment from 9 to 1.8 months (Lin et al., 2019). This provided the first clinical evidence that intragenic deletions of BRCA1/2 contribute to the development of PARPi resistant tumors.

Open reading frame (ORF) mutations result in BRCA function being restored due to the removal of the initial delirious mutation and subsequently, result in HR being reactivated (Christie et al., 2017). These reversion mutations have been observed in both patient samples and cellular based studies. For instance, a 55-year-old woman was diagnosed with an ER+ metastatic breast cancer that initially showed sensitivity to Olaparib treatment due to a V1283fs*2 mutation in BRCA2, which is a recognized loss of function mutation. However, after approximately 10 months of treatment the patient's primary tumor showed Olaparib resistance. A circulating tumor DNA assay was conducted on the patient's blood sample and a secondary BRCA2 D1280_N1288 deletion mutation was detected. This mutation is predicted to restore the ORF function via the deletion of the V1283fs*2 BRCA2 mutation, without the removal of critical components of the gene (Gornstein et al., 2018). Therefore, creating a functional isotype of BRCA2 which induces PARPi resistance in previously sensitive cellular models by restoring effective HR (Edwards et al., 2008). Similarly, the c.6174d deletion mutation is a BRCA2 mutation frequently observed in the Ashkenazi Jewish population, which results in truncated BRCA2 protein and confers PARPi sensitivity (Wang and Figg, 2008). Several intragenic mutations which cause the deletion of the c.6174d mutation and subsequently restore the ORF function have been identified in cellular models (Edwards et al., 2008).

However, further genetic testing of BRCA status following acquired PARPi resistance is infrequent, resulting in the cause of resistance commonly remaining undiagnosed (Jiang et al., 2019). This is often disadvantageous to the patient as knowledge of these mutations may guide treatment opportunities. For instance, treatment with the chemotherapeutic agent, 6-Thioguanine, has been shown to be effective at overcoming PARPi resistance induced by BRCA2 reversion mutations (Issaeva et al., 2010). Similar reversion mutations have been observed in patients who were previously sensitive to PARPi therapy due to mutations in RAD51C or RAD51D (Kondrashova et al., 2017).

Suppression of Non-homologous End Joining

Several papers have shown that defective HR resulting from BRCA1 mutations can be reactivated due to concomitant disruption of genes which regulate NHEJ (Noordermeer and van Attikum, 2019). Depletion of 53BP1, a protein involved in the activation of NHEJ, rescues BRCA1-deficient HR and decreases hypersensitivity to PARP inhibitors (Bouwman et al., 2010). Furthermore, as discussed above, the Shieldin complex has been identified as a 53BP1 effector complex. Reduced expression of Shieldin has been observed in numerous breast carcinomas exhibiting acquired PARPi resistance. In addition, REV7 localizes to the site of damage following a DSB and is known to promote NHEJ activity and suppress HR (Xu et al., 2015). Inhibition of REV7 via shRNA has been shown to inhibit NHEJ and

consequently, promote HR. This shRNA mediated inhibition of REV7 induces PARPi resistance and rescue cells from Olaparib-induced cytotoxicity (Clements et al., 2019). In support of this theory, elevated expression of TRIP13 ATPase has been identified in a large cohort of PARPi resistant BRCA1 mutated carcinomas. As previously discussed, TRIP13 ATPase indirectly suppresses NHEJ activity via the down regulation of REV7. Increased Olaparib sensitivity was also observed in TRIP13 depleted cellular models; therefore, further supporting the hypothesis that TRIP13 ATPase is involved in mediating sensitivity to PARP inhibitors via regulating NHEJ activity (Clairmont et al., 2020).

microRNAs are small, highly conserved regions of non-coding RNA, recognized to have a role in regulating gene expression (Macfarlane and Murphy, 2010). A recent screen revealed that increased expression of miR-6-22, miR-644, miR-492, miR-613, miR-577, and miR-126 were associated with PARPi resistance (Choi et al., 2014, 2016). However, only over-expression of miR-622 was shown to desensitize BRCA-mutated breast and ovarian cancer cell lines to Olaparib and Veliparib treatment. It was proposed that this desensitization is due to the miR-622 mediated down regulation of Ku 70/80 expression; thereby, blocking NHEJ activity and promoting HR activity (Choi et al., 2016). Collectively, the above findings support the hypothesis that down regulation of NHEJ may play a role in PARPi resistance due to upregulation of HR activity.

Increased Drug Efflux

Increased drug efflux is where there is an increase in the rate which compounds, such as PARP inhibitors, are removed from cells. There is some evidence which suggests that PARPi resistance may be due to increased expression of drug efflux transporter genes. It is hypothesized that this is specifically mediated by the ATP Binding Cassette Subfamily B Member 1 and 2 (Abcb1a/b) genes, with one study showing that expression of Abcb1a/b was increased by 2- to 85- fold in Olaparib resistant breast cancers (Rottenberg et al., 2008). Furthermore, Abcb1a/b expression was shown to be correlated with resistance to Olaparib and Rucaparib treatment in ovarian cancer cell lines. This resistance was reversed following treatment with Verapamil or Elacridar, two commonly prescribed Abcb1a/b inhibitors (Vaidyanathan et al., 2016). However, Abcb1a/b over-expression was not shown to induce resistance to treatment with Veliparib or AZD2461, an Olaparib analog, AZD2461 indicating that this is unlikely to be the sole mechanism of PARPi resistance (Vaidyanathan et al., 2016).

Stabilization of Stalled Replication Forks

The stabilization of stalled replication forks inhibits their collapse and the subsequent creation of double stranded breaks (Tagliatalata et al., 2017). Pre-clinical evidence has indicated that this stabilization may contribute to the acquired PARPi resistance experienced by patients. This was initially proposed by Chaudhuri et al. (2016), following the discovery that depletion of the MLL3/4 complex protein, PTIP, prevents PARPi induced replication fork stalling in BRCA-deficient cells. Following its localization at the site of replication, PTIP recruits MRE11 to the site of damage to promote the degradation of stalled replication

forks. Consequently, restarting the stalled replication fork and improving resection at the site (Ying et al., 2012; Chaudhuri et al., 2016). Therefore, depletion of PTIP inhibits the recruitment of MRE11 to the stalled replication fork to minimize degradation of the nascent strand of DNA. This results in less replication fork collapse associated DSBs in BRCA1/2 deficient cells and confers PARPi resistance.

EZH2 is a histone methyltransferase and catalytic subunit of PRC2, proposed to contribute to PARPi efficiency (Yamaguchi et al., 2018). PARP1 is known to activate and PARylate EZH2, causing it to dissociate from PRC2 and later, degrade. Following replication fork stalling, EZH2 localizes to the fork and promotes the methylation of histone H3. This methylation facilitates the recruitment of a nuclease, MUS81, to the replication fork to promote replication fork degradation (Rondinelli et al., 2017). Depletion, or deactivation, of EZH2 or MUS81 has been shown to induce PARPi resistance by promoting replication fork stabilization.

Down-Regulation of PARG Protein Expression

As previously discussed, PARP1 undergoes auto-PARylation promote its full activation and promote the PARylation of other chromatin-associated proteins. PARylation has been well characterized as a reversible post-translational modification, with Poly (ADP-ribose) glycohydrolase (PARG) identified as the primary PAR degrading enzyme (Miwa and Sugimura, 1971). PARG functions via hydrolyzing the ribose-ribose bond to produce adenosine diphosphate (ADP) ribose (Miwa et al., 1974).

In vitro and *vivo* findings have demonstrated PARG depletion is a common occurrence in PARPi resistant BRCA2-deficient mouse mammary tumor models (Gogola et al., 2018). Given PARPi have been proposed to significantly inhibit PARylation, it is hypothesized that depletion or inactivation of PARG enables PAR accumulation to maintain adequate PARP function, preventing PARP trapping and promoting PARPi resistance. However, further study is required to determine whether changes in PARG levels is a mechanism of PARPi resistance in human cancers.

Notably, several PARG inhibitors (PARGi) are currently undergoing pre-clinical development. Several studies have shown promising anti-tumor outcomes when utilizing combination PARPi/PARGi treatment in PARPi-resistant glioblastoma and cellular models (Houl et al., 2019).

COMBINATION TREATMENTS

Given high dosage requirements and the prevalence of acquired PARPi resistance, combination therapies are of significant interest to minimize dosage requirements and increase drug efficiency.

PARP Inhibitors and Alkylating Agents

Cytotoxic chemotherapy using alkylating agents remains one of the most frequently utilized anti-cancer therapies. Alkylating agents are a class of chemotherapeutic drugs which induce cell

death by directly adding additional alkyl groups to the bases of DNA, most frequently via the N7 position on guanine residues (Damia and D'Incalci, 1998). This results in significant intra- and inter-strand linking at the alkylated residues to induce DNA damage. In cancer cells, undergoing rapid growth, this leads to inhibition of DNA replication, cell division and subsequent cell death. Alkylating agents frequently utilized in cancer therapy include the platinum compounds Cisplatin and Carboplatin, and Temozolomide. Platinum compounds crosslink the purine bases within DNA, inducing DNA damage.

Although these drugs initially show beneficial anti-cancer activity, most tumors develop acquired or *de novo* mutations resulting in chemo-resistance and poor patient outcomes. Furthermore, many patients require high dosages for effective tumor size reduction following the administration of chemotherapy alone. This results in a large proportion of patients experiencing adverse side effects, which decreases their quality of life during treatment. Therefore, there is a clear requirement for combination therapies in order to decrease the dosage of chemotherapy. PARP inhibitors have been demonstrated to be novel chemotherapeutics and chemopotentiators.

Early studies of PARP inhibitors with platinum chemotherapy showed higher levels of myelosuppression and it was suggested that this could be linked to the trapping ability of PARP inhibitors. Therefore, it was proposed that, due to its lower PARP trapping activity, Veliparib may be less myelotoxic than other PARP inhibitors. The Phase III VELIA trial recently showed that Veliparib in combination with chemotherapy for first-line and maintenance treatment of stage III or IV high-grade serous ovarian cancer significantly improved progression-free survival (PFS) (Coleman et al., 2019). Furthermore, the phase III BROCADE3 trial showed that 34% of HER2-negative, BRCA-mutated breast cancer patients treated with Veliparib, Carboplatin, and Paclitaxel were progression free at 24 months, compared to 20% of patients treated with Carboplatin and Paclitaxel alone (Han et al., 2017). To further support this, the Phase III PAOLA trial showed Veliparib in combination with Carboplatin or Paclitaxel in HER2-negative advanced or metastatic germline BRCA-mutated breast cancer significantly improved PFS without notably increasing toxicity (Ray-Coquard et al., 2019). Additionally, the Phase III PRIMA study of recurrent platinum sensitive BRCA-mutated ovarian cancer patients showed that Niraparib significantly improved median progression free survival following platinum-based chemotherapy, in comparison to patients treated with a placebo. Patients with BRCA wild-type tumors showed a PFS of 13.8 months following Niraparib maintenance therapy, in comparison to 8.2 months for those administered a placebo (Gonzalez-Martin et al., 2019). This demonstrates the effectiveness of maintenance PARPi treatment following chemotherapy in ovarian tumor, regardless of BRCA status (Gonzalez-Martin et al., 2019).

The alkylating agent Temozolomide acts by adding methyl groups to guanine at the O6 and N7, and adenines at the N3 positions, leading to single-strand breaks (SSBs) at the N7 methylated guanines and N3 methylated adenines (Zhang et al., 2012). These Temozolomide-induced SSBs require PARP1

for repair and therefore induce PARP1-recruitment, which is subsequently trapped in the presence of PARP inhibitors (Murai et al., 2014b). In light of this increased PARP1 trapping in the presence of Temozolomide, it is proposed that the synergy observed between the two treatments is dependent upon inhibition of PARP's catalytic activity and trapping potential of PARP inhibitors. This is supported by preclinical studies which show that Talazoparib and Olaparib have a greater synergistic effect with Temozolomide than Veliparib or genetic inactivation of PARP1/2 (Murai et al., 2014b). As previously discussed, increased PARP trapping has been proposed to contribute toward myelosuppression and in support of this, a phase II clinical trial combining Rucaparib and Temozolomide, observed increased myelosuppression patients with metastatic melanoma (Plummer et al., 2013). It has since been suggested that this combination treatment will require a truncated PARPi treatment schedule, to minimize the negative effects on bone marrow function.

PARP Inhibitors and Topoisomerase I Inhibitors

Topoisomerase I (TOP1) is an enzyme that functions to reduce torsional strain on the DNA helix by the induction of single-strand breaks. Inhibition of topoisomerase I by the Camptothecin related compounds, Topotecan or Irinotecan, traps TOP1 on the DNA leading to single-strand breaks that are then converted into double-strand breaks during the S-phase of the cell cycle resulting in tumor cell death (Xu and Her, 2015). In contrast to alkylating agents, the synergistic effects of topoisomerase inhibitors and PARP inhibitors do not depend on the PARP-trapping activity. Instead the synergy is suggested to result from 3 main mechanisms, firstly, the inhibition of TOP1-PARYlation, which is required for the release of trapped TOP1 (Malanga and Althaus, 2004). Secondly, the inhibition of HR and stimulation of NHEJ (Maede et al., 2014) and thirdly, the inhibition of tyrosyl-DNA-phosphodiesterase 1 (TDP1), which is the enzyme required for the cleavage of TOP1-covalently linked complexes from the DNA (Das et al., 2014). It remains to be determined whether this combination confers a therapeutic advantage in the clinic compared to either inhibitor alone.

PARP Inhibitors and WEE1 Kinase Inhibitors

WEE1 kinase is a critical cell cycle regulator protein, involved in G2-M cell cycle arrest prior to mitotic entry. Therefore, inhibition of WEE1 promotes the rapid progression through the cell cycle to inevitably produce genomic instability which subsequently results in mitotic catastrophe and cell death (Matheson et al., 2016). Initial investigations of WEE1i/PARPi simultaneous combination treatments showed disappointing outcomes, due to overwhelming toxicity to non-malignant cells being poorly tolerated in mouse studies. However, sequential WEE1i/PARPi treatment was shown to have significant additive anti-tumor effects in xenograft models, whilst minimizing replication stress induced in non-malignant tissue; therefore, decreasing off-target toxicity (Fang et al., 2019). Furthermore, low dose WEE1i and PARPi combination treatment has shown to act as a

radiosensitizer in pancreatic cancer and KRAS-mutated NSCLC models (Karnak et al., 2014; Parsels et al., 2018).

PARP Inhibitors and PI3k Inhibitors

Phosphoinositide 3-kinases (PI3ks) are a class of enzyme involved in numerous cellular processes, including proliferation, intracellular trafficking and differentiation. The use of PI3k inhibitors in cancer therapy has been well established, given the PI3k pathway has been suggested to be one of the most commonly activated pathways in cancer cells (Liu et al., 2009). In cellular Ovarian cancer models, combination treatment with a PI3ki, Buparlsib, and Olaparib has been shown to significantly inhibit cellular proliferation by downregulating BRCA1/2 expression. This effect was observed in BRCA wild-type cell lines which did not possess PIK3CA mutations, providing a rationale for the use of this combination in a wider cohort of tumors independent of their mutational status (Wang et al., 2016). Furthermore, cellular and xenograft models have shown promising results for the use of PARPi and PI3ki combination therapy in the treatment of PTEN/p53-deficient prostate cancer models (González-Billalabeitia et al., 2014). Similar down-regulation of BRCA1/2 and subsequent PARPi sensitivity has also been observed in BRCA-wildtype TNBC cellular studies following treatment with a Buparlsib and Olaparib combination (Ibrahim et al., 2012).

PARP Inhibitors and Radiation

PARP inhibitors have been shown to radio-sensitize tumor cells in several studies, irrespective of BRCA status (Zhao W. et al., 2019). It is proposed that the underlying mechanism for this sensitization is that PARP inhibitors inhibit the repair of radiation-induced single-strand breaks, leading to replication fork collapse and subsequent DSBs in S-phase (Dungey et al., 2008).

Several clinical trials have been conducted to establish the efficacy of radiation therapy in combination with PARPi treatment; however, clinical data from these studies have not yet been published. A phase II trial in patients with brain metastases from non-small cell lung cancer, combining whole brain radiotherapy with Veliparib, observed no clinical benefit over whole brain radiotherapy plus a placebo (Chabot et al., 2017).

PARP Inhibitors and Immunotherapy

Immunotherapies are an emerging class of cancer therapy, showing promising results as both monotherapies and combination therapies. During the initiation of the innate immune response, pattern recognition receptors (PRRs) recognize pathogen-associated molecular patterns (PAMPs), and damage-associated molecular patterns (DAMPs) (Amarante-Mendes et al., 2018). PAMPs are small molecule motifs conserved within a class of microbes; therefore, are not stimulated by PARPi treatment. However, DAMPs are endogenous molecules released from host cells during damaging or death related cellular events (Huang et al., 2015). Cytosolic DNA, which arises due to nuclear damage or loss-of-function mutations in DNA degrading proteins, has been identified as a DAMP which can bind to cyclic guanosine monophosphate (GMP)–

adenosine monophosphate (AMP) synthase (cGAS) to induce a conformational change in cGAS (Li and Chen, 2018). This conformational change results in the conversion of guanosine triphosphate (GTP) and ATP to the second messenger, cyclic GMP-AMP. GMP-AMP is then able to act as an endogenous ligand for Stimulator of IFN Gene (STING), which activates numerous transcription factors to stimulate an innate immune response (Kato et al., 2017).

Based on evidence of an interaction between the DNA damage response and the immune system, it has been suggested that PARPi therapy may have positive implications for the anti-cancer immune response (Li and Chen, 2018). It is now well recognized that tumors with mutations in DNA damage response genes are more sensitive to immunotherapies (Samstein and Riaz, 2018). For instance, a study of patients diagnosed with advanced urothelial carcinomas demonstrated that the presence of mutations in DNA damage response genes increased the response to PD-1/PD-L1 blockade therapies by 4.2-fold (Vidotto et al., 2019). To further expand on the above findings, preclinical studies showed that Talazoparib and Veliparib treatment induced catastrophic DNA damage which activated cGAS (Chabanon et al., 2019; Pantelidou et al., 2019).

Interestingly, a recent study demonstrated that PARPi treatment induced STING activation in cellular models deficient of BRCA2 via shRNA technology; however, this was not observed in BRCA-proficient cells (Reisländer et al., 2019). These findings were controversial; given they suggest that immune checkpoint inhibitors were unlikely to be effective in combination with PARP inhibitors in HR-proficient individuals.

Several clinical trials are currently underway investigating the effects of PARP inhibitors in combination with PD-1 inhibitors. Results from a Phase I study were 49 patients suffering from solid tumors were treated with a combination of a PARPi and Tislelizumab showed 20% of patients achieved an objective response. Furthermore, 32% of patients entered a state of stable disease, where the tumor did not show any increase in size (Friedlander et al., 2019).

PARP Inhibitors and Drugs Targeting Epigenetic Modifications: DNA Methyltransferase Inhibitors (DNMTi)

DNA methyltransferases (DNMTs) are a conserved family of enzymes, responsible for the transfer of methyl groups via S-adenosyl methionine (SAM). DNMTs have a vital role in gene silencing, transcriptional activation and post-transcriptional gene regulation (Lyko, 2018). Deregulated DNMT function has been associated with numerous components of cancer development, including silencing of tumor suppressor genes and hypermethylation of cancer-associated genes. For instance, hypermethylation of the retinoblastoma gene promoter region has been observed in a significant number of unilateral retinoblastoma cancers (Robertson, 2001). Dysregulation of DNMT activity, and subsequent hypermethylation of promoter regions, has been identified as a key component in acute myeloid leukemia initiation and progression (Yang et al., 2019). Furthermore, hypermethylation of promoter regions has also

been observed in 56% of breast and 15–30% ovarian cancers (de Almeida et al., 2019; Hentze et al., 2019).

Given the clear link between excessive DNMT activity and tumorigenesis, the development of DNMT inhibitors offered a promising, targeted anti-cancer therapy via inhibiting the methylation of DNA residues. Currently, two DNMT inhibitors, Azacytidine (Vidaza) and Decitabine (Dacogen), that have received FDA and European Medicines Agency approval for the treatment of acute myeloid leukemia and myelodysplasia syndrome. However, impartial or no response is experienced by greater than 50% of patients undergoing DNMT inhibitor therapy. This indicates the need for a more targeted, potent approach to DNMT inhibitor therapy. Reversing the gene expression changes associated with DNA methylation abnormalities in cancer is one proposed mechanism for the clinical efficacy of DNMTi (Baylin and Jones, 2011). It has also been determined that DNMTi can be incorporated into replicating DNA in place of cytosine bases. Once added to DNA, these can then covalently bind DNMTs, effectively trapping DNMT on the DNA leading to cell death (Chovanec et al., 2018). It has been observed that PARP can bind to DNMT and therefore treatment with both PARPi and DNMTi increase PARP trapping on the DNA. DNMT inhibitors have also been shown to increase the accumulation of reactive oxygen species (ROS). This increase in oxidative stress activates cellular kinase activity to promote PARP1 binding at the site of damage. Therefore, promoting the trapping of PARP1 at site of damage via PARP inhibitors and the subsequent replication fork collapse (Pulliam et al., 2018).

Recent pre-clinical cellular and xenograft breast cancer and AML studies using a PARPi and DNMT inhibitor (DNMTi) combination have shown promising outcomes, including decreased clonogenic formation and increased cytotoxicity (Muvarak et al., 2016). Furthermore, a recent study demonstrated that combination Guadecitabine and Talazoparib therapy enhanced PARPi trapping activity in cellular assays, and decreased tumor growth in ovarian and TNBC xenograft models (Pulliam et al., 2018). The PARPi:DNMTi combination therapy has not yet been trialed in the clinic but a phase I/II trial is currently recruiting patients to assess the efficacy of Talazoparib in combination with the DNMTi, Decitabine, for treatment of acute myeloid leukemia.

CLINICAL SIGNIFICANCE: PARP INHIBITORS AS A CANCER THERAPY

PARP inhibitors have shown promising results in both clinical trials and practice for the treatment of ovarian, breast, prostate and pancreatic cancers. There are currently 286 clinical trials registered on clinicaltrials.gov investigating PARPi therapies.

Ovarian Cancer

As discussed previously, BRCA1/2 mutations have been identified in approximately 10–15% of ovarian cancers (Bryant et al., 2005). The benefit of PARP inhibitors as a maintenance therapy for ovarian cancer has been well established, since the approval of Olaparib in 2014 (reviewed in Franzese et al., 2019).

However, recent studies have shown that PARPi can also have clinical benefit as a first line therapy in ovarian cancer treatment.

In the recent PRIMA phase III randomized trial, 733 patients with newly diagnosed ovarian cancer were treated with Niraparib or placebo, following a response to platinum-based chemotherapy. The study outcomes showed that median PFS was significantly longer in the niraparib-treated group than in the placebo group (21.9 months vs. 10.4 months). Significantly, this increase in PFS was higher in HR deficient tumors but an increase in PFS was still observed in HR proficient tumors (Gonzalez-Martin et al., 2019).

The recent VELIA Trial aimed to assess Veliparib as a front line therapy for Ovarian cancer. Over 1000 women with newly diagnosed ovarian cancer were assigned first line therapy of chemotherapy plus either Veliparib or placebo followed by maintenance therapy of Veliparib or placebo. Veliparib was found to extend median progression free survival by 7 months over all (24 months vs. 17 months). The PFS was improved further in patients with BRCA mutations (35 months vs. 22 months), suggesting that PARPi could be an efficient front-line therapy for ovarian cancer (Coleman et al., 2019).

Breast Cancer

Approximately 5–10% of breast cancer cases are due to inherited genomic alterations. Similar to ovarian cancer, the majority are caused by BRCA1/2 mutations (Lee et al., 2020). For individuals with a BRCA1/2 mutation, the risk of developing breast cancer is 69 and 62%, respectively. However, the risk for individuals without a BRCA mutation is as low as 12% (Armstrong et al., 2019). The phase III OlympiAD trial demonstrated that maintenance therapy with Olaparib significantly increased PFS in patients with metastatic HER2-negative BRCA-mutated breast cancer, in comparison to standard chemotherapy (Robson et al., 2017, 2019). Given these findings, Olaparib was approved by the FDA in 2018 for the treatment of metastatic HER2-negative BRCA-mutated breast cancer following chemotherapy (Le and Gelmon, 2018). In 2018, the TALA study provided the first evidence that Talazoparib could induce a complete pathological response as a monotherapy treatment in the treatment of BRCA-mutated breast cancer. This was further supported by the phase III EMBRACA study which demonstrated that Talazoparib monotherapy had significantly greater PFS in patients with metastatic HER2-negative BRCA-mutated breast cancer in comparison to standard chemotherapy treatment (Litton et al., 2018). Given this, the FDA approved Talazoparib as the second PARPi for the treatment of breast cancer (Litton et al., 2018). Additionally, patient-reported studies have shown PARPi therapy offered significantly greater patient quality of life during treatment in comparison to several standard therapies (Ettl et al., 2018; Hurvitz et al., 2018). Collectively, these findings highlight the potential of PARP inhibitors as viable breast cancer treatment.

Prostate Cancer

Prostate cancer accounts for 7.1% of all cancer diagnoses in men, although contributes to an unproportionable 13.3% of cancer related deaths (Crawford, 2003). Improvements have been

made for treatment options, although a radical prostatectomy remains the gold standard treatment. Radical prostatectomies are minimally invasive procedures, although many patients experience long-term side effects that significantly decrease their quality of life (Chin, 2009). Therefore, there is a clear requirement for alternative treatment options to be made available. The application of PARP inhibitors in the treatment of prostate cancer was initiated in 2015 following the finding that 19.6% of prostate cancers had BRCA1, BRCA2 or ATM mutations (Mandelker et al., 2017). Currently, numerous clinical trials are being completed to investigate the effectiveness of PARPi mono- and combination therapies in the treatment of prostate cancer. The phase II TOPARP study showed that following treatment with 400 mg Olaparib, 54.3% of patients with DNA repair mutated, castration-resistant prostate cancer had a composite response at a two-year follow up (Mateo et al., 2020). The Phase II Galahad study investigated the effect of Niraparib treatment in patients suffering from metastatic castration-resistant prostate cancer which possessed a DDR defect. The results demonstrated that 65% of patients diagnosed with a BRCA1/2-mutated prostate carcinoma, and 31% of patients with alternative DDR gene mutated prostate cancers, achieved a composite response (Smith et al., 2019).

Pancreatic Cancer

Pancreatic cancer is recognized to be one of the most common cause of cancer-associated deaths worldwide, with the 5-year survival rate being a mere 9% (Rawla et al., 2019). Due to its asymptomatic progression, most patients do not present until advanced-stage disease. Although surgical and adjuvant pancreatic cancer treatments are advancing, the 5-year survival statistics continue to worsen (Brunner et al., 2019). This highlights the urgent need for the development of effective, targeted anti-cancer therapies to improve patient survival (Brunner et al., 2019). BRCA1/2 mutations have been identified in 4–7% of pancreatic cancer patients. Furthermore, these mutations have been correlated with poorer survival outcomes in pancreatic cancer patients (Iqbal et al., 2012). The recent POLO trial showed that in patients with chemotherapy responsive BRCA1/2-mutated tumors, 22.1% of patients treated with Olaparib did not have any tumor progression after two years. In contrast, only 9.6% of patients treated with the placebo showed no tumor progression. Furthermore, the median PFS was determined to be 7.4 and 3.8 months following Olaparib and control drug treatments, respectively (Golan et al., 2019). This clinical trial provided the first evidence for the effectiveness of PARP inhibitors in the treatment of pancreatic cancer and subsequently resulted in the FDA approval of Olaparib for the treatment of germline BRCA1/2-mutated metastatic pancreatic adenocarcinomas.

Lung Cancer

Lung cancer accounts for 2.09 million of annual cancer diagnoses and is the leading cause of worldwide cancer-associated deaths (Cao and Chen, 2019). DDR mutations are evident in a significant proportion of lung cancer patients, including mutations in

ATM, PTEN, MRE11, and FANCA (Mamdani et al., 2019). Most notably, 5% of lung cancers have been identified to be BRCA1/2-mutated. Collectively, these findings provide a rationale for the use of PARP inhibitors in the treatment of lung cancer. However, the phase II STOMP trial demonstrated that maintenance Olaparib monotherapy for small cell lung cancer (SCLC) did not significantly increase PFS or overall survival, in comparison to a placebo. Subsequently, the phase I/II clinical trial examining the effectiveness of an Olaparib/Temozolomide combination treatment in reoccurring SCLC demonstrated that 41.7% of participants had a complete pathological response (Farago et al., 2019).

PARP inhibitors are well recognized to induce radio-sensitization in various cancer subtypes. However, cellular and xenograft-based studies provided the first evidence that Talazoparib sensitizes a significant proportion of NSCLC models to ionizing radiation. A similar effect was also observed following Veliparib treatment; however, to a lesser extent. Given Talazoparib has a significantly greater PARP trapping capacity, it is hypothesized that PARP trapping may be the underlying mechanism by which sensitivity to radiation is induced (Laird et al., 2018). Fluzoparib has been identified as a novel PARPi, in the early stages of preliminary clinical trials (Wang et al., 2019). Fluzoparib has shown promising results in Phase I/II lung cancer clinical trials as a radiosensitizer and in combination with SHR-1316, a PD-L1 inhibitor (Luo et al., 2019).

Acute Myeloid Leukemia

Acute myeloid leukemia (AML) is the most common cause of adult leukemia, contributing to 80% of adult leukemia diagnoses (Yamamoto and Goodman, 2008). Although BRCA1/2 mutations are not characteristic of AML, several pre-clinical studies have demonstrated genomic mutations which provide a rationale for PARPi use in AML therapy (reviewed in Faraoni et al., 2019). It was initially shown that microsatellite instability-positive AML cellular models exhibited down-regulation and mutation of the HR genes CtIP and MRE11 (Gaymes et al., 2013). Furthermore, Olaparib and Veliparib hypersensitivity has been demonstrated in patient-derived myeloproliferative neoplasms irrespective of BRCA1/2 mutational status. However, greater PARPi sensitivity was observed in samples which possessed a DNA damage repair defect (Pratz et al., 2016).

Several AML-inducing fusion proteins have been shown to confer PARPi sensitivity in cellular models. For instance, Olaparib has been shown to have significant additive effects on the anti-tumor activity of two chemotherapy drugs, Doxorubicin and Cytarabine, in MLL-AF9-positive mouse models (Stavropoulou et al., 2018). AML1-ETO and PML-RAR α are well recognized AML-associated fusion proteins, shown to promote leukemogenesis (Singh et al., 2017). Esposito et al. (2015) demonstrated that AML1-ETO or PML-RAR α positive models possessed PARPi sensitivity due to a jeopardized DDR and the down-regulation of HR genes, shown to be mediated by HOXA9 activity (Esposito et al., 2015). There are currently several clinical trials underway to investigate PARPi use in AML

patients; however, the majority of these are still in the recruitment phase and results are not yet available.

PARP1 REGULATING PROTEINS AS POTENTIAL NEW BIOMARKERS OR THERAPEUTIC TARGETS

Given the growing prevalence of PARPi resistance, it is essential that alternative PARP inhibiting mechanisms are investigated to improve treatment opportunities. Recent research has shown PARP1 activity is regulated by physical interactions with several other proteins, including HPF1, YB-1, Sam68, Banf1, TRIP12 and, as discussed earlier, PARG (Alemasova et al., 2016; Gibbs-Seymour et al., 2016; Sun et al., 2016; Gogola et al., 2018; Bolderson et al., 2019; Gatti et al., 2020). Therefore, modulation of these PARP1 regulatory proteins may provide an alternate method of downregulating PARP1 activity or modulating the sensitivity of tumor cells to PARP inhibitors.

Histone PARylation factor 1 (HPF1) has been shown to have an essential role in enabling the *trans* ADP-ribosylation of histones by PARP1 during the DNA damage response at serine residues (Gibbs-Seymour et al., 2016; Leidecker et al., 2016; Bonfiglio et al., 2017). HPF1 was also identified to be involved in the inhibition of PARP1 hyper-automotification induced by DNA damage, which may have a role in maintaining genomic stability (Gibbs-Seymour et al., 2016). Lastly, *in vitro* findings by Gibbs-Seymour et al. (2016) demonstrated that depletion of HPF1 induces sensitivity to PARPi treatment and other DNA damaging agents. Collectively, these findings suggest that HPF1 is involved in maintaining appropriate PARP1 activity, particularly by upregulating PARP1's activity during the DNA damage response.

Furthermore, YB-1 (Y-box-binding protein) has also been shown to physically interact with PARP1 and PARP2 to promote the auto-PARylation of PARP and inhibit PARG-mediated PAR degradation (Alemasova et al., 2016). Subsequently, YB-1 was identified as a co-factor of PARP1 and shown to counteract the inhibition of PARylation induced by low dosages of PARPi *in vitro* (Alemasova et al., 2018). However, it was also shown that YB-1 was unable to entirely inhibit the effects of high dosages of PARPi (Alemasova et al., 2018). Together, these findings indicate that YB-1 plays a key role in the regulation of PARP1 activity via the regulation of PARP1/2 auto-PARylation.

Src-associated substrate during mitosis 68 kDa (Sam68) is a protein shown to localize at DNA lesions following damage. A physical interaction between Sam68 and PARP1 has been observed; however, similar interactions were not observed between Sam68 and PARP2, PARP3, PARP5a or PARP5b (Sun et al., 2016). Supporting its role as a positive regulator of PARP1 depletion of Sam68 in mice models resulted in impaired PARP1 activation, PAR chain development and activation of PAR dependent signaling, including the NF- κ B pathway (Fu et al., 2016a,b). Sam68 depletion also resulted in similar phenotypes to those observed following PARP1 depletion (Sun et al., 2016). The role of Sam68 in PARPi sensitivity has not been examined to date.

In summary, these findings suggest that Sam68 is a key regulator of PARP1 activation and subsequent downstream regulating.

We recently identified that Barrier to Autointegration Factor 1, Banf1 is a negative regulator of PARP1 activity (Bolderson et al., 2019). Banf1 was found to bind to the NAD⁺ binding domain of PARP1 and inhibit its auto-PARYlation and activity toward histone substrates following oxidative stress. The role of Banf1 in the response of tumors to PARPi remains to be determined.

A recent study identified the ubiquitin E3 ligase TRIP12 as a regulator of PARP1 stability and PARPi-induced PARP trapping. As such, depletion of TRIP12 leads to an increase in PARPi-induced PARP trapping and induces replication stress, DNA damage and results in cell death. Hence, the levels of TRIP12 protein could be an important consideration for the sensitivity of tumor cells to PARPi (Gatti et al., 2020).

Given their role in the regulation of PARP stability and activity, modulation of HPPF1, YB-1, Sam68, Banf1 and TRIP12 may provide novel combination therapies to potentiate the effect of existing PARP inhibitors or provide alternative targets for the development of new PARP inhibiting drugs. It is also possible that these regulators could act as biomarkers for the response of tumors to PARPi. However, the safety and efficiency of these targets in humans remains to be established.

CONCLUSION

Since their discovery half a century ago, the PARP protein family has been proposed to have multiple functions in cellular processes; including transcription, cell death and DNA repair. In particular, knowledge of the basic biology and roles of

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PARP1 in DNA repair pathways led to the development of PARPi, for the targeted treatment of BRCA-mutated cancers. The potential of PARPi therapy in a variety of cancer subtypes has been highlighted by the significant numbers of preclinical studies and clinical trials, demonstrating their superior efficacy over traditional chemotherapies in some cancers. Studies have also established the substantial anti-tumor benefits of utilizing PARPi in combination with other anti-cancer agents to induce significant tumor regression. However, although the clinical relevance of PARPi is clear, the underlying mechanisms of PARPi activity remain elusive; therefore, limiting our understanding of potential targets for PARPi tumor biomarkers and pathways of therapy resistance. Further studies of the mechanism of action of PARPi are required, along with the validation and approval of additional biomarkers to ensure that PARPi therapy is utilized to provide maximal patient benefit.

AUTHOR CONTRIBUTIONS

All the authors contributed to writing and editing the manuscript. MR made the figure.

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Conflict of Interest: KO'B and DR are founders of CARP Pharmaceuticals. EB, DR, and KO'B are founders of Carpe Vitae Pharmaceuticals. EB, JB, KO'B, and DR are inventors on patent applications filed by Queensland University of Technology.

The remaining author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Isodeoxyelephantopin Inactivates Thioredoxin Reductase 1 and Activates ROS-Mediated JNK Signaling Pathway to Exacerbate Cisplatin Effectiveness in Human Colon Cancer Cells

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Edited by:

José Díaz-Chávez,
National Institute of Cancerology
(INCAN), Mexico

Reviewed by:

Parvez Khan,
University of Nebraska Medical
Center, United States
Yulin Ren,
The Ohio State University,
United States

*Correspondence:

Peng Zou
zoupeng@wmu.edu.cn
Yiqun Xia
yiqunxia@yeah.net

† These authors have contributed
equally to this work

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Lin Hong^{1,2†}, Jundixia Chen^{2†}, Fang Wu^{1†}, Fengjiao Wu², Xin Shen², Peisen Zheng²,
Rongrong Shao², Kongqin Lu³, Zhiguo Liu², Daoxing Chen², Guang Liang²,
Yuepiao Cai², Peng Zou^{1,2,4*} and Yiqun Xia^{1*}

¹ The First Affiliated Hospital of Wenzhou Medical University, Wenzhou Medical University, Wenzhou, China, ² Cancer and Anticancer Drug Research Center, School of Pharmaceutical Sciences, Wenzhou Medical University, Wenzhou, China, ³ Zhuji Institute of Biomedicine, School of Pharmaceutical Sciences, Wenzhou Medical University, Zhuji, China, ⁴ Wenzhou University-Wenzhou Medical University Collaborative Innovation Center of Biomedical, Wenzhou, China

Colon cancer is one of the leading causes of cancer-related death in the world. The development of new drugs and therapeutic strategies for patients with colon cancer are urgently needed. Isodeoxyelephantopin (ESI), a sesquiterpene lactone isolated from the medicinal plant *Elephantopus scaber* L., has been reported to exert antitumor effects on several cancer cells. However, the molecular mechanisms underlying the action of ESI is still elusive. In the present study, we found that ESI potently suppressed cell proliferation in human colon cancer cells. Furthermore, our results showed that ESI treatment markedly increased cellular reactive oxygen species (ROS) levels by inhibiting thioredoxin reductase 1 (TrxR1) activity, which leads to activation of the JNK signaling pathway and eventually cell death in HCT116 and RKO cells. Importantly, we found that ESI markedly enhanced cisplatin-induced cytotoxicity in HCT116 and RKO cells. Combination of ESI and cisplatin significantly increased the production of ROS, resulting in activation of the JNK signaling pathway in HCT116 and RKO cells. *In vivo*, we found that ESI combined with cisplatin significantly suppressed tumor growth in HCT116 xenograft models. Together, our study provide a preclinical proof-of-concept for ESI as a potential strategy for colon cancer treatment.

Keywords: isodeoxyelephantopin, oxidative stress, thioredoxin reductase 1, cisplatin, JNK

Abbreviations: ROS, reactive oxygen species; TrxR1, thioredoxin reductase 1; JNK, c-Jun N-terminal kinase; NAC, N-acetyl-L-cysteine; DCFH-DA, 2',7'-dichlorofluorescein diacetate; MDA, malondialdehyde; DTNB, 5,5'-dithiobis(2-nitrobenzoic acid); CI, combination index.

INTRODUCTION

Colon cancer is a significant public health problem and one of the leading causes of cancer-related death in the world. Despite advances in surgery, radiation therapy and chemotherapy, the overall survival rate of patients with colon cancer is still not optimistic (Arnold et al., 2017). Therefore, novel therapeutic strategies for patients with colon cancer are urgently needed. Natural products have been used for treatment or prevention of various human diseases for centuries, particularly in cancer therapy (Newman and Cragg, 2016). *Elephantopus scaber* L. is a traditional medicinal herb with multiple medicinal uses. In Chinese medicine, the extract of this plant is used as an antiviral, antidiuretic, and antibacterial agent as well as in the treatment of bronchitis, hepatitis, and arthralgia (Poli et al., 1992; Rajesh and Latha, 2001; Li et al., 2004). Isodeoxyelephantopin (ESI), a sesquiterpene lactone isolated from *Elephantopus scaber* L. has been reported to exert antitumor effects in several malignant carcinomas (Yan et al., 2013; Verma et al., 2019). A previous study demonstrated that ESI induces cell cycle arrest at G2/M phase in T47D cells (Kabeer et al., 2014). ESI was also found to inhibit the growth of human chronic myeloid leukemia cells by inhibiting NF- κ B activation and NF- κ B-regulated gene expression (Ichikawa et al., 2006). In lung cancer cells, ESI favored cell survival by activating protective autophagy (Wang et al., 2017). However, the antitumor effects of ESI on colon cancer has not been reported till now, and the molecular mechanisms underlying the action of ESI is still elusive.

Cisplatin is one of the most successful chemotherapeutics and has been widely used in clinics for the treatment of cancer (Wang and Lippard, 2005). The mechanism of action of cisplatin has been broadly studied in the past decades. It is generally agreed that DNA is a major target for cisplatin (Jung and Lippard, 2007; Basu and Krishnamurthy, 2010). Various signal transduction pathways and molecules, including p53, Nrf2, MAPK, and PD-L1, are involved in the process of cisplatin-induced cell death (Bragado et al., 2007; Fournel et al., 2019; Liao et al., 2019). However, many patients rapidly acquire resistance to cisplatin treatment during therapy, and the molecular mechanisms of cisplatin resistance remains enigmatic (Ahmed et al., 2018; Roy et al., 2018; Cruz-Bermudez et al., 2019; Su et al., 2019). It has been suggested that cisplatin in combination with other herb compounds is more effective than cisplatin alone (Wang J. et al., 2018; Wang Y. et al., 2018). Therefore, it is interesting to investigate the synergistic effect of cisplatin in combination with ESI for the treatment of colon cancer.

In this study, we investigated the molecular mechanisms underlying the action of ESI in human colon cancer cells. We observed that ESI significantly inhibited TrxR1 activity and increased the accumulation of ROS, which leads to activation of the JNK signaling pathway and eventually cell death in HCT116 and RKO cells. Importantly, we found that ESI significantly enhanced cisplatin-induced cytotoxicity in HCT116 and RKO cells. Moreover, ESI in combination with cisplatin markedly suppressed tumor growth in HCT116 xenograft models. Together, our data provide new insight into

the mechanisms of antitumor action of ESI, and suggest that ESI might be a potential candidate for the treatment of colon cancer.

RESULTS

ESI Treatment Increases ROS Levels in Human Colon Cancer Cells

We first tested the cytotoxic effect of ESI (Figure 1A) on the viability of colon cancer cells and normal cells. As shown in Figures 1B,C, there were significant reductions in the viability of two colon cancer cell lines upon ESI treatment, but has little effect on normal MPM and NRK-52E cells. Next, we set out to investigate the molecular mechanisms underlying the action of ESI. Recent studies showed that ROS generation plays an important role in the antitumor action of some natural compounds (Dias et al., 2018; Liu et al., 2018). Therefore, we measured the intracellular ROS levels after ESI treatment. Time-course results showed that ESI treatment markedly induced ROS generation in HCT116 and RKO cells (Figures 1D,E). In addition, we found that treatment with ESI for 2 h caused a dose-dependent increase in ROS levels (Figure 1F). To determine the role of ROS in mediating the antitumor effect of ESI, the ROS scavenger NAC was used in our experiment. We found that pretreatment with NAC markedly reversed ESI-induced increase in ROS levels and cell death rate in HCT116 and RKO cells (Figures 1G–J). These data suggest that ROS generation plays an essential role in ESI-induced cytotoxicity in colon cancer cells.

ESI Inactivates TrxR1 in Human Colon Cancer Cells

Thioredoxin reductase 1 is a key regulator of cellular redox balance and accumulating evidence suggest that ROS accumulation may be increased when TrxR1 activity is inhibited (Duan et al., 2016; Dagnell et al., 2018; Zheng et al., 2019). Therefore, we tested the inhibitory effect of ESI on TrxR1 activity in colon cancer cells. Using an endpoint insulin reduction assay to quantify inhibition of TrxR1 activity, we found that ESI treatment inhibited the TrxR1 activity in a time- and dose-dependent manner in HCT116 and RKO cells (Figures 2A,B). Remarkably, we found that ESI directly inhibited the TrxR1 protein activity in a dose-dependent manner (Figure 2C). The densitometric analysis of Western blot bands showed that the expression level of TrxR1 did not significantly change after treated with ESI (Figures 2D,E). In addition, we performed a molecular simulation of ESI-TrxR1 complex using docking software. As shown in Figure 2F, the key residues around ESI included Gly499, Sec498, Cys497, Gly496, Gln494, Leu493, Ile492, Ser404 and Lys29. Thus, the proposed reaction mechanism for ESI is to block the adjacent C-terminal active site residues Cys and Sec of TrxR1, which is expected to effectively suppress TrxR1 activity (Xu et al., 2016). To further address the physiological relevance of TrxR1-mediated ESI cytotoxicity, we knocked down TrxR1 expression by using siRNA in HCT116 cells. The TrxR1 knockdown by siRNA resulted in an appreciable increase in ESI-induced cell death in HCT116 cells (Figure 2G).

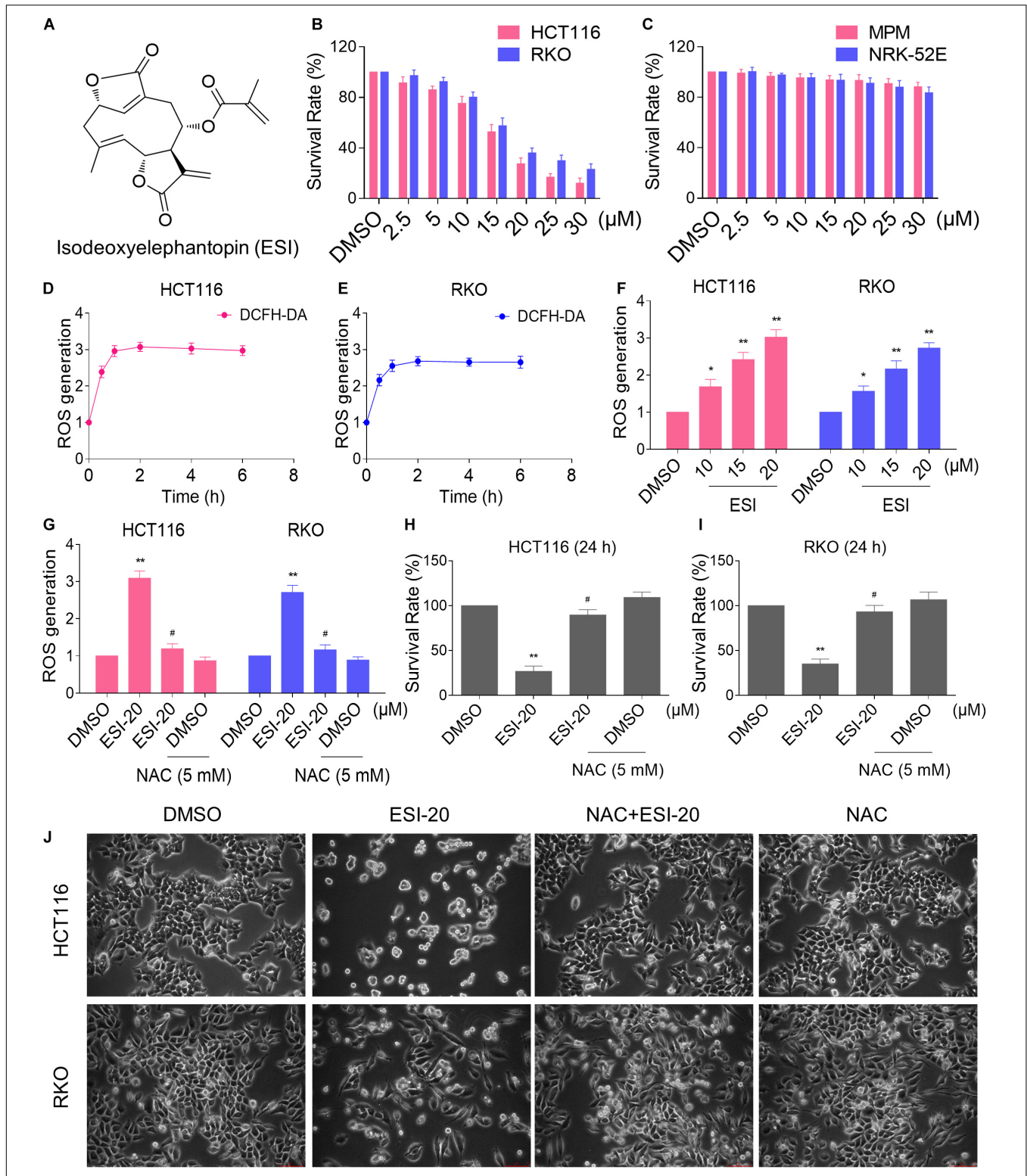


FIGURE 1 | ESI inhibits cell proliferation and increases ROS levels in HCT116 and RKO cells. **(A)** Chemical structure of ESI. **(B)** Cell viability was measured in HCT116 and RKO cells after treated with ESI for 24 h. **(C)** Cell viability was measured in MPM and NRK-52E cells after treated with ESI for 24 h. **(D,E)** Intracellular ROS levels were measured in HCT116 and RKO cells after treated with ESI (20 μ M) for indicated time periods. **(F)** Intracellular ROS levels were measured in HCT116 and RKO cells after treated with ESI for 2 h. **(G)** Cells were pretreated with NAC (5 mM) for 2 h before exposure to ESI. Intracellular ROS levels were measured after treated with ESI (20 μ M) for 2 h. **(H,I)** Cells were pretreated with NAC (5 mM) for 2 h before exposure to ESI. Cell viability was measured after treated with ESI for 24 h. **(J)** Cells were pretreated with NAC (5 mM) for 2 h and cell morphology was observed after treated with ESI for 24 h. Data from three technical replicates (* $p < 0.05$, ** $p < 0.01$ versus DMSO group, # $p < 0.05$ versus ESI-20 group).

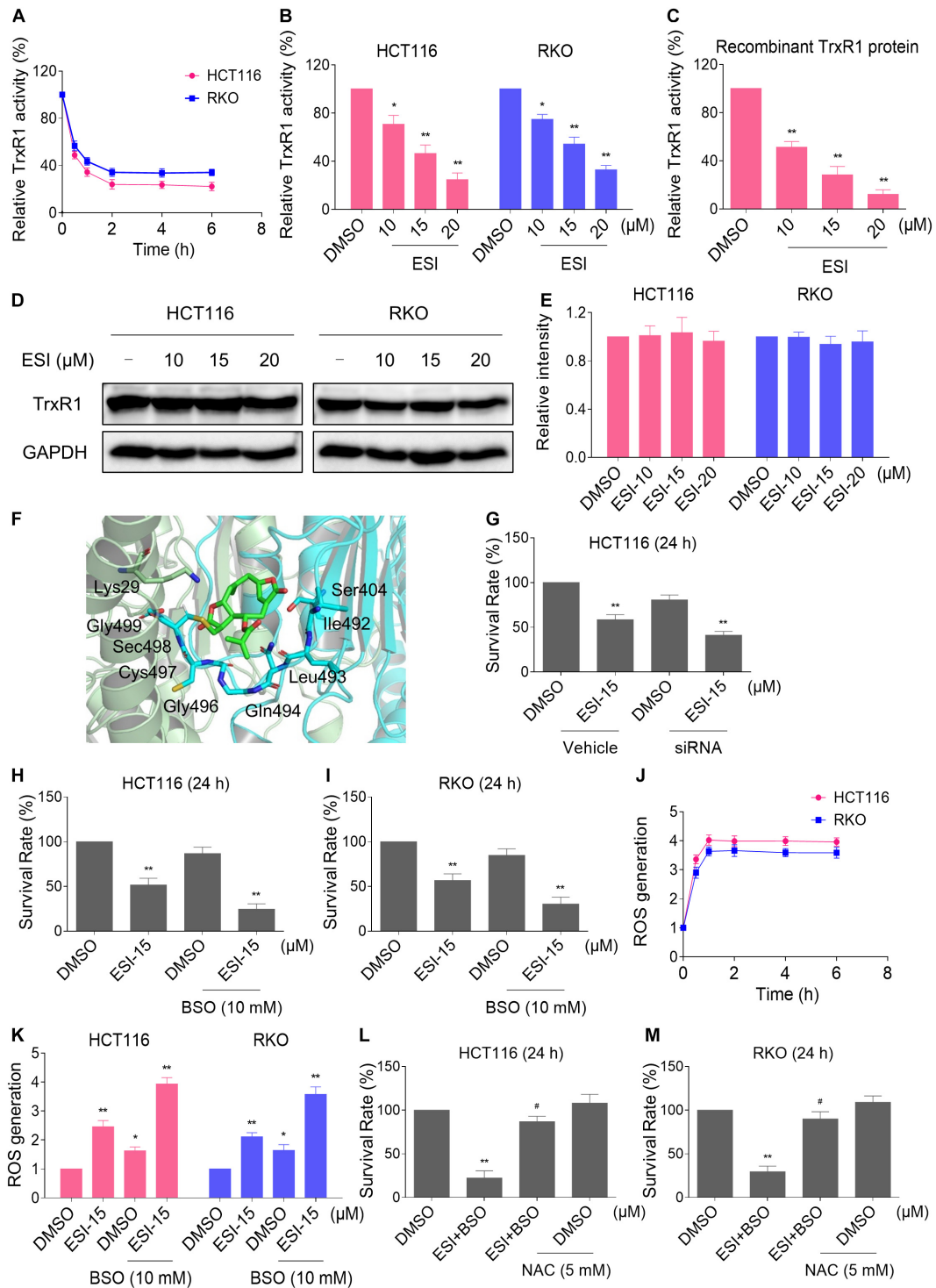


FIGURE 2 | ESI inhibits TrxR1 activity in HCT116 and RKO cells. **(A)** TrxR1 activity was measured by the endpoint insulin reduction assay after treated with ESI (20 μM) for indicated time periods. **(B)** TrxR1 activity was measured by the endpoint insulin reduction assay after treated with ESI for 2 h. **(C)** TrxR1 protein activity was measured by the DTNB assay after treated with ESI for 2 h. **(D,E)** HCT116 and RKO cells were treated with ESI for 12 h and then lysed for Western blot analyses with the indicated antibodies. The intensities of TrxR1 and GAPDH bands were quantified using ImageJ software. TrxR1 protein levels were normalized to GAPDH. **(F)** Molecular docking of ESI with TrxR1 protein was carried out with the docking software. **(G)** HCT116 cells transfected with TrxR1 siRNA or control siRNA were treated with ESI for 24 h. Cell viability was measured using a methyl thiazolyl tetrazolium assay. **(H,I)** Cell viability was measured after treated with ESI or BSO alone or their combination for 24 h. **(J)** Intracellular ROS levels were measured after treated with ESI (15 μM) and BSO (10 mM) combination for indicated time periods. **(K)** Intracellular ROS levels were measured after treated with ESI or BSO alone or their combination for 2 h. **(L,M)** Cells were pretreated with NAC (5 mM) for 2 h and cell viability was measured after treated with ESI (15 μM) and BSO (10 mM) combination for 24 h. Data from three technical replicates (**p* < 0.05, ***p* < 0.01 versus DMSO group, #*p* < 0.05 versus ESI+BSO group).

Glutathione (GSH) is the most abundant antioxidant in cells, and plays a critical role in cellular antioxidant defenses. GSH acting in concert with its dependent enzymes, known as the GSH system, which is another redox regulatory network in cells besides the thioredoxin system, and it also acts as a backup of the thioredoxin system (Du et al., 2012; Harris et al., 2015). L-Buthionine-sulfoximine (BSO) is a sulfoximine which reduces levels of GSH and is being investigated as an adjunct with chemotherapy in the treatment of cancer (Lien et al., 2016; Rashmi et al., 2018). Therefore, we set out to evaluate the synergistic effects of ESI and BSO. Using the MTT assay, we found that ESI in combination with BSO exhibited a synergistic effect against both HCT116 and RKO cells (Figures 2H,I). Furthermore, compared with ESI or BSO treatment alone, the combined treatment greatly increased ROS levels in HCT116 and RKO cells (Figures 2J,K). To investigate the role of ROS in the combined treatment-induced cell death, the cells were treated with the combination of ESI and BSO after pretreated

with antioxidant NAC. As shown in Figures 2L,M, NAC pretreatment significantly attenuated the combined treatment-induced cytotoxicity in both HCT116 and RKO cells. Taken together, these data indicate that ESI induces ROS-mediated cell death by inhibiting TrxR1 activity.

ESI Activates JNK Signaling Pathway in Human Colon Cancer Cells

In the presence of ROS, the oxidized thioredoxin (Trx) form is released and subsequently activates apoptosis signal-regulating kinase 1 to induce cell death via activation of the JNK signaling pathway (Jin et al., 2015; Mantzaris et al., 2016). Therefore, we set out to determine whether the JNK signaling pathway was activated in HCT116 and RKO cell lines when treated with ESI. As shown in Figures 3A–C, the JNK signaling pathway was indeed activated in both cell lines. In addition, ESI treatment increased the phosphorylation of JNK in a dose-dependently manner (Figures 3D–F). We next sought to

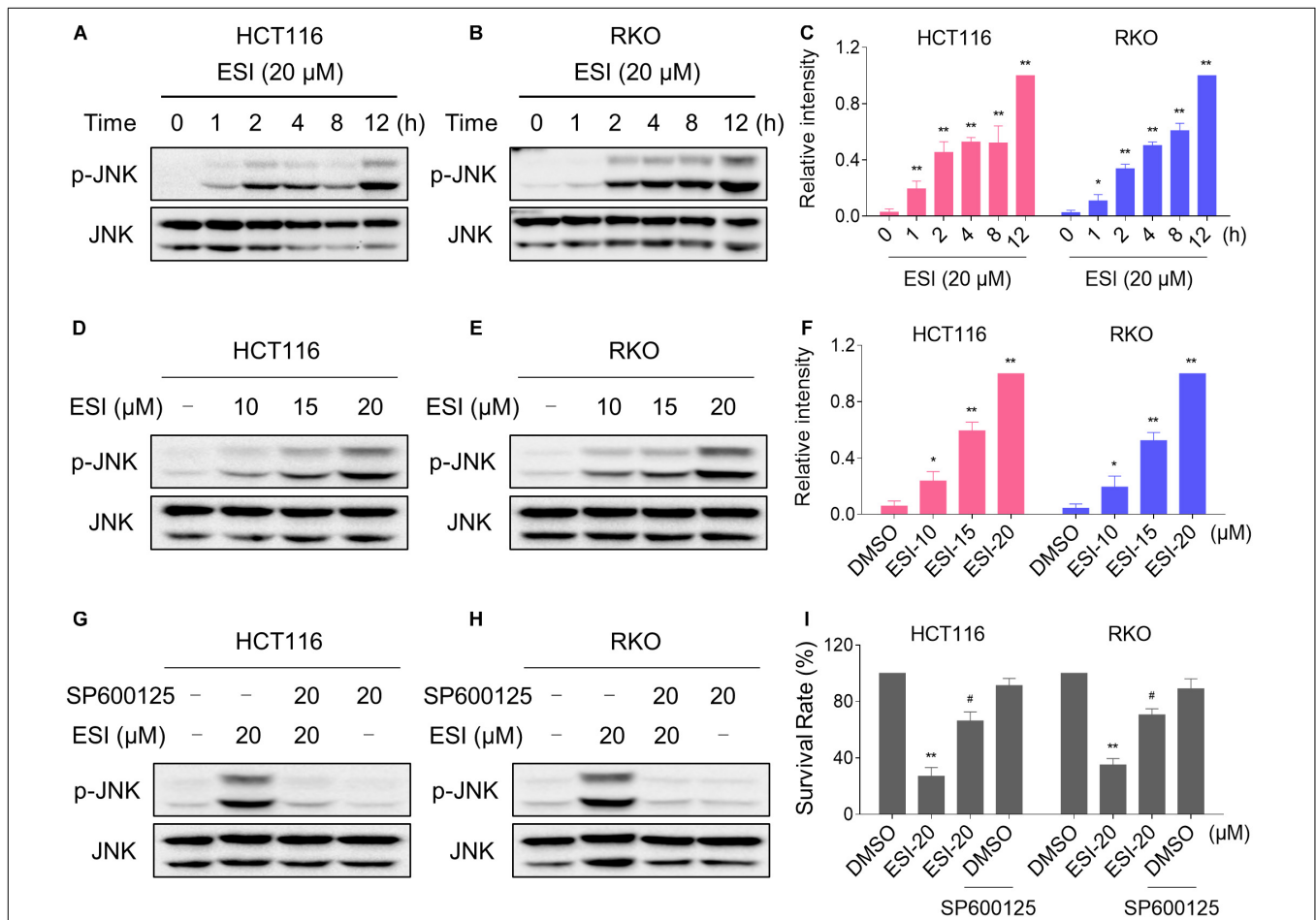


FIGURE 3 | ESI activates JNK signaling pathway in HCT116 and RKO cells. (A–C) Cells were treated with ESI for indicated time periods and then lysed for Western blot analyses with the indicated antibodies. The intensities of p-JNK and JNK bands were quantified using ImageJ software. p-JNK protein levels were normalized to JNK. (D–F) Cells were treated with ESI for 12 h and then lysed for Western blot analyses with the indicated antibodies. (G,H) Cells were pretreated with SP600125 (20 μM) for 2 h before exposure to ESI. Cell lysates were blotted with the indicated antibodies after treated with ESI for 12 h. (I) Cells were pretreated with SP600125 (20 μM) for 2 h and cell viability was measured after treated with ESI for 24 h. Data from three technical replicates (**p* < 0.05, ***p* < 0.01 versus DMSO group, #*p* < 0.05 versus ESI-20 group).

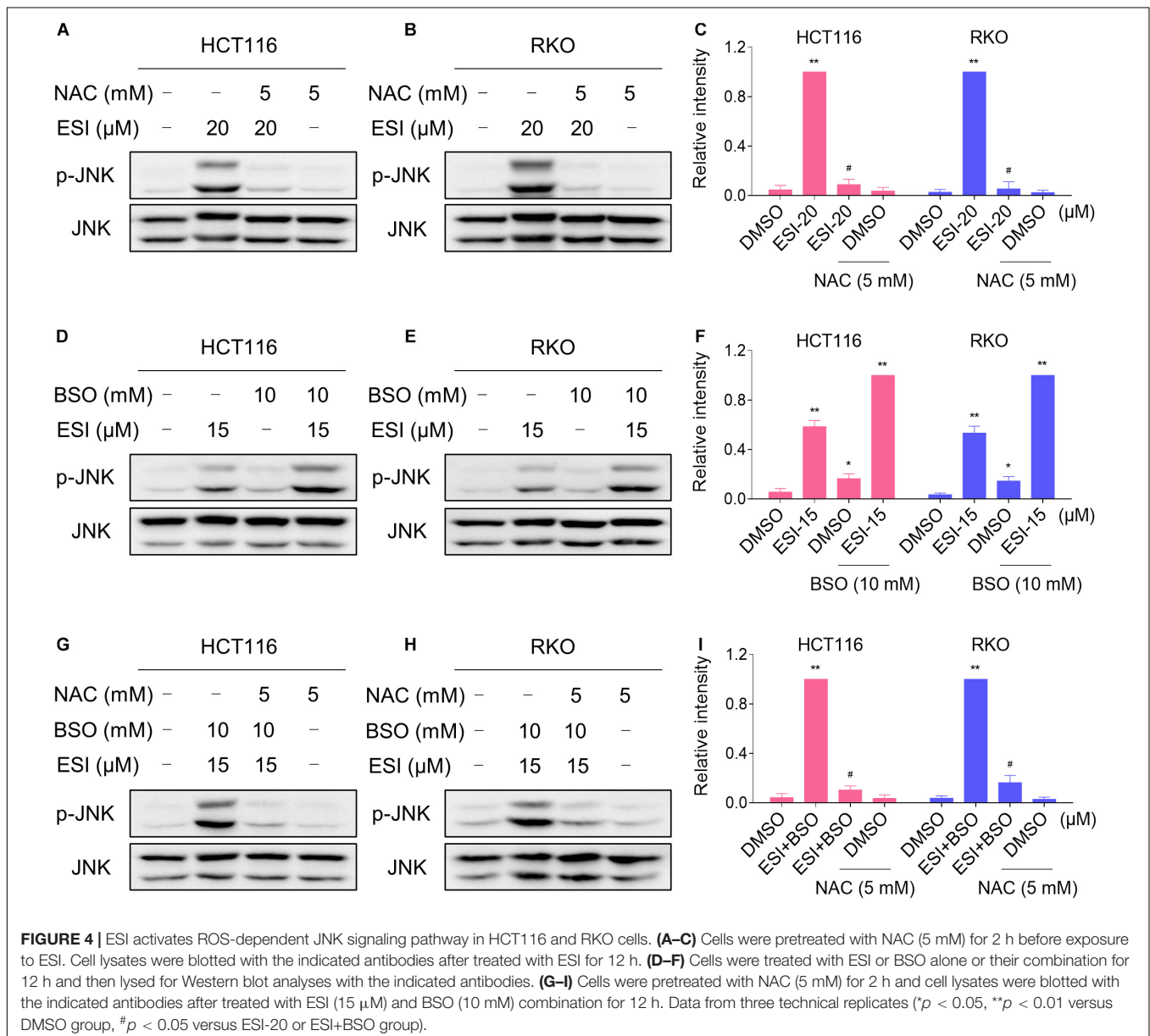
determine the role of JNK signaling pathway in mediating ESI-induced cell death in HCT116 and RKO cells. As shown in **Figures 3G,H**, the phosphorylation of JNK induced by ESI was greatly reversed when pre-treated with SP600125 (a JNK inhibitor). This was associated with an appreciable reduction in ESI-induced cell death in HCT116 and RKO cells, indicating that JNK activation is essential for ESI-induced cell death in colon cancer cells (**Figure 3I**).

We next investigated the relationship between ROS generation and JNK activation in colon cancer cells. As shown in **Figures 4A–C**, the phosphorylation of JNK induced by ESI was significantly reversed when pre-treated with NAC. To further extend this observation, we measured the level of JNK phosphorylation in HCT116 and RKO cells after treated with ESI and BSO combination. As shown in **Figures 4D–F**, ESI and

BSO synergistically increased the level of JNK phosphorylation in both cell lines. Moreover, the combined treatment-induced phosphorylation of JNK was markedly reversed by NAC pretreatment in both HCT116 and RKO cells (**Figures 4G–I**). Together, these findings indicate that the JNK signaling pathway is a downstream effector of ROS induced by the combined treatment in colon cancer cells.

ESI and Cisplatin Combination Increases ROS Levels in Human Colon Cancer Cells

Several studies showed that some ROS inducers can sensitize the tumor cells to cisplatin (Yang et al., 2017; Sun et al., 2018; Hsu et al., 2019; Zhang et al., 2019). Therefore, we set out to determine



the synergistic effects of ESI and cisplatin. Using the MTT assay, we found that 15 μ M ESI greatly increased the cytotoxicity of cisplatin in HCT116 and RKO cells (Figures 5A,C). The CI values were calculated from the MTT assay and suggested that ESI in combination with cisplatin exhibited a synergistic effect against both HCT116 and RKO cells (Figures 5B,D). Since ROS generation plays a critical role in ESI-induced cell death, we set out to determine whether ROS was upregulated in the HCT116 and RKO cell lines when treated with ESI and cisplatin combination. As shown in Figure 5E, ESI and cisplatin synergistically increased the levels of ROS in both cell lines.

Excessive amounts of ROS can cause oxidative damage to lipids and DNA (Park et al., 2018; Srinivas et al., 2018). Using an immunofluorescence assay, we found that combined treatment with ESI and cisplatin resulted in a significant accumulation of nuclear 53BP1 foci in HCT116 and RKO cells (Figure 5F). In addition, the combined treatment-induced accumulation of ROS and nuclear 53BP1 foci were markedly reversed by NAC pretreatment in both cell lines (Figures 5G,H). To further investigate the role of ROS in the combined treatment-induced cell death, the cells were treated with the combination of ESI and cisplatin after pre-treated with antioxidant NAC. As shown in Figure 5I, NAC pretreatment greatly attenuated the combined treatment-induced cytotoxicity in both HCT116 and RKO cells. Taken together, these data indicate that ESI and cisplatin combination induces ROS-mediated cell death in colon cancer cells.

ESI and Cisplatin Cooperated to Activate ROS-Dependent JNK Signaling Pathway

We next tested if the JNK signaling pathway was activated in HCT116 and RKO cell lines when treated with ESI and cisplatin. As shown in Figures 6A–C, ESI in combination with cisplatin increased the level of JNK phosphorylation in a time-dependently manner. Moreover, ESI and cisplatin synergistically increased the level of JNK phosphorylation in both cell lines (Figures 6D–F). We then attempted to investigate the relationship between ROS generation and JNK activation induced by the combined treatment in HCT116 and RKO cells. As shown in Figures 6G–I, the combined treatment-induced phosphorylation of JNK was markedly reversed by NAC pretreatment in both cell lines, indicating that activation of the JNK signaling pathway is due to accumulation of intracellular ROS in colon cancer cells.

ESI and Cisplatin Cooperated to Inhibit Tumor Growth of HCT116 Xenografts in Nude Mice

To extend our finding *in vivo*, we inoculated HCT116 cells into the athymic mice subcutaneously. The mice were equally divided into four groups (six mice/group) and received the following treatments: (1) control vehicle; (2) ESI (10 mg/kg); (3) cisplatin (4 mg/kg); (4) ESI (10 mg/kg) plus cisplatin (4 mg/kg). As shown in Figures 7A–C, 10 mg/kg ESI or 4 mg/kg cisplatin treatment effectively reduced tumor growth of HCT116 xenografts. Remarkably, the combined treatment with ESI and cisplatin showed stronger inhibitory effect on tumor growth

in nude mice. Mechanistically, ESI and cisplatin synergistically inhibited the expression of Ki-67 and increased the level of γ -H2A.X in the tumor tissues (Figure 7D). Furthermore, we found that ESI in combination with cisplatin markedly increased the level of MDA, a marker of oxidative stress, in the tumor tissues (Figure 7E). These *in vivo* data support our findings in cell culture experiments and further strengthen the hypotheses that the generation of ROS is critical for the synergistic effect of ESI and cisplatin.

DISCUSSION

Colon cancer is one of the leading causes of cancer-related deaths worldwide. Chemotherapy remains an important therapeutic strategy for colon cancer. However, the application of conventional chemotherapeutic drugs is limited due to drug resistance and toxicities (Rabik and Dolan, 2007; Al-Batran et al., 2019; Pan et al., 2019). Therefore, the development of more effective drugs and/or drug combinations for colon cancer has high priority. Here, we investigated the effect and mechanism of ESI in colon cancer cells. We found that ESI potently inhibited the growth of colon cancer cells *in vitro* and in nude mice. Remarkably, we verified TrxR1 was a target of ESI and showed that ESI induced ROS generation by inhibiting TrxR1 activity. In addition, we showed that ESI has synergistic effects with the frontline chemotherapeutic agent cisplatin, suggesting that such a combinatorial treatment might be a more effective strategy for colon cancer treatment.

Under physiological conditions, ROS production and elimination is tightly regulated. Compared with normal cells, cancer cells usually generate and maintain higher ROS levels due to distorted metabolism (Glasauer and Chandel, 2014; Schieber and Chandel, 2014). Elevated ROS levels render cancer cells more sensitive to agents that increases ROS generation. Therefore, manipulating ROS levels by redox modulation is a useful strategy to selectively kill cancer cells (Trachootham et al., 2009; Gorrini et al., 2013). In the present study, we showed that ESI treatment resulted in a significant increase in intracellular ROS levels, and that pretreatment with NAC significantly reversed ESI-induced ROS generation and cell death, indicating that ROS play an important role in the antitumor activity of ESI. We also identified the downstream effector of ROS induced by ESI in the cell death process. We found that ESI treatment concomitantly activated the JNK signaling pathway, as indicated by increased phosphorylation of JNK. Moreover, we found that pretreatment with NAC markedly reversed ESI-induced phosphorylation of JNK in colon cancer cells, suggesting that ROS acts as an upstream signaling molecule involved in ESI-induced activation of the JNK signaling pathway.

Understanding the molecular mechanism underlying the antitumor action of ESI may optimize the design of ESI-based therapies. TrxR1 is a selenoprotein that functions to reduce the oxidoreductase Trx in a NADPH dependent manner, and plays a critical role in regulating the cellular redox balance (Arner, 2017). Accumulating evidence indicates that intracellular ROS levels may be increased when the TrxR1 activity is chemically inhibited

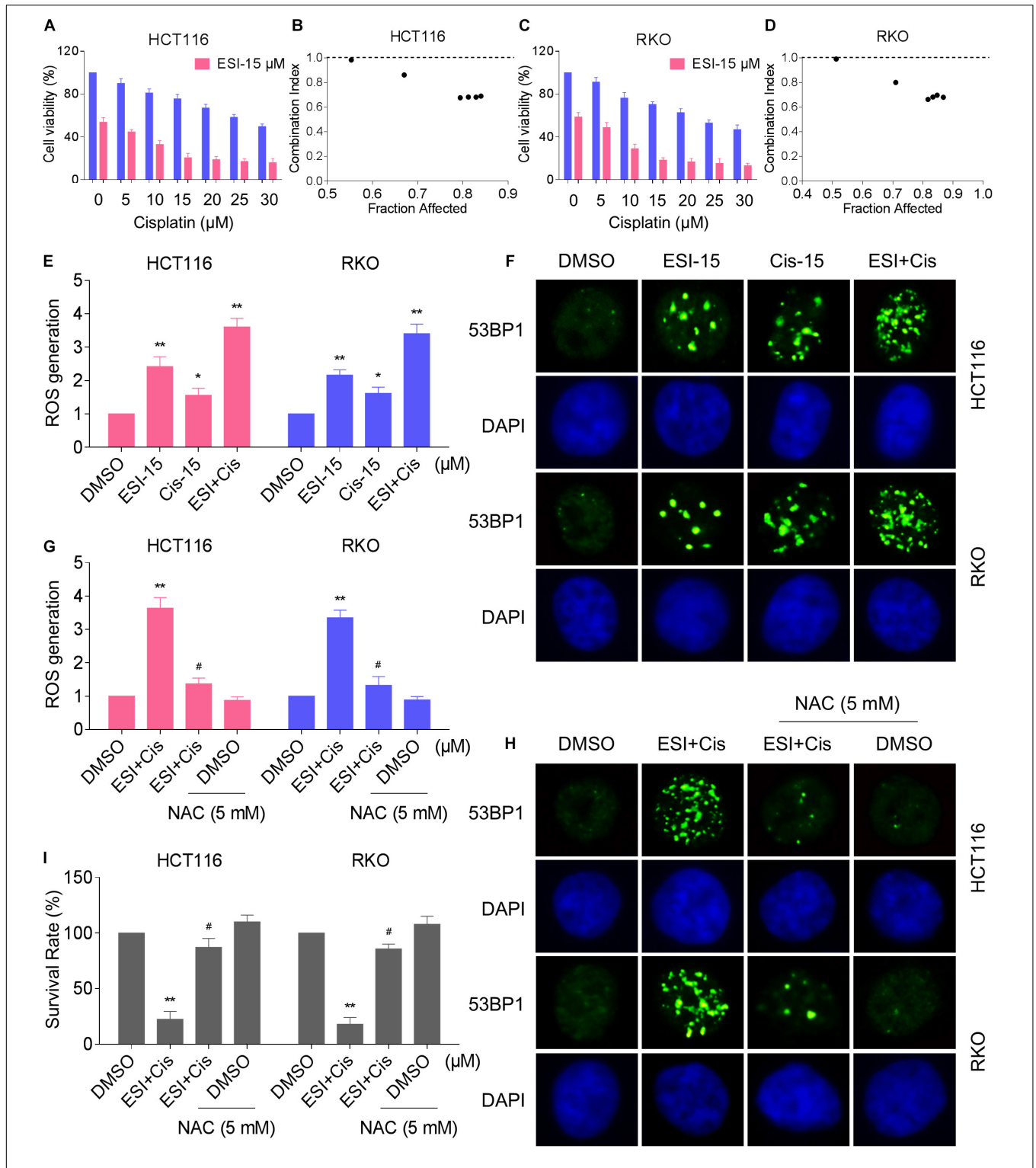
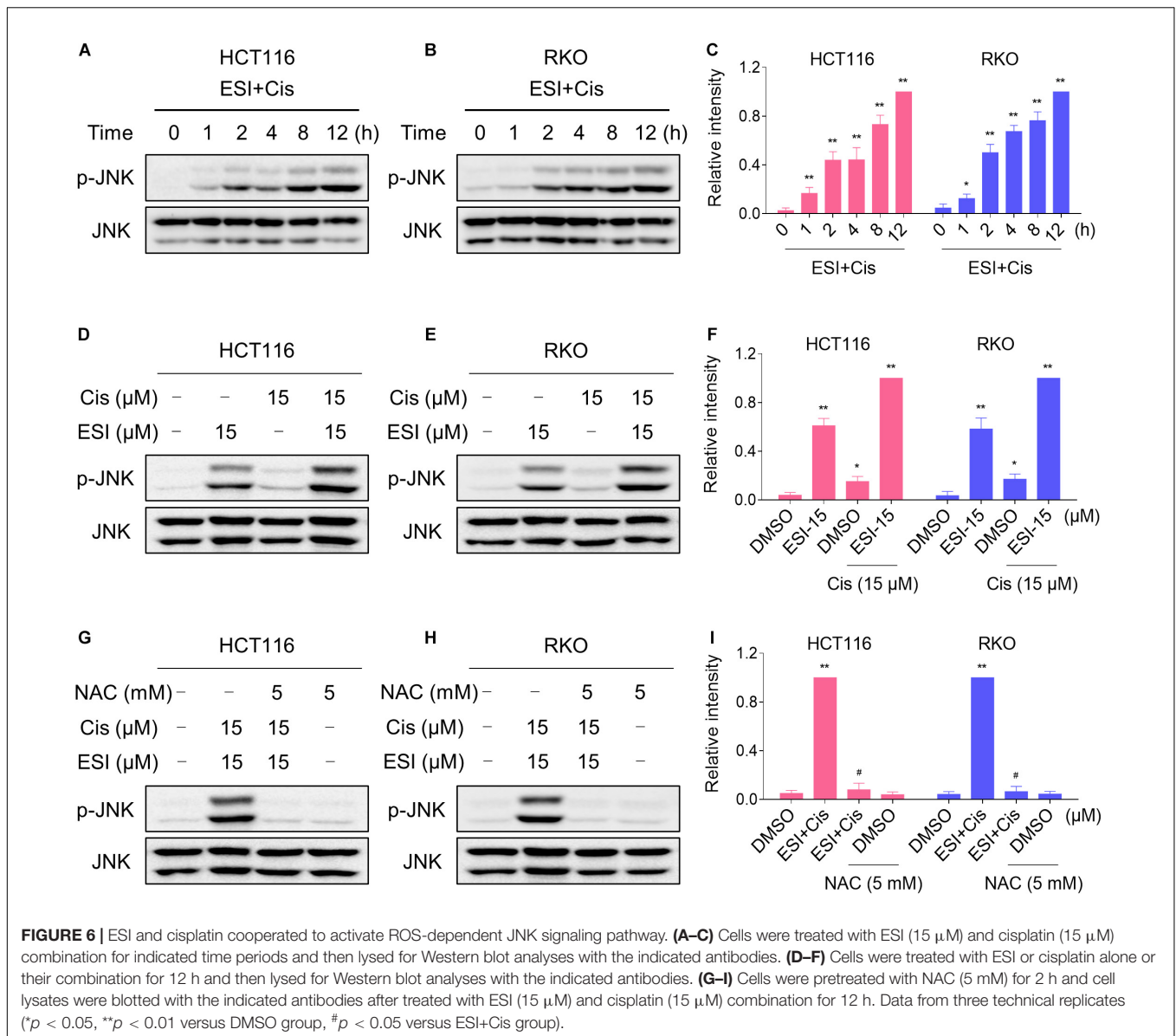


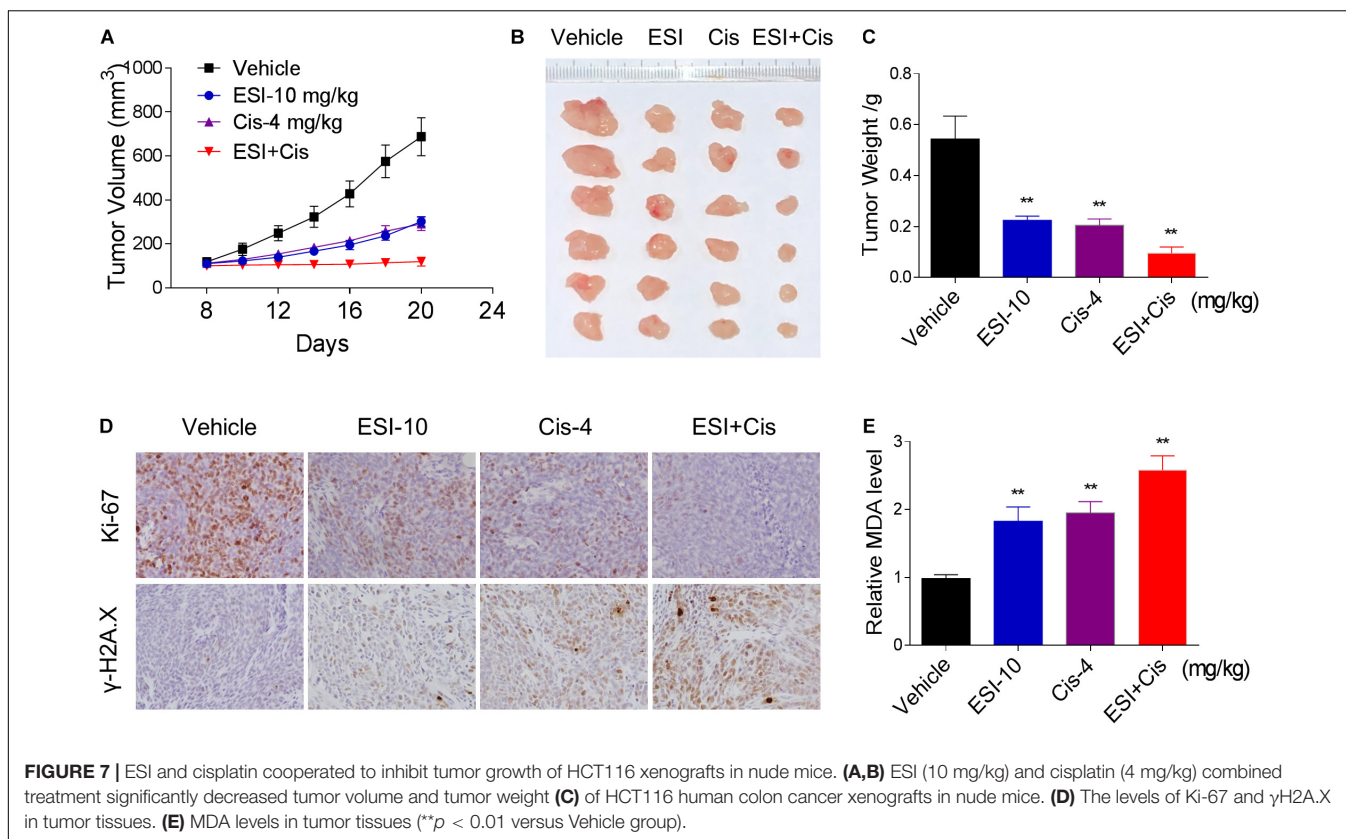
FIGURE 5 | ESI and cisplatin combination increases ROS levels in HCT116 and RKO cells. **(A,C)** Cell viability was measured after treated with ESI or cisplatin alone or their combination for 24 h. **(B,D)** Combination index (CI) values were calculated from the MTT assays using Calcsyn software. **(E)** Intracellular ROS levels were measured after treated with ESI (15 μM) or cisplatin (15 μM) alone or their combination (15 μM ESI and 15 μM cisplatin) for 2 h. **(F)** The nuclear foci formation of 53BP1 was detected after treated with ESI (15 μM) or cisplatin (15 μM) alone or their combination (15 μM ESI and 15 μM cisplatin) for 20 h. **(G)** Cells were pretreated with NAC (5 mM) for 2 h and intracellular ROS levels were measured after treated with ESI (15 μM) and cisplatin (15 μM) combination for 2 h. **(H)** Cells were pretreated with NAC (5 mM) for 2 h and nuclear foci formation of 53BP1 was detected after treated with ESI (15 μM) and cisplatin (15 μM) combination for 20 h. **(I)** Cells were pretreated with NAC (5 mM) for 2 h and cell viability was measured after treated with ESI (15 μM) and cisplatin (15 μM) combination for 24 h. Data from three technical replicates (**p* < 0.05, ***p* < 0.01 versus DMSO group, #*p* < 0.05 versus ESI+Cis group).



(Duan et al., 2016; Dagnell et al., 2018; Zheng et al., 2019). Accordingly, we found that TrxR1 activity in colon cancer cells was decreased with increasing ESI concentration. In addition, we demonstrated that ESI directly inhibited the TrxR1 protein activity in a dose-dependent manner. The densitometric analysis of Western blot bands revealed that ESI treatment does not affect the expression of TrxR1 in colon cancer cells. Furthermore, we found that TrxR1 knockdown sensitized cells to ESI, which was consistent with previous studies (Duan et al., 2016; Yao et al., 2020). The thioredoxin and GSH systems play important roles in regulating the cellular redox balance (Du et al., 2012; Harris et al., 2015; Kengen et al., 2018). Interestingly, we found that BSO significantly enhanced ESI-induced cell death in HCT116 and RKO cells via promoting generation of ROS, indicating that a combination therapy inhibiting both thioredoxin and GSH systems may become an effective way to treat colon cancer.

Further insight into the roles of other antioxidant systems such as Nrf2 and GPX4, and how they act both alone and together, will provide important clues into more effective therapies for cancer patients.

A number of physical treatments or antitumor drugs, such as exemestane (Nuvoli et al., 2018), sorafenib (Roh et al., 2017), cisplatin (Pan et al., 2019), osimertinib (Tang et al., 2017), and irradiation (He et al., 2015), act, at least in part, through the generation of ROS. In this study, we showed that ESI significantly enhanced cisplatin-induced cell death in HCT116 and RKO cells via promoting generation of ROS and activation of the JNK signaling pathway. In addition, we demonstrated that ESI enhanced DNA damage induced by cisplatin based on increased formation of nuclear 53BP1 foci. The observation that ESI sensitizes the response of colon cancer cells to cisplatin may provide a promising strategy for colon



cancer treatment: combination of ESI with existing oxidative stress-causing antitumor drugs or physical treatments, such as ionizing radiation (IR) and photodynamic therapy (PDT).

In conclusion, we have discovered a novel small molecule inhibitor of TrxR1, and showed that ESI induced cell death through ROS-mediated JNK signaling pathway in colon cancer cells. Our findings clearly demonstrated that ESI can be developed as a novel anticancer drug for the treatment of colon cancer. Furthermore, we found that ESI significantly enhanced the antitumor activity of cisplatin *in vitro* and *in vivo*. These findings provided new insight into the molecular mechanisms of antitumor action of ESI, which may provide potential therapies for the treatment of colon cancer.

MATERIALS AND METHODS

Materials

Isodeoxyephantopin (ESI) was purchased from Chengdu Herbpurify Co., Ltd. (Chengdu, China). ESI was dissolved in dimethyl sulfoxide (DMSO). JNK inhibitor SP600125 was obtained from Selleck Chemicals (Houston, TX, United States). L-Buthionine-sulfoximine (BSO) was purchased from Aladdin Industrial Corporation (Shanghai, China). NAC was purchased from Sigma (St. Louis, MO, United States). Antibodies of p-JNK and JNK were purchased from Cell Signaling Technology (Danvers, MA, United States). Antibodies of TrxR1 and GAPDH were purchased from Santa Cruz Biotechnology (Santa Cruz, CA,

United States). Antibodies of Ki-67 and γ -H2A.X antibody were purchased from Abcam (Cambridge, MA, United States). The 53BP1 antibody was purchased from Novus Biologicals (Littleton, CO, United States).

Cell Culture

HCT116, RKO and NRK-52E cell lines were obtained from the Cell Bank of Shanghai Institute of Biochemistry and Cell Biology, Chinese Academy of Sciences. HCT116 cells were grown in McCoy's 5A medium plus 10% fetal bovine serum (FBS). RKO cells were grown in minimum essential medium plus 10% FBS. NRK-52E cells were grown in DMEM plus 10% FBS. Mouse peritoneal macrophage (MPM) cells were obtained as previously described (Zhao et al., 2015). All the cells were cultured in a humidified incubator with 5% CO₂ at 37°C.

Cell Viability Assay

Approximately 8,000 cells per well were seeded in 96-well plates and incubated overnight. Next, the cells were treated with ESI or cisplatin alone or their combination for 24 h. Cell viability was measured using a methyl thiazolyl tetrazolium assay. The drug interaction was evaluated by using the CI according to the Chou-Talalay method (Chou, 2010).

Measurement of Intracellular ROS

The fluorescent probe 2',7'-dichlorofluorescein diacetate (DCFH-DA) was employed to detect intracellular ROS levels. Briefly, cells were plated in 6-well plates and incubated overnight. Cells were

treated with ESI or cisplatin alone or their combination for the indicated times. Next, the cells were stained with 10 μ M DCFH-DA for 30 min before collecting. For quantitative assessment of intracellular ROS levels, the cells were collected and analyzed by FACSCalibur flow cytometer.

Western Blot Analysis

Cells were seeded in 6-well plates and incubated overnight. After various treatments, the cells were washed once with 1 ml of phosphate-buffered saline and lysed using cell lysis buffer. The same amount of lysate proteins were separated by 10% SDS-PAGE and electroblotted onto PVDF transfer membranes. The blots were blocked with five percent non-fat milk in TBST for 2 h at room temperature. Then incubated with specific primary antibodies overnight at 4°C. HRP-conjugated secondary antibodies and ECL substrate (Bio-Rad, Hercules, CA, United States) were used for detection.

Measurement of TrxR1 Activity

Cells were seeded in 6-well plates and incubated overnight. Next, the cells were treated with ESI for the indicated time periods and lysed with lysis buffer. TrxR1 activity in cell lysates was measured using an endpoint insulin reduction assay as previously described (Zou et al., 2016). The TrxR1 (14638, Cayman Chemical, MI, United States) activity was determined at room temperature using the DTNB assay. The NADPH-reduced TrxR1 (170 nM) protein was treated with varying concentrations of ESI for the indicated time in a 96-well plates. A master mixture of Tris-EDTA buffer (1 mM EDTA, 50 mM Tris-HCl, pH 7.5) containing NADPH (200 μ M) and DTNB (2 mM) was added. The linear increase in absorbance at 412 nm during the initial 3 min was recorded.

Transient Transfection of Small Interfering RNA (siRNA)

The siRNA duplexes used in this study were obtained from Sigma (St. Louis, MO, United States). The sequences of siRNA were described previously (Zou et al., 2016). Sense 5'-(CUUUGCAGCUGCGCUCAAA)dTdT-3', antisense 5'-(UUUGAGCGCAGCUGCAAAG)dT dT-3'. The siRNA duplexes targeting TrxR1 were transfected into HCT116 cells. Forty-eight hours post-transduction, the cells were washed with complete media and plated with or without ESI for 24 h for assessing cell survival.

Docking of ESI to the TrxR1 Structural Model

The crystal structure of rat TrxR1 (PDB code 3EAN, chainA and chain B) was used for present docking study as described previously (Cheng et al., 2009; Liu et al., 2019). The center coordination of dock pocket was set as 1.49, 5.74, and 159.58. A grid box size of 60 \times 60 \times 60 points with a spacing of 0.375 Å between the grid points was implemented. The default parameters were used for running the docking simulation.

Immunofluorescence Staining

Cells were seeded on sterile cover glasses placed in the 6-well plates and incubated overnight. Next, the cells were treated with ESI or cisplatin alone or their combination for 20 h. For immunofluorescence, the cells were stained with a primary antibody (53BP1, 1:2,000 dilution) overnight at 4°C. Next, the cells were incubated with a DyLight 488 conjugated secondary antibody for 1.5 h at room temperature. The images were obtained using a Leica fluorescence microscope.

Immunohistochemistry Staining

For immunohistochemistry, 5- μ m sections from paraformaldehyde-fixed paraffin-embedded tissues were deparaffinized in xylenes solvent and rehydrated through a graded alcohol series. Immunohistochemistry analyses of Ki-67 and γ -H2A.X were performed according to the protocol described previously (He et al., 2019).

Xenograft Experiments

Five-week-old athymic BALB/c nude mice (total $n = 24$) were used for *in vivo* experiments. All animals used in this study were handled according to the Institutional Animal Care and Use Committee (IACUC) guidelines, Wenzhou Medical University. The animals were housed at a constant room temperature with a 12 h light/12 h dark cycle and fed a standard rodent diet and water. HCT116 cells (5×10^6 cells in 100 μ l of phosphate-buffered saline) were injected subcutaneously into the right back of nude mice. The mice were treated with ESI, cisplatin, or the combination by intraperitoneal (i.p.) injection once every other day at the indicated doses. The tumor volumes were measured to observe dynamic changes in tumor growth and calculated according to the formula: $V \text{ (mm}^3\text{)} = 0.5 \times D \times d^2$, where D and d are the longest and the shortest diameters, respectively. At the end of the experiment, all nude mice were sacrificed, and the tumor tissues were removed and measured.

MDA Assay

Malondialdehyde is a terminal product of lipid peroxidation. For the MDA assay, tissue proteins of tumor xenograft were homogenized in ice-cold RIPA buffer. The protein concentrations were determined using the Bradford assay (Bio-Rad, Hercules, CA, United States). The MDA levels were detected according to the protocol described previously (Zou et al., 2016).

Statistical Analysis

The data are expressed as means \pm standard error of the mean (SEM). Significant differences between control and experimental groups were determined by *t*-test analyses using statistical software, GraphPad Prism 5.0. A probability (P) value of <0.05 was considered statistically significant.

DATA AVAILABILITY STATEMENT

All datasets presented in this study are included in the article/supplementary material.

ETHICS STATEMENT

The animal study was reviewed and approved by the Institutional Animal Care and Use Committee (IACUC) guidelines, Wenzhou Medical University.

AUTHOR CONTRIBUTIONS

YX and PZo designed the study. YX and PZo supervised the study and wrote the manuscript. LH and JC performed the experiments. FaW, FeW, XS, PZh, RS, KL, ZL, DC, GL, and YC collected and analyzed the data. All

authors contributed to the article and approved the submitted version.

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Mifepristone as a Potential Therapy to Reduce Angiogenesis and P-Glycoprotein Associated With Glioblastoma Resistance to Temozolomide

Montserrat Llaguno-Munive^{1,2}, Sebastián León-Zetina¹, Inés Vazquez-Lopez¹, María del Pilar Ramos-Godínez³, Luis A. Medina^{4,5} and Patricia García-Lopez^{1*}

¹ Laboratorio de Farmacología, Subdirección de Investigación Básica, Instituto Nacional de Cancerología, Mexico City, Mexico, ² Posgrado en Ciencias Biomédicas, Universidad Nacional Autónoma de México, Mexico City, Mexico, ³ Departamento de Patología Quirúrgica, Instituto Nacional de Cancerología, Mexico City, Mexico, ⁴ Unidad de Investigación Biomédica en Cáncer INCan-UNAM, Instituto Nacional de Cancerología, Mexico City, Mexico, ⁵ Instituto de Física, Universidad Nacional Autónoma de México, Coyoacán, Mexico City, Mexico

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Keith R. Laderoute,
SRI International, United States
Eduardo J. Salustiano,
Federal University of Rio de Janeiro,
Brazil

*Correspondence:

Patricia García-Lopez
pgarcia_lopez@yahoo.com.mx

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Glioblastoma, the most common primary central nervous system tumor, is characterized by extensive vascular neof ormation and an area of necrosis generated by rapid proliferation. The standard treatment for this type of tumor is surgery followed by chemotherapy based on temozolomide and radiotherapy, resulting in poor patient survival. Glioblastoma is known for strong resistance to treatment, frequent recurrence and rapid progression. The aim of this study was to evaluate whether mifepristone, an antihormonal agent, can enhance the effect of temozolomide on C6 glioma cells orthotopically implanted in Wistar rats. The levels of the vascular endothelial growth factor (VEGF), and P-glycoprotein (P-gp) were examined, the former a promoter of angiogenesis that facilitates proliferation, and the latter an efflux pump transporter linked to drug resistance. After a 3-week treatment, the mifepristone/temozolomide regimen had decreased the level of VEGF and P-gp and significantly reduced tumor proliferation (detected by PET/CT images based on 18F-fluorothymidine uptake). Additionally, mifepristone proved to increase the intracerebral concentration of temozolomide. The lower level of O6-methylguanine-DNA-methyltransferase (MGMT) (related to DNA repair in tumors) previously reported for this combined treatment was herein confirmed. After the mifepristone/temozolomide treatment ended, however, the values of VEGF, P-gp, and MGMT increased and reached control levels by 14 weeks post-treatment. There was also tumor recurrence, as occurred when administering temozolomide alone. On the other hand, temozolomide led to 100% mortality within 26 days after beginning the drug treatment, while mifepristone/temozolomide enabled 70% survival 60–70 days and 30% survived over 100 days, suggesting that mifepristone could possibly act as a chemo-sensitizing agent for temozolomide.

Keywords: glioblastoma, temozolomide, mifepristone, drug resistance, angiogenesis, P-gp

INTRODUCTION

Glioblastoma is the most frequent primary neoplasm of the central nervous system and the most aggressive brain tumor, with a life expectancy of 14–15 months post-diagnosis (1–3). It is characterized by uncontrolled cell proliferation, highly diffuse infiltration, resistance to apoptosis, robust angiogenesis, and DNA repair mechanisms contributing to drug resistance. The standard treatment for glioblastoma is surgery followed by chemotherapy based on temozolomide and radiotherapy, which leads to poor patient survival.

The growth of glioblastoma is associated with its capacity to maintain a balanced expression of proteins that control the cell cycle and allow for proliferation, motility and vascular neoformation. Furthermore, it is able to avoid recognition by the immune system. Reports in the Cancer Genome Atlas (TCGA) identify three main pathways participating in the pathogenesis of glioma: (RTK)/RAS/(PI3K), p53, and retinoblastoma (4).

A major factor in the strong resistance of tumors to temozolomide treatment is the overexpression of enzyme O6-methylguanine-DNA-methyltransferase (MGMT), which participates in the repair of temozolomide-induced DNA damage. Our group previously demonstrated that mifepristone enhances the temozolomide-induced decrease in orthotopic glioblastoma tumors by increasing apoptosis and reducing levels of MGMT (thus impeding repair of DNA damage) (5).

Among other pathways of glioma resistance to treatment described in the literature are those that contribute to angiogenesis, the formation of new blood vessels from a pre-existing vascular network. Several studies have correlated increased tumor vascularization with a lower rate of patient survival. Indeed, in the absence of angiogenesis, tumors cannot grow beyond a size of 1–2 mm³ (6). One of the main promoters of angiogenesis is hypoxia, which stimulates the synthesis of the most important mediator in angiogenesis, the vascular endothelial growth factor (VEGF). The receptors of VEGF are reported to be overexpressed in glioblastoma (7, 8). Among the strategies for inhibiting the expression of VEGF is the use of bevacizumab, a humanized monoclonal antibody. Two phase III studies on this drug have showed that the addition of bevacizumab to standard treatment (radiotherapy–temozolomide) for patients with newly diagnosed glioblastoma, was associated with a 4-month increase in progression-free survival without a significant effect on overall survival. Moreover, there was an increase in adverse events associated with bevacizumab (9, 10), emphasizing the need to seek new pharmacological strategies.

Another pathway involved in glioblastoma is related to the blood–brain barrier (BBB). Many promising chemotherapeutic agents have had great difficulty in overcoming the mechanisms of the BBB. On one hand, it is a physical barrier comprised of tight junctions between endothelial cells and a lack of fenestrae. In addition, it is an active efflux system that transports a wide range of antineoplastic drugs (e.g., temozolomide) out of the brain. The best known of these transporters is P-glycoprotein (P-gp), a membrane protein belonging to the superfamily of ATP-binding

cassette (ABC) transporters. The blocking of these transport proteins might be useful in the treatment of glioblastoma (11–14).

To date, the search for new treatments against glioblastoma has not improved the survival of patients. An attractive strategy is the repositioning of approved drugs for use in combination with standard therapy. One attractive candidate for repositioning is mifepristone, a synthetic steroid that serves as an abortifacient drug based on anti-progestational and anti-glucocorticoid action. Mifepristone reportedly has antiproliferative effects in breast (15, 16), cervix (17), endometrium (18), ovary (19), and prostate cancer (20), can cross the BBB, and provides palliative effects on brain tumors such as meningiomas (21) and glioblastoma (22). Additionally, it is considered safe (with few adverse effects) and has a low cost. Besides reducing levels of MGMT (5), mifepristone is reported to diminish the activity of P-gp in human leukemia cancer cells (23) and a gastric cancer cell line (24). However, whether or not mifepristone is an inhibitor of P-gp on glioma cells or in the efflux transport system mediated by P-gp in the BBB has not yet been established. Likewise, there are no reports, to our knowledge, on its effect on temozolomide treatment.

Mifepristone may serve as a chemo-sensitizing drug, considering the descriptions in the literature of its inhibition of multiple targets in cancer cells. The aim of the present study was to evaluate the capacity of a mifepristone/temozolomide treatment in an orthotopic rat model of glioblastoma to modulate angiogenesis, reduce P-gp levels in the glioma tumors and increase the intracerebral concentration of temozolomide. Since tumors initially sensitive to chemotherapy often develop resistance, tumor recurrence was monitored after the combined treatment ended. Finally, the MGMT level was quantified as a parameter of DNA repair in tumor cells.

MATERIALS AND METHODS

Drugs and Reagents

Mifepristone and temozolomide were provided by Sigma Chemical Co. (St. Louis, MO, United States). Dulbecco's modified Eagle's medium (DMEM), FBS (fetal bovine serum), and EDTA (Ethylenediaminetetraacetic acid) were purchased from Gibco-BRL (Grand Island, NY, United States). LC-MS/MS grade methanol was acquired from J.T.Baker. Acetic acid was of analytical grade. High-quality water for the solutions was processed with a Milli-Q Reagent Water System (Continental Water Systems, El Paso, TX, United States). A stock solution of temozolomide was prepared in DMSO at a final concentration of 4% and mifepristone was reconstituted in polyethylene glycol/saline solution. All standard solutions were stored at –20°C until use.

Animals

Male Wistar rats (230–250 g) were obtained from the Faculty of Medicine of the UNAM, Mexico City, Mexico. The animals were kept in pathogen-free conditions on a 12–12 h light/dark cycle, with adequate temperature and humidity. All procedures for the care and handling of the animals were reviewed and approved by the Ethics Committee of the “Instituto Nacional

de Cancerología” (INCan, Mexico City, Mexico), (Ref. No. 010/17/IBI-CEI/601/10), and were in accordance with the Mexican Federal Regulation for Animal Experimentation and Care (NOM-062-ZOO-1999, Ministry of Agriculture, Mexico).

Tumor Cell Implantation

The rat glioma C6 cell line was supplied by the American Type Culture Collection (ATCC, Rockville, United States). These cells were maintained under sterile conditions in DMEM medium (Gibco, Grand Island, NY, United States) supplemented with 5% fetal bovine serum and incubated at 37°C in a 5% CO₂ atmosphere.

The effect of Mif/Tz on tumor growth was evaluated on C6 glioma cells orthotopically implanted in Wistar rats. Each animal was anesthetized with a combination of tiletamine hydrochloride (10 mg/kg) and acepromazine maleate (0.4 mg/kg) administered subcutaneously (sc), then placed in a stereotactic device for surgery. The tumor cell implantation was performed according to Llaguno et al. (5). Briefly, after fastening the head in the frame, a midline incision was made and bregma was identified. The skull was then drilled at the coordinates of 2.0 mm right from bregma and 6 mm deep (hippocampus). C6 cells were harvested, washed and diluted in DMEM to a concentration of 7.5×10^5 in a volume of 3 μ L. Employing an infusion pump, these cells were slowly implanted at a depth of 6 mm from the dura mater. The sham group was surgically opened and instead of implanting cancer cells, culture medium was injected.

Treatments

At 2 weeks post-surgery, the rats were randomly divided into six groups: (A) negative control (without surgery and without treatment), (B) sham surgery (in the absence of glioma cells and drug treatments) and four groups with the surgical implantation of cancer cells: (C) without drug treatment (vehicle control), (D) temozolomide alone (Tz), (E) mifepristone alone (Mif), (F) mifepristone/temozolomide (Mif/Tz). Tz was administered at a dose of 5 mg/kg ip and Mif at a dose of 10 mg/kg sc. The drugs were given for five consecutive days (Monday–Friday) during 3 weeks.

Determination of Tumor Growth

Brain tumor proliferation was measured by capturing images with a microPET/CT scanner (Albira ARS, Oncovision, Spain) at 2, 5, 7, 9, and 14 weeks post-surgery. For this purpose, 300 μ Ci of 18F-fluorothymidine (18F-FLT) were administered into the caudal vein. Another method of tracking tumor growth was by monitoring animal weight. Rats were weighed three times/week throughout the experiment, recording the global survival of each group.

Histological Analysis

The rats were euthanized and perfused with saline solution followed by 4% paraformaldehyde. Brains were removed and immersed in 4% paraformaldehyde for 2 weeks. The brain tissue was embedded in paraffin and sliced into sections (2 mm thick) on the coronal plane for the subsequent analysis

with Eosin and Hematoxylin (H&E) and microvessel density immunohistochemical was evaluated with CD31 marker (#77699, Cell Signalling Technology).

Molecular Analysis

At the end of the study, the rats were sacrificed and the tumor was removed. The brain tissue was homogenized with a lysis buffer containing protease inhibitors (Cat. 78440; Thermo Scientist, TM). The samples were centrifuged at 10,000 *g* at 4°C and the supernatant was recovered. The proteins were quantified with the BCA (bicinchoninic acid) assay and separated by electrophoresis on 4–20% gradient gel (Mini-Protean TGX 456-1094, Bio-Rad Laboratories, Inc, United States). Colored markers (Bio-Rad, CA, United States) were included to establish size. For each sample, 40 μ g of protein were used. Following the transfer of the proteins onto PVDF membranes (Amersham, United Kingdom), the latter were blocked for 2 h at room temperature with 5% non-fat dry milk. The antibodies employed were anti-MGMT (sc-166528, 1:1000, Santa Cruz Biotechnology, TX, United States), P-gp (12683, 1:500, Cell Signalling Technology) and β -actin (sc-69879, 1:1000; Santa Cruz Biotechnology, TX, United States). After washing, the membranes were incubated with IRDye® 800 CW goat anti-mouse or IRDye® 680RD goat anti-rabbit secondary antibodies (1:15000; LI-COR, Inc.) for 1 h. The membranes were scanned on an Odyssey Imaging System and their intensity of fluorescence was measured with Image Studio software. In each figure, representative blot images were selected from the same gel. For the evaluation of angiogenesis, the relative concentration of VEGF was assessed with an Elisa kit according to the manufacturer’s instructions (human VEGF, ENZ-KIT156-0001, Enzo Life Sciences, Inc).

Determination of Temozolomide in Rat Brain Tissue

Male Wistar rats (200–230 g) were divided into groups for two drug treatments (*n* = 6 each): (1) Tz (30 mg/kg, ip) and (2) Mif/Tz (60 mg/kg, sc, and 30 mg/kg, ip, respectively). For the second group, mifepristone was administered 2 h before temozolomide. In both groups, rats were euthanized 45 min after Tz was given. The tissues were weighed and kept at –70°C to await use.

The concentration of temozolomide was ascertained by chromatography on an LC-MS system (Agilent Agilent Technologies, Infinity 1260) with an autosampler temperature of 4°C. The separation was carried out at 25°C on an Agilent Zorbax SB-C18 column (1.8 μ m, 2.1 mm \times 50 mm) utilising a linear elution with (A) water (containing 0.5% acetic acid and 10 mM ammonium acetate) and (B) methanol as the mobile phase (10/90). The flow rate was set at 0.3 ml/min with an injection volume of 5 μ L.

Mass spectrometry was performed on an Agilent QQQ Detector (Agilent Technologies, Infinity 1260) in the positive ESI mode with nitrogen as the solvent. The capillary voltage was 3.0 kV and the dissolution temperature 350°C. Quantification was achieved by using multiple reactions monitoring of the transitions of *m/z* 195.10–137.95 for temozolomide, and *m/z* 181.10–124.0 for theophylline as the internal standard.

Individual stock solutions of temozolomide (1 mg/ml) and theophylline (1 mg/ml) were prepared in separate volumetric flasks and dissolved in acid methanol (acetic acid 0.5% and methanol v/v, 20/80) for temozolomide and pure methanol for theophylline. Intermediate and final working solutions containing temozolomide were prepared in acid methanol and theophylline solutions were prepared in water. Calibration standards were prepared at following concentrations: 50, 100, 500, 1000, 2000, and 5000 ng/ml.

The internal standard solution (1000 ng/ml in water) was added to small slices of the brain (400 mg; 50 μ l 1 M HCL and temozolomide working solutions for the calibration standards). The slices were individually homogenized before adding ethyl acetate and mixing for 5 min. The samples were centrifuged at 14000 rpm for 15 min at 4°C. The supernatant was transferred to an Eppendorf tube, ethyl acetate was added, and centrifugation was performed at 14,000 rpm. The supernatant was transferred to an Eppendorf tube and evaporated to dryness under a stream of nitrogen at 24°C. Afterward, 200 μ l of acid methanol was added to the dry residue and injected into the chromatographic system.

Statistical Analysis

Data are expressed as the mean \pm SD. Statistical significance was determined with one-way analysis of variance (ANOVA) on SPSS Base 20.0 software (SPSS Inc, Chicago, IL, United States). When necessary, the comparison of means was Bonferroni adjusted. In all cases, significance was considered at $p < 0.05$.

RESULTS

Animal Body Weight

During the first 2 weeks post-implantation of C6 cells, all animals continued to gain weight. Subsequently, the negative control and sham group gained weight while the untreated, Tz and Mif groups

rapidly lost weight, similar to data previously reported by our group (5). The rats in the Mif/Tz group maintained their weight throughout the experiment (Figure 1).

Histological and Immunohistochemical Analysis

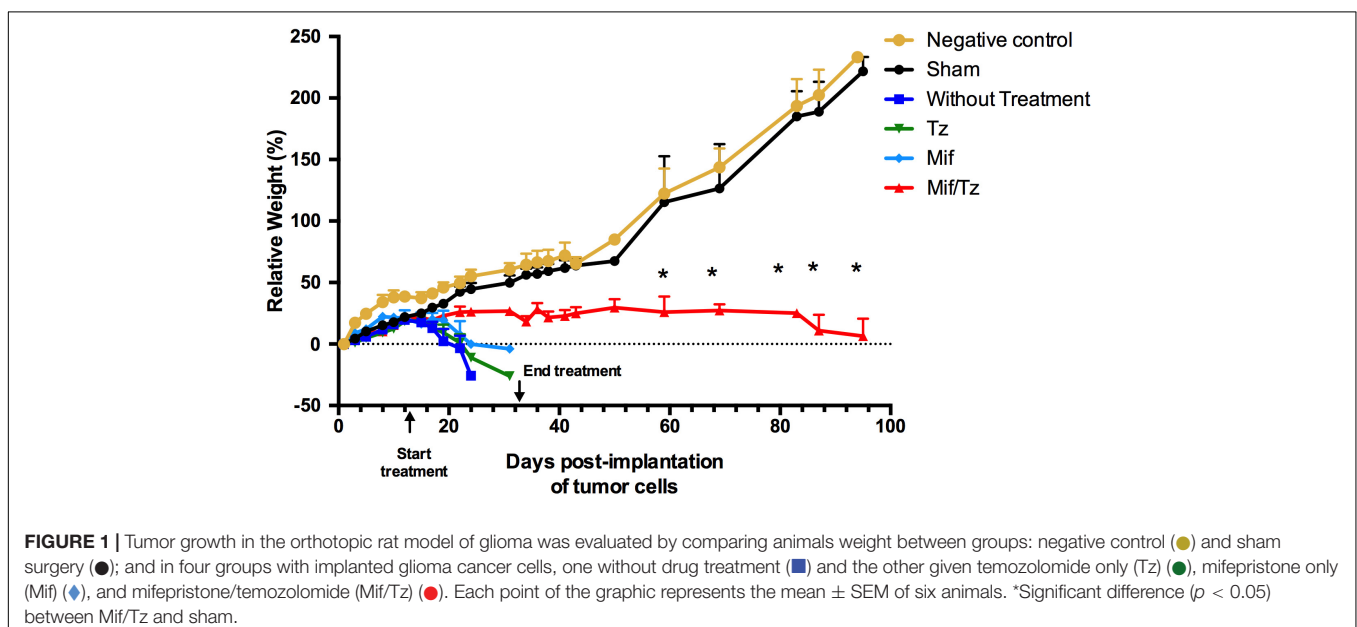
In the histological examination, applying H&E stain, we observed typical characteristics of glioblastoma in without treatment group, as hypercellularity, infiltration of tumor cells and mitosis. The tissue of the animals treated with Tz or Mif showed lesser hypercellularity and mitosis; however, the effect was more evident at 5 weeks post-surgery (at the end of the 3-weeks drug treatment period) with a considerable decrease infiltration of tumoral cells and inflammation cells, as well as the absence of pseudopalisading necrosis (Figure 2). These results are consistent with previously reported.

Expression of VEGF

At the end of 3 weeks drug treatment the rats were sacrificed to evaluated CD31 marker and VEGF expression. Vascular density was determined by CD31 marker, we observed that Mif and Tz decrease the vascular density compared to without treatment group; however, this decrease was greater in Mif/Tz group, these results were corroborated with the quantification of VEGF (Figure 3A). VEGF expression is closely related to angiogenesis. Compared to the sham group, the untreated animals with implanted cancer cells displayed a significantly higher level of VEGF. Compared to the latter group, the level of VEGF declined (but not significantly) in animals receiving either Tz or Mif, and was significantly lower in the Mif/Tz group (Figure 3B).

Expression of P-gp

Western blot data and band intensity analysis revealed that the protein expression of P-gp (Figure 4) was downregulated at the



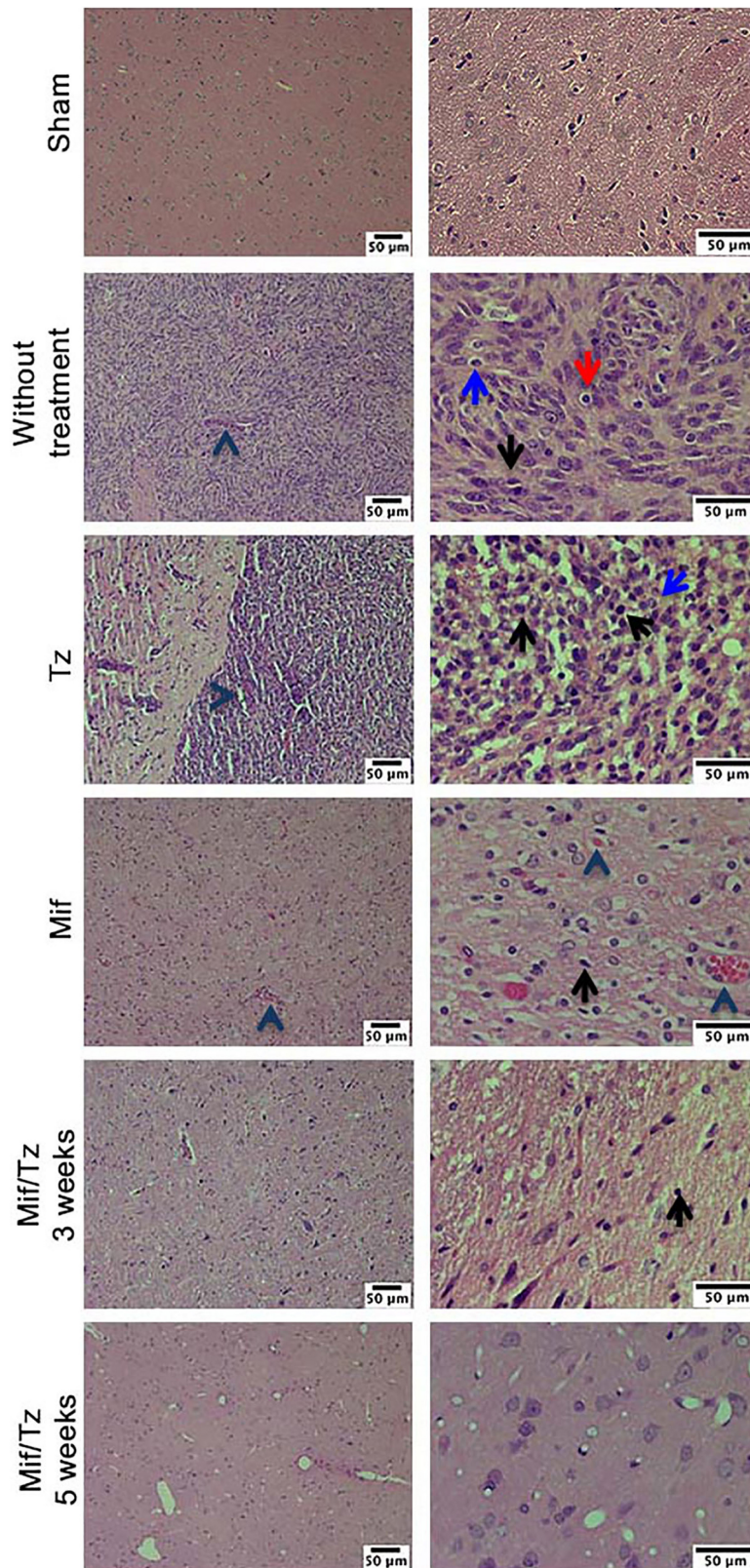
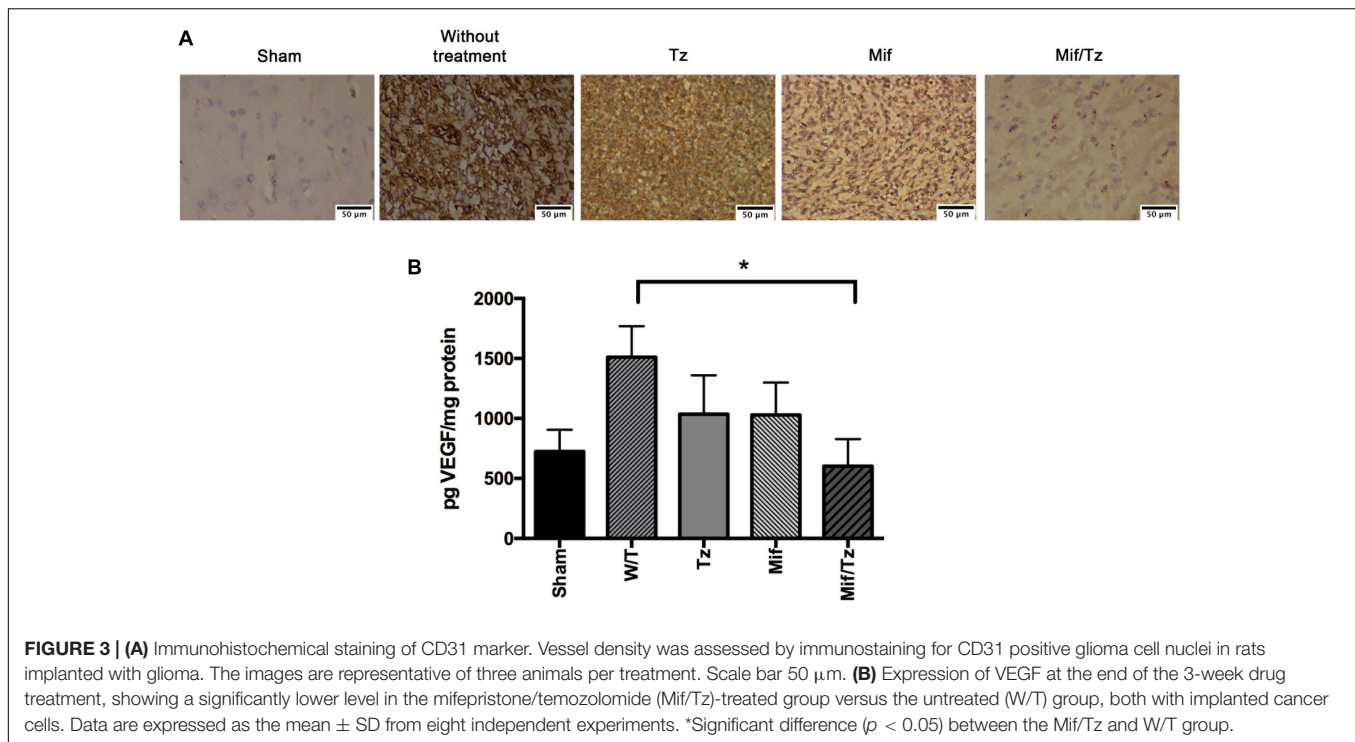


FIGURE 2 | Hematoxylin and eosin (H&E) staining analysis of glioma tissue. Hyperbasophilic cells (black arrow), hyperchromatic cells (red arrow), vessel proliferation (arrowhead), mitosis (blue arrow). The images are representative of three animals per treatment. Scale bars = 50 µm.



end of the 3-week drug treatment (5 weeks post-surgery) in the Mif rats compared to the Tz and untreated groups. On the other hand, the Mif/Tz regimen caused an even greater reduction in this protein.

Accumulation of Temozolomide in Brain Tissue

The accumulation of temozolomide in brain tissue was determined by LC-MS analysis after treatment with Mif/Tz or Tz (Figure 5). Typical chromatograms obtained after the extraction of temozolomide in brain tissue from the groups of Tz and Mif/Tz are shown in Figure 5A. A significant two-fold greater intracerebral level of temozolomide was found in the brain tissue of the Mif/Tz versus Tz group (14820 ± 3852 vs 7136 ± 981 ng/g brain tissue); Figure 5B; $p < 0.05$.

Therapeutic Effect of Mifepristone/Temozolomide on Tumor Size

PET/CT scans were performed at 5, 7, 9, and 14 weeks post-implantation of tumor cells (the Mif/Tz treatments were given during week 2–5). In the images, the presence of red reflects the ^{18}F -FLT uptake and thus the relative size of the tumor. The ^{18}F -FLT uptake was higher at 5 weeks (3-week drug treatment). By 7 weeks post-surgery (2 weeks after the end of drug treatment), the ^{18}F -FLT uptake had dropped drastically. At 9 weeks, however, ^{18}F -FLT uptake appeared again, and can be observed at about the similar level at 14 weeks (Figure 6A). This suggests a tumor cell growth again at 9 weeks, indicating a possible tumor recurrence that remains stable at 14 weeks post-surgery. The ^{18}F -FLT uptake

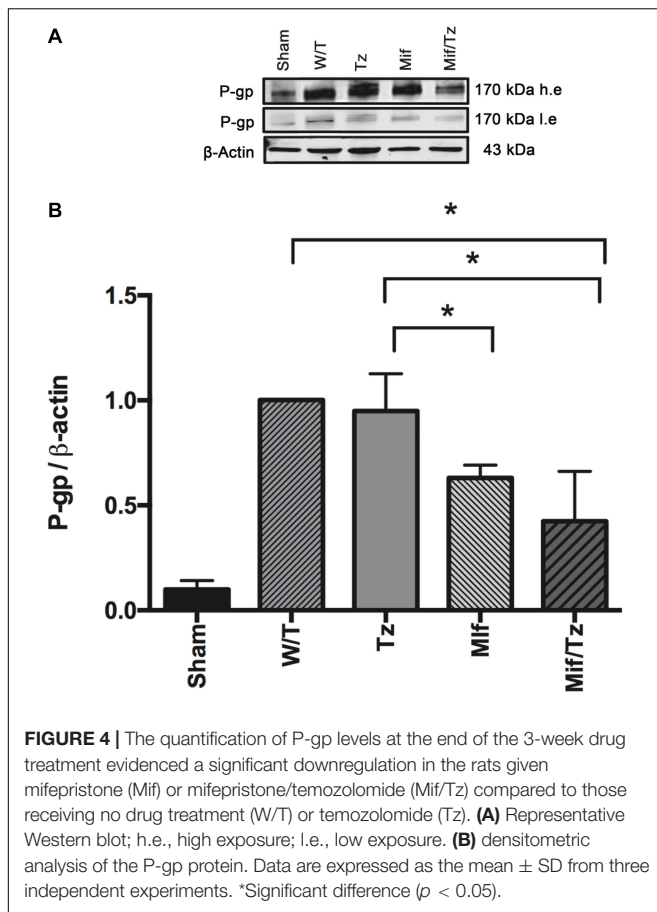
was also measured as total lesion proliferation (TLP). At 7 weeks post-surgery a significant decrease of TLP was observed. Moreover, at 9 and 14 weeks post-surgery (4 and 9 weeks after the end of drug treatment), the TLP increased again (Figure 6B). The average survival time for rats was similar in the untreated, Tz or Mif groups, being 25–35 days. Contrarily, 70% of the Mif/Tz animals survived 60–70 days and approximately 30% survived over 100 days (Figure 6C).

Histological Examination During Tumor Recurrence

Within the pathological characteristics of glioblastoma are an increase of necrosis, mitosis, and pleomorphism as well as a vascularity proliferation. As shown in Figure 7, these characteristics decreased with the treatment of Mif/Tz (5 weeks), in the 7 weeks groups (2 weeks after the end of treatment) we observed some hyperchromatic cells and a decrease of hypercellularity; however, at 9 and 14 weeks pseudopalisading, necrosis, mitotic activity and vascular proliferation increased. A close correlation was observed with the molecular images of the same groups.

Effect of Mifepristone/Temozolomide on VEGF During Tumor Recurrence

The brain tissue was processed for immunohistochemical assays with CD31 marker. At 5-weeks, Mif/Tz group showed a decrease in vessel density compared to without treatment group; however, there is an increase in positive cells at 9 and 14 weeks post-surgery (4 and 9 weeks after the end of drug treatment), interestingly, the density of positive cells was less compared to the group without



treatment (**Figure 8A**). The VEGF levels at the end of the 3-week Mif/Tz treatment (at 5 weeks post-surgery) was significantly lower than that found in the untreated group and the same as that of the sham animals. However, this reduced level in the

Mif/Tz group was reversed after drug treatment ended, during tumor recurrence at 9 and 14 weeks post-surgery (4 and 9 weeks after the end of drug treatment), this parameter increased in the Mif/Tz group, being similar to the value of the untreated group (**Figure 8B**).

Effect of Mifepristone/Temozolomide on P-gp Levels During Tumor Recurrence

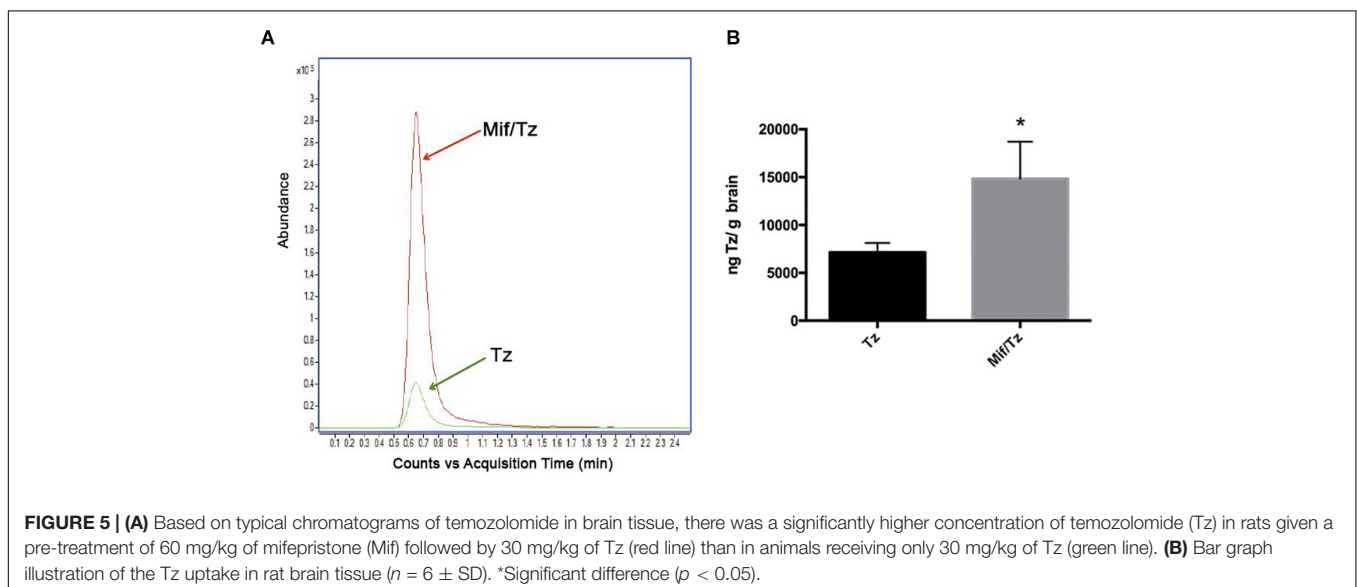
Evaluation of the expression of P-gp by Western blot at the end of the 3-week drug treatment period (at 5 weeks post-surgery) showed a significantly lower level for Mif/Tz-treated versus untreated rats (**Figure 9**). This reduced level in the Mif/Tz group was reversed after drug treatment ended, gradually rising until reaching the level of the untreated group at 14 weeks.

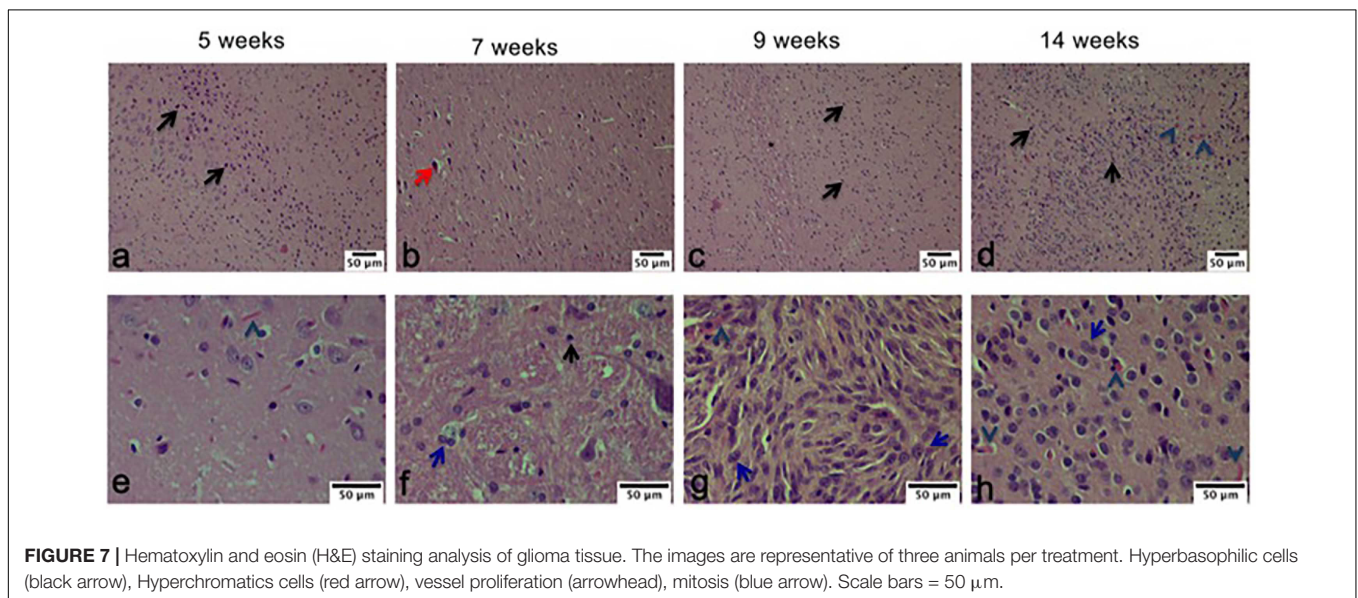
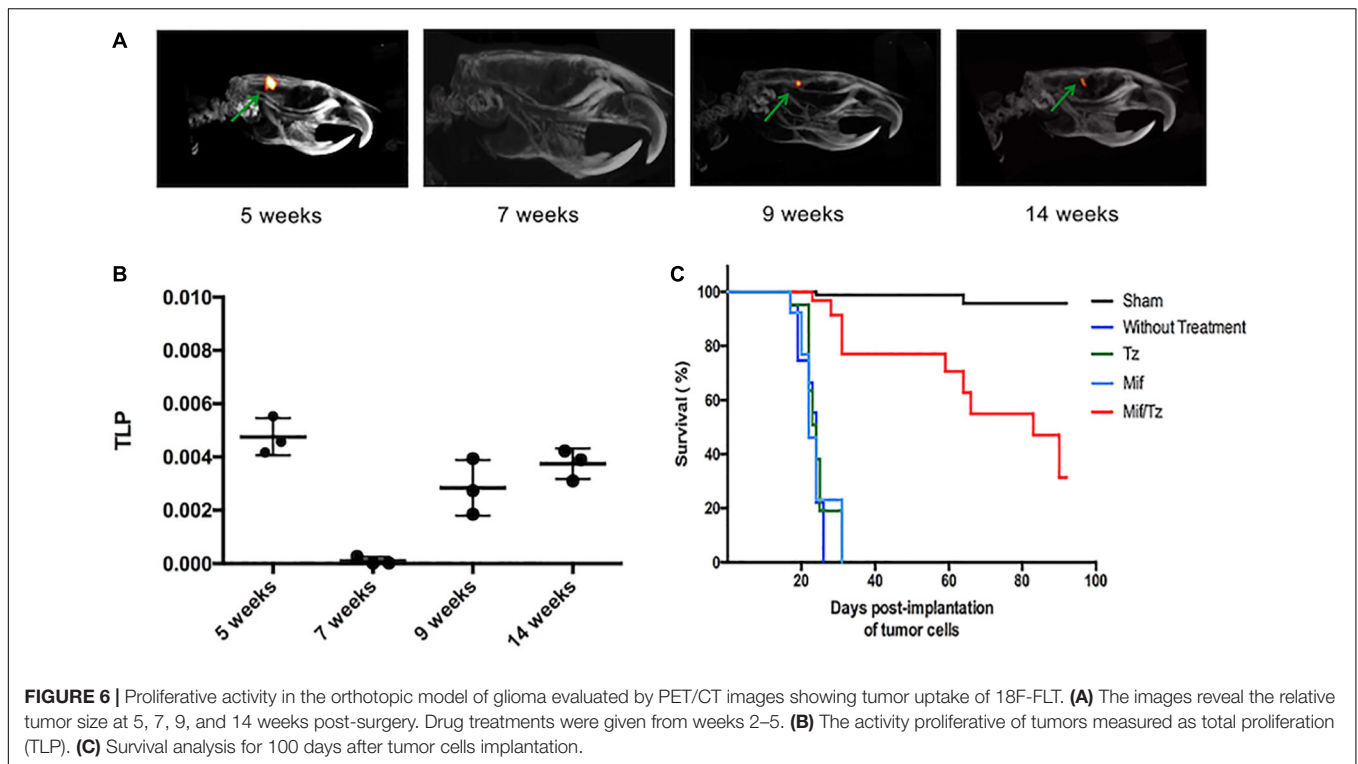
Effect of Mifepristone/Temozolomide on the Level of MGMT During Tumor Recurrence

At 5 weeks post-surgery, the expression of MGMT was lower in healthy sham rats compared to the untreated animals with implanted cancer cells. This point in time corresponds to the end of the drug treatments, at which time the combination regimen of mifepristone/temozolomide produced a significant decrease in the level of MGMT, in agreement with our previous report (5). This effect was reversed at weeks 9 and 14, corresponding to the time of tumor recurrence (**Figure 10**).

DISCUSSION

Although there have been advances in the treatments of some cancers, the molecules recently developed for glioblastoma therapy have shown little success in improving patient prognosis and survival. Glioblastoma is currently treated with surgery followed by chemotherapy with temozolomide and radiotherapy,





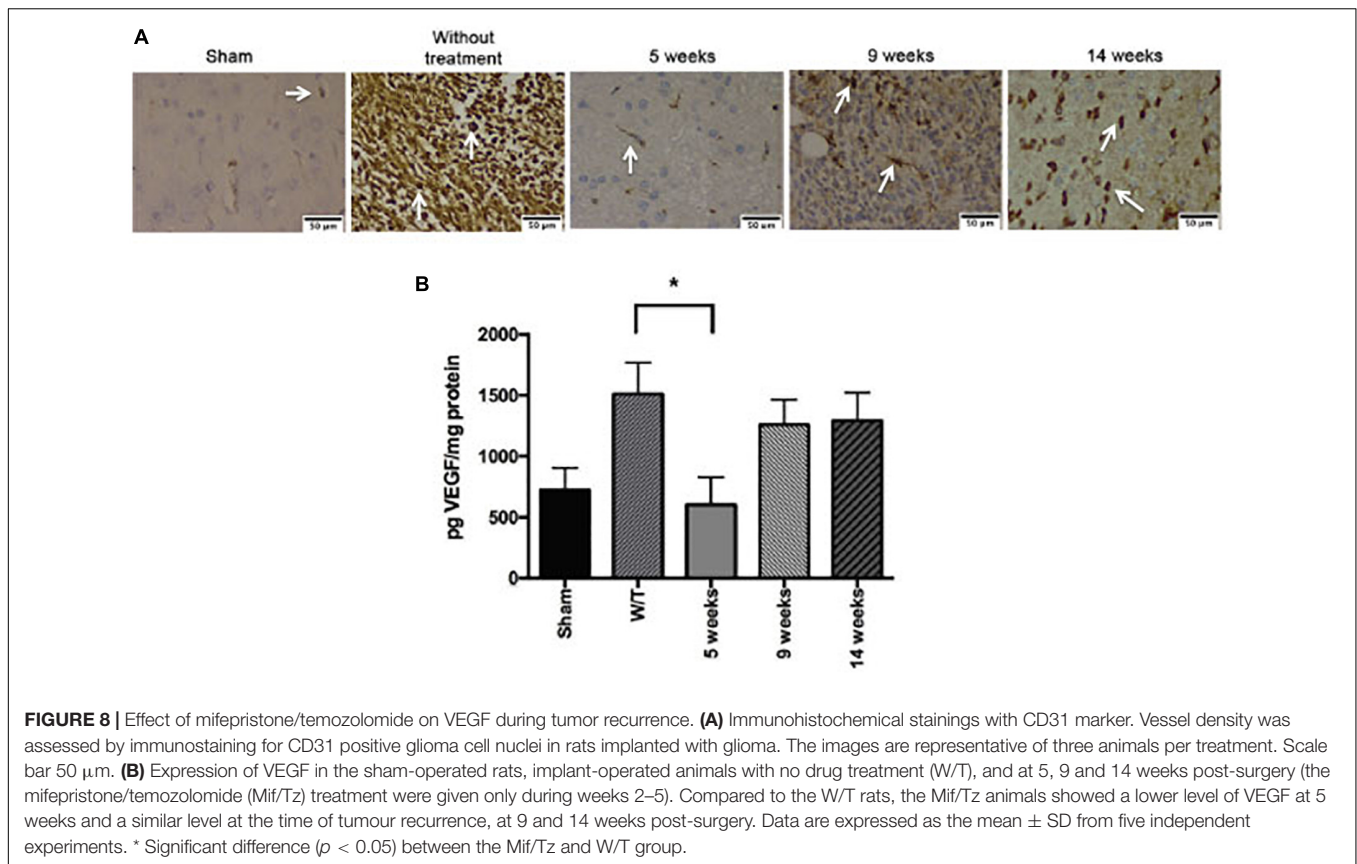
resulting in a post-diagnostic median survival time of only 1–2 years. Among the main problems in glioblastoma treatment are rapid proliferation, the limited capacity of drugs to cross the BBB, and other mechanisms related to the resistance of cancer cells to chemotherapy. Thus, new strategies are necessary (1).

It has reported that the antitumor activity of temozolomide is schedule-dependent, with multiple administrations being more effective than a single treatment. In clinical use, the recommended dose of temozolomide is 75 mg/m², daily until

with a maximum of 49 doses and in the dose of maintenance of 200 mg/m² given for five consecutive days every 28-day cycle (5/28 days) (9, 10).

The scheme of drug treatments used presently is similar to that used in patients. In our study, temozolomide was administered for only three weeks because it is the average survival time of the rats with the individual treatments.

The dose of temozolomide was calculated based on several reports in the literature and in our previous work. The doses



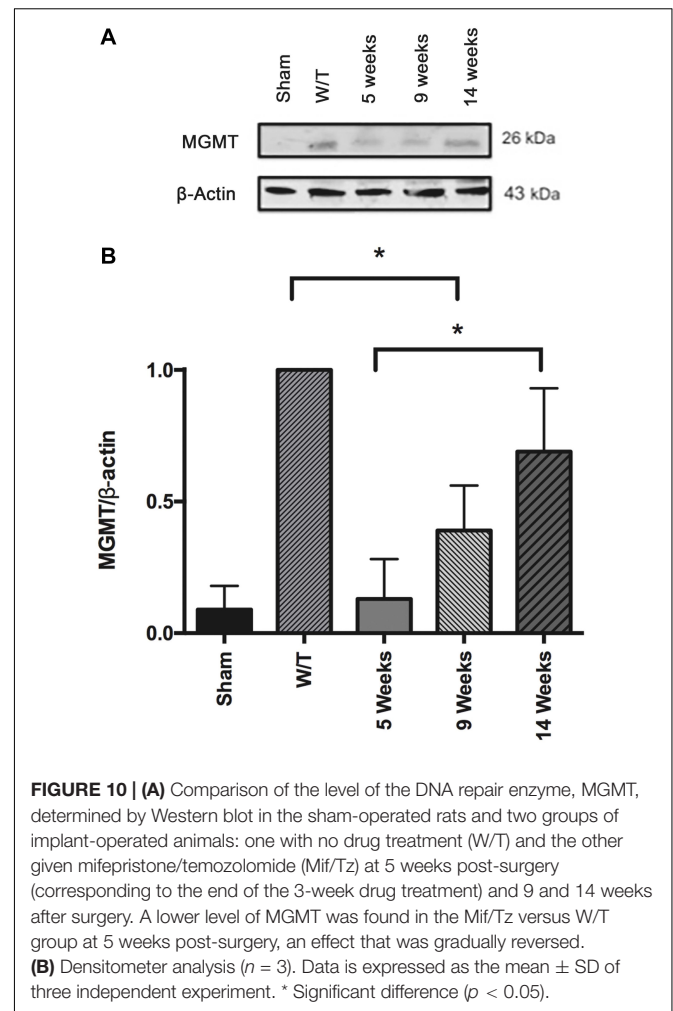
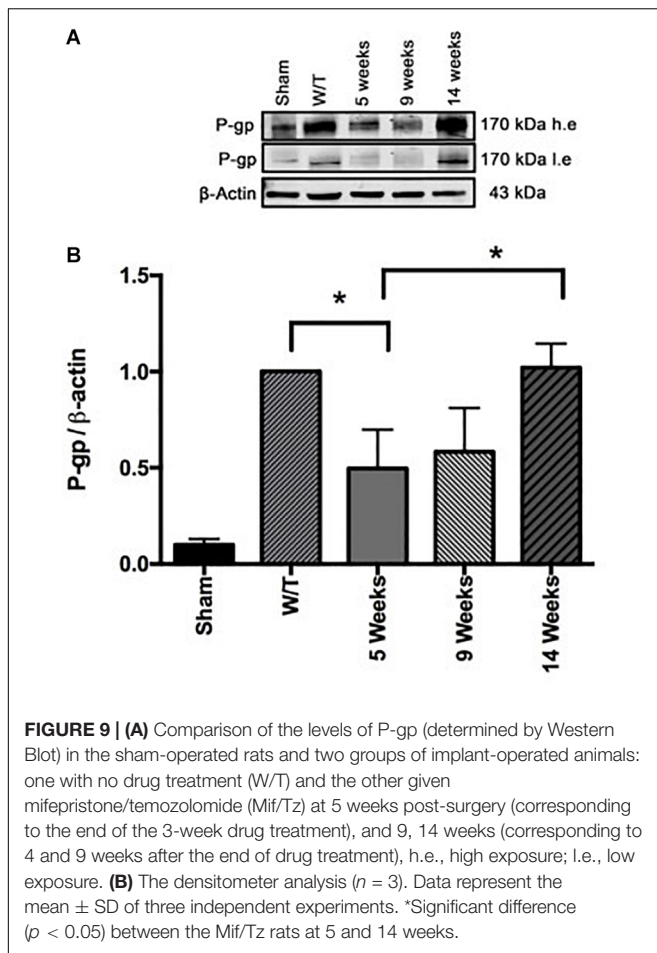
of temozolomide used in the present work is compared to metronomic doses of 2 mg/kg every day for 16 days reported by Kim et al. (25), the authors observed a significant effect on the tumor volume and microvessel density. Moreover there were no signs of toxicity with drug administration, such as body weight loss. Other study also showed similar results using temozolomide at dose of 5 mg/kg/day (26), showing a significant decrease on tumor growth. These results correlate with our previous findings where we used temozolomide 5 mg/kg/day \times 21 days, there was a significant decrease on tumor growth measured as the proliferative activity in tumors (5).

In the case of mifepristone, we used a total dose of 150 mg/kg (10mg/kg \times 5 days/3 weeks) in rats according with our previous report (5). On the other hand, several reports support that using low dose of the drugs it is more probably to find a synergistic effect when the drugs are combined. This is important in cancer because many studies looking for a synergistic effect more than an additive effect due to the side effects of chemotherapy.

The antihormonal agent mifepristone has been investigated in regard to different types of cancer, both hormone- and non-hormone-dependent (27). Mifepristone acts as an antagonist of progestins, glucocorticoids and androgens through the respective receptors. It reportedly inhibits cell growth in non-hormone-dependent cancer cells, such as MDA-MB-321 (breast cancer) (28) and LNCaP (prostate cancer) (29), which are negative for progesterone, estrogen and androgen receptors.

Previous studies in our laboratory demonstrated the chemo-sensitizing effect of mifepristone in combination with temozolomide in a xenograft and an orthotopic glioma model (5, 30). The current study evaluated two possible molecular mechanisms in this chemo-sensitizing effect: the inhibition of VEGF and CD31 marker to reduce angiogenesis and of P-gp to facilitate the capacity of temozolomide to cross the BBB (Figure 11).

A significant difference in weight was observed between the animals administered mifepristone/temozolomide and those given temozolomide only, mifepristone only, or without treatment animals. This result could be due to the decrease in tumor growth as was observed in the previous reports (5). Typical features of glioblastoma were seen in the H&E images shown; in the group without treatment, there was an increase in hypercellularity and vascular proliferation, which was diminished with the Mif/Tz treatment. A mechanism that has been little explored in cancer-induced weight loss is the modification of metabolic changes involved in cachexia. Cachexia is a complex metabolic disorder that impacts about 80% of patients with advanced cancers (31). Griffith et al. (32) reported body weight loss in glioma patients (32), studies on cachexia symptoms induced by glioblastoma have rarely been reported; Recently Cui et al. (33) demonstrated cachexia manifestations in an orthotopic glioma murine model (33); however, is necessary a metabolic pathway analysis during glioma cachexia. It has been reported that mifepristone impact



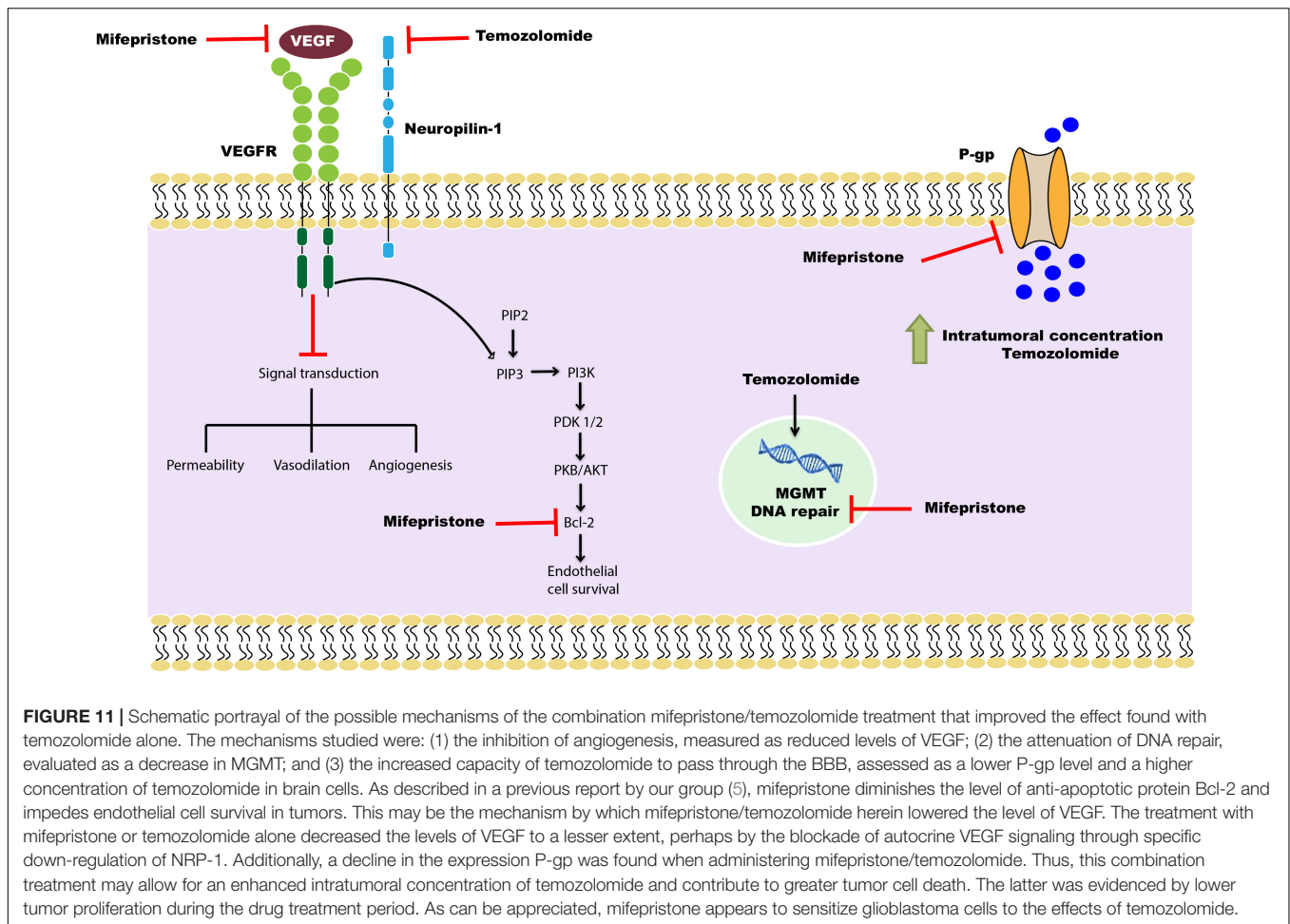
in cancer cachexia by blocking the interaction of cortisol and induction of zinc-alpha2-glycoprotein (ZAG) expression in adipose tissue (34). On the other hand, cachexia is characterized by systemic inflammation and it has been reported that mifepristone reduced the expression of nuclear transcription factors, including NF- κ B (35), a central mediator of pro-inflammatory gene induction. With these antecedents, it is interesting to investigate, in the future, the possible modulation of cachexia by mifepristone/temozolomide treatment.

The tumor microenvironment is known to play a key role in resistance to treatment. In particular, a hypoxic microenvironment is closely related to chemo- and radio-resistance by modulating different mechanisms including angiogenesis (36). Glioma tumors are known to elevate levels of VEGF and its corresponding receptor, the activation of which is related to angiogenesis. Without angiogenesis, tumor growth would be severely limited.

Due to the importance of VEGF in the physiopathology of glioblastoma, one of the strategies to improve patient survival is to diminish its expression. Unfortunately, this strategy has not yet been fruitful. In the current effort, we observed that there was an additive effect by temozolomide and mifepristone in the inhibition of VEGF levels, the Mif/Tz rats exhibited a lower expression of VEGF compared to the other animals with implanted cancer cells, including the untreated, Tz and

Mif groups. This results correlated with immunohistochemical studies with CD31 marker, vessel density was decreased in Tz and Mif groups; however, a lower vessel density was observed in Mif/Tz group. Hence, the combined treatment may contribute to an effective strategy for overcoming the resistance of glioblastoma tumors. It is known that the endothelial cells in the vascular bed of tumor are more susceptible to chemotherapeutic agents than resting endothelium, because they have significantly higher proliferation rates than the normal endothelium in the rest of the body. In addition metronomic chemotherapy, which is the continuous administration of the chemotherapeutic agent at a low dose, it exposes endothelial cells in tumor beds to drugs, inducing angiogenesis and apoptosis in endothelial cells before tumor cells (25). Therefore, it is possible that an additive apoptotic effect of Mif/Tz on vascular endothelial cells contribute to antitumor efficacy of the combined drugs.

On the other hand, recently it has been described that temozolomide is able to decrease the expression of VEGF levels at therapeutic or higher doses on U87 glioblastoma cells (37). The authors demonstrated that temozolomide added at doses below its therapeutic dose is not able to induce apoptosis in cells. But it is capable of inducing apoptosis when was introduced in therapeutic dose or above. In our work, the consecutive



doses of Mif/Tz administered to the animals could lead to a cumulative dose reaching therapeutic doses that may contribute to an additive effect in the reduction of VEGF levels.

Hernandez-Hernandez et al. described a progesterone-induced increase in the expression of VEGF in the astrocytoma U373 cell line, and a mifepristone-induced reversal of the increase by recruitment of the steroid receptor coactivator (SRC-1) (38). Another possible mechanism leading to a lower level of VEGF is through the regulation of Bcl-2, a protein family composed of cell death regulators. It has been implicated in the differentiation of several cell types, including neuronal, epithelial and hematopoietic cells, as well as in the survival of endothelial cells (39). Karl et al. described pro-angiogenic activity by Bcl-2 based on its ability to activate the NF- κ B signaling pathway and elicit expression of the pro-angiogenic CXCL8 and CXCL1 chemokines in endothelial cells (40). According to a previous report by our group, mifepristone reduces Bcl-2 expression in glioma cells (5). Therefore, the diminished VEGF level observed herein could possibly be related to a decrease in Bcl-2 induced by mifepristone.

The BBB, on the other hand, has been the greatest problem for many promising drugs developed to treat glioblastoma. The brain

microvascular endothelium is peculiar, characterized by a lack of fenestrations and adherens junctions and by the presence of drug efflux transporters, such as P-glycoprotein (P-gp, Abcb1), the multidrug resistance proteins (MRPs, Abcc1) and breast cancer resistance protein (BCRP, Abcg2) (41). Several researches have focused on the role of inhibition of drug efflux transporters to improve chemotherapy response. P-glycoprotein is the best-characterized molecule of the class of efflux pump transporters, forming part of the BBB by removing drugs from the brain. This protein is expressed by endothelial cells in both healthy brain tissue and gliomas, and a key role has been attributed to it in the chemoresistance of several types of tumors (e.g., gliomas) (42). Consequently, it probably contributes to a low concentration of temozolomide in glioma tumor cells.

The present study found a significant drop in the level of P-gp in the Mif/Tz group. A decrease the levels of P-gp in patients should be able to enhance the intracellular distribution of temozolomide in brain tissue and trigger greater tumor cell death. Various transcription factors (in addition to transcriptional/translational regulation) are involved in regulation of efflux pump transporters (43). This protein is known to be regulated by a nuclear receptor, the pregnane

X receptor (PXR) (44–46), which mediates the activation of several genes by xenobiotics, including several ABC transporters. Although the PXR promoter has not yet been characterized, dexamethasone is reported to boost PXR mRNA levels in primary cultures of human hepatocytes and rat hepatoma H4IIE cells, an effect blocked by mifepristone, suggesting that the GR pathway is involved in the regulation of these transporters (47, 48).

On an other hand it has been reported that glioblastoma is characterized by aberrant activation of inflammatory responses; von Wedel-Parlow et al., reported that the pro-inflammatory cytokines interleukin-1 (IL-1b) and tumor necrosis factor- α (TNF- α) affect the expression of cerebral ABC-transporters in primary endothelial cells, the anti-inflammatory glucocorticoid hydrocortisone leads to a induction of Abcg2 (BCRP) and Abcc1 (MRP) mRNA in microvascular endothelial cells whereas Abcb1 (P-gp) gene expression is down-regulated (49). It has been reported that mifepristone decreased the levels of TNF- α in rats exposed to Paraquat (50), and in endometrial epithelial and stromal cells reduced the secretion of IL-6 and TNF- α (51). However, more research is necessary to better understand the regulation and the role of mifepristone in efflux pump transporters.

Other strategy to improve treatment response is blocking the drug efflux transporters. Gooijer et al., reported an accumulation about 1.5 fold more of temozolomide in the brain by P-gp and BCRP inhibitors (52). These drug efflux transporters might be possible target of mifepristone to improve the efficacy of temozolomide against glioblastoma.

In the current contribution, the participation of mifepristone in the inhibition of drug efflux transporters was explored indirectly by evaluating the intracerebral concentration of temozolomide, representing a direct and indirect approach, respectively. The Mif/Tz rats exhibited a significantly lower level of P-gp and an increased intracerebral concentration of temozolomide compared to the Tz group. These results are consistent with the findings published by various authors. Mifepristone inhibits the activity of P-gp in a gastric cell line SGC7901/VCR (37) and in KG1a leukemia cells (23), enhances doxorubicin cellular accumulation in resistant human K562 leukemia cells (53), and increases the concentration of cisplatin in the tumors of mice given a combined cisplatin/mifepristone treatment (54). Hence, the blocking of drug efflux transporters by mifepristone could possibly increase the intracellular bioavailability of temozolomide in brain and tumor cells of patients, which should improve the therapeutic response.

Other drug efflux transporters that plays an important role in treatment resistance is MRP and blocking it could be an important strategy, it has been reported that mifepristone exhibited selective MRP1 inhibition (55). Hence, the blocking of drug efflux transporters by mifepristone could possibly increase the concentration of temozolomide in brain and consequently tumor cells can increase the disposition to drug.

In the second part of the present investigation, tumor growth after of the mifepristone/temozolomide treatment was monitored with a microPET/CT scanner measuring 18F-FLT uptake (Figure 6). There was a remarkable decrease at 7 week post-implantation with molecular imaging showing no proliferative

activity. Afterward, new proliferation was observed at 9 week post-surgery, indicating tumor relapse. Nevertheless, the animals maintained a constant body weight and the proliferative activity did not rise by the next measurement at 14 weeks. The H&E images shown in the group at 7 weeks, there was a decrease in hypercellularity and vascular proliferation. However, after the end of the drug treatment, an infiltration of neoplastic cells with a hyperchromatic nucleus was observed again, in addition to an increase in the mitotic index and pseudopalisading. Despite being observed again these typical features of glioblastoma, which are associated with a poor prognosis, the animals survived longer. These results were corroborated with molecular images where it was observed tumor recurrence at week 9. Moreover, 70% of rats given mifepristone/temozolomide survived 60–70 days and approximately 30% survived over 100 days. In glioblastoma patients, a relapsed tumor inevitably causes 100% mortality.

Another molecular mechanism explored presently was the effect of the Mif/Tz treatment on MGMT, which is related to DNA repair in tumor cells. Glioblastoma stem cells are reported to express high levels of MGMT (56) and P-gp, in both cases generating more resistance to temozolomide, and therefore a greater probability of tumor relapse (57). Several studies have suggested that stem cells may be responsible for resistance and recurrence in glioblastoma. In such a case, a challenge in the treatment of glioblastoma would be the removal not only of the tumor cells, but also the glioblastoma stem cells.

O6-methylguanine-DNA-methyltransferase was found to significantly decrease by the end of the 3-week Mif/Tz treatment, thus confirming a previous finding by our group. Indeed, MGMT followed the same pattern as VEGF and P-gp. All three parameters were found to decrease during the Mif/Tz treatment, and then increase afterward. Within 14 weeks, all three of these molecules reached levels similar to the control group. In our study drug treatment were given only by 3 weeks; we did not observed adverse effects associated with the administration of mifepristone. The decrease of weight gain in the animals was due to implantation of tumor cells. In according to the literature, several clinical studies of mifepristone in patients with breast cancer (58), meningioma (59), and non-small cell lung cancer (60) have demonstrated that mifepristone has tolerable side effect, including nausea, lethargy, anorexia, fatigue, and hot flashes; even when mifepristone has been taken daily for long periods of time, it has mild adverse effects; therefore, the long-term administration of mifepristone may be feasible and well tolerated; we proposed in the near future to test this possibility and to evaluate whether mifepristone offers greater benefits during tumor recurrence. According to the current results, mifepristone could possibly contribute to the modulation of tumor relapse in glioblastoma by decreasing the levels of VEGF, MGMT, and P-gp. Further research is needed to explore other mechanisms of drug resistance of glioblastoma tumors.

CONCLUSION

Mifepristone herein improved the effect of temozolomide. The mifepristone/temozolomide combination produced a

sharply lower expression of VEGF, CD31, P-gp, and MGMT compared to the other groups with implanted cancer cells, including the untreated animals and those given mifepristone or temozolomide alone. Moreover, the combination treatment increased the intracerebral concentration of temozolomide and diminished tumor proliferation. The present results strongly suggest that mifepristone could serve as part of a strategy to overcome the resistance of glioblastoma tumors to temozolomide. Future research is required to determine whether the mifepristone/temozolomide regimen can regulate glioma stem cells and inhibit the mechanisms related to tumor relapse.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT

The animal study was reviewed and approved by Ethics Committee of the “Instituto Nacional de Cancerología” (INCan, Mexico City, Mexico) (Ref No. 010-17-IBICB601-10).

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AUTHOR CONTRIBUTIONS

ML-M participated in the experimental procedures for tumor cell implantation, helped with data processing, and performed the analysis of the results. SL-Z and MR-G designed the histological experiments. IV-L contributed to the LC/MS experiments for quantification of temozolomide in brain tissue. LM carried out the evaluation of the tumor growth by molecular imaging. PG-L planned and supervised the entire study. All authors read and approved the final version of the manuscript.

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A Comprehensive Analysis of Alterations in DNA Damage Repair Pathways Reveals a Potential Way to Enhance the Radio-Sensitivity of Esophageal Squamous Cell Cancer

Guangchao Wang¹, Shichao Guo¹, Weimin Zhang², Zhangfu Li¹, Jiancheng Xu¹, Dan Li¹, Yan Wang² and Qimin Zhan^{1,2*}

¹ State Key Laboratory of Molecular Oncology, National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing, China, ² Key Laboratory of Carcinogenesis and Translational Research (Ministry of Education/Beijing), Laboratory of Molecular Oncology, Peking University Cancer Hospital & Institute, Beijing, China

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Jan Theys,
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Vandna Kukshal,
Washington University School of
Medicine in St. Louis, United States

*Correspondence:

Qimin Zhan
zhanqimin@bjmu.edu.cn

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Esophageal squamous cell cancer (ESCC) is a common malignancy with a poor 5-year overall survival in China. Altered DNA damage repair (DDR) pathways are associated with a predisposition to cancer and contribute to therapeutic response and resistance in cancers. However, alterations of DDR pathway genes in ESCC are still largely unknown. In this study, we employed genome sequencing data of 192 samples, comparative genomic hybridization data of 123 cases, and gene expression microarray data of 119 patients to firstly perform a comprehensive analysis of the gene alterations of 7 DDR pathways in ESCC. Gene mutations and copy number variations (CNVs) were observed in all 7 DDR pathways, and especially, CNVs were the dominant alteration types. Compared with other pathways, two DNA double-strand break (DSB) repair pathways homologous recombination (HR) and non-homologous end joining (NHEJ), carried significant gene mutations and CNVs especially gene amplifications. Most genes including *RAD54B*, *NBS1*, *RAD51B*, and *PRKDC* were significantly amplified and over-expressed in ESCC. Amplification and high expression of DSB repair pathway genes were associated with poorer overall survival. Gene set variation analysis further showed that DSB repair pathways were up-regulated in ESCC. Besides, we firstly demonstrated that combination of mirin and NU7441, two inhibitors for HR and NHEJ respectively, with ionizing radiation treatment significantly enhanced DSBs, reduced clonogenic cell survival, inhibited cell proliferation, and promoted cell apoptosis in ESCC cells with DSB pathway gene amplification. These findings suggest that DSB repair pathways were significantly altered in ESCC and inhibiting DSB repair pathways might enhance the radio-sensitivity of ESCC with DSB repair up-regulation.

Keywords: DNA damage repair pathways, esophageal squamous cell cancer, homologous recombination, non-homologous end joining, mirin, NU7441, radio-sensitivity

INTRODUCTION

Esophageal cancer, principally comprising of two pathological types: esophageal squamous cell cancer (ESCC) and esophageal adenocarcinoma, is a global problem and the sixth leading cause of cancer mortality annually worldwide. The overall 5-year survival of patients with esophageal cancer ranges from 15 to 25%. ESCC accounts for 70% of cases of esophageal cancer globally and is the dominant type of esophageal cancer in China (1, 2). Recently, the diagnosis and treatment of ESCC have been improved, but the prognosis is still poor (1). The underlying mechanisms involved in tumorigenesis and progression of ESCC remain much less explored.

DNA damage repair (DDR) genes have crucial roles in maintaining genomic stability of human cells. According to biochemical and mechanistic criteria, DDR genes can be grouped into seven main functional pathways. Base excision repair (BER) and nucleotide excision repair (NER) are involved in DNA base damage repair, while mismatch repair (MMR) mainly corrects base mis-pairs. Homologous recombination (HR) and non-homologous end joining (NHEJ) are two pathways which contribute to DNA double-strand break (DSB) repair. In addition, the Fanconi anemia (FA) pathway is associated with the repair of DNA inter-strand crosslinks in the genome, and specialized DNA polymerases in trans-lesion synthesis (TLS) pathway synthesize DNA to bypass unrepaired DNA lesions (3, 4). Dysregulation of DDR pathways is an important determinant of cancer risk, progression, and therapeutic response (4). Up-regulation of DDR pathways are linked to cause resistance to DNA-damaging radiotherapy and chemotherapy. Especially, activation of DSB repair genes is one of the reasons for cancer radio- and chemo-resistance (4–11).

In ESCC, polymorphisms of BER genes were reported to be probably associated with the susceptibility to ESCC (12, 13).

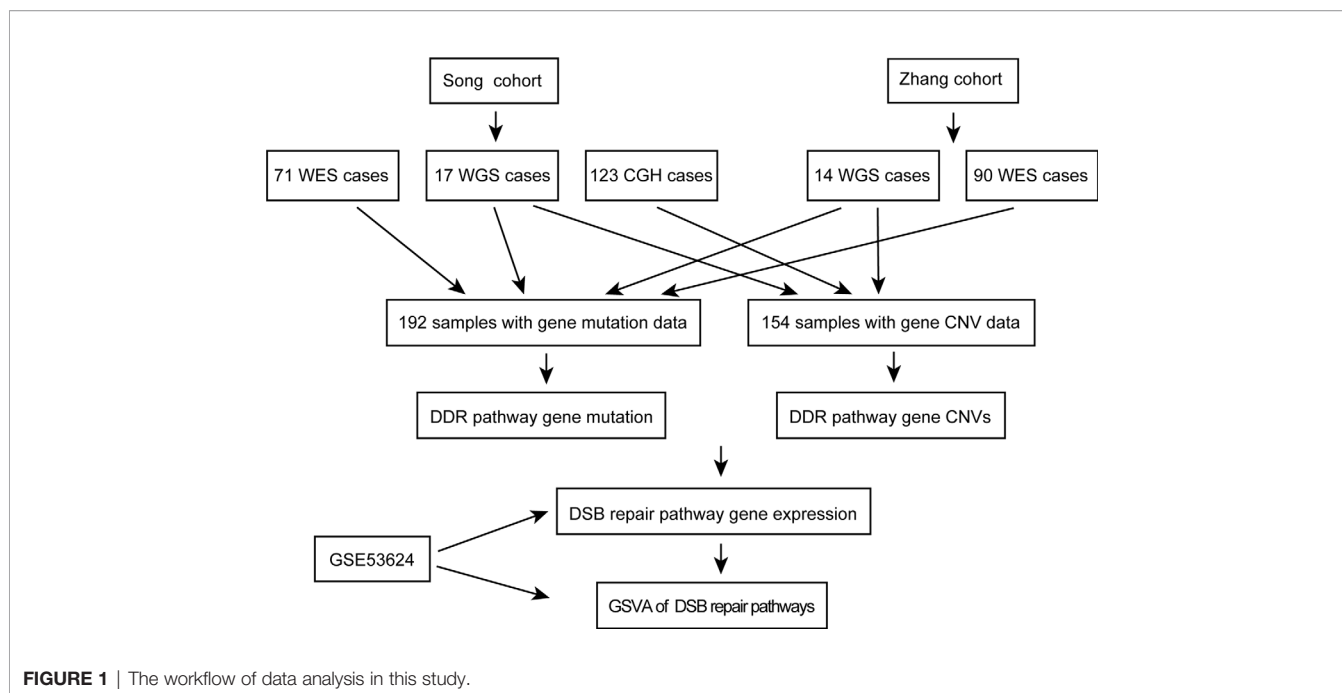
Genetic variants in NER genes were linked to exert an impact on survival outcomes of Chinese ESCC patients (14, 15). Moreover, genetic polymorphisms of *XRCC6* and *XRCC5*, two genes in NHEJ pathway, were related to higher risk of ESCC (16). In addition, promoter hypermethylation of the MMR gene *MLH1*, which is important for maintenance of genomic stability, may be a predictor of prognosis for male ESCC patients (17). However, the genetic alterations of DDR pathway genes in ESCC remain to be further investigated.

In the present study, we employed data from previously published studies to perform a comprehensive analysis of genetic alterations of DDR pathway genes in ESCC. Two DSB repair pathways, HR and NHEJ, showed significant gene mutations and amplifications. We investigated the gene expression profile of HR and NHEJ pathways with GSE53624 dataset, and found that most of genes were over-expressed in ESCC. Then, gene set variation analysis (GSVA) was conducted to analyze the pathway activity changes of HR and NHEJ, and DSB repair pathways were observed to be up-regulated in ESCC. We finally investigated the effect of combination of mirin and NU7441 with ionizing radiation (IR) treatment on ESCC cell phenotypes, and found that mirin and NU7441 could enhance the radio-sensitivity of ESCC cells with DSB pathway gene amplification. These findings suggest that alterations of DSB repair pathways might be involved in ESCC radio-resistance, and mirin and NU7441 might have potential application in ESCC treatment.

MATERIALS AND METHODS

Data Collection and Processing

A workflow was designed to identify the gene alterations in DDR pathways (Figure 1). Our group previously published two



studies to identify genomic alterations including gene mutations and copy number variations (CNVs) in ESCC (18, 19), and these two datasets were used to identify genomic alterations of DDR pathway genes. Two ESCC cohorts consist of a total of 262 cases, including 161 from whole-exome sequencing (WES), 31 from whole-genome sequencing (WGS), and 123 from comparative genomic hybridization (CGH) analysis. The data processing has been described in previous studies (18, 19). As the sequencing of both Song and Zhang cohorts was conducted in BGI, and the data of two cohorts has similar sequencing depth and coverage, we integrated the gene mutation data of two cohorts. Besides, we combined the gene CNV data generated by WGS and CGH. Consequently, we obtained 192 ESCC samples with gene mutation data, and 154 ESCC cases with CNV data. The clinical characteristics of two groups of ESCC patients were summarized in **Table 1**.

Additionally, in order to analyze the mRNA expression of DSB repair pathway genes in ESCC, we downloaded GSE53624 dataset, the mRNA expression profile of paired cancer, and adjacent normal tissues from 119 ESCC patients (20), from GEO (Gene Expression Omnibus, <https://www.ncbi.nlm.nih.gov/geo/>) database. To extract gene expression information of DDR genes in GSE53624, we re-annotated probes from Agilent-038314 CBC Homo sapiens lncRNA + mRNA microarray V2.0 platform (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GPL18109>). Human protein-coding transcript sequences

(release 29) were downloaded from GENCODE (<https://www.encodegenes.org/>) database. All probes in Agilent-038314 platform were then re-annotated as follow: 1, All probes sequences were aligned to human protein-coding transcript sequences with BLASTN. 2, The probes that were matched to one transcript or multiple transcripts from same genes were reserved. 3, The max expression value of multiple probes that were mapped to the same gene was calculated to represent the expression level of the gene. The clinical characteristics of 119 ESCC patients in GSE53624 were summarized in **Table 1**.

Cell Lines

The human ESCC cell lines YES2 and KYSE30 were obtained from Y. Shimada's lab in Kyoto University. YES2 and KYSE30 cells were cultured in Roswell Park Memorial Institute (RPMI) 1640 medium (Gibco, Thermo Fisher Scientific, USA) supplemented with 10% fetal bovine serum (FBS), and were grown at 37°C in humidified air with 5% CO₂. The source of YES2 and KYSE30 cell lines have been recently authenticated and tested for mycoplasma contamination, and no contamination was found.

Gene Set Variation Analysis

GSVA, using a nonparametric approach to transform a gene-by-sample matrix into a gene set-by-sample matrix, facilitates to determine the variation of pre-defined gene set activities over the samples based on gene expression data (21). Expression values of DSB repair pathway genes were used to perform GSVA *via* R "GSVA" package with the following parameters: method = "gsva," mx.diff = "TRUE," and kcdf = "Gaussian."

Immunofluorescence Analysis of γ -H2AX Expression

A total of 1×10^4 YES2 and KYSE30 cells were seeded into confocal dishes for 24 h prior to treatment with mirin (Selleckchem, Houston, TX, USA) (50 μ M) and NU7441 (Selleckchem, Houston, TX, USA) (5 μ M). After treatment with inhibitors for 1 h, cells were exposed to 6 Gy of IR. Then, cells were cultured with inhibitors for 24 h. Subsequently, cells were fixed with 4% paraformaldehyde in phosphate-buffered saline (PBS) for 10 min at room temperature, then were permeabilized with PBST (0.5% Triton X-100 in PBS) for 10 min on ice. Nonspecific binding was blocked with 1% bovine serum albumin (BSA) in PBST for 30 min. Then, the cells were incubated in the diluted antibody against γ -H2AX (ab26350, Abcam) in 1% BSA (1:200) in a humidified chamber for overnight at 4°C and followed by incubation with Alexa Fluor 488-conjugated secondary antibody (ZSGB-BIO, Beijing, China) in PBS for 1 h at room temperature in the dark. Immunofluorescence images were taken by using laser-scanning confocal microscope (Leica Microsystems Heidelberg GmbH, Am Friedensplatz 3, Germany).

Clonogenic Assay

To assess how combination of inhibitors with IR treatment affects clonogenic cell survival, YES2, and KYSE30 cells were seeded into six-well plates at a density of 1,500 and 1,000 cells per well, respectively. The cells were incubated for 10 days. Then, cells were treated with mirin (50 μ M) and NU7441 (5 μ M) for 1 h

TABLE 1 | The clinical characteristics of esophageal squamous cell cancer (ESCC) patients in this study.

Clinical characteristics	Total cases (Mutation cohort)	Total cases (CNV cohort)	Total cases (GSE53624)
Gender			
Male	170	122	98
Female	22	32	21
Age			
	104 (≥ 59)	84 (≥ 58)	66 (≥ 59)
	87 (< 59)	70 (< 58)	53 (< 59)
TNM stage			
I+II	106	86	53
III	86	68	66
N stage			
N = 0	102	79	54
N > 0	90	75	65
T stage			
T1+T2	48	31	28
T3+T4	144	123	91
Drinking status			
Drinker	17	33	74
Non-drinker	175	121	45
Smoking status			
Smoker	153	104	80
Non-smoker	39	50	39
Survival Status			
Death	84	73	73
Survival	84	78	46
Median survival time (days)	902	751	32.2 (months)

^aAge information of one ESCC patient is missing.

^bSurvival information of 24 ESCC patients is missing.

^cSurvival information of three ESCC patients is missing.

and irradiated afterward once with 6 Gy. After incubation with inhibitors for an additional 3 days, the cells were fixed with methanol for 5 min, and stained with 0.05% crystal violet (Sigma Chemical Company, St. Louis, MO, USA) for 5 min. Colonies were counted by using ImageJ 1.52V software.

Cell Proliferation Assay

To examine the effect of combination of inhibitors with IR treatment on cell proliferation, YES2 and KYSE30 cells were seeded into 96-well plates at a density of 5,000 cells per well for 24 h. Then, cells were incubated with mirin (50 μ M) and NU7441 (5 μ M) for 1 h, followed by being exposed to 6 Gy of IR. Subsequently, the optical density (OD) value at 490 nm was detected after 0, 24, 48, 72, and 96 h with a microplate reader (iMark™, BIO-RAD) after treatment with [3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium, inner salt] (MTS) (Promega) solution (10% MTS in RPMI 1640 medium) for 1 h. The experiment was repeated three times, and the ratio of OD value (hours 24–96) to the average value of 0 h was calculated and plotted as MTS curves.

Cell Apoptosis Assay

A total of 2×10^5 YES2 and KYSE30 cells were seeded into 6 cm dishes and cultured for 24 h. Subsequently, cells were treated with mirin (50 μ M) and NU7441 (5 μ M) for 1 h, and were then exposed to 6 Gy of IR. After being cultured with inhibitors for 24 h, cells were collected and stained with annexin V and propidium iodide (PI) according to the manufacturer's instruction provided in Annexin V-FITC/PI apoptosis assay kit (NEBBIOSCIENCE, Shenzhen, China). Flow cytometry (BD LSR) was used to determine the percentage of apoptotic cells.

Statistical Analysis

All statistical tests and graphing were performed by R 3.6.0 and GraphPad Prism 7.0. All of the experiments in this study were independently performed in triplicate, and the data was presented as mean \pm standard deviation (S.D.). Fisher's exact test was applied to gene mutation enrichment analysis. Survival curves were performed by Kaplan-Meier method, and the differences between the curves were estimated by log-rank test. Welch's unequal variances *t*-test was used to compare the GSVA scores in ESCC and normal samples, and to analyze the correlations between the GSVA scores and clinical characteristics of ESCC patients. ESCC patients were divided into two groups (high and low groups) according to the median value of gene expression or GSVA scores, and survival analysis was conducted by Kaplan-Meier method. Besides, the correlations between gene expression and clinical characteristics of ESCC patients were analyzed with Fisher's exact test. The other statistical analyses were performed with Student's *t*-test. Each *P* was two-sided, and *P* < 0.05 was considered statistically significant.

RESULTS

In order to investigate the landscape of genetic alterations in DDR pathways, we defined a "core DDR" gene set of 79 DNA

repair pathway-specific genes (genes annotated to more than one specific DDR pathway were not included), encompassing 7 major DDR pathways: BER, NER, MMR, HR, NHEJ, FA, and TLS (Table 2) (3, 22).

DNA Damage Repair Pathway Genes Were Mutated in Esophageal Squamous Cell Cancer

Firstly, we investigated the non-silent somatic mutation profile of DDR pathway genes in ESCC. As shown in Figure 2A, we observed that gene mutation occurred in all 7 DDR pathways and 44 genes were mutated. There were three genes (*POLB*, *LIG1*, and *LIG3*) mutated in BER pathway, each of which only carried one mutation. In NER pathway, both *XPC* and *ERCC6* had two mutations and *ERCC2* had one mutation. Similarly, three genes (*PMS2*, *MSH2*, and *MSH6*) in MMR pathway each had one mutation event and *MLH1* was mutated in two samples. In HR pathway, *BRCA1/2* showed four mutation events (2.1%, 4/192). We also observed that MRE11-RAD50-NBS1 (MRN) complex genes, which play important roles in the sensing, processing and repair of DSBs (23), were mutated in four ESCC patients. Besides, *RBBP8*, *PALB2*, *WRN*, and *BARD1* were mutated in more than one ESCC case. In NHEJ pathway, another pathway involved in repairing DSBs, *PRKDC*, which encodes the catalytic subunit of the DNA-dependent protein kinase (DNA-PK), carried the most frequent mutations (3.1%, 6/192). Furthermore, both *TP53BP1* and *LIG4* had two mutations. *FANCM* was the highest frequently mutated gene of FA pathway (2.6%, 5/192), and *REV3L* was the most frequently (2.1%, 4/192) mutated gene in TLS pathway. Interestingly, we observed that most ESCC samples only had one DDR gene mutation, indicating a mutually exclusive tendency.

TABLE 2 | Gene lists of seven DNA damage repair (DDR) pathways.

BER	NER	MMR	HR	NHEJ	FA	TLS
<i>UNG</i>	<i>XPC</i>	<i>PMS2</i>	<i>XRCC3</i>	<i>XRCC6</i>	<i>UBE2T</i>	<i>SHPRH</i>
<i>TDP1</i>	<i>XPA</i>	<i>PMS1</i>	<i>XRCC2</i>	<i>XRCC5</i>	<i>FANCM</i>	<i>REV3L</i>
<i>TDG</i>	<i>POLE3</i>	<i>MSH6</i>	<i>TOP3A</i>	<i>XRCC4</i>	<i>FANCL</i>	<i>REV1</i>
<i>POLB</i>	<i>POLE</i>	<i>MSH3</i>	<i>SLX1A</i>	<i>PRKDC</i>	<i>FANCI</i>	<i>POLQ</i>
<i>PARP1</i>	<i>ERCC6</i>	<i>MSH2</i>	<i>SHFM1</i>	<i>POLM</i>	<i>FANCD2</i>	<i>POLN</i>
<i>FEN1</i>	<i>ERCC5</i>	<i>MLH3</i>	<i>RBBP8</i>	<i>POLL</i>	<i>FANCC</i>	<i>POLK</i>
<i>APEX2</i>	<i>ERCC4</i>	<i>MLH1</i>	<i>RAD52</i>	<i>NHEJ1</i>	<i>FANCB</i>	<i>POLH</i>
<i>APEX1</i>	<i>ERCC2</i>	<i>EXO1</i>	<i>RAD51</i>	<i>LIG4</i>	<i>FANCA</i>	<i>POLI</i>
<i>LIG1</i>	<i>ERCC1</i>		<i>RAD50</i>	<i>TP53BP1</i>	<i>FANCE</i>	
<i>LIG3</i>	<i>CUL5</i>		<i>PALB2</i>		<i>FANCF</i>	
			<i>NBS1</i>		<i>FANCG</i>	
			<i>MUS81</i>			
			<i>MRE11</i>			
			<i>GEN1</i>			
			<i>EME1</i>			
			<i>BRIP1</i>			
			<i>BRCA2</i>			
			<i>BRCA1</i>			
			<i>BLM</i>			
			<i>BARD1</i>			
			<i>RAD51B</i>			
			<i>RAD54B</i>			
			<i>WRN</i>			



FIGURE 2 | DNA damage repair (DDR) pathway genes were mutated in esophageal squamous cell cancer (ESCC). **(A)** A complex heatmap that shows the non-silent gene mutation profile in DDR pathways (genes and samples with no gene mutations are removed). The top panel presents the number of gene mutations in each of ESCC samples, and the right panel shows the number of gene mutations in each gene. **(B)** A bubble plot that depicts the gene mutation enrichment analysis result of DDR pathways.

In order to further evaluate the significantly mutated DDR pathways, we performed pathway enrichment analysis. As shown in **Figure 2B**, BER, NER, and MMR pathway mutations were not enriched. Gene mutations in FA and TLS pathways were significantly enriched ($P = 0.022$ and $P = 0.004$, respectively). Similarly, HR and NHEJ pathways tended to be enriched in ESCC ($P = 0.05$ and $P = 0.08$, respectively). We combined HR and NHEJ as the “DSB repair pathway” and conducted enrichment analysis. The result showed that the “DSB repair pathway” mutation was significantly enriched in ESCC ($P = 0.009$; **Figure 2B**).

DNA Damage Repair Pathway Genes Had Notable Copy Number Variations in Esophageal Squamous Cell Cancer

Next, we identified the CNV profile of DDR pathway genes in ESCC. As the complex heatmap in **Figure 3A** shown, CNVs occurred in all of DDR pathway genes, and 74.7% (115/154) of ESCC samples possessed at least one gene CNV in DDR pathways. In BER pathway, genes were mainly amplified rather than deleted. Both *POLB* and *TDPI* were amplified in 10.4% (16/154) of cases and the incidence of amplification of *APEX1/2* was 14.3% (22/154). Besides, *PARP1* revealed 5.8% (9/154) of amplification frequency and 0.6% (1/154) of deletion frequency. On the contrary, some genes in NER pathway had more deletions instead of amplifications. *CUL5* had the highest deletion frequency (9.1%, 14/154), and *ERCC1* and *ERCC2* had the same CNV profile (3.9% of amplification and 6.5% of deletion) due to proximal genomic location. Gene polymorphisms of *XPA* and *XPC* were reported to be associated with increasing risk of ESCC (15). We observed that *XPA* was amplified in 8 ESCC patients (5.2%) and deleted in 2 ESCC cases (1.3%), whereas the incidences of amplification and deletion of *XPC* were 1.3% (2/154) and 5.2% (8/154), respectively. *MLH1*, one of the important genes in MMR process, was observed to be deleted in 5.2% (8/154) of ESCC cases. Other genes in MMR pathway mainly had more amplifications than deletions.

Interestingly, we found that most of HR pathway genes were mainly amplified. The most amplified gene was *RAD54B* (22.7%, 35/154) which is related to multiple cancers (24–26). However, how *RAD54B* links to ESCC is unclear. *NBS1*, which encodes one of important proteins in MRN complex, was amplified in 21.4% (33/154) of ESCC patients. Cheng and colleagues reported that *XRCC3* was up-regulated in ESCC and was a potential target to improve the radiotherapy effect of ESCC (8). We observed that *XRCC3* was amplified in 11.7% (18/154) of ESCC cases, indicating that up-regulation of *XRCC3* might be due to gene amplification. Other genes such as *SHFM1*, *RAD52*, *RAD51B*, and *MUS81* were also remarkably amplified in ESCC with the frequency 16.2% (25/154), 12.3% (19/154), 11% (17/154), and 10.4% (16/154), respectively. In NHEJ pathway, *PRKDC* was one of significantly amplified DDR pathway genes with the amplification frequency 21.4% (33/154). Another notably amplified gene was *POLM* (13.6%, 21/154), which has not been studied in cancers yet. Besides, *XRCC6* had more amplification events (5.8%, 9/154) than deletions (1.9%, 3/154) (**Figure 3A**).

Additionally, *FANCD2*, carrying the most CNVs in FA pathway, was mainly deleted in 11% (17/154) of ESCC cases. Conversely,

FANCL, *FANCM*, *FACC*, *UBE2T*, and *FANCI* obviously had more amplification events. In TLS pathway, although *POLQ* did not have significant mutation events, this gene was obviously amplified in ESCC (14.9%, 23/154). Similarly, the main CNV type of *POLH* and *REV1* was deletion. *REV3L*, the most mutated gene in TLS pathway, carried 8 (5.2%) amplification events (**Figure 3A**).

Given the fact that HR and NHEJ, the DSB repair pathways, had significant gene amplifications, we were interested to investigate the correlation between amplification of DSB repair pathways and clinical characteristics of ESCC patients. Survival analysis showed that ESCC samples with amplification of HR or NHEJ pathway had shorter overall survival ($P = 0.0501$ and $P = 0.2876$, respectively; **Figure 3B**). Similarly, we found that ESCC patients with the “DSB repair pathway” amplification had poorer overall survival ($P = 0.0956$; **Figure 3B**). Besides, MRN complex gene amplification was associated with shorter overall survival ($P = 0.0413$; **Figure 3C**). In addition, we performed survival analysis of DSB repair pathway genes with amplification frequency more than 10%. As presented in **Figure 3D**, amplification of *RAD54B* or *RAD51B* was related to poorer overall survival ($P = 0.0313$ and $P = 0.0146$, respectively).

Double-Strand Break Repair Pathway Genes Were Up-Regulated in Esophageal Squamous Cell Cancer

We next analyzed gene expression of DSB repair pathways in GSE53624 dataset. Interestingly, we observed that most of genes were up-regulated in ESCC samples compared with normal tissues (**Figure 4A**). Both *RAD54B* and *RAD51B* were significantly over-expressed in ESCC ($P < 0.0001$ and $P < 0.0001$, respectively; **Figure 4B**). Similarly, *MRE11* and *NBS1*, two MRN complex genes, and the most amplified NHEJ pathway gene *PRKDC* were also markedly up-regulated in ESCC ($P < 0.0001$, $P = 0.0174$, and $P < 0.0001$, respectively; **Figure 4B**).

We also investigated the correlations between expression of DSB repair pathway genes and clinical traits of ESCC patients. Survival analysis showed that high expression of five genes including *RAD51B*, *MUS81*, *TOP3A*, *GEN1*, and *TP53BP1* were related to shorter overall survival ($P = 0.0091$, $P = 0.0275$, $P = 0.0011$, $P = 0.0179$, and $P = 0.0060$, respectively; **Figure 4C**). **Table 3** summarized the correlation between gene expression and clinical characteristics. We found that gene expression of *RAD51B* and *TOP3A* was associated with TNM stage ($P = 0.043$ and $P = 0.043$, respectively). Besides, *XRCC5* expression was related to lymph node metastasis ($P = 0.027$).

Gene Set Variation Analysis Showed Up-Regulation of Double-Strand Break Repair Pathways in Esophageal Squamous Cell Cancer

In order to further compare the activities of DSB repair pathways between ESCC and normal tissues, we conducted GSVA based on expression of DSB repair pathway genes. We observed that pathway activities of HR, NHEJ and the combined “DSB repair pathway”

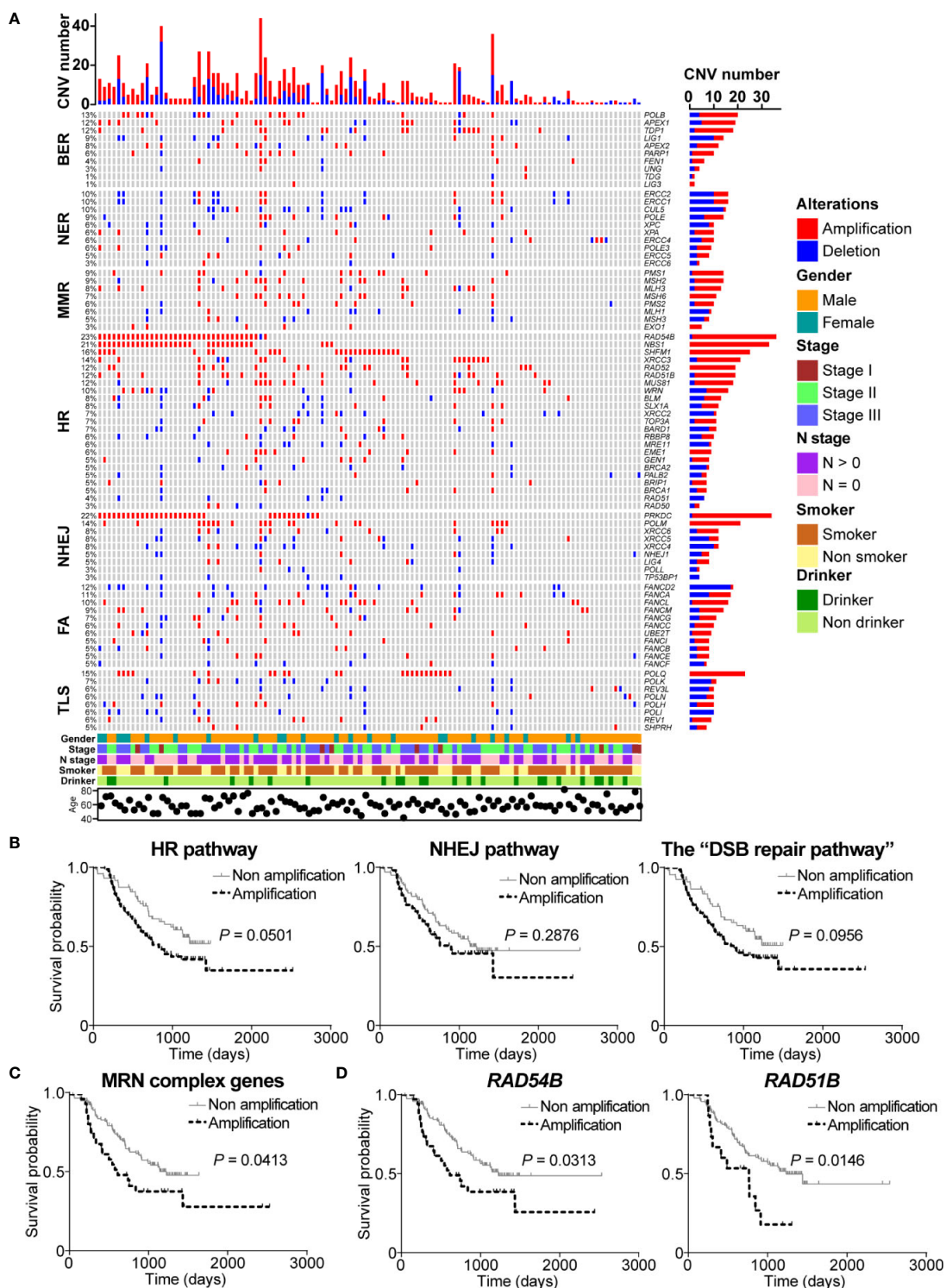


FIGURE 3 | DNA damage repair (DDR) pathway genes had significant copy number variations (CNVs) in esophageal squamous cell cancer (ESCC). **(A)** A complex heatmap that shows the gene CNV profile in DDR pathways (samples with no CNVs are removed). The top panel presents the number of gene CNVs in each of ESCC samples, and the right panel shows the number of gene CNVs in each gene. **(B)** Amplification of homologous recombination (HR), non-homologous end joining (NHEJ), and the “DSB repair pathway” was associated with poorer overall survival. The amplification of MRE11-RAD50-NBS1 (MRN) complex genes **(C)**, *RAD54B*, and *RAD51B* **(D)** was related to shorter overall survival.

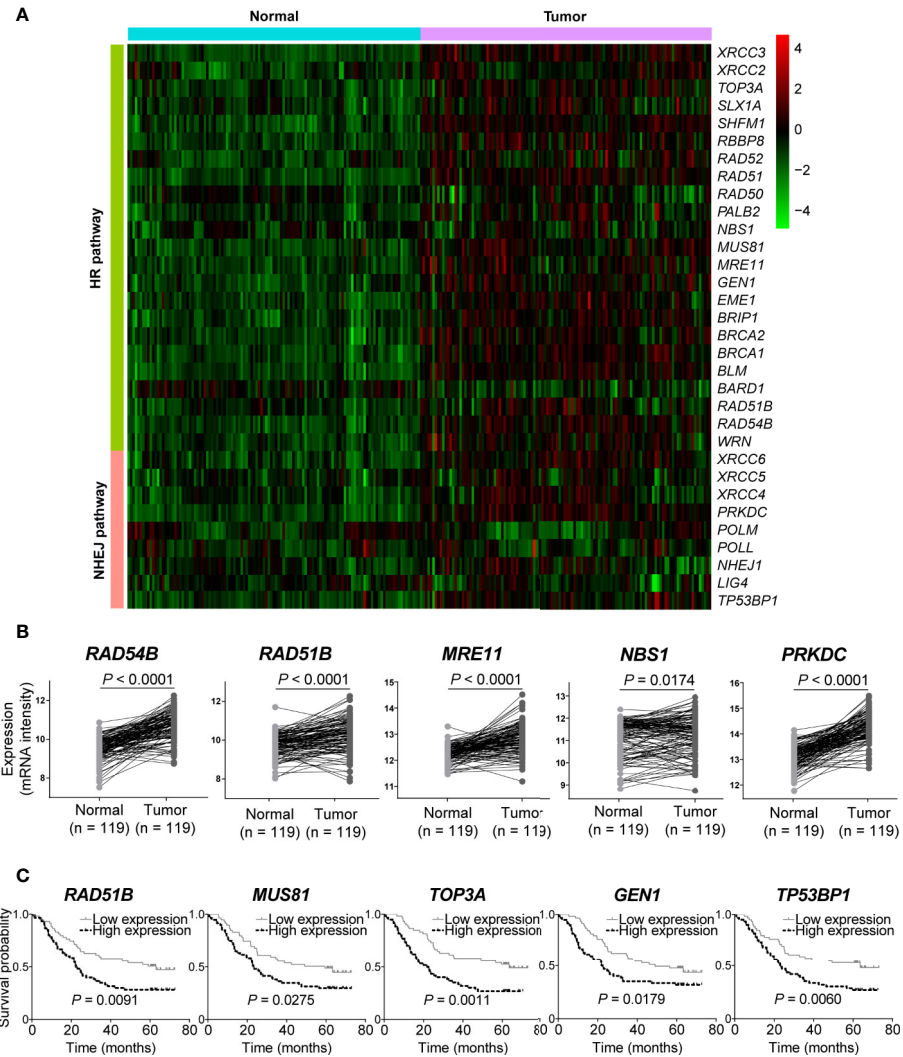


FIGURE 4 | The messenger RNA (mRNA) expression level of double-strand break (DSB) repair pathway genes was up-regulated in esophageal squamous cell cancer (ESCC). **(A)** A heatmap that depicts the mRNA expression profile of DSB repair pathway genes in ESCC and normal tissues from GSE53624 dataset. **(B)** Student's *t*-test analysis showed that *RAD54B*, *RAD51B*, *MRE11*, *NBS1*, and *PRKDC* were up-regulated in ESCC with statistically significant *P* values. ESCC patients were divided into two groups based on the median expression values of DSB repair pathway genes, and survival analysis was then performed. High expression of *RAD51B*, *MUS81*, *TOP3A*, *GEN1*, and *TP53BP1* was associated with poorer overall survival of ESCC patients **(C)**.

were all significantly up-regulated in ESCC based on GSVA scores ($P < 0.0001$, $P < 0.0001$, and $P < 0.0001$, respectively; **Figures 5A, B**). Moreover, survival analysis showed that high pathway activities of HR, NHEJ, and the “DSB repair pathway” were associated with poorer overall survival ($P = 0.0186$, $P = 0.0187$, and $P = 0.0180$, respectively; **Figure 5C**). Besides, the GSVA scores of NHEJ pathway were higher in ESCC cases with lymph node metastasis ($P = 0.0004$; **Figure 5D**). Similarly, the GSVA scores of NHEJ pathway in ESCC stage III group were higher than stage I and II group ($P = 0.0334$; **Figure 5D**). The GSVA scores of the “DSB repair pathway” were obviously increased in ESCC cases with lymph node metastasis ($P = 0.0468$; **Figure 5D**).

Combination of Mirin and NU7441 With Ionizing Radiation Treatment Significantly Enhanced DNA Double-Strand Breaks, Reduced Clonogenic Cell Survival, Inhibited Cell Proliferation, and Promoted Cell Apoptosis in Esophageal Squamous Cell Cancer Cells

Although radiotherapy is one of the effective treatments for ESCC, some ESCC patients often show no response or encounter adverse effects as a result of tumor radio-resistance (27, 28). As IR can induce a variety of DNA damages especially double-strand breaks, HR and

TABLE 3 | The correlations between gene expression of homologous recombination (HR) and non-homologous end joining (NHEJ) pathways and clinical characteristics of esophageal squamous cell cancer (ESCC) patients in GSE53624 dataset.

	Gender	Age	TNM stage	N stage	T stage	Drinking status	Smoking status
<i>XRCC3</i>	0.814	0.582	0.582	0.582	0.518	0.706	0.697
<i>XRCC2</i>	0.480	0.713	0.855	0.359	0.670	0.451	0.697
<i>TOP3A</i>	0.814	0.098	0.043	0.142	0.829	0.348	0.175
<i>SLX1A</i>	0.632	1.000	0.855	1.000	0.829	0.059	0.697
<i>SHFM1</i>	0.097	0.141	0.713	1.000	0.829	0.188	0.847
<i>RBBP8</i>	0.814	1.000	0.855	0.582	0.394	1.000	0.562
<i>RAD52</i>	1.000	0.855	0.359	0.464	1.000	0.706	0.697
<i>RAD51</i>	0.814	1.000	0.582	1.000	0.200	0.348	0.081
<i>RAD50</i>	0.632	0.462	0.855	0.272	0.829	0.573	0.847
<i>PALB2</i>	0.814	1.000	0.359	0.272	0.518	0.091	0.562
<i>NBS1</i>	0.632	0.141	0.462	0.855	1.000	0.573	0.333
<i>MUS81</i>	1.000	0.270	0.582	0.464	1.000	0.851	0.242
<i>MRE11</i>	0.632	0.713	0.855	0.714	0.394	0.451	0.697
<i>GEN1</i>	0.480	0.855	0.855	0.272	0.130	1.000	0.562
<i>EME1</i>	0.632	0.462	0.855	1.000	0.518	0.348	0.333
<i>BRIP1</i>	0.632	0.270	1.000	0.272	0.280	0.573	0.562
<i>BRCA2</i>	0.238	0.141	0.855	0.855	0.200	1.000	0.562
<i>BRCA1</i>	0.238	0.713	1.000	0.855	0.518	0.091	0.081
<i>BLM</i>	1.000	0.855	1.000	0.359	0.394	0.451	0.562
<i>BARD1</i>	0.814	0.855	0.199	0.066	1.000	1.000	0.847
<i>RAD51B</i>	1.000	0.359	0.043	0.142	1.000	0.348	0.847
<i>RAD54B</i>	0.480	1.000	0.270	0.855	0.518	0.573	0.033
<i>WRN</i>	0.814	0.713	0.855	0.272	0.518	0.091	0.033
<i>XRCC6</i>	0.337	0.359	0.582	0.714	1.000	1.000	1.000
<i>XRCC5</i>	0.632	1.000	0.582	0.027	0.280	0.573	0.175
<i>XRCC4</i>	1.000	0.582	0.582	0.464	1.000	0.851	0.847
<i>PRKDC</i>	0.814	1.000	0.855	0.142	0.280	0.573	0.081
<i>POLM</i>	0.480	0.270	0.359	0.714	1.000	0.851	1.000
<i>POLL</i>	1.000	0.855	0.359	0.272	0.829	0.573	0.847
<i>NHEJ1</i>	0.632	0.359	0.359	0.272	0.051	0.706	0.847
<i>LIG4</i>	0.337	0.141	0.582	1.000	0.200	1.000	0.242
<i>TP53BP1</i>	0.337	0.199	0.582	0.464	0.670	0.573	0.847

Statistically significant *P* values were in bold.

NHEJ pathways play an important role in causing radio-resistance (5, 7, 29). In this study, we observed that HR and NHEJ pathway genes were significantly up-regulated in ESCC. Accordingly, we assumed that inhibition of HR and NHEJ pathways might enhance the radio-sensitivity of ESCC with DSB repair pathway up-regulation. In HR pathway, the MRN complex is essential for sensing and signaling from DNA double-strand breaks and promoting homology-dependent DNA repair (23). As mentioned above, two MRN complex genes *MRE11* and *NBS1* were up-regulated in ESCC. DNA-PK, a protein kinase complex composed of a Ku70/Ku80 heterodimer and a catalytic subunit encoded by *PRKDC*, plays a crucial role in facilitating NHEJ repair for DNA double-strand breaks and was identified as a potential anticancer target (30, 31). Similarly, over-expression of *PRKDC* was identified in ESCC. Therefore, mirin and NU7441, the highly potent and selective inhibitors for MRN complex and DNA-PK respectively (32–34), were utilized to assess whether inhibition of DSB repair pathways could improve the radio-sensitivity of ESCC cell lines with altered DSB repair pathways.

We found that most DSB repair pathway genes were amplified in YES2 and KYSE30 cells according to the result of WGS on ESCC cell lines previously conducted in our laboratory (data not published). Therefore, we used YES2 and KYSE30 cells to investigate the effects of mirin and NU7441. We firstly tested if mirin and NU7441 could induce DSBs. As phosphorylation of

H2AX (γ -H2AX) is a hall marker of DSBs (35), we conducted an immunofluorescence assay to determine the number of γ -H2AX foci after 24 h of treatment with IR and inhibitors in ESCC cells. The level of γ -H2AX had a little increasing following 6 Gy IR treatment alone, whereas both mirin and NU7441 enhanced γ -H2AX recruitment and combination of two inhibitors led to the higher level of γ -H2AX (**Figures 6A, B**), indicating that inhibiting DSB repair pathways could enhance IR-inducing DSBs in ESCC cells.

Next, to investigate the effect of mirin and NU7441 on clonogenic cell survival of ESCC cells, we conducted clonogenic assay. IR treatment alone did not have a significant influence on clonogenic survival of both YE2 and KYSE30 cells (**Figures 7A, B**). Combining IR with mirin or NU7441 treatment showed notable reduction in clonogenic survival of ESCC cells, and combination of mirin and NU7441 with IR treatment led to the lowest number of colonies in both cells (**Figures 7A, B**). We performed MTS assay in a 96 h interval to detect how mirin and NU7441 treatment affects cell proliferation. As shown in **Figure 7C**, compared with negative control, the proliferation of ESCC cells did not obviously decrease with IR treatment alone. Interestingly, we observed that combining IR with mirin or NU7441 showed significant inhibition of cell proliferation. Moreover, combination of two inhibitors presented the strongest inhibition ability (**Figure 7C**). Apoptosis is considered as one of the main forms of cell death induced by IR. We

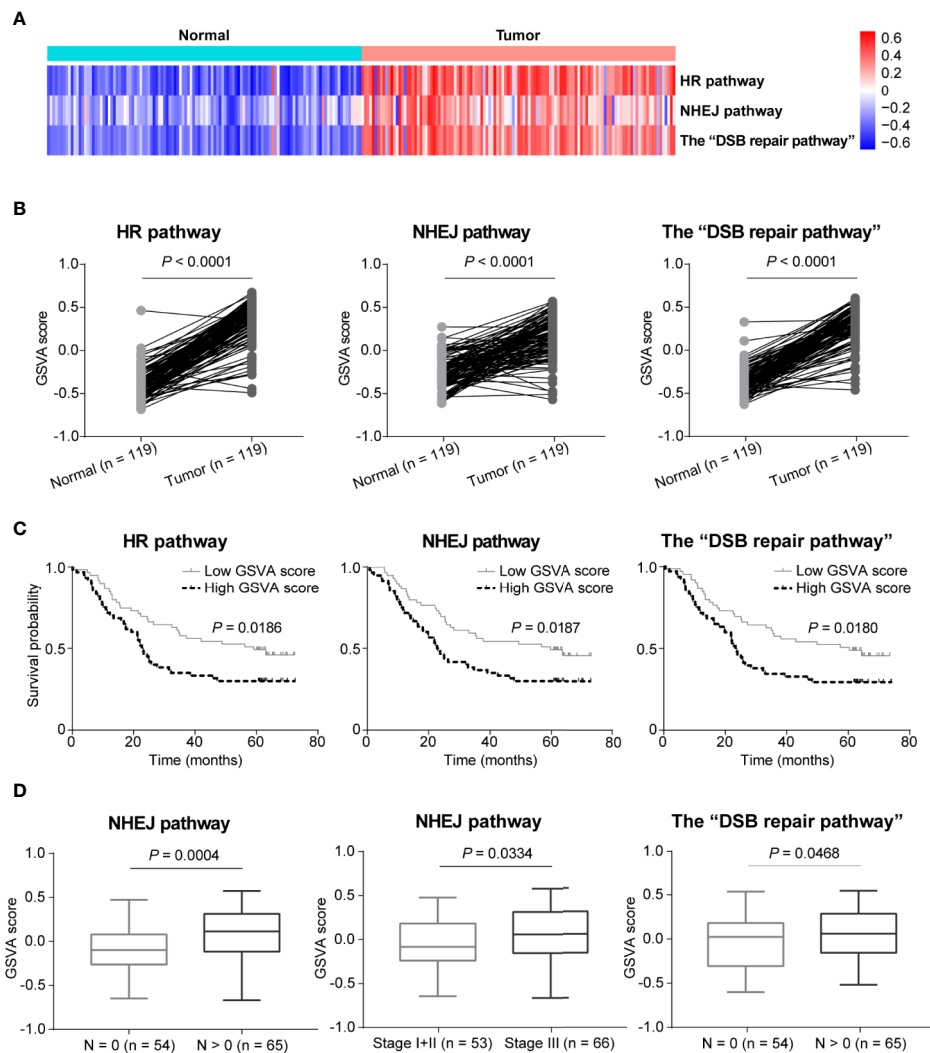


FIGURE 5 | The activities of double-strand break (DSB) repair pathways were up-regulated in esophageal squamous cell cancer (ESCC) as determined by gene set variation analysis (GSVA). **(A)** A heatmap that shows the GSVAs of homologous recombination (HR), non-homologous end joining (NHEJ), and the "DSB repair pathway" in each ESCC or normal sample. **(B)** Welch's unequal variances *t*-test result showed that the activities of HR, NHEJ and the "DSB repair pathway" were significantly up-regulated in ESCC based on the GSVAs scores. ESCC patients were divided into two groups based on the median GSVAs scores of HR, NHEJ and the "DSB repair pathway" respectively, and survival analysis was then performed. High activities of HR, NHEJ, and the "DSB repair pathway" were associated with shorter overall survival **(C)**. **(D)** Compared to ESCC patients with $N = 0$, the GSVAs scores of NHEJ and the "DSB repair pathway" were significantly higher in ESCC cases with $N > 0$. Besides, the GSVAs scores of NHEJ in ESCC samples of stage III were significantly higher than ESCC patients of stage I and II.

investigated the effect of IR, mirin and NU7441 on apoptosis in ESCC cells. Similarly, 6 Gy IR treatment alone had a little effect on promoting ESCC cell apoptosis (**Figures 7D, E**). The apoptosis rates were obviously increased in groups combining IR with mirin or NU7441 treatment (**Figures 7D, E**). Furthermore, the synergistic effect of mirin and NU7441 dramatically promoted cell apoptosis (**Figures 7D, E**).

DISCUSSION

Personalized care has become a key part of developing effective treatment guidelines for human cancer. One of the most

important aspects of precision medicine in cancer is matching patients and treatments based on the genomic features of an individual and their tumor (36). As genomics-driven precision medicine extends beyond somatic mutations, comprehensive cancer sequencing to identify structural and copy number variations, as well as abnormal expression is becoming increasingly relevant to guide cancer therapy (37). Although diagnosis and treatment of ESCC have been improved, the prognosis is still poor. The development of ESCC is the result of a complex process with several steps implicated in multiple gene alterations (1, 2, 38). Thus, better patient stratification is needed to develop personalized treatment strategies for ESCC. Genomics-driven precision medicine may fulfill this urgent need.

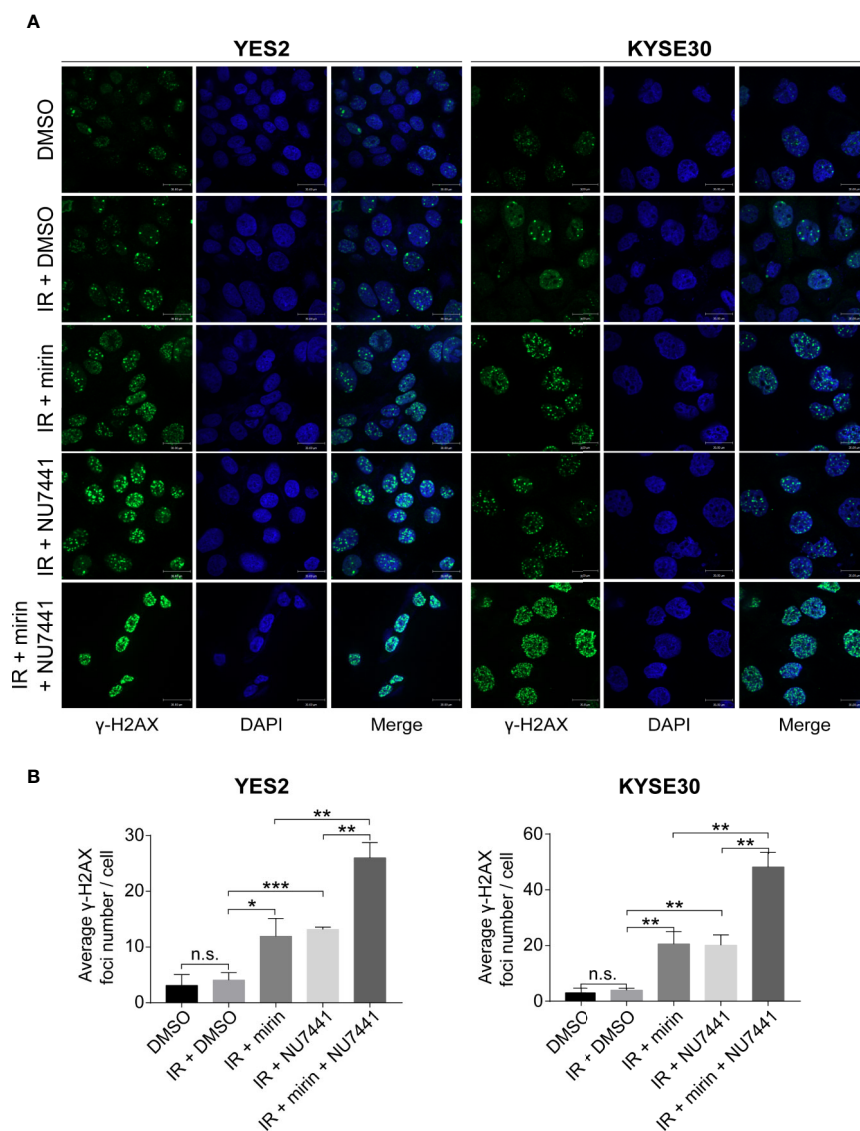


FIGURE 6 | Combination of mirin and NU7441 with ionizing radiation (IR) treatment significantly enhanced double-strand breaks (DSBs) in esophageal squamous cell cancer (ESCC) cells. **(A, B)** DSBs were indicated by immunostaining with γ -H2AX. Combinations of mirin (50 μ M) or/and NU7441 (5 μ M) with IR (6 Gy) treatment significantly improved the number of γ -H2AX foci in both YES2 and KYSE30 cells. Scale bar = 30 μ m. All the experiments were independently performed in triplicate. The error bars represent the standard deviation and *P* values were evaluated by Student's *t*-test. **P* \leq 0.05, ***P* \leq 0.01, ****P* \leq 0.001, n.s. *P* > 0.05.

One hallmark of cancer is genomic instability induced by various insults that lead to DNA damage (39). DDR plays a critical role on the protection of genomic stability to prevent from tumorigenesis. Alterations in DDR pathways play important roles in the development of cancers. In melanoma, gene up-regulation in DDR pathways is associated with tumor metastasis (40). DDR gene mutations were linked to immune-related gene expression in ovarian cancer and muscle invasive bladder cancer (41, 42). DDR was also reported to be involved in cancer metabolism. Activated DDR increases nucleotide synthesis and anabolic glucose metabolism, while reduces glutamine anaplerosis (43). Besides, up-regulated DDR pathways is one of important factors that trigger resistance to radiotherapy and chemotherapy (4–6).

Therefore, identification of alterations in DDR pathways is helpful for better understanding the mechanisms of cancer progression. Moreover, targeting altered DDR pathways could be an effective way for cancer treatment (4, 5). Gene polymorphisms in BER, NER and NHEJ pathway genes have been reported to be related to higher risk of ESCC (12–16). However, the alterations in DDR pathways, including gene mutations, CNVs, and abnormal expression, are still largely unknown in ESCC, and how alterations in DDR pathways contribute to ESCC needs to be further explored.

In this study, we firstly performed a comprehensive analysis of genomic alterations in DDR pathways with previously published sequencing data. Mutations in DDR pathway genes are associated

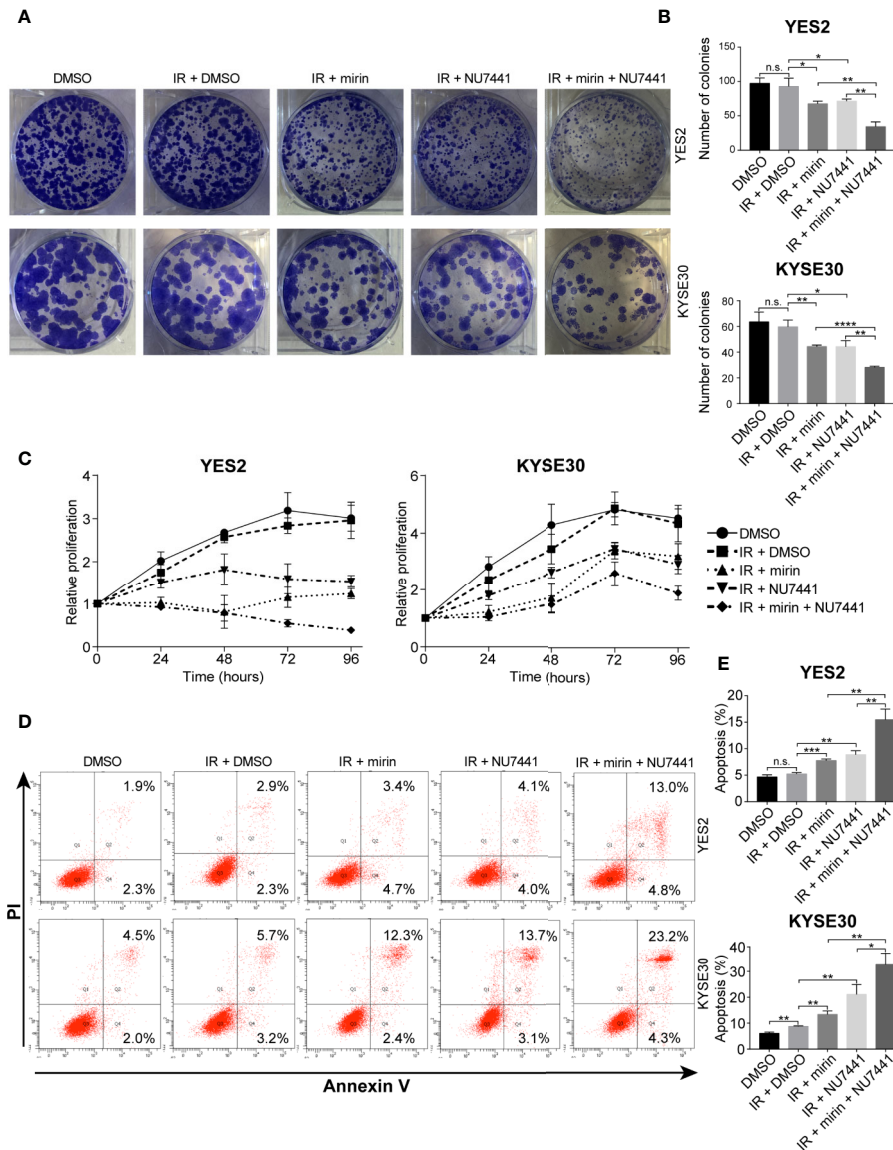


FIGURE 7 | Combination of mirin and NU7441 with ionizing radiation (IR) treatment significantly reduced clonogenic cell survival, inhibited cell proliferation and promoted cell apoptosis in esophageal squamous cell cancer (ESCC) cells. **(A, B)** Clonogenic cell survival with combinations of inhibitors and IR (6 Gy) treatment was investigated by clonogenic assay. Combinations of mirin (50 μ M) or/and NU7441 (5 μ M) with IR treatment significantly reduced number of colonies of both YES2 and KYSE30 cells. **(C)** Cell proliferation was measured at 24, 48, 72, and 96 h after treatment with inhibitors and IR (6 Gy) by MTS assay. Combinations of mirin (50 μ M) or/and NU7441 (5 μ M) with IR treatment significantly inhibited proliferation of both YES2 and KYSE30 cells. **(D, E)** Flow cytometric analysis was applied to detect the effect of combinations of inhibitors and IR (6 Gy) treatment on cell apoptosis. Combinations of mirin (50 μ M) or/and NU7441 (5 μ M) with IR treatment significantly promoted cell apoptosis of both YES2 and KYSE30 cells. All the experiments were independently performed in triplicate. The error bars represent the standard deviation and *P* values were evaluated by Student's *t*-test. **P* \leq 0.05, ***P* \leq 0.01, ****P* \leq 0.001, *****P* \leq 0.0001, n.s. *P* > 0.05.

with human cancers (4, 44, 45). Although somatic mutations were observed in DDR pathway genes in ESCC, the mutation rate was low. Polymorphisms of BER pathway genes were reported to be associated with risk of ESCC (13), and frequent mutations of MMR pathway genes occurred in colorectal cancer and were associated with the etiology of colorectal cancer (45). However, no significant mutations in BER and MMR pathways were identified in ESCC. Interestingly, Two DSB repair pathways HR

and NHEJ carried most gene mutations. Contrast to the gene mutation profile, CNVs especially amplification was observed to be the dominant alteration type in DDR pathways. Amplification of DDR pathway genes was reported to play a crucial role in cancer progression (9, 26, 46). We observed that obvious gene amplification occurred in multiple DDR pathways in ESCC. Similar to the gene mutation profile, HR and NHEJ had a significant gene amplification profile. Previous studies

demonstrated that DSB repair pathway genes were over-expressed in cancers and high expression of these genes was associated with cancer development and resistance to chemotherapy and radiotherapy (8, 10, 11, 25, 26, 47, 48). However, how altered DSB repair pathways contribute to ESCC is much less explored. We found that amplification of DSB repair pathways was associated with poorer overall survival. Gain of MRN complex genes, *RAD54B* and *RAD51B*, whose alterations were reported to be involved in cancer progression (9, 47, 48), was related to poorer overall survival. The NHEJ pathway gene *PRKDC*, which is linked to the development of multiple cancers (10, 31, 49), was also significantly amplified in ESCC. Nevertheless, how amplification of these genes contributes to the development of ESCC is still unclear. CNVs are important factors that can affect gene expression (50). We observed that DSB repair pathway genes, especially the genes with notable amplification such as *RAD54B*, *RAD51B*, *NBS1* and *PRKDC*, were up-regulated in ESCC. GSVa result further showed that DSB repair pathways were obviously up-regulated in ESCC, and high pathway activities of DSB repair pathways were related to shorter overall survival and lymph node metastasis. These findings suggest that alterations in DSB repair pathways might play important roles in the development of ESCC.

Although radiotherapy is widely used for ESCC treatment, locoregional disease persists or recurs in 40 to 60% of patients owing to the ability of ESCC cells to become radio-resistant (51, 52). Thus, it is critical to well understand the underlying mechanisms of radio-resistance in ESCC and find the ways to improve the effectiveness of radiotherapy. As up-regulated DDR pathways confer therapeutic resistance in cancers, discovery and development of targeted agents that abrogate specific proteins in DDR pathways is a promising strategy for developing precise cancer treatments. Hitherto, many inhibitors that target specific DDR pathways have been developed (4). However, only a few DDR inhibitors have been used for ESCC treatment. (53–55). Whether targeting DSB repair is an effective strategy for ESCC treatment is much less explored. It is known that up-regulation of DSB repair pathway genes is one of the reasons for cancer radio-resistance. Therefore, targeting DSB repair pathways is a potential effective strategy to enhance radio-sensitivity (4–6, 8, 11). As one of the most famous examples of HR inhibitors, mirin was developed against endonuclease activity of MRE11 and used to effectively inhibit multiple cancers (32, 56, 57). Similarly, NU7441, a highly selective inhibitor for DNA-PK, blocked NHEJ of radiation-induced DSBs and enhanced cancer radio-sensitivity (33, 34, 58, 59). However, whether mirin and NU7441 could affect the radio-sensitivity of ESCC with DSB repair pathway up-regulation is still unclear. We made the first demonstration that combination of mirin and NU7441 with IR treatment significantly enhanced the radio-sensitivity of ESCC cells with DSB repair pathway gene amplification. This result provides a basis for exploring precision medicine strategies for ESCC treatment. Nevertheless, the effect of mirin and NU7441 on xenograft tumors in mice needs to be explored in the future.

In conclusion, this is the first report to comprehensively identify the alterations of DDR pathways in ESCC, and demonstrated that altered DSB repair pathway genes might

contribute to ESCC progression. However, the molecular functions of these genes in ESCC should be further studied. We also firstly revealed two DSB repair pathway inhibitors mirin and NU7441 could obviously improve the radio-sensitivity of ESCC cells with DSB repair pathway gene amplification, showing the potential clinical application in ESCC treatment.

DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: European Genome-phenome Archive (<https://www.ebi.ac.uk/ega/>, accession number EGAS00001000709), NCBI Sequence Read Archive (<https://www.ncbi.nlm.nih.gov/sra>, accession number SRA112617) and Gene Expression Omnibus (<https://www.ncbi.nlm.nih.gov/geo/>, accession number GSE54995 and GSE53624). Additionally, gene mutation and clinical information within this study can be found in the supplementary materials of the previously published studies (<https://www.nature.com/articles/nature13176>) ([https://www.cell.com/ajhg/fulltext/S0002-9297\(15\)00100-7](https://www.cell.com/ajhg/fulltext/S0002-9297(15)00100-7)).

ETHICS STATEMENT

Our research was approved by the Ethics Committee of Cancer Hospital Chinese Academy of Medical Sciences and Peking Union Medical College.

AUTHOR CONTRIBUTIONS

GW collected, analyzed, interpreted data, performed experiments, and wrote the manuscript. SG interpreted data and provided support. WZ, ZL, JX, DL, and YW provided supervision and support. QZ conceived the concept, designed the study, wrote the manuscript, and took responsibility for the whole manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Natural Compounds That Target DNA Repair Pathways and Their Therapeutic Potential to Counteract Cancer Cells

Francisco Alejandro Lagunas-Rangel and Rosa María Bermúdez-Cruz*

Department of Genetics and Molecular Biology, Centro de Investigación y de Estudios Avanzados del Instituto Politécnico Nacional (CINVESTAV), Mexico City, Mexico

Resistance to current cancer treatments is an important problem that arises through various mechanisms, but one that stands out involves an overexpression of several factors associated with DNA repair. To counteract this type of resistance, different drugs have been developed to affect one or more DNA repair pathways, therefore, to test different compounds of natural origin that have been shown to induce cell death in cancer cells is paramount. Since natural compounds target components of the DNA repair pathways, they have been shown to promote cancer cells to be resensitized to current treatments. For this and other reasons, natural compounds have aroused great curiosity and several research projects are being developed around the world to establish combined treatments between them and radio or chemotherapy. In this work, we summarize the effects of different natural compounds on the DNA repair mechanisms of cancer cells and emphasize their possible application to re-sensitize these cells.

Keywords: DNA damage, radioresistance, chemoresistance, sensitization, treatment

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St. John's University, United States

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Bernd Kaina,
Johannes Gutenberg University Mainz,
Germany

Samir Gorasiya,
St. John's University, United States

*Correspondence:

Rosa María Bermúdez-Cruz
roberm@cinvestav.mx

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INTRODUCTION

Day by day we are exposed to chemical carcinogens in the environment, ultraviolet (UV) radiation, ionizing radiation, and also those substances produced in our body during cellular metabolism that attack and produce a variety of DNA injuries. Each lesion favors the development of alterations in DNA and chromosomes, which favors oncogenic transformation and tumor progression. In order to reduce the number of changes in the genome and its instability, cells have several pathways of response to damage and DNA repair proteins that eliminate these lesions (1). DNA adducts, such as those created by alkylating agents, can be cleaved and repaired by base excision repair (BER) or by nucleotide excision repair (NER), depending on whether it is necessary to remove only a nitrogenous base or a nucleotide (2). Also, O-6-methylguanine-DNA methyltransferase (MGMT), an alkyltransferase, eliminates alkylations (3). Mismatch repair (MMR) is a system for repairing the insertion, deletion, and misincorporation of bases that can arise during DNA replication and recombination. While, direct double-strand breaks are repaired by non-homologous end joining, those associated with replication are repaired by homologous recombination. Other repair pathways active during replication include the Fanconi anemia repair pathway, endonuclease-mediated repair, and RecQ-mediated repair (2, 4).

Several cancer cells in contrast to normal cells have one or more DNA repair pathways defective during carcinogenesis, leading to a greater reliance on the remaining pathways and at the same time accumulating mutations during the process (5). Examples of these are the silencing of MGMT in approximately 40% of glioblastomas (6) and the downregulation of MMR genes in colon cancer (7, 8). However, some types of cancer overexpress DNA repair genes and this makes them more resistant to the treatments currently used, causing what is known as resistance (9). Resistance to current cancer treatments is a major problem that requires the search for new compounds that can re-sensitize cancer cells. We speak of resistance when a cancer cell develops the ability to resist radio and chemotherapy, and this can be achieved through various mechanisms such as regulation of the entry and exit of drugs, inhibition of cell death, alterations in metabolism and degradation of drugs, epigenetic factors, and improved DNA repair (10). In terms of its effects on DNA repair, DNA repair inhibitors have been shown to increase the efficacy of anticancer drugs and several works have illustrated the sensitizing efficacy of natural compounds in various cancers (11). Natural compounds are biologically active substances present in plants, fungi, bacteria, and other organisms that affect DNA repair, and are classified mainly according to their chemical structure into terpenes, carotenoids, phenolic compounds (**Table 1**): phenolic acids, flavonoids, stilbenes, coumarins, tannins; alkaloids, nitrogen compounds; organosulfates: isothiocyanates and indoles, allyl sulfates. Flavonoids are further divided into chalcones, flavanones, flavones, flavonols, flavanols, isoflavones, and anthocyanins (12). In this work, we summarize the effects of different natural compounds on the DNA repair mechanisms of cancer cells and emphasize their possible application to re-sensitize these cells to radio and chemotherapy (**Figure 1**).

RESVERATROL

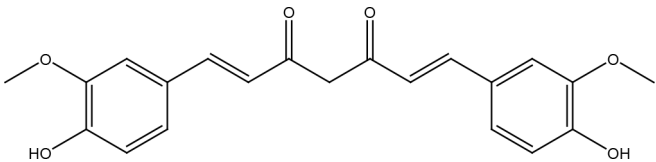
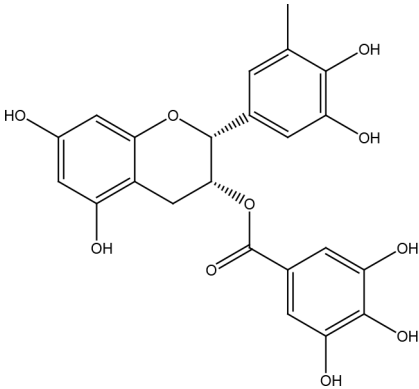
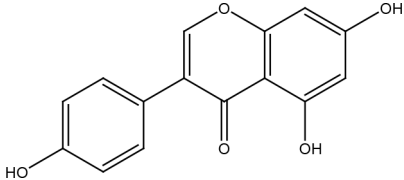
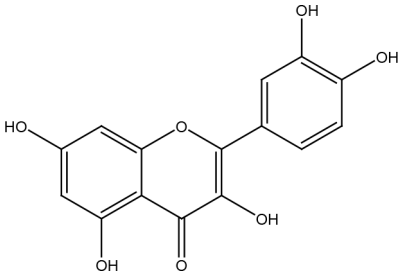
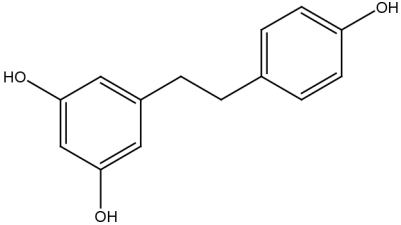
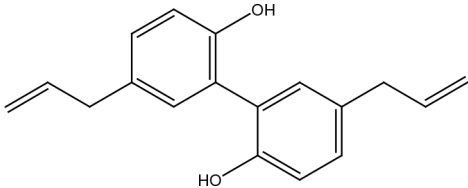
Resveratrol is a natural polyphenolic compound, specifically a stilbene, which is found in significant amounts in grapes, berries, peanuts, and other plant sources, as well as in red wine. This compound has become very popular due to its multiple reported properties that include inflammation-mediating, cardioprotective, antioxidant, and anti-cancer, among other things (13). As an anti-cancer compound, low-dose resveratrol accelerates non-mutagenic repair of DNA damage in mouse embryonic stem cells exposed to ionizing radiation (14). Similarly, resveratrol in mouse embryonic fibroblasts was shown to help maintain genomic stability after chemical and ionizing radiation damage by allowing greater repair efficiency of double-strand breaks and less replicative stress (15). Furthermore, resveratrol was shown to significantly reduce DNA damage from arsenic compounds in non-cancerous mammalian cells by enhancing repair activities, especially if used prior to exposure (16). Resveratrol causes DNA damage and activates the repair mechanisms in various cancer cell lines such as prostate cancer cells, colon cancer cells, and breast cancer cells (17, 18). Indeed, head and neck squamous cell carcinoma cells as well as

breast cancer cells receive more DNA damage than their normal counterparts (19, 20). Non-small cell lung cancer cells have shown DNA damage after treatment with resveratrol, which was potentiated by the pemetrexed antifolate that destabilizes ERCC1 protein, an essential nuclease in the BER pathway and, to a lesser extent, in double-stranded DNA breaks and in crosslink repair, by inhibiting p38 MAPK activity (21). Resveratrol has been shown to affect different DNA repair pathways in MCF7 breast cancer cells by reducing the expression of several genes involved in this activity and where mismatch repair and homologous recombination stand out such as most affected (22). Resveratrol made breast cancer cells more susceptible to cisplatin, and specifically in cisplatin-resistant MCF7 cells, resveratrol was able to re-sensitize cells by decreasing several key components of the homologous recombination pathway (23). Etoposide in combination with resveratrol treatments were more effective than either chemical alone given as treatment to stop cell proliferation and eliminate non-small-cell lung cancer cells by suppressing the expression of the XRCC1 protein (DNA repair protein within NER or BER pathway) (24). The same happened in sphere cultures of cervical cancer cells treated with this combination, but in this case a strong decrease in the expression of the RAD51 protein (DNA repair protein within HR pathway) was reported (25). Resveratrol potentiates the effects of temozolomide on glioblastoma cells by negatively regulating the NF- κ B pathway and thereby causing a reduction in MGMT expression (26). Resveratrol switched radioresistant prostate cancer cells back to sensitive phenotype by inhibiting ATM phosphorylation and its target protein H2AX, causing cell cycle arrest and subsequently cell death (27). Resveratrol also radiosensitized glioma stem cells by causing an accumulation of DNA damage that impairs their self-renewal and potency (28). By the same mechanism, resveratrol together with capsaicin made radiosensitive pancreatic tumor cells more susceptible to the effect of radiation (29). In colon cancer cells resistant to 5-fluorouracil, resveratrol in conjunction with 1,3-bis(2-chloroethyl)-1-nitrosourea (BCNU) managed to induce apoptosis and re-sensitize the cells by decreasing the levels of FEN1 and PCNA (30). The same decrease in both proteins was observed in cigarette smoke-induced breast cancer cells treated with resveratrol alone, where it was also detailed that p21 levels increased and affected the binding of FEN1 to PCNA, thus inhibiting the long patch base excision repair pathway. Other components of this pathway, such as DNA-ligase-I and polymerases (β , δ , ϵ) were also decreased (31). Despite the fact that melanoma cells have an increased expression of APE/REF1, especially those resistant to dacarbazine, it has been shown that resveratrol can sensitize them by inhibiting REF1-activated AP-1 DNA bindings (32). As can be seen from the data referred, resveratrol is an important candidate despite somewhat solubility issues that affect its bioavailability.

CURCUMIN

Curcumin is a bright yellow hydrophobic polyphenol present in the rhizome of turmeric (*Curcuma longa*) and to which antimicrobial, anti-inflammatory, antioxidant, immunomodulatory, renoprotective,

TABLE 1 | Structural classification of natural compounds targeting DNA repair pathways in cancer cells.

Class	Active metabolite	Structure
Phenolic compounds	Curcumin	
	Epigallocatechin gallate (EGCG)	
	Genistein	
	Quercetin	
	Resveratrol	
	Honokiol	

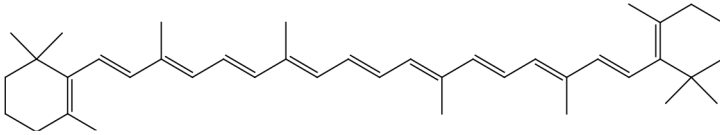
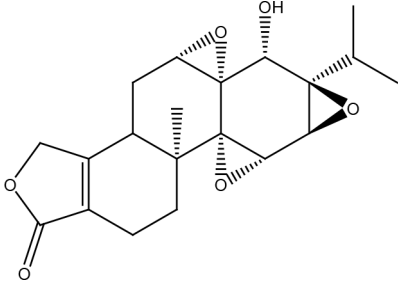
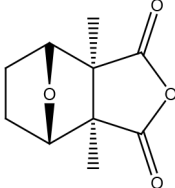
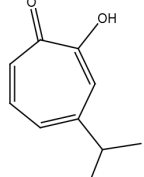
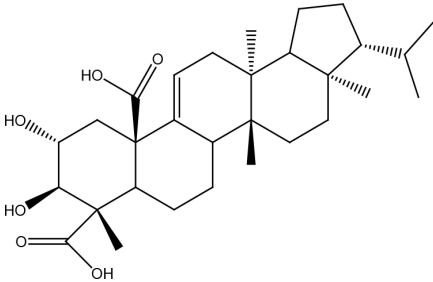
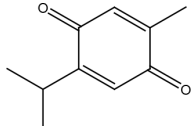
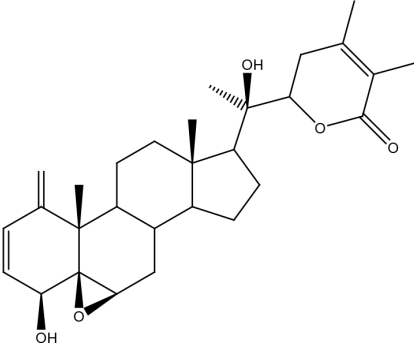
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TABLE 1 | Continued

Class	Active metabolite	Structure
Ellagic acid		
Kaempferol		
Isoorientin		
Ferrulic acid		
Terpenoids	Celastrol	

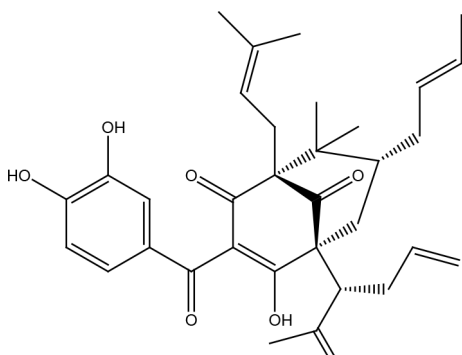
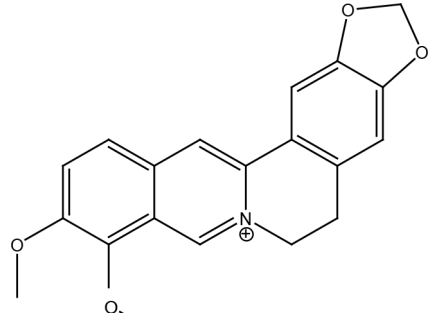
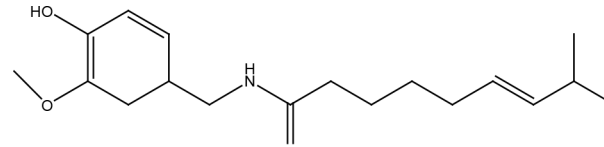
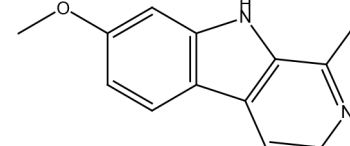
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TABLE 1 | Continued

Class	Active metabolite	Structure
	β-Carotene	
	Triptolide	
	Cantharidin	
	β-Thujaplicin	
	Retigeric acid B	
	Thymoquinone	
	Withanolide D	

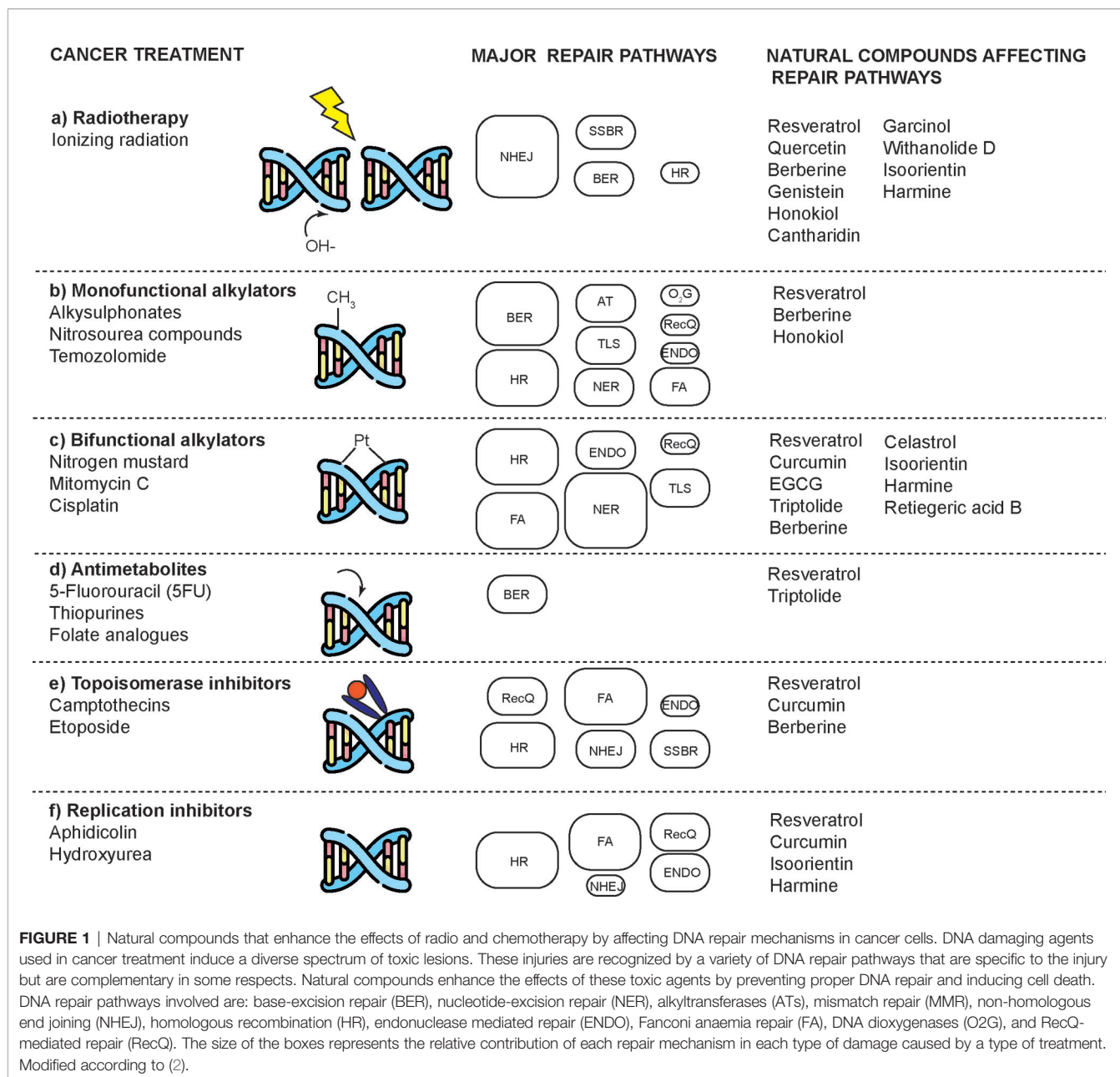
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TABLE 1 | Continued

Class	Active metabolite	Structure
	Garcinol	
Nitrogen-containing alkaloids	Berberine	
	Capsaicin	
	Harmine	

hepatoprotective, hypoglycemic, and anti-cancer effects have been attributed (33). Curcumin's ability to affect multiple pathways makes it an extremely powerful anticancer agent. Furthermore, curcumin has shown multiple effects on DNA repair systems, both in healthy cells and cancer cells. Curcumin prevents DNA damage in lymphocytes of people chronically exposed to arsenic and improves its repair capacity. Thus, it induces an increase in the proteins of the base excision repair and non-homologous end joining pathways and collaborates to avoid carcinogenesis (34). Also, in murine models, curcumin reduced cyclobutane and pyrimidine dimers produced after exposure to UVB radiation and delayed skin carcinogenesis (35). In cancer cells, curcumin blocks both non-homologous end joining and homologous recombination pathways: by inhibiting the acetyltransferase activity of CBP on histone at double strand breaks thus preventing the recruitment of KU70/KU80 proteins and p300 on BRCA1 promoter and causing downregulation of its expression. ATR kinase activity is also inhibited by curcumin, causing cell cycle arrest in the G2 phase (36, 37). It has also been seen that mismatch

repair is important in curcumin activity because cells deficient in this system, particularly when MSH2 and MLH1 proteins are affected, show a greater sensitivity to it. The difference is that the competent cells of the mismatch repair system can activate CHK1 and arrest in the G2/M phase before inducing apoptosis, whereas the deficient cells go directly to apoptosis (38). In gastric cancer cells, curcumin induces DNA damage that is reflected by overexpression of DNA-PKcs, ATM, ATR, HDAC1, p21, and GADD45A along with activation of the p53 pathway, which consequently suppresses phosphorylation of Rb and expression of cyclin E, thus stopping the cell cycle and causing a general demethylation of DNA by repressing the expression of DNMT1 thus allowing the re-expression of tumor suppressor genes (39). The same effect on DNMT1 was reported in curcumin-treated breast cancer cells, but the effects were different between cell lines. For example, in HCC-38 cells, the curcumin-dependent decrease in DNMT1 together with the inhibition of miR-29b caused an increase in TET1 (a methylcytosine dioxygenase that plays an important role in the demethylation of DNA) allowing



BRCA1 re-expression, but this did not occur in T47D cells (40). It is also important to note that the response to DNA damage triggered by curcumin varies according to the BRCA1 mutation status in triple negative breast cancer cells, but regardless of this, in all cases it leads to apoptosis (41). In curcumin-treated MCF-7 breast cancer cells, a decrease in FEN1 (long patch BER pathway) was observed as a result of overexpression of NRF2 and its positioning on the promoter of this gene, thus collaborating to prevent cell proliferation (42). In lung cancer cells, curcumin reduces the levels of some DNA repair proteins such as BRCA1, MGMT, MDC1, and 14-3-3 σ , but elevates DNA damage proteins such as phosphorylated p53 and γ H2AX, thus causing cytotoxicity, condensation of the nucleus, and DNA damage (43). Meanwhile, curcumin causes DNA damage in cervical cancer

cells and increases levels of BRCA1, MGMT, MDC1, p53, DNA-PKcs, MDM2, PARP, and the phosphorylated forms of ATM, ATR, and H2AX (44). In contrast, RAD51 foci formation was also decreased in lymphoma cells and breast cancer cells treated with curcumin (45, 46).

On the other hand, the ability of curcumin to reverse chemoresistance in various cancers is remarkable. In combination with cisplatin, curcumin prevents the activation of p38 MAPK through MKP1 phosphatase activity consequently affecting the expression of XRCC1, making lung cancer cells more sensitive to the cytotoxic effects of this chemotherapeutic agent (47). A decrease in thymidine phosphorylase, ERCC1 and RAD51 can also be observed with this combination and with

mitomycin C and curcumin, which is due to the inhibition of ERK1/2 activity and an increase in their ubiquitin-mediated 26S proteasome degradation (48, 49). As a complementary medicine to carboplatin, curcumin reduces its adverse effects by selectively activating nucleotide excision repair and homologous recombination in bone marrow cells through positive regulation of BRCA1, BRCA2, and ERCC1 expression, but it has the opposite effect on malignant cells (50). Together with quinacrine, curcumin binds DNA more efficiently, being able to cause further damage to breast cancer stem cells and preventing their repair by lowering the expression of DDB2, Pol β , Pol δ , PolH, Rad51, Fen1, XRCC1, CHK1, and RPA proteins (51). Curcumin increases the apoptotic effects of cisplatin on cisplatin-resistant lung adenocarcinoma cells by inhibiting FANCD2 monoubiquitination and, therefore, also preventing activation of the Fanconi anemia/BRCA pathway that enables DNA repair by homologous recombination (52). The same effect was reported in multiple myeloma cells treated with melphalan and curcumin (53). Curcumin sensitizes colon cancer cells to radiation by modifying the expression of several genes, highlighting an overexpression of CCNH and XRCC5 along with low expression of LIG4 and PNKP (54). Hydroxyurea, camptothecin, and cisplatin were shown to be more efficient in lymphoma cells when combined with curcumin (45). In the same way, PARP inhibitors and DNA-PK inhibitors together with curcumin showed a synergistic effect to induce DNA damage, apoptosis, and mitotic cell catastrophe in different cancer cell lines (36, 45, 46). This, in part, due to the inhibition of topoisomerase II and the reduction in the expression of WRN, FEN1, APE1, DNA ligase III, and XRCC1 (55).

(–)-EPIGALLOCATECHIN-3-GALLATE

The main polyphenolic component of green tea (*Camellia sinensis*) extracts is epigallocatechin gallate (EGCG), an ester of epigallocatechin and gallic acid, and a type of catechin. Biological effects that have been reported for EGCG are antioxidant, anti-inflammatory, neuroprotective, cardioprotective, and anti-cancer (56). In terms of anti-cancer effects, among the many activities that EGCG has (57), some of them are related to its effect on DNA repair systems. EGCG is a compound capable of inhibiting the activity of the ERCC1/XPF protein in non-small cell lung cancer cell lines, blocking the intrastrand crosslink repair, and thus enhancing the cytotoxic activities of cisplatin, preventing proliferation and increasing cellular death (58). Furthermore, EGCG selectively decreased MGMT levels in glioblastoma multiforme cells by preventing translocation of β -catenin to the nucleus, thereby avoiding the removal of temozolomide-produced O6-methylguanine and helping to resensitize cells resistant to this drug. In contrast, EGCG improved MGMT expression in non-tumor glial cells by inhibiting DNMT1 and allowing demethylation of its promoter (59). Normal human leucocytes with continuous low-dose EGCG treatments show less DNA damage (single and double chain mutations, adducts, and mutations) when exposed to

genotoxic agents such as bleomycin and some heterocyclic amines (60, 61).

TRIPTOLIDE

Triptolide is a diterpene triepoxide obtained from the Chinese medicinal plant *Tripterygium wilfordii* Hook F, commonly known as lei gong teng or thunder god vine. This compound has a variety of bioactivities and pharmacological effects such as anti-microbial, anti-inflammatory, neuroprotective, cardiovascular, immunosuppressive, and recently anti-cancer (62). The anticancer effects of triptolide are time and dose dependent, varying according to cell type, but where its effects on DNA repair mechanisms stand out, most often culminating in apoptosis of cells. First, triptolide was shown to affect the nucleotide excision repair pathway by selectively inhibiting the ATPase activity of XPB helicase, thus allowing for a malfunction of the TFIIH holocomplex and preventing filling of the gaps after damage excision (63). Then, triptolide was reported to inhibit the double-stranded DNA damage response in breast cancer cells through post-transcriptional downregulation of ATM, which causes a reduction in the levels of γ H2AX (64). The same was observed in melanoma cell lines along with decreased levels of ATR, BRCA-1, DNA-PKcs, MGMT, and p53 (65). Meanwhile, in murine B-cell lymphoma cells and acute lymphoblastic leukemia cells, triptolide induces DNA double strand breaks with upregulation of γ H2AX and RAD51, which culminates in caspase-3 dependent apoptosis and helps enhance the effects of PARP1 and PI3K inhibitors, as well as re-sensitizing cytarabine- and doxorubicin-resistant leukemia cells (66, 67). Triptolide was shown to cause a decrease in the levels of PARP1, XRCC1, and RAD51 proteins in triple negative breast cancer cells, affecting single-strand break repair, base excision repair, and homologous recombination pathways (64). Furthermore, this natural compound causes cells accumulate DNA damage, stopping their growth, and being arrested in the S phase of the cell cycle, as well as presenting a greater sensitivity to chemotherapeutic agents such as cisplatin and doxorubicin (64, 68). Lung cancer cells showed an increase in ATM phosphorylation after combined treatment of cisplatin with triptolide, which led to the activation of apoptotic genes such as PUMA (69). Likewise, triptolide showed synergy with oxaliplatin in pancreatic cancer cell lines by producing a decrease in the expression of key proteins in the nucleotide excision repair pathway such as XPA, XPB, XPC, ERCC1, XPD, and XPF, but unlike breast cancer cells, here showing an increase in the levels of γ H2AX and, therefore, also of DNA double strand breaks (70).

QUERCETIN

Quercetin is a flavonoid found in a variety of foods, including fruits and vegetables such as apples, berries, capers, grapes, onions, shallots, tea, and tomatoes, as well as many seeds such

as nuts, flowers, bark, and leaves (71). Quercetin is known for its anti-inflammatory, antihypertensive, vasodilatory, anti-hypercholesterolemic, anti-atherosclerotic, antioxidant and, more recently, anti-cancer effects (72). Quercetin following a 1,2-dimethylhydrazine dihydrochloride (DMD) induced colon carcinogenesis protocol allowed decreased production of 8-oxoguanine and apurine/pyrimidine sites by increasing levels of the BER proteins OGG1, APE1, and XRCC1, and positively modulate NRF2 signaling with a higher antioxidant response (73). Also in response to oxidative damage to colon cells by H₂O₂, an increase in OGG1 was observed (74). In prostate cancer cells, quercetin significantly reduced the expression of ATM, PARP1, and DNA-PKcs (75). Quercetin suppresses the repair of double-stranded DNA breaks and improves the radiosensitivity of ovarian cancer cells through activation of ATM and the p53-dependent endoplasmic reticulum stress pathway (76). Meanwhile, in some colorectal cancer, cervical cancer and breast cancer cell lines, quercetin acted as a radiosensitizer by blocking ATM activation and its downstream signaling, thereby prolonging the persistence of damage and inducing apoptosis (77). Quercetin can potentiate the effects of PARP inhibitors, preventing efficient repair of DNA damage, and where inhibition of BRCA2 activity plays an important role during the passage of single-strand breaks to double-strand breaks during DNA replication (78).

BERBERINE

Berberine is an isoquinoline alkaloid isolated mainly from the Chinese herb *Coptis chinensis*, although it is also present in other plants of the genus *Berberis*. It has a wide range of pharmacological properties such as anti-inflammatory, antibiotic, antitumor, antiarrhythmic functions, and it can regulate blood lipids and glucose levels (79). Berberine has been shown to induce oxidative DNA damage and alter RAD51 expression in ovarian cancer cells, breast cancer cells, and osteosarcoma cells, but not in normal cells, thereby causing increased DNA damage and longer, with abundant γ H2AX, ATM, and p53 foci (80–82). This property has been important in radiosensitizing breast cancer cells and esophageal cancer cells (82, 83). Furthermore, it showed synergy with PARP inhibitors to induce cellular apoptosis (80). Also, berberine was able to increase the sensitivity of triple negative breast cancer cells to cisplatin, camptothecin, and methyl methanesulfonate by attenuating XRCC1-mediated repair of base excision and subsequently increasing double-stranded DNA breaks (84).

GENISTEIN

Genistein is a multifunctional isoflavonoid whose best-known source is soy-based foods. Genistein has been shown to modulate various pathways involved with obesity, metabolic syndrome, and cancer (85). In normal skin, genistein reduces the formation of cyclobutane pyrimidine dimers caused by UVB radiation (86),

and in rats treated with genistein, BRCA1 expression was elevated and tumorigenesis caused by 7,12-dimethylbenz [a] anthracene (DMBA) was reduced (87). Genistein inhibited both homologous recombination repair and non-homologous end joining pathway in glioblastoma cells and sarcoma cells after the damage caused by the radiation of carbon ions. This can be explained by considering that genistein prevents the phosphorylation of DNA-PKcs and KU80, and it delays the formation of RAD51 foci (88, 89). The same happened with X-ray therapy and a combined treatment of genistein and IGF1R inhibitor AG1024 in prostate cancer cells (90). Genistein has also been shown to reduce AP-1 levels and sensitize these cells to doxorubicin nanoparticles (91). Interestingly, normal liver cells were protected from damage by ionizing radiation using low concentrations of genistein (92).

OTHER COMPOUNDS

Thymoquinone is the main active component of *Nigella sativa* Linn seed extracts and has been shown to possess antineoplastic properties. This compound induces DNA damage and apoptosis in glioblastoma cells where shortening of telomeres is involved by a DNA-PKcs-dependent mechanism (93). Honokiol is a biphenolic compound with a powerful antineoplastic activity which is obtained from the *Magnolia officinalis* plant. It is more toxic in tumor cells than in normal cells and has been reported to inhibit the activity of the X family polymerases (β and λ), affecting the base excision repair pathway and making various cancer cells more susceptible to the effect of bleomycin and temozolomide (94). Ellagic acid obtained from various fruits and vegetables is a polyphenolic compound that can reduce MGMT expression in glioblastoma cells and together with anti-angiogenic therapy with bevacizumab (which also affects DNA repair by reducing the expression of ERCC-1 and XRCC-1) improves the radiosensitivity of tumors (95, 96). Celastrol is a polyphenolic compound isolated mainly from plants in the *Celastraceae* family. Celastrol has been shown to exhibit significant antioxidant, anti-inflammatory, and antineoplastic activities. For this last aspect, celastrol promotes a reduction in cancer cells of the monoubiquitinated FANCD2 protein, promoting its degradation by the proteasome and affecting the activation of the DNA damage-induced Fanconi anemia pathway and the downstream pathways. Thus, enhancing the effects of crosslinking agents such as cisplatin (97). Cantharidin is a substance of the terpenoid class that is secreted by many species of blister beetles, and which has been observed to sensitize pancreatic cancer cells to the effects of ionizing radiation by increasing levels of phosphorylated H2AX and affecting the expression of UBE2T, RPA1, GTF2H5, LIG1, POLD3, RMI2, XRCC1, PRKDC, FANCI, FAAP100, RAD50, RAD51D, RAD51B, and MDC1, which are important for repair by homologous recombination and non-homologous end joining pathway (98). In bladder cancer cells, decreased phosphorylated ATR and H2AX, as well as total levels of DNA-PK, PARP, MGMT, BRAC1, and MDC1 were observed with this compound

(99). Garcinol, a polyisoprenylated benzophenone derivative of the fruit rind *Garcinia indica*, sensitizes cervical cancer cells to ionizing radiation by inhibiting non-homologous end joining pathway by preventing chromatin remodeling, especially histone acetylation (100, 101). Gastric cancer cells treated with high doses of β -carotene showed a significant decrease in the KU70 and KU80 proteins (102). Androgen receptor-target DNA repair genes were epigenetically repressed in androgen-sensitive prostate cells after treatment with 3,3'-diindolylmethane, a compound derived from indole-3-carbinol and found in cruciferous vegetables such as broccoli, brussels sprouts, cabbage, and kale (103). Kaempferol inhibited the expression of DNA-PKcs, MDC1, MGMT, p53, 14-3-3, phosphorylated forms of ATM and ATR in promyelocytic leukemia cells but increased phosphorylated p53 and H2AX. Kaempferol is a flavonoid found in vegetables and fruits such as berries, grapefruit, and *Ginkgo biloba* (104). Luteolin, a flavonoid enriched in various vegetables and plants such as carrots, broccoli, and parsley, reduced phosphorylation levels of ATM, CHK2, and H2AX in oral squamous cell carcinoma cells (105). In lung squamous carcinoma cells, luteolin caused an increase in the levels of MHT1, OGG1, and AP-1 (106). Withanolide D, a compound obtained from *Withania somnifera*, was shown to improve the radiosensitivity of different cancer cell lines by inhibiting DNA damage via non-homologous end joining repair pathway (107). Isoorientin is a flavonoid extracted from many plant species, such as flax straw, watery leaf, *Gypsophila elegans*, *Phyllostachys pubescens*, *Patrinia*, and *Drosophyllum lusitanicum*. Meanwhile, harmine is a tricyclic β -carboline alkaloid that was originally isolated from *Peganum harmala* seeds. Both compounds inhibited repair by homologous recombination in hepatoma cells, without affecting normal cells, by inhibiting the ATM-downstream signaling pathways and therefore enhancing the effects of ionizing radiation, hydroxyurea, mitomycin C, olaparib, and camptothecin (108, 109). Ferulic acid potentiated the effects of PARP inhibitors on breast cancer cells by reducing the formation of RAD51 foci and lengthening the time that double-stranded DNA breaks remain unrepaired (110). Capsaicin, the main bioactive compound found in chili peppers of the *Capsicum* genus, downregulates the ERCC1 protein in lung cancer cells by promoting its proteasomal degradation, thereby enhancing the cytotoxic

effects of the EGFR inhibitor erlotinib (111, 112). β -Thujaplicin, a natural monoterpene found in the wood of trees in the *Cupressaceae* family, sensitized osteosarcoma cells to damage caused by ionizing radiation, as it inhibits the formation of RAD51 foci and keeps RPA phosphorylated (113). Retiegeric acid B potentiates the effects of cisplatin on hormone-refractory prostate cancer cells by affecting nucleotide excision repair, particularly ERCC1, TFB5, and RPA1 proteins, and mismatch repair, presumably MSH2 and MSH6 proteins (114).

CONCLUSIONS

Natural compounds have been used with other drugs to make cancer cells more sensitive to radiation therapy and different chemotherapeutic agents, even reversing the resistance mechanisms that these cells may have developed. Since increasing the levels of genes involved in DNA repair is a mechanism used by many cancer cells to resist the effects of radio and chemotherapy, the fact that natural compounds can affect the DNA repair pathways makes them candidates to reverse cases of resistance and thus, perhaps contribute to the improvement of patients to allow their survival time to be longer. Despite this potential, there are currently very few clinical trials testing these compounds in combination with chemotherapy or radiotherapy, mainly due to all the challenges that this entails [revised in (115)], including shortages of funds due to lack of patentability and manufacturing difficulties. It is necessary to continue studying different natural compounds and their effects on DNA repair systems in order to implement them in current treatment strategies, establish the appropriate doses and times, and decipher the mechanisms of action by which they carry out their effects.

AUTHOR CONTRIBUTIONS

FL-R compiled the information, wrote the manuscript, made the figures and tables, RB-C reviewed and corrected the manuscript as well as obtained funding. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Case Report: BAP1 Mutation and RAD21 Amplification as Predictive Biomarkers to PARP Inhibitor in Metastatic Intrahepatic Cholangiocarcinoma

Francesco Sabbatino^{1,2*}, Luigi Liguori³, Umberto Malapelle⁴, Francesca Schiavi⁵, Vincenzo Tortora³, Valeria Conti^{1,6}, Amelia Filippelli^{1,6}, Giampaolo Tortora⁷, Cristina R. Ferrone⁸ and Stefano Pepe^{1,2}

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Rosa Maria Bermudez-Cruz,
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Reviewed by:

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United States

Giovanni Gaudino,
Retired, Bellinzona, Switzerland

David Y. Lee,
University of New Mexico,
United States

*Correspondence:

Francesco Sabbatino
fsabbatino@unisa.it

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¹ Department of Medicine, Surgery and Dentistry "Scuola Medica Salernitana", University of Salerno, Salerno, Italy,

² Oncology Unit, University Hospital San Giovanni di Dio e Ruggi D'Aragona, Salerno, Italy, ³ Department of Clinical Medicine and Surgery, University of Naples "Federico II", Naples, Italy, ⁴ Public Health, University of Naples "Federico II", Naples, Italy,

⁵ Familial Cancer Clinic and Oncoendocrinology, Veneto Institute of Oncology IOV-IRCCS, Padua, Italy, ⁶ Clinical Pharmacology and Pharmacogenetics Unit, University Hospital "San Giovanni di Dio e Ruggi D'Aragona", Salerno, Italy,

⁷ Oncologia Unit, Fondazione Policlinico Universitario A. Gemelli IRCCS, Università Cattolica Del Sacro Cuore, Roma, Italy,

⁸ Department of Surgery, Massachusetts General Hospital, Harvard Medical School, Boston, MA, United States

Introduction: Intrahepatic cholangiocarcinoma (ICC) is a rare hepatobiliary cancer characterized by a poor prognosis and a limited response to conventional therapies. Currently chemotherapy is the only therapeutic option for patients with Stage IV ICC. Due to the poor response rate, there is an urgent need to identify novel molecular targets to develop novel effective therapies. Precision oncology tests utilizing targeted next-generation sequencing (NGS) platforms have rapidly entered into clinical practice. Profiling the genome and transcriptome of cancer to identify potentially targetable oncogenic pathways may guide the clinical care of the patient.

Case presentation: We present a 56-year-old male patient affected with metastatic ICC, whose cancer underwent several precision oncology tests by different NGS platforms. A novel BAP1 mutation (splice site c.581-17_585del22) and a RAD21 amplification were identified by a commercial available platform on a metastatic lesion. No germline BAP1 mutations were identified. Several lines of evidences indicate that PARP inhibitor administration might be an effective treatment in presence of BAP1 and/or RAD21 alterations since both BAP1 and RAD21 are involved in the DNA repair pathway, BAP1 interacts with BRCA1 and BRCA1-mediated DNA repair pathway alterations enhance the sensitivity to PARP inhibitor administration. In this case, after failing conventional therapies, patient was treated with PARP inhibitor olaparib. The patient had a partial response according to RECIST criteria with an overall survival of 37.2 months from the time of diagnosis of his ICC. Following 11.0 months on olaparib treatment, sustained stable disease control is ongoing. The patient is still being treated with olaparib and no significant toxicity has been reported.

Conclusion: These findings have clinical relevance since we have shown PARP inhibitor as a potential treatment for ICC patients harboring BAP1 deletion and RAD21 amplification. We have also highlighted the utility of NGS platforms to identify targetable mutations within a cancer.

Keywords: BAP1, precision oncology, cholangio carcinoma, Poly ADP ribose polymerase (PARP) inhibitor, RAD21, olaparib

INTRODUCTION

Cholangiocarcinoma (CCA) is historically classified by location into intrahepatic, perihilar (or Klatskintumor) and distal cancers. Intrahepatic cholangiocarcinoma (ICC) is the second most common primary intrahepatic tumor, with an estimated incidence of 1.6 per 100,000/year in the United States (1). Unfortunately, ICC carries an extremely poor prognosis with an overall 5-year survival of 5–15% (1). For patients with early stage ICC, surgical resection of the cancer and removal of local lymph nodes remains the only curative option (2). However, even with a complete resection, most patients succumb to both loco-regional and distant metastases (3). Unfortunately, most patients present with advanced disease. Palliative chemotherapy is of limited efficacy (4), highlighting the urgent need for novel effective therapies.

Different cancers express different oncogenic alterations which drive tumor progression. Several lines of evidences demonstrate that some of these alterations can be effectively targeted by tailored targeted agents, improving the overall survival of treated patients (5). These results have increased the use of precision oncology tests by targeted next-generation sequencing (NGS) platforms into clinical practice, to inform clinicians in making appropriate therapeutic decisions (6). Unselected ICC patients have been often included in “basket” trials (7), most of which have unfortunately failed to demonstrate

a clinical benefit (7). As a result, there is a high interest to identifying oncogenic alterations in ICC to design potentially effective strategies in biomarker-enriched populations.

NGS of ICC has already allowed identification of molecular alterations which are involved in ICC carcinogenesis such as those in *KRAS*, *BRAF*, *IDH1*, *IDH2*, *EGFR*, *FGFR2*, *ROS1*, *ARID1A*, *PBRM1*, *BRCA1*, and *BAP1* (8–16). FGFR kinase inhibitors have demonstrated anti-tumor activity in ICC patients harboring activating *FGFR2* gene fusions (17–19). However, no effective therapeutic strategies have currently changed the standard of care of ICC patients harboring different types of alterations.

Here, we describe the case of a chemorefractory patient with ICC harboring BAP1 mutation and RAD21 amplification. The patient was successfully treated with the PARP inhibitor olaparib.

CASE PRESENTATION

In March 2017, a 56-year-old Caucasian male was admitted to San Giovanni di Dio and Ruggi D’Aragona University Hospital for mild abdominal pain and nausea. The patient’s past medical history included i) Hodgkin’s lymphoma of the spleen in 1987, treated with splenectomy and radiotherapy; ii) myocardial infarction in 2006, treated with coronary angioplasty; and iii) myocardial infarction in 2012, treated with multiple coronary artery bypass grafting. He was also a former-smoker. Patient did not present with any ICC risk factors including biliary lithiasis, alcoholic liver disease, chronic hepatitis B or C infections, or primary sclerosing cholangitis. His family history was negative for any inherited-familial cancers. Abdominal ultrasound and computed tomography (CT) scan revealed a 10 cm intrahepatic lesion in the left lobe of the liver, as well as stable right basal lung thickening (**Figure 1A**). The latter was already described in a previous chest CT scan. Ultrasound guided biopsy of the liver mass demonstrated ICC (CK7+, CK19+, HepPar1-, AFP-). In April 2017, the patient underwent a left hepatectomy and sub-total gastrectomy and cholecystectomy. Histological examination demonstrated a Stage II ICC with vascular invasion [TNM staging, American Joint Committee on Cancer (AJCC) 8th edition]. Post operatively he was seen by the multidisciplinary team. Genomic analysis of *NRAS*, *KRAS* and *BRAF V600* by polymerase chain reaction (PCR) sequencing, as well as immunohistochemical (IHC) staining for detection of *HER2* amplification were performed on ICC tumor tissue. Both analyses did not show any type of alteration (**Supplementary Table 1**). Further genomic testing of *EGFR* was performed by sanger sequencing, but no alterations were found in exons 18, 19, 20, and

Abbreviations: AFP, alpha fetoprotein; AJCC, American Joint Committee on Cancer; ARID1A, AT-rich interactive domain-containing protein 1A; ATM, ataxia-telangiectasia mutated; ATR, ataxia telangiectasia and Rad3-related protein; BAP1, BRCA1 associated protein 1; BRAF, v-raf murine sarcoma viral oncogene homolog B1; BRCA1, Breast cancer type 1 susceptibility protein; CCA, Cholangiocarcinoma; CDK12, Cyclin Dependent Kinase 12; CHEK1-CHEK2, Checkpoint kinase 1-2; CK7, Cytokeratin 7; CK19, Cytokeratin 19; CT, computed tomography; EGFR, Epidermal Growth Factor Receptor; EMA, European Medicines Agency; ERCC1, excision repair cross-complementation group 1; FANCD1, Fanconi anaemia complementation group; FDA, Food and Drug Administration; FEN1, Flap endonuclease 1; FFPE, formalin fixed paraffin embedded; FGFR2, Fibroblast Growth Factor Receptor 2; 5-FU, 5-fluorouracil; HepPar1, Hepatocyte Paraffin 1; HER2, Human epidermal growth factor receptor 2; HR, homologous recombination; ICC, Intrahepatic cholangiocarcinoma; IDH1/IDH2, Isocitrate Dehydrogenase 1/2; IHC, immunohistochemical; KRAS, Kirsten ras oncogene homolog; LOH, loss of heterozygosity; MSS, Microsatellite Stable; NBN, Nibrin; NGS, next-generation sequencing; NRAS, neuroblastoma; RAS, viral oncogene homolog; RPA1, Replication protein A 70 kDa DNA-binding subunit; NTRK, neurotrophic receptor tyrosine kinase 1; PARP, poly ADP ribose polymerase; PCR, polymerase chain reaction; PR, partial response; PBRM1, Polybromo 1; PCR, polymerase chain reaction; PD, progression of disease; POLB, DNA Polymerase Beta; PRKDC, Protein Kinase; DNA-Activated, Catalytic Subunit; PTA, percutaneous thermal ablation; RAD51-RAD54, radiation-repair genes 51–54; RECIST, Response evaluation criteria in solid tumors; ROS1, ROS proto-oncogene 1 receptor tyrosine kinase; STR, Short Tandem Repeat.

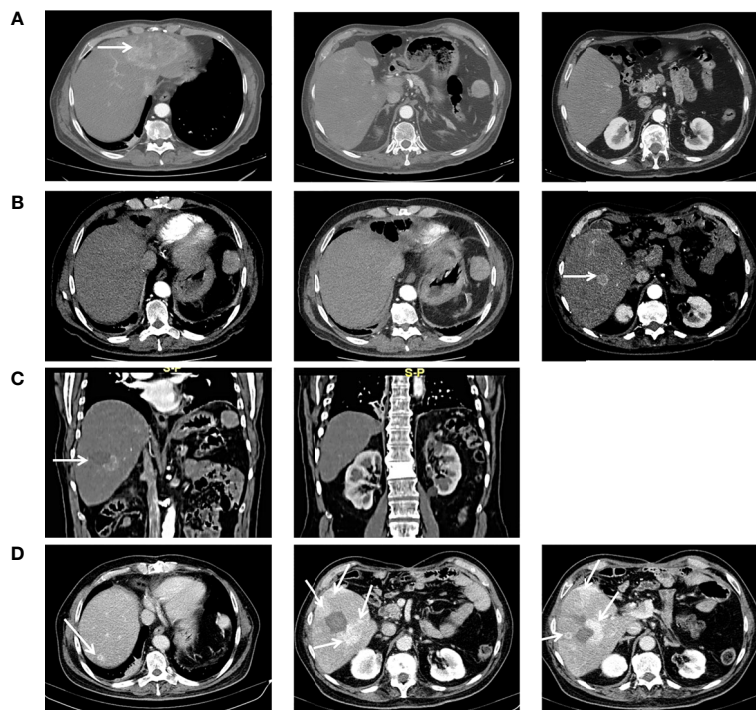


FIGURE 1 | Chest CT-scan performed at diagnosis in March 2017 (A), in October 2017 following first relapse (B), in February 2018 at tumor progression following first percutaneous thermal ablation (C), in May 2018 at tumor progression following second percutaneous thermal ablation and before starting chemotherapy with cisplatin and gemcitabine (D). Arrows indicate tumor lesion.

21 (Supplementary Table 1). In October 2017, a whole body CT scan demonstrated a 2.0 cm local recurrence in segment V of the liver (Figure 1B). Patient received a percutaneous thermal ablation (PTA) of the lesion. In February 2018, a whole body CT scan demonstrated a new 3.6 cm local recurrence in segment V of the liver, close to the previously treated lesion (Figure 1C) for which patient received a new PTA. In May 2018, a whole body CT scan demonstrated a new local recurrence in segment V of liver and multiple lesions in segment VII and VIII (Figure 1D). He then started a chemotherapeutic regimen with cisplatin (25 mg/m²) followed by gemcitabine (1,000 mg/m²), each administered on days 1 and 8 every 3 weeks. Due to his poor prognosis, patient requested additional testing of the ICC specimen. An IHC analysis of ROS1 rearrangements and NTRK fusions did not demonstrate any alterations (Supplementary Table 2). A Short Tandem Repeat (STR) analysis by PCR of *BAT25*, *BAT26*, *D2S123*, *D5S346*, *D17S250*, *NR-21*, and *MONO-27* showed a Microsatellite Stable (MSS) tumor profile. Lastly an IHC analysis of MSH2, MSH6, PMS2, and MLH1 demonstrated no alterations of the mismatch repair system (Supplementary Table 2). Following six cycles of cisplatin and gemcitabine, in September 2018, a whole-body CT scan demonstrated a stable disease (according to RECIST criteria v 1.1). The patient received an additional PTA of the lesions in segments V, VII, and VIII of the liver. In February 2019, the CT scan demonstrated progression of disease (PD) (according to RECIST criteria v 1.1) due to the development of multiple small lesions localized at the hepatic dome and around the area of previous PTA,

long with a large bone metastasis to the 12th vertebral body and a left upper lobe pulmonary nodule (Figure 2A). Based on the availability of additional formalin fixed tumor tissue obtained from a novel tumor biopsy, three different NGS platform studies were requested by the patient: OncoPrint Comprehensive Assay (implemented at Istituto Tumori Milano, Milan, Italy) (Table 1), Oncofocus test [Oncologica[®] UK Ltd (Cambridge, UK)] (Table 2) and Foundation One CDx [Foundation Medicine (Cambridge, MA)] (Table 3). Both the OncoPrint Comprehensive Assay and the Oncofocus test did not detect any alterations of analyzed genes. In contrast the Foundation One CDx demonstrated the presence of a deletion in *BAP1* (splice site c.581-17_585del22) and amplification of *RAD21*. Analysis of *BAP1* by sanger sequencing on primary ICC tumor tissue confirmed the presence of *BAP1* (splice site 581-17_585del22) alteration (Figure 3). In contrast no alterations were identified in *BAP1* from nucleic acids extracted from buffy coat (Figure 3). Because of the involvement of *RAD21* in the DNA repair pathway, the interaction of *BAP1* with *BRCA1* and the enhanced sensitivity to PARP inhibitor administration in presence of alterations in the *BRCA1*-mediated DNA repair pathway, it was decided first to treat the patient with FOLFIRI every 2 weeks [irinotecan 180 mg/m², folinic acid 400 mg/m², 5-fluorouracil (5-FU) 400 mg/m² intravenous infusion bolus, then 5-FU 2400 mg/m² intravenous infusion over 46 h] and then to start a PARP inhibitor. FOLFIRI is a conventional second-line chemotherapy regimen for ICC. In addition, irinotecan is a DNA-damaging agent. Following six cycles of FOLFIRI, in June 2019, a whole-body CT scan demonstrated PD (Figure 2B). A third-

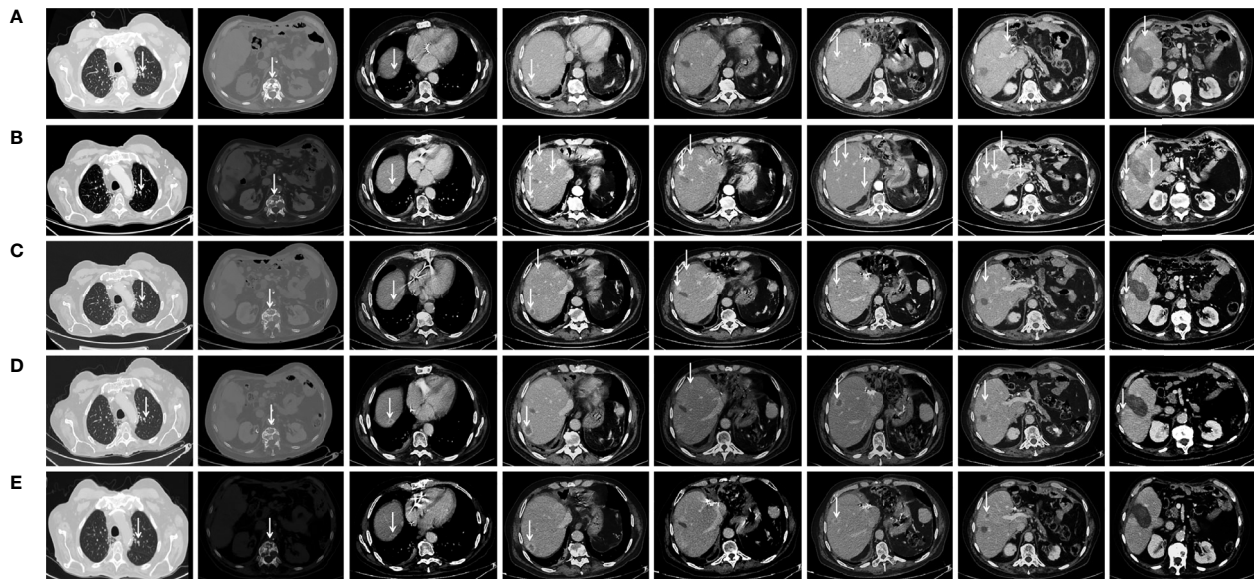


FIGURE 2 | Chest CT-scan performed at diagnosis in February 2019 at tumor progression following chemotherapy with cisplatin and gemcitabine and a third percutaneous thermal ablation and before to start treatment with FOLFIRI (A), in June 2019 at tumor progression following six cycles of FOLFIRI administration and before to start treatment with olaparib (B), in September 2019 following three cycles of olaparib (C), in November 2019 following six cycles of olaparib (D), and in February 2020 following 11 cycles of olaparib (E). Arrows indicate tumor lesion.

TABLE 1 | OncoPrint Comprehensive Assay.

March 1st, 2019

NGS: Hot spot Cancer Panel with PGM (Personal Genome Machine) Ion Torrent technology [Thermo Fisher Scientific Life Technologies (Waltham, MA)]

ABL1	AKT1	ALK	APC	ATM	BRAF	CDH1	CDKN2A	CSF1R
CTNNB1	EGFR	ERBB2	ERBB4	EZH2	FBXW7	FGFR1	FGFR2	FGFR3
FLT3	GNA11	GNAQ	GNAS	HNF1A	HRAS	IDH1	IDH2	JAK2
JAK3	KDR (VEGFR2)	KIT	KRAS	MET	MLH1	MPL	NOTCH1	NPM1
NRAS	PDGFRA	PIK3CA	PTEN	PTPN11	RB1	RET	SMAD4	SMARCB1
SMO	SRC	STK11	TP53	VHL				

Results: No hot spot mutations detected.

line therapy of off-label use with the PARP inhibitor olaparib at 800 mg/die and palliative radiotherapy (10 Gy) on the vertebral lesion was begun. In September 2019, a whole-body CT scan demonstrated a partial response (PR) (Figure 2C). The latter was confirmed on successive restaging scans in November 2019 (Figure 2D) and February 2020 (Figure 2E). Following 11 cycles of olaparib, the progression free survival has been 11.0 months. Currently, the patient has an overall survival of 37.2 months from the time of diagnosis of his ICC and has continued treatment with olaparib. He is in good health conditions and no treatment-related adverse events have been reported.

DISCUSSION AND CONCLUSIONS

Novel effective therapies are urgently needed for metastatic ICC patients. The current clinical case has provided for the first-time evidence that ICC patients carrying a BAP1 deletion

and RAD21 amplification might benefit from a PARP inhibitor treatment. *BAP1* is a tumor suppressor gene which modulates several pathways including cell death, cell differentiation, DNA damage response and gluconeogenesis (20–28). In mediating DNA damage response, *BAP1* interacts with *BRCA1* (20, 21). *BRCA1* plays a key role in the DNA repair mechanism as well as in cell cycle regulation (29). Germline heterozygous mutations in *BAP1* cause an autosomal dominant condition known as *BAP1*-cancer syndrome which confers a high susceptibility to the development of several malignancies including mesothelioma, uveal melanoma, renal, cholangio and breast carcinomas (30–38). In the clinical case we have described, we identified a novel mutation in *BAP1* (c.581-17_585del22). The variant was somatic and not detected in the germline. We have examined several databases (Cosmic, GenBank, ClinVar) and c.581-17_585del22 mutation was not identified. Some literature data reported a similar deletion of *BAP1* with a pathogenic value (39, 40). Somatic mutations in *BAP1* are reported to drive

TABLE 2 | Oncofocus test.**March 2nd, 2019****NGS: Oncofocus test (Oncologica® UK ltd (Cambridge, UK)**

A2M	ABCB5	ACACA	ACADM	ACBD5	ACTG2	ADAM32	ADAMTS16	AES	AFAP1	AFF3	AGAP3	AGBL4	AGGF1	AGK
AGTRAP	AHCYL1	AKAP12	AKAP13	AKAP9	AKT1	AKT2	AKT3	ALK	AP3B1	AR	ARAF	ARHGEF2	ARID1A	ARMC10
ARMT1	ASIC2	ATAD2	ATAD5	ATF7IP	ATG7	ATIC	ATM	ATP1B1	ATR	ATRNL1	ATRX	AXL	B4GALT1	BAG4
BAIAP2L1	BAP1	BBS9	BCAM	BCAN	BCL2L11	BCR	BEND5	BICC1	BICD2	BIN2	BIRC6	BRAF	BRCA1	BRCA2
BRD3	BRD4	BTAF1	BTBD1	BTF3L4	BTK	C11orf95	C7orf73	C8ORF34	C9orf153	CAD	CAND1	CAPRIN1	CAPZA2	CARS
CASP7	CBL	CCAR2	CCDC170	CCDC6	CCDC88A	CCDC91	CCND1	CCND2	CCND3	CCNE1	CCNY	CD44	CD74	CDC27
CDK12	CDK2	CDK4	CDK5RAP2	CDK6	CDKN1B	CDKN2A	CDKN2B	CEL	CEP85L	CEP89	CHD9	CHEK1	CHEK2	CHTOP
CIC	CIITA	CIT	CLCN6	CLIP1	CLIP2	CLIP4	CLTC	CNTLN	CNTRL	COL14A1	COX5A	CPSF6	CREB3L2	CREB5
CREBBP	CSF1R	CTNNB1	CUL1	CUX1	DAB2	DAB2IP	DCTN1	DDR2	DIP2C	DNAJB1	DTD1	DYM	DYNC112	DYNC2H1
EBF1	EGFR	EIF3E	ELAVL3	EML4	EPHB2	EPS15	ERBB2	ERBB3	ERBB4	ERC1	ERCC2	ERG	ERLIN2	ERP44
ERVK3_1	ESR1	ESRP1	ETV1	ETV4	ETV5	ETV6	EZH2	EZR	FAM114A2	FAM131B	FAM76A	FANCA	FANCD2	FANCI
FA1	FBXO28	FBXW7	FCHSD1	FGF3	FGFR1	FGFR19	FGFR10P	FGFR10P2	FGFR2	FGFR3	FGFR4	FGR	FP1L1	FKBP15
FLT3	FN1	FNDC3B	FOXL2	FOXP1	FXR1	FYCO1	GABBR2	GATA2	GATM	GFPT1	GHR	GIT2	GLIS3	GNA11
GNAI1	GNAQ	GNAS	GOLGA4	GOLGA5	GOLGB1	GOPC	GRB7	GRHL2	GTF2I	GTF2IRD1	GTF3C2	H3F3A	HACL1	GNA11
HERPUD1	HIP1	HIST1H3B	HLA_A	HMGA2	NHNF1A	HOMER1	HOOK3	HRAS	IDH1	IDH2	IGF1R	IRF2BP2	JAK1	JAK2
JAK3	JAKMIP1	KANK1	KANK2	KCNQ5	KCTD1	KCTD7	KDEL2	KDM7A	KDR	KIAA1468	KIAA1549	KIAA1598	KIF5B	KIT
KLC1	KLHL7	KNSTRN	KRAS	KTN1	LMNA	LRRFP1	LSM12	LSM14A	LYN	MACF1	MAD1L1	MAGOH	MAP2K1	MAP2K2
MAP2K2	MAP2K4	MAPK1	MAX	MBIP	MCFD2	MDM2	MDM4	MED12	MEMO1	MET	MGEA5	MIR143HG	MKRN1	MLH1
MPRIIP	MRE11A	MRPL24	MRPS33	MSH2	MSH6	MSN	MTFHD1L	MTMR12	MTOR	MYB	MYBL1	MYC	MYCL	MYCN
MYD88	MYH13	MYH9	MYO18A	MYO5A	MYRIP	MZT1	NACC2	NAV1	NBN	NCOA1	NCOA4	NCOR2	NDE1	NF1
NF2	NFASC	NFIB	NFKB2	NIN	NOL4	NOTCH1	NOTCH2	NOTCH3	NOTCH4	NPC2	NPM1	NRAS	NRG1	NSD1
NTM	NTRK1	NTRK2	NTRK3	NUB1	NUDCD3	NUP214	NUTM1	OFD1	OPHN1	OXR1	PALB2	PAPD7	PAPSS1	PARK2
PAX5	PAX8	PCDHGA1	PCM1	PCNX	PDE10A	PDE4DIP	PDE7A	PDGFRA	PDGFRB	PDHX	PDP1	PDZRN3	PHEB	PIK3CA
PIK3CB	PIK3R1	PLAG1	PLIN3	PMS2	POLE	POLH	PPARG	PPFIBP1	PPHLN1	PPL	PPM1G	PPP2R1A	PPP4R3B	PRKACA
PRKACB	PRKAR1A	PRKG2	PSMD11	PSPH	PTCH1	PTEN	PTPN11	PTPN3	PTPRK	PTPRZ1	PWWP2A	QKI	RABEP1	RABGAP1L
RAC1	RAD18	RAD50	RAD51	RAD51B	RAD51C	RAD51D	RAF1	RANBP2	RB1	RBMS3	RBPMS	RELA	RET	RHOA
RICTOR	RNF11	RNF130	RNF213	RNF43	ROS1	RP2	RSPO2	RSPO3	RUFY2-	SART3	SCAF11	SDC4	SDCCAG3	SEC16A
SEC31A	SEC61G	SETD2	SF3B1	SHROOM4	SHTN1	SLC12A7	SLC26A4	SLC34A2	SLC3A2	SLC45A3	SLMAP	SLX4	SMAD4	SMARCA4
SMARCB1	SMOP	SND1	SNHG7	SNX19	SOX6	SPAG9	SPECC1	SPECC1L	SPOP	SPTBN1	SQSTM1	SRC	SRGAP3	SSBP2
STAT3	STK11	STK32B	STRN	STRN3	SUGCT	TACC1	TACC3	TANK	TAX1BP1	TBL1XR1	TENM4	TERF2	TERT	TPM1
TFG	TMEM106B	TMEM178B	TMPRSS2	TNIP1	TNKS2	TOP1	TP53	TP53BP1	TPM3	TPM4	TPR	TRAF1	TRAK1	TRIM24
TRIM27	TRIM33	TRIM4	TRIO	TRIP11	TRMT61B	TSC1	TSC2	TSEN2	TTL7	TXLNA	TYK2	U2AF1	UBE2L3	UBN2
USP10	VAMP2	VCL	VOPP1	WASF2	WDR48	WHSC1L1	WIPF2	XPO1	YAP1	YTHDF3	YWHAE	ZC3HAV1	ZCCHC8	ZEB2
ZKSCAN1	ZKSCAN5	ZMYM2	ZMYND8	ZNF226	ZNF703	ZSCAN30								

Results:

- Mutations: No actionable variant detected
- Copy Number Variations: No actionable variant detected
- Fusion Genes: No actionable variant detected

TABLE 3 | Foundation One CDx.March 7nd, 2019**DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/ DELETIONS, AND COPY NUMBER ALTERATIONS Foundation One CDx [Foundation Medicine (Cambridge, MA)]**

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC	AR	ARAF	ARFRP1
ARID1A	ASXL1	ATM	ATR	ATRX	AURKA	AURKB	AXIN1	AXL	BAP1	BARD1	BCL2
BCL2L1	BCL2L2	BCL6	BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTK	C11orf30 (EMSY)	C17orf39 (GID34)	CALR	CARD11	CASP8	CBFB	CBL	CCND1	CCND2	CCND3	CCNE1
CD22	CD274 (PD- L1)	CD70	CD79A	CD79B	CDC73	CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A
CDKN1B	CDKN2A	CDKN2B	CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1	DDR2	DIS3	DNMT3A
DOT1L	EED	EGFR	EP300	EPHA3	EPHB1	EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG
ERRF1	ESR1	EZH2	FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3	FGF4	FH	FLCN
FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3	GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS
GRM3	GSK3B	H3F3A	HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3	JUN	KDM5A	KDM5C
KDM6A	KDR	KEAP1	KEL	KIT	KLHL6	KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF
MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4	MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MEERTK	MET	MITF	MKKN1	MLH1	MPL	MRE11A	MSH2	MSH3	NBN	NF1	NF2
NFE2L2	NFKBIA	NKX2-1	NOTCH1	NOTCH2	NOTCH3	NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTK2
NTRK3	P2RY8	PALB2	PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C	RAD51D	RAD52	RAD54L
RAF1	RARA	RB1	RBM10	REL	RET	SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1
TSC	SNCAIP	SOCS1	SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
SMO	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1			
DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS											
ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4	ETV5	ETV6	EWSR1
EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)	MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2
NUTM1	PGFRA	RAF1	RARA	RET	ROS1	RSPO2	SDC4	SLC34A2	TERC	TERT	TMPRSS2

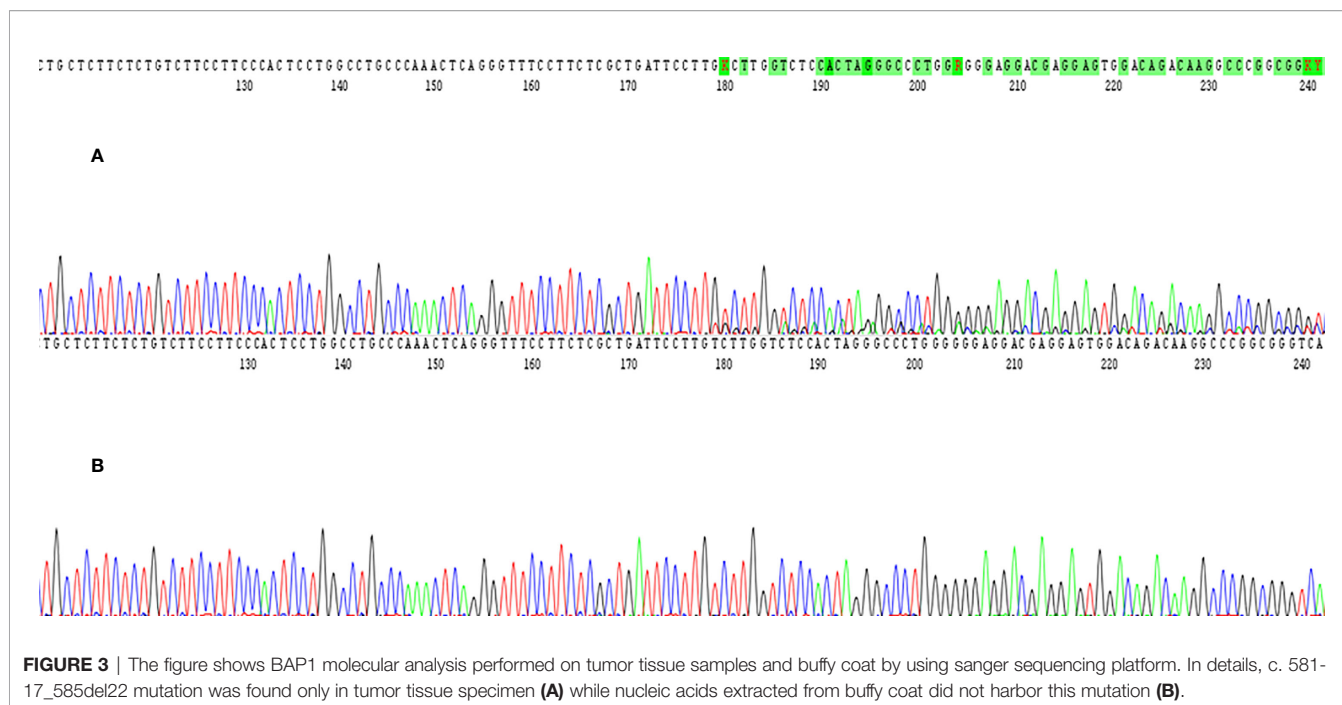
Results:

- **BAP1: Splice site 581-17_585del22**
- **RAD21: amplification**

carcinogenesis in mesothelioma, lung adenocarcinoma and melanoma (30, 32, 34, 41). BAP1 mutations occur in 10–32% of ICC cases (10, 14, 30, 42–49). As a tumor suppressor gene, BAP1 seems to follow a classic two-hit model (Knudson model) in which probably the first hit involves loss of heterozygosity (LOH) induced by 3p21 deletion. The latter occurs in almost 50–75% of ICCs (36). A subsequent mutation occurring in the remaining allele might lead to impairment of protein function and/or homeostasis (36). Protein function impairment by c.581-17_585del22 is most likely to reflect a deletion in the 3'-splice site of *BAP1*. Previously a c.581(-5)_c.590delACTAGGGCCCTGGGG mutation has been reported causing a premature truncation of BAP1 (50). This type of alterations that disrupt the nuclear localizations signal (aminoacids 717-722) of *BAP1* are predicted to be inactivating (14, 51).

As BAP1 interacts with BRCA1, several lines of evidence indicate that alterations in the BRCA-mediated DNA repair pathway confers sensitivity to PARP inhibitor administration (52). PARP inhibitors act through synthetic lethality, whereby genetic DNA repair defects are enhanced by drug-induced

defects in a compensatory pathway (53). Carriers of heterozygous BRCA1/2 mutations are sensitive to PARP inhibitor treatment as they lose the wild-type allele during tumorigenesis and thereby become deficient of the homologous recombination (HR) pathway of double-strand break DNA repair by BRCA1/2-null status. Four PARP inhibitors, olaparib, rucaparib, niraparib, and talazoparib, have been approved by the U.S. Food and Drug Administration (FDA) and by the European Medicines Agency (EMA). In 2014, olaparib was approved as maintenance therapy for platinum-sensitive advanced ovarian cancer with germline mutations in BRCA1/2. In 2016, rucaparib was approved for advanced ovarian cancer with both germline and somatic BRCA1/2 mutations. In 2017 and 2018, olaparib, rucaparib, and niraparib were approved for the maintenance treatment of recurrent, epithelial ovarian, fallopian tube, or primary peritoneal cancer irrespective of the BRCA status. Last, in 2018, olaparib and talazoparib were approved for HER2-negative locally advanced or metastatic breast cancer with germline BRCA1/2 mutations. Besides in ovarian and breast cancer, PARP inhibitor efficacy has also been



demonstrated in other types of cancer including prostate and pancreatic cancer, and small cell lung carcinoma, irrespective of the BRCA status (54–61). It has become clear that any form of HR deficiency in tumors that phenocopies BRCA1/2 mutations, often referred to as BRCAness, may sensitize cells to PARP inhibitors (62). Indeed mutations in DNA damage response genes such as *ATM*, *PRKDC*, *ATR*, *RPA1*, *DSS1*, *NBN*, *RAD51*, *RAD54*, *CHEK1*, *CHEK2*, *FANC* genes, *ERCC1*, *POLB*, *FEN1*, and *CDK12* have shown synthetic lethality in combination with PARP inhibitors (63–67).

BAP1 is a HR DNA repair component and its loss sensitizes cancer cells to DNA repair defects (28). Currently, further investigations are needed to establish the real efficacy of PARP inhibitor on BAP1 mutated cancer cells. Some studies on various types of BAP1 mutated cancer cell lines demonstrated the potential efficacy of PARP inhibitors (68–70). A synergistic effect of PARP inhibitor and gemcitabine is described in BAP1 deficient cholangiocarcinoma cell lines (71). As a result, PARP inhibitors are currently under investigation alone or in combination with other therapies in cancer patients harboring a BAP1 mutant tumor including ICC (ClinicalTrials.gov Identifier: NCT03207347, NCT03786796, NCT03531840, and NCT03375307).

In the current clinical case, we have shown that PARP inhibitor administration can be potentially effective in BAP1 mutated ICC. Chemotherapeutic agents, such as platinum compounds which induce double-strand DNA breaks, are usually utilized prior to PARP inhibition in order to enhance DNA damage and induce PARP inhibition-mediated cell death (72). In addition PARP inhibitors are currently administered after obtaining a disease control with platinum compounds (73, 74). In the present clinical case, the PARP inhibitor olaparib was effective in controlling tumor progression, even though the

patient did not benefit from FOLFIRI administration, a combination of 5-FU and topoisomerase I inhibitor irinotecan. Irinotecan exerts its anticancer effects through induction of single- and double-strand DNA breaks. 5-FU is an antimetabolite drug that exerts its anticancer effects through inhibition of DNA synthesis by inhibition of thymidylate synthase and incorporation of its metabolites into RNA and DNA. One could speculate that efficacy to PARP inhibitor was not enhanced by FOLFIRI administration, but rather by the previous administration of cisplatin. Additional studies are needed to define the timing and schedule of DNA damaging agents for PARP inhibitor enhancement in BAP1 deficient tumors.

In addition to BAP1 mutations, many other molecular alterations have been described in ICC such as *KRAS*, *BRAF*, *IDH1*, *IDH2*, *EGFR*, *FGFR2*, *ROS1*, *ARID1A*, *PBRM1*, and *BRCA1* (8–16). These types of alterations are frequently mutually exclusive (8–16). In the current clinical case, BAP1 mutation is not associated with *KRAS*, *BRAF*, *IDH1*, *IDH2*, *EGFR*, *FGFR2*, *ROS1*, *ARID1A*, *PBRM1*, and *BRCA1* alterations but with a *RAD21* amplification. Further studies are needed to validate this type of association. *RAD21* is a gene involved in the repair of DNA double-strand breaks, as well as in chromatid cohesion during mitosis (75, 76). Amplification of *RAD21* is described in approximately 1.23% of cases reported in the AACR Project Genomics Evidence Neoplasia Information Exchange (AACR Project GENIE), including invasive breast carcinoma, prostate adenocarcinoma, lung adenocarcinoma and colon adenocarcinoma having the greatest prevalence (77). However, no prior data exists regarding *RAD21* amplification in ICC. Whether *RAD21* amplification might enhance the activity of a PARP inhibitor in BAP1 mutant ICC should be further investigated.

Both BAP1 and RAD21 alterations were detected by utilizing NGS analysis. Patient's tumor tissue underwent analysis by several precision oncology testing methods to identify potentially oncogenic alterations. However, most of the tests performed did not detect any alterations. By comparing the results from the two most extensive tumor genomic profiles BAP1 was analyzed in both: the Foundation One CDx and Oncofocus test. However only the Foundation One CDx test was able to detect BAP1 and RAD21 alterations. These findings are likely to reflect the different methods utilized to detect potentially oncogenic alterations, the regions of the genes included in the analysis, the potential tumor heterogeneity especially with a low allele frequency of the variants and the percentage of tumor cells in the sample tested. Since there is no targeted regions for BAP1 it is unlikely that different NGS platforms only test selected exons. In our case the novel mutation c.581-17_585del22 of BAP1 was localized on exon 8 of BAP1, at the boundary of intron 7. Most of the NGS platforms include 20-25bp in the vicinity of exons. However the Oncofocus® Test did not detect the c.581-17_585del22 alteration of BAP1 alteration most likely because this region of the gene was not included in the analysis. In contrast, the Foundation One CDx platform included in the analysis the full exonic region of BAP1 besides including also RAD21 in the analysis. Foundation One CDx report contains information only about the genomic findings without allele frequency values. As limit of detection range at non-homopolymer context (insertion up to 42 bp and deletion up to 276 bp) is 6–10%, we can assume that the BAP1 c.581-17_585del mutated allele was present with a higher variant fraction in the metastatic tumor tissue analyzed. In addition, direct sequencing has a reported limit of detection of approximately 20% mutant alleles. In our case BAP1 sanger sequencing on primary ICC tumor tissue showed the unbalanced presence of the mutated allele, even if it is not possible to have a quantitative value, as with NGS or digital PCR, we can hypothesize an allele frequency close to the limit of detection. Therefore, we can assume that BAP1 c.581-17_585del mutated allele occurred with a high allele frequency, early in ICC oncogenesis.

In conclusion, genomic characterization of ICC tumors by NGS analysis can identify potential targetable oncogenic alterations in ICC, providing the possibility to improve patient survival. Specifically, BAP1 deletion and RAD21 amplification were identified and effectively targeted by PARP inhibitor administration. These results warrant further studies to define the role of PARP inhibitor in ICC harboring BAP1 and RAD21 alterations.

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DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

ETHICS STATEMENT

Written informed consent was obtained from the patient for publication of this case report and any accompanying images.

AUTHOR CONTRIBUTIONS

Conception and design: FSa, SP, and UM. Acquisition of data: LL, VT, and FSa. Analysis and interpretation of data: FSa, AF, VC, FSc, and UM. Writing, review, and/or revision of the manuscript: FSa, LL, and CF. Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases): LL, and VT. Study supervision: SP. Other (contributed clinical and pathological material; discussed results and implications of findings): SP, GT, and CF. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2020.567289/full#supplementary-material>

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A Combined Epithelial Mesenchymal Transformation and DNA Repair Gene Panel in Colorectal Cancer With Prognostic and Therapeutic Implication

OPEN ACCESS

Xiaoliang Huang^{1,2†}, Jungang Liu^{1,2,3†}, Haizhou Liu^{4†}, Xianwei Mo^{1,2}, Yongsheng Meng^{1,2}, Lihua Zhang^{1,2}, Yuqing Deng^{1,2}, Yawei Zhang^{3*} and Weizhong Tang^{1,2*}

Edited by:

David Y. Lee,
University of New Mexico,
United States

Reviewed by:

Clarissa Ribeiro Reily Rocha,
Federal University of São Paulo, Brazil
Jorg Kobarg,
Campinas State University, Brazil

*Correspondence:

Weizhong Tang
tangweizhong@gxmu.edu.cn
Yawei Zhang
yawei.zhang@yale.edu

[†]These authors have contributed
equally to this work

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¹ Division of Colorectal & Anal Surgery, Department of Gastrointestinal Surgery, Guangxi Medical University Cancer Hospital, Nanning, China, ² Guangxi Clinical Research Center for Colorectal Cancer, Nanning, China, ³ Department of Environmental Health Sciences, Yale School of Public Health, New Haven, CT, United States, ⁴ Department of Research, Guangxi Medical University Cancer Hospital, Nanning, China

Background: Epithelial mesenchymal transformation (EMT) and DNA repair status represent intrinsic features of colorectal cancer (CRC) and are associated with patient prognosis and treatment responsiveness. We sought to develop a combined EMT and DNA repair gene panel with potential application in patient classification and precise treatment.

Methods: We comprehensively evaluated the EMT and DNA repair patterns of 1,652 CRC patients from four datasets. Unsupervised clustering was used for classification. The clinical features, genetic mutation, tumor mutation load, and chemotherapy as well as immunotherapy sensitivity among different clusters were systematically compared. The least absolute shrinkage and selection operator regression method was used to develop the risk model.

Results: Three distinct CRC clusters were determined. Clustet1 was characterized by down-regulated DNA repair pathways but active epithelial markers and metabolism pathway and had intermediate prognosis. Clustet2 was characterized by down-regulated both epithelial markers and DNA repair pathways and had poor outcome. Clustet3 presented with activation of DNA repair pathway and epithelial markers had favorable prognosis. Clustet1 might benefit from chemotherapy and Clustet3 had a higher response rate to immunotherapy. An EMT and DNA repair risk model related to prognosis and treatment response was developed.

Conclusions: This work developed and validated a combined EMT and DNA repair gene panel for CRC classification, which may be an effective tool for survival prediction and treatment guidance in CRC patients.

Keywords: DNA repair, epithelial to mesenchymal transition, colorectal cancer, immunotherapy, metabolism

BACKGROUND

Colorectal cancer (CRC) remains a major cause of cancer-related mortality worldwide despite advancements in tumor screening, early diagnosis, and curative resection (1). Staging based on the tumor, nodule, and metastasis (TNM) is generally considered as the main tools for routine prognostication of CRC patients in treatment practice (2, 3). However, heterogeneity of clinical process and treatment response are often observed between individuals in the same stage, which are often attributed to diversity of CRC (4). The diversity of tumors is also manifested at the molecular level. Tumors of the same histological subtype may have different genetic backgrounds and gene expression profile. Tumors of different histological subtype may share common genetic backgrounds and molecular features. Identifying tumor subtypes with different molecular characteristics and clinical outcome is important for the precise treatment of cancer.

In recent years, the molecular classification of CRC has received increasing attention. The international CRC Subtyping Consortium developed a transcriptomic classification of colorectal cancer, which classifies CRC into four biologically distinct consensus molecular subtypes (CMSs). CMS1 and CMS4 tumors have high levels of immune infiltration but antagonistic functional orientation. CMS2 and CMS3 are devoid of immune cell infiltration (5). CMS4 subtype has the worst prognosis. The French national Cartes d'Identite' des Tumeurs (CIT) program identified six molecular subtypes with distinct clinicopathological characteristics and molecular alterations (6). C1 (CIN_{ImmuneDown}) is more frequently chromosomal instability (CIN) and immunosuppression. C2 (dMMR) contains most deficient mismatch repair (dMMR) tumors. C3 (KRAS_m) is enriched for KRAS-mutant tumors. C4 (CSC) is characterized by presenting cancer stem cell (CSC) phenotype-like gene expression profile as well as up-regulating of the bottom crypt signature. C5 (CIN_{WntUp}) has frequency CNI with up-regulation of Wnt pathway. C6 is enriched for "normal-like" tumor (7). Nevertheless, some defect limits the clinical application of the above-mentioned classification. There is no consensus on whether classification is associated with treatment response. Besides, tumor classification is based on whole-genome gene expression patterns, which increases the complexity of classification and decreases the feasibility of clinical application. And there is overlap between pathways enriched in different classification, increasing the uncertainty of the interpretation of the results. Selecting characteristic pathways for tumor classification may be a way to simplify the classification process and improve clinical utility, and assess the correlation between classification and treatment response.

Epithelial–mesenchymal transition (EMT) facilitates the acquisition of stem cell characteristics and sustains stem cell-like populations (8). During the process of EMT, cancer cells lose their epithelial morphology and adopt a spindle-shaped and mesenchymal appearance progressively. Activation of EMT provides cancer cells with the enhanced plasticity required for invasion and metastasis (9). In CRC, EMT is strongly associated with tumor proliferation, infiltration, metastasis, tumor budding and drug resistance (10). Patients with active EMT tumor have poor prognosis. However, EMT is a reversible process, which offers new insight for the treatment of tumors (11).

Incorporating EMT gene expression profiles into CRC classification may identify a subtype of cancer with high malignancy and therapeutic implications.

DNA repair is a critical system for recognizing and repairing abnormalities in the structure or sequence of DNA. Mutations in DNA repair genes, including mismatch repair (MMR), can impair cells' ability to repair damaged DNA, leading to cell death or genome instability (12). Tumors with aberrant DNA repair pathway have increased mutational and neoantigen burden (13), which in turn were linked with greater tumor infiltration by activated T cells. DNA repair defects are associated with improved clinical response to PD-1 blockade, specifically, in CRC patients with deficient mismatch repair (dMMR) (14).

Therefore, we integrated EMT and DNA repair genes for CRC classification. Three CRC clusters with distinct prognosis and molecular characteristic were determined.

MATERIALS AND METHODS

Clinical Specimens

In the present study, eight cases of CRC samples including two cases of metastatic CRC samples and six cases of non-metastatic CRC samples were obtained from patients at the Guangxi Medical University Cancer Hospital. The samples were subjected to RNA sequencing. All of the patients were pathologically diagnosed as CRC without chemotherapy or radiotherapy before the collection of the tissues. Written informed consents were obtained from all patients. The study was approved by the Ethics and Human Subject Committee of Guangxi Medical University Cancer Hospital. All experiments and methods were performed according to relevant guidelines and regulations formulated by the Guangxi Medical University.

RNA-Seq Analysis

Total RNA was extracted using Trizol reagent (Invitrogen). The construction of RNA-seq library was based on the protocol of the IlluminaTruSeq RNA Sample Preparation Kit (illumina). Finally, RNA-seq analysis was performed by GENE+ company (Beijing, China) using Illumina HiSeqX Ten platforms. After quality control and trimming adaptor, reads were mapped onto human genome GRCh38. RNA-seq data have been deposited in the China National Center for Bioinformatics (ID: PRJCA003751).

Data Acquisition and Pre-Processing

Multiplatform genomics data was included in the study, including mRNA expression data, gene somatic mutation data, DNA copy data, and clinical information. For mRNA expression data, we collected the TCGA COAD AND READ datasets and three GEO datasets [GSE39582 (6), GSE17536 (15), and GSE14333 (16)] which meeting the following standard: samples were hybridized to the Affymetrix HGU133 Plus 2.0 (GPL570) platforms, each dataset contains more than 150 cases CRC patients, and information about the prognosis could be gathered. Besides, to analyze the efficiency of immunotherapy,

we also included the “IMvigor” dataset using “IMvigor” package, which was generated from patients with metastatic urothelial cancer treated with anti-PD-L1 drugs (atezolizumab) (17). For TCGA mRNA datasets, the FPKM (fragments per kilobase of exon model per million reads mapped) normalized expression matrix was downloaded from the Genomic Data Commons (GDC, <https://portal.gdc.cancer.gov/>). For microarray data, the raw “CEL” files were downloaded from GEO (<http://www.ncbi.nlm.nih.gov/geo/>) and subjected to a robust multiarray averaging method to perform background adjustment and quantile normalization using the “affy” packages (18). The corresponding clinical data was downloaded at the same time. The gene somatic mutation data (MAF files) and DNA copy data (segment file) of TCGA COAD AND READ cohorts were downloaded from GDC.

Generation of EMT and DNA Repair Gene Panel and Unsupervised Clustering

EMT related genes were obtained from published studies and DNA repair related genes were obtained from Molecular Signatures Database (MSigDB) (4, 19, 20). Univariate cox regression was used to screening prognostic genes using GSE39582. Genes with a p-value less than 0.1 was selected for further analysis. Unsupervised clustering analysis was applied to identify characteristic expression patterns based on the expression of EMT and DNA repair gene panel, and patients were classified for further analysis. We use a consensus clustering algorithm to determine the number and stability of clusters (21). The “ConsensusClusterPlus” package was used to perform the above steps with 500 times repetitions to guarantee the stability of classification (22).

Gene Set Variation Analysis (GSVA) and Functional Annotation

To investigate the biological pathways and processes enriched in different clusters, we applied GSVA which reckons the variation of pathway and bioprocess activity in the sample population by adopting unsupervised clustering method (23). The gene set files of “c2.cp.kegg.v6.2.symbols” and “c5.bp.v7.0.symbols” were downloaded from the MSigDB for running GSVA analysis using “GSVA” packages in R software. Adjusted P less than 0.05 was considered as statistically significance.

Development and Validation of EMT and DNA Repair Risk Model

In order to reduce the dimension and pick the most meaningful prognostic indicators, we applied the least absolute shrinkage and selection operator (LASSO) Cox regression model to the EMT and DNA repair gene panel. LASSO is a penalized regression method that determines the regression coefficients by maximizing the log-likelihood function, while limiting the sum of the absolute values of the regression coefficients (24). The regression coefficients estimated by LASSO are sparse and many components are exactly zero. Thus, LASSO automatically deletes unnecessary covariates (25, 26). 10-fold cross validation was used to confirm the suitable tuning parameter (λ) for LASSO

regression. The significant genes selected by LASSO were subsequently subjected to stepwise cox regression. The eventual regression model was selected based on the Akaike information criterion (AIC). GSE39582 cohort was served as the training set and the TCGA cohort was served as the validation set. A predicted value was calculated for every patient in the validation set on the basis of the risk model constructed in the training set. The ROC and AUC were used to assess the predictive discrimination ability of the risk model.

Statistical Analysis

The statistically significant differences between non-normally distributed variables was analyzed by the Mann-Whitney U test, and normally distributed variables were reckoned adopting the unpaired Student’s t-test. In order to compare more than two groups, used Kruskal-Wallis as non-parametric methods, and adopted one-way ANOVA tests as parametric methods. Spearman and distance correlation analysis were used to calculate the correlation. The survival curves for the prognostic analysis were generated *via* the Kaplan-Meier method and log-rank tests were utilized to identify significance of differences. Use Cox proportional risk model and the “LR forward” stepwise approach to perform univariate and multivariate analyses. Evaluate the survival prediction of accuracy of the prognostic model *via* a time-related receiver operating characteristic curve (ROC) analysis. The R software (version 3.5.0) was used to conduct all statistical analyses, and all statistical P values were two-side, with $p < 0.05$ as statistically significance.

RESULTS

Patient Characteristics and Prognostic Gene Identification

The patient characteristics contained in the datasets used in this study is summarized in **Table 1**. A total of 1,652 CRC patients from TCGA dataset and three GEO datasets (GSE39582, GSE17536, and GSE14333) were retrospectively analyzed in this study. Median age at diagnosis in different datasets ranged from 62 to 68 years. Male patients accounted for 54.48% (900/1652). EMT related genes were obtained from published studies (4, 20) and DNA repair related genes were obtained from MSigDB. We used GSE39582 as training set to identified prognostic gene. 98 genes (DNA repair: 41; EMT: 57) were eventually identified and defined as prognostic EMT and DNA repair genes for further study. Interestingly most of the EMT genes are epithelial markers, which were down-regulated in mesenchymal cells. Detailed information of the 98 genes was listed in **Supplemental Table 1**. The protein interaction network of the 98 genes were shown in **Supplemental Figure 1**.

Identification of Distinct Molecular Clusters Based on EMT and DNA Repair Genes

We divided CRC samples in the GSE39582 into distinct molecular clusters according to 98 prognostic EMT and DNA

TABLE 1 | Baseline characteristics of patients in the discovery and validation cohorts.

Feature	GSE39582 cohort N=566 Number (%)	TCGA cohort N=619 Number (%)	GSE17536 cohort N=177 Number (%)	GSE14333 cohort N=290 Number (%)	All patients N=1652 Number (%)
Age					
Median (IQR)	66.91(17.00)	68.00(18.00)	66.00(18.00)	67.00(17.00)	–
Gender					
Male	310 (54.77)	330 (53.31)	96 (54.24)	164 (56.55)	900 (54.48)
Female	256 (45.23)	289 (46.69)	81 (45.76)	126 (43.45)	752 (45.52)
NA	0	0	0	0	0
T-stage					
Tis	3 (0.53)	1 (0.16)	–	–	4 (0.34)
T0	1 (0.18)	0 (0)	–	–	1 (0.08)
T1	11 (1.94)	20 (3.23)	–	–	31 (2.62)
T2	45 (7.95)	105 (16.96)	–	–	150 (12.66)
T3	367 (64.84)	422 (68.17)	–	–	789 (66.58)
T4	119 (21.02)	70 (11.31)	–	–	189 (15.95)
NA	20 (3.53)	1 (0.16)	–	–	21 (1.77)
N-stage					
N0	302 (53.36)	351 (56.70)	–	–	653 (55.11)
N1	134 (23.67)	150 (24.23)	–	–	284 (23.97)
N2	98 (17.31)	115 (18.58)	–	–	213 (17.97)
N+	6 (1.06)	0 (0)	–	–	6 (0.51)
NA	26 (4.59)	3 (0.48)	–	–	29 (2.45)
M-stage					
M0	482 (85.16)	459 (74.15)	–	–	941 (79.41)
M1	61 (10.78)	87 (14.05)	–	–	148 (12.49)
NA	23 (4.06)	73 (11.79)	–	–	96 (8.10)
TNM				Dukes	
0	4 (0.71)	0 (0)	0 (0)	A:44 (15.17)	–
I	33 (5.83)	105 (16.96)	24 (13.56)	B:94 (32.41)	–
II	264 (46.64)	227 (36.67)	57 (32.20)	C:91 (31.38)	–
III	205 (36.22)	179 (28.92)	57 (32.20)	D:61 (21.03)	–
IV	60 (10.60)	88 (14.22)	39 (22.03)	–	–
NA	0 (0)	20 (3.23)	0 (0)	0 (0)	–
MMR status					
dMMR	75 (13.25)	11 (1.78)	–	–	86 (7.26)
pMMR	444 (78.45)	105 (16.96)	–	–	549 (46.33)
NA	47 (8.30)	503 (81.26)	–	–	550 (46.41)

IQR, Interquartile range.

repair genes. The optimal number of clusters was set at 3 (**Figure 1A**), as suggested by Elbow method. The consensus matrix heatmap revealed the identified three clusters (**Figure 1B**). It must be noted that the eventually incorporated EMT genes were principally epithelial cell markers whose expression levels negatively correlate with EMT. As shown in **Figure 1D**, CRC patients of different clusters possessed specific expression patterns of EMT and DNA repair genes. Cluster 1 (EPI^H/DNA repair^L) had increased expression of epithelial markers but down-regulated DNA repair genes. Cluster2 (EPI^L/DNA repair^L) was characterized by low expression of epithelial markers and DNA repair genes. Cluster3 (EPI^H/DNA repair^H) presented with apparent increased expression of epithelial markers and DNA repair genes. We selected recognized DNA repair genes (MLH1, MSH2, PMS1, and PMS2), which are key genes for determining MMR status and widely used in clinical practice (27), and epithelial genes (CDH1 and DSP) as well as mesenchymal genes (VIM, SNAI1, SNAI2, TWIST1, MMP2, and FN1) to analyze their expression among the three clusters (28). As shown in **Supplementary Figure 2**, the expression of DNA

repair genes (MLH1, MSH2, PMS1, and PMS2) and epithelial genes (CDH1 and DSP) were significantly increased in the Cluster 3(EPI^H/DNA repair^H) while significantly decreased in the Cluster 2(EPI^L/DNA repair^L). The expression of mesenchymal genes (VIM, SNAI1, SNAI2, TWIST1, MMP2, and FN1) were significantly decreased in the Cluster 3(EPI^H/DNA repair^H) but increased in the Cluster 2(EPI^L/DNA repair^L). These results indicated that DNA repair was active but the EMT was suppressive in Cluster 3, which contrasts with gene expression pattern in Cluster 2. The three Cluster had different survival profiles, with the Cluster 3 having the best prognosis but Cluster 2 having the worst prognosis (**Figure 1C**).

We further validated the 98 genes panel in independent cohort. The first cohort was from TCGA comprised 619 cases of CRC. Three distinct molecular clusters were identified as described above (Cluster 1 (EPI^H/DNA repair^L), Cluster 2(EPI^L/DNA repair^L), and Cluster 3(EPI^H/DNA repair^H), **Figure 1E**). Survival analysis confirmed that cluster have distinct outcomes. Here again, cluster 2 having the worst prognosis (**Figure 1F**). The second cohort was from GSE14333 receive adjuvant chemotherapy.

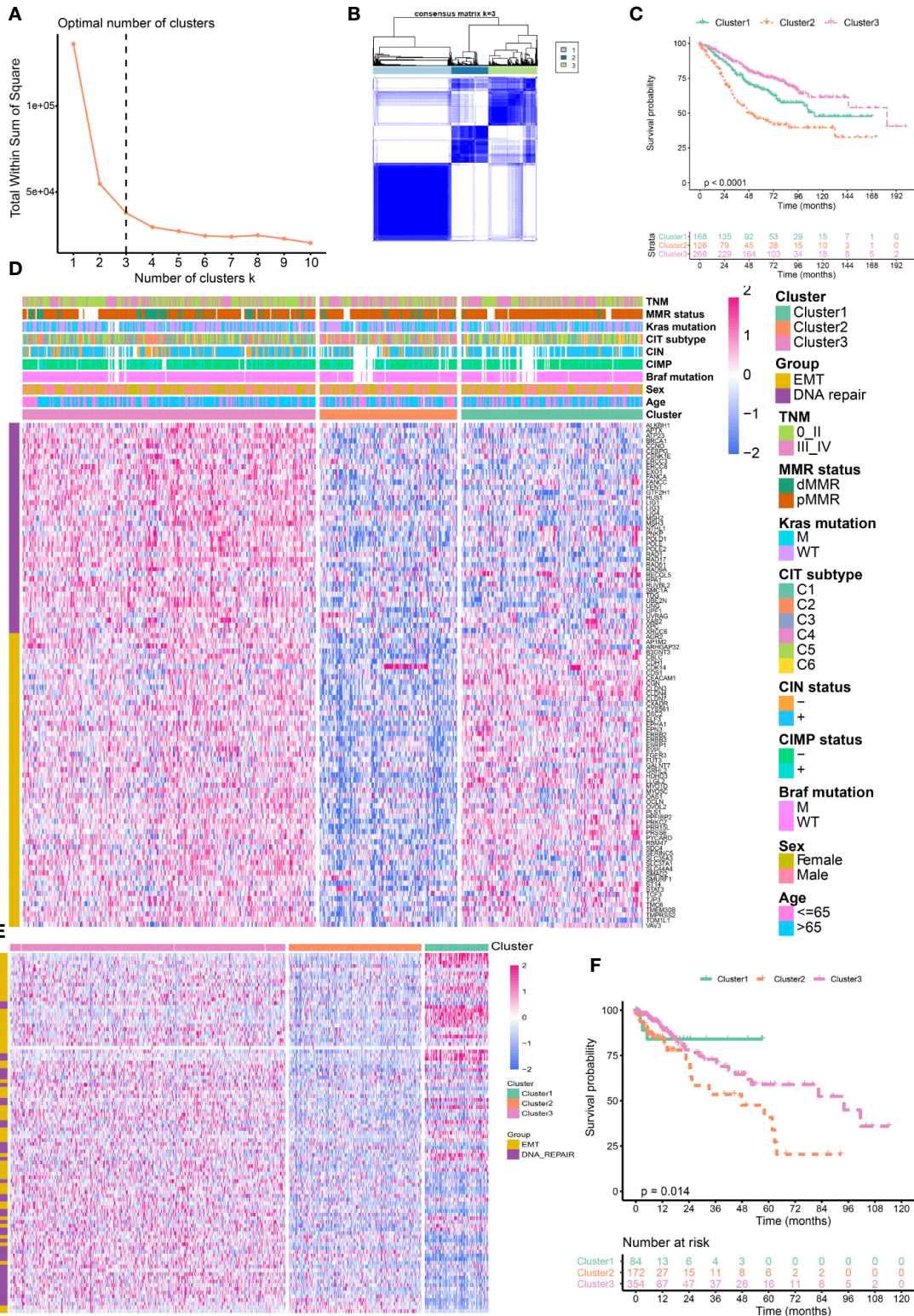


FIGURE 1 | Identification of distinct molecular clusters based on epithelial mesenchymal transformation (EMT) and DNA repair genes. **(A)** The optimal number of clusters determined by Elbow method. **(B)** Consensus matrix for k = 3. **(C)** Overall survival of colorectal cancer (CRC) patients in the three clusters (GSE39582). **(D)** Heatmaps show the expression of 98 EMT and DNA repair genes (GSE39582). **(E)** Heatmaps show the expression of 98 EMT and DNA repair genes (TCGA). **(F)** Overall survival of CRC patients in the three clusters (TCGA).

As shown in **Supplementary Figure 3A**, three distinct molecular clusters were identified and Cluster 2 having the worst prognosis (**Supplementary Figure 3B**). The third validation cohort was from GSE17536 comprised 177 cases of CRC. We also identified three distinct molecular clusters as described above (**Supplementary Figure 3C**). Kaplan–Meier analysis revealed that the three subgroups have distinct outcome, that the Cluster 2 had the worst prognosis while Cluster 1 and Cluster 3 had similar outcome (**Supplementary Figure 3D**).

Correlation of the Clusters With Clinical Characteristics and Classical Classification

The relationships between CRC classifications and clinical characteristics were then investigated by using the GSE39582 (**Figure 2A** and **Supplementary Table 2**). Cluster 1 was associated with lower proportion of BRAF mutation, CpG island methylator phenotype (CIMP) and dMMR. But Cluster 1 has a higher proportion of patients with distal CRC, lymphatic metastasis as well as CIN and mainly enriched in C1, C5, and C6 of CIT subtype. Cluster 2 was associated with high percentage of BRAF mutation, CIMP, T4 stage, distant metastasis, and young patients. Cluster 2 was mainly enriched in C4 of CIT subtype. Cluster 3 had a high percentage of dMMR, node-negative, no distant metastasis and elderly patient. Cluster 3 was mainly concentrated in the C2, C3, and C5 of CIT subtype. **Figure 2B** summarized the relationship between CLT subtype and different clusters. There was no significant difference in the distribution of KRAS mutation, Tp53 mutation and gender among different clusters. We further validated the association by using TCGA dataset. As shown in **Supplementary Figure 4**, We again found that Cluster 2 was associated with a higher proportion of T4 and stage III–IV. But, node-negative CRC and patients without lymphatic invasion (LV) and vessel invasion (VL) have higher percentage in Cluster 3.

Characteristics of Tumor Genome Variation in Different Clusters

TCGA has completed a comprehensive molecular characterization of CRC, thus we analyzed the distribution differences of somatic single nucleotide variants (SNVs) among different clusters based on TCGA dataset. As shown in **Figures 3A–C**, the top three genes with the highest frequency of mutations in cluster1 were APC (82%), TP53 (58%), and KRAS (51%), and those in Cluster2 are APC (72%), TTN (51%), and TP53 (51%), and those in Cluster3 are APC (81%), TP53 (66%), and TTN (47%). There was no significant difference in the frequency of somatic mutations in the three clusters. Tumor mutation burden (TMB) is a measurement of somatic mutation carried by cancer cells and high TMB status presented a durable clinical response to anti-PD-1/PD-L1 immunotherapy in CRC (29). We compared the TMB among different clusters. as shown in **Figure 3D**, the Cluster2 and 3 had the highest TMB while the Cluster1 had the lowest TMB. These results indicated that Cluster2 and 3 might benefit from immunotherapy. Copy number variants (CNVs) are a key component of genetic variation and have a greater impact in the

genome than SNVs. We investigated alteration frequency of CNVs among different clusters. A total of 352 genes with significant differences in amplification frequency or deletion frequency among the three clusters were identified. The genes location, amplification frequency and deletion frequency in each cluster was summarized in **Figure 3E**. **Supplementary Figure 5** presented representative genes with significant differences in amplification frequency or deletion frequency among the three clusters. We performed gene enrichment analysis to explore biological processes and pathways involved in aberrant amplification or deletion of genes (**Supplementary Figure 6**). Genes significantly amplified in the Cluster3 were enriched in Defense response to bacterium and Focal adhesion, which indicated that Cluster3 might associate with immune and metastasis. Pathways enrichment analysis suggested that significantly amplified genes in Cluster2 were enriched in Cell cycle and Cell adhesion molecules, indicated that Cluster2 might associate with cell proliferation and metastasis.

Clusters Predicts Therapeutic Benefit of Chemotherapy and Immunotherapy

Adjuvant chemotherapy (ADJC) is the primary treatment strategy for patients with non-metastatic CRC cancer (30). Given that the GSE39582 dataset provided information on chemotherapy in patients, we utilized this dataset to analyze the relationship between EMT and DNA repair gene clusters and ADJC benefit. We used OS to assess treatment outcome. Interestingly, only patients in the Cluster 1 had improved OS after receiving ADJC (**Figure 4A**). No significant difference in OS of patients in Cluster 2 and 3 regardless of whether they received ADJC (**Figures 4B, C**). These results indicated that patients in the Cluster 1 might benefit from chemotherapy.

Immunotherapy has recently emerged as an effective new therapy for CRC. However, immunotherapy is currently indicated only for CRC patients with dMMR, which only account for about 5%–15%. It is crucial to identify CRC patients benefit from immunotherapy. We collected an immunotherapy data set (Imvigor210) to explore whether the clusters could predict the immune treatment benefit. As shown in **Figure 4D**, the proportion of patients achieved a complete response (CR) or partial response (PR) was significantly increased in the Cluster3. These results indicated that patients in the Cluster 3 benefited from immunotherapy at a higher rate.

Biological Pathways and Processes Enriched in Different Clusters

To explore the biological characteristics among these distinct clusters, we performed GSVA enrichment analysis. It should be noted that this was a pathway-level comparison for exploring the biological significance behind the different clusters. It was not a re-phenotyping using a new set of genes. The enrichment analysis results of KEGG pathway showed that Cluster1 was markedly enriched in metabolic pathways such as Retinol Metabolism, Linoleic acid Metabolism, and Arachidonic acid Metabolism (**Figure 5A**). Cluster2 presented enrichment pathways associated with EMT including ECM receptor

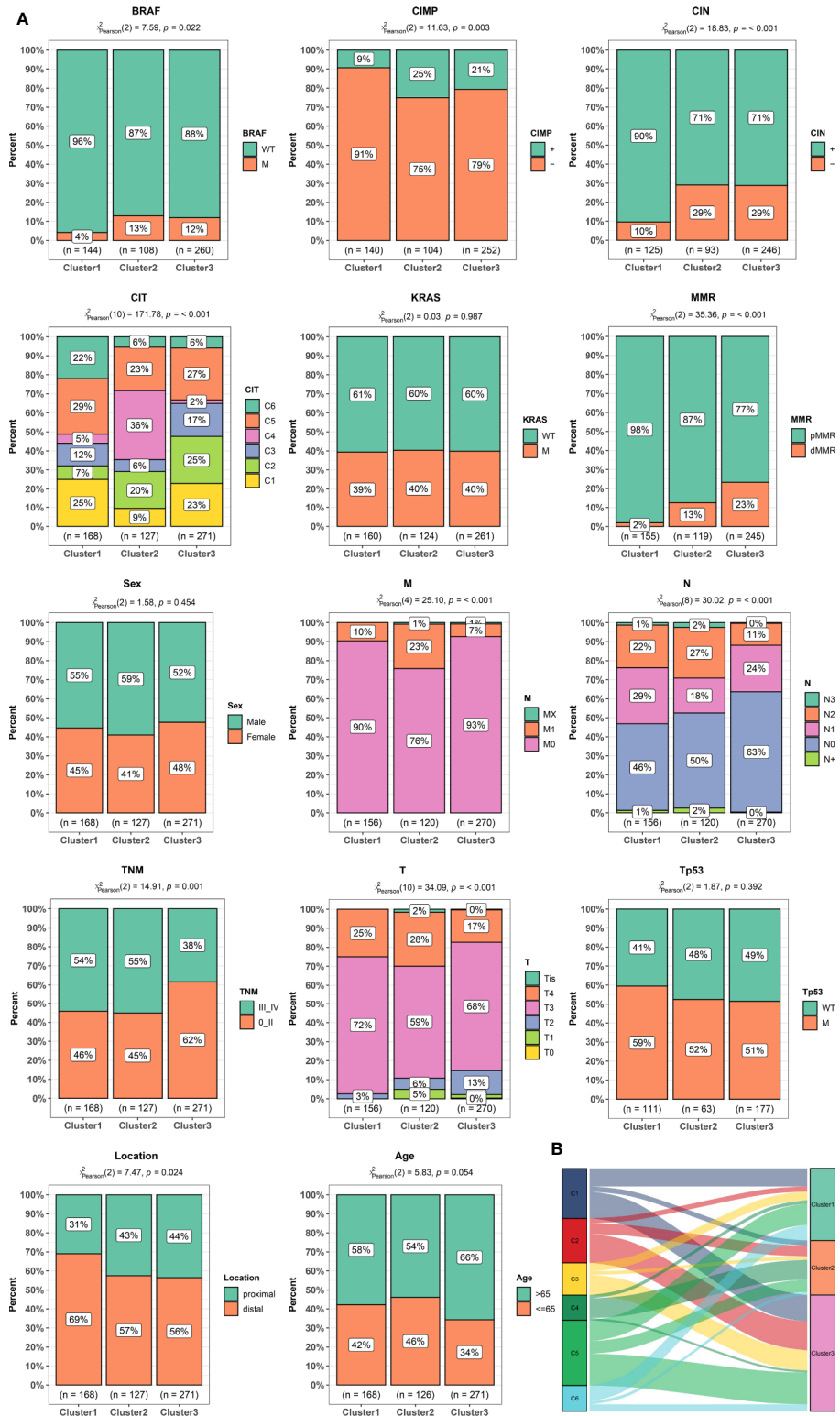
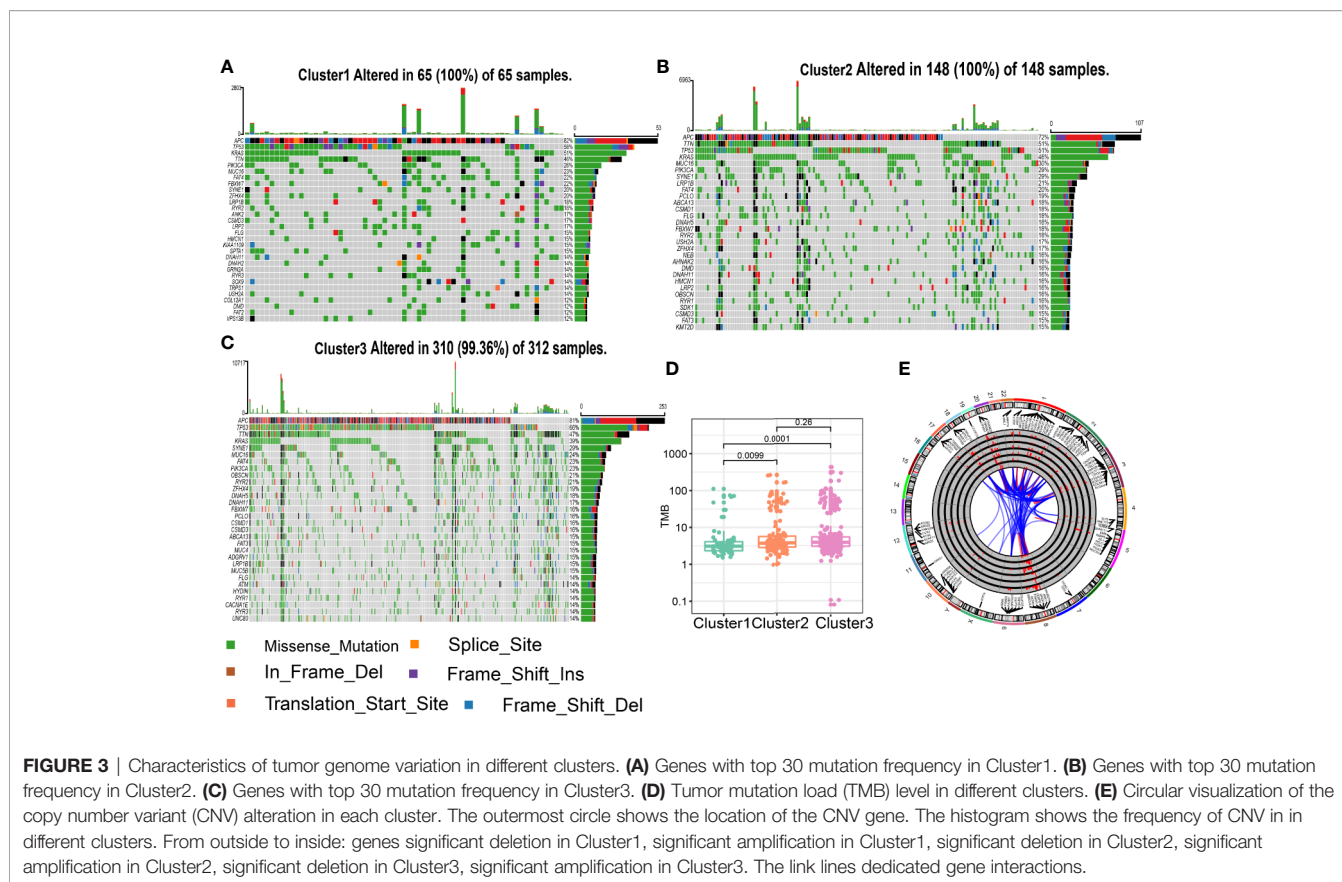


FIGURE 2 | Clinical and molecular characteristics of colorectal cancer (CRC) patients according to the cluster. **(A)** Bar plots showing the proportion of gender, age, stage, tumor localization, KRAS, BRAF, and TP53 mutations, hypermutated phenotype, mismatch repair status (MMR), CpG island methylator phenotype (CIMP), chromosomal instability (CIN), and Cartes d'Identité des Tumeurs (CIT) subtypes in different clusters. **(B)** Sankey chart displaying the distribution of C1-C6 CIT subtypes in different clusters.



interactions and Cell adhesion molecules (CAMs). While Cluster3 was prominently related to DNA repair pathways such as DNA Replication, Mismatch Repair and Base excision Repair. **Figure 5B** presented representative pathways and its enrichment scores in different clusters. Again, metabolic pathways had the highest enrichment scores in the Cluster1 and EMT related pathways including extracellular matrix (ECM), Wnt pathways, and TGF- β pathways had the highest enrichment scores in the Cluster2. DNA repair pathways had the highest enrichment scores in the Cluster3. The enrichment scores for the above pathways were significantly different (all $P < 0.05$, **Figure 5B**). We further explored biological processes enriched in distinct clusters. Different clusters had characteristic biological processes (**Supplementary Figure 7**). Biological processes associated with Amino acid transport, Ion transport and Transmission of neural signal were significantly enriched in Cluster1 (**Supplementary Figure 8A**). Cluster2 were enriched in Mesenchymal formation, Immune response and Amino acid transport (**Supplementary Figure 8B**). Besides, biological processes significantly enriched in Cluster3 including RNA processing and DNA repair (**Supplementary Figure 8C**). Based on the above analyses, we were surprised to learn that three clusters had significantly distinct biological characteristics. Cluster1 was characterized by activation of metabolic pathways and Cluster2 was characterized by EMT activation. Cluster3 was characterized by activation of DNA repair.

Construction of EMT and DNA Repair Risk Scores Related to Prognosis and Treatment Response

To develop clinically useful prognostic and efficacy assessment models for individual, we applied the LASSO Cox regression model to the 98 EMT and DNA repair genes for dimension reduction. GSE39582 cohort was served as training set and TCGA cohort were served as validation cohort. As shown in **Figures 6A, B**, the most appropriate tuning parameter λ for LASSO Cox regression analysis was determined to be 0.036 when the partial likelihood deviance was the smallest. The 16 genes with non-zero coefficients in the tuning parameter were selected and subject to stepwise cox regression. Ultimately, nine genes were used to constructed the scoring system. The hazard ratios and P-values of the nine genes in the scoring model were summarized in **Figure 6C**. We compared the expression of nine genes in different clusters, and interestingly, these nine genes were significantly differentially expressed in different clusters (**Supplementary Figure 9**), suggesting that these genes represent characteristics of different clusters. Patients were divided into high-risk and low-risk groups according to the risk score predicted. And survival analysis demonstrated that the EMT and DNA repair risk scores had significant power to distinguish good from poor outcomes in CRC patients ($P < 0.001$) (**Figure 6D**). We further validated the scoring model in TCGA cohort. Patients with high-risk had worse outcomes compared

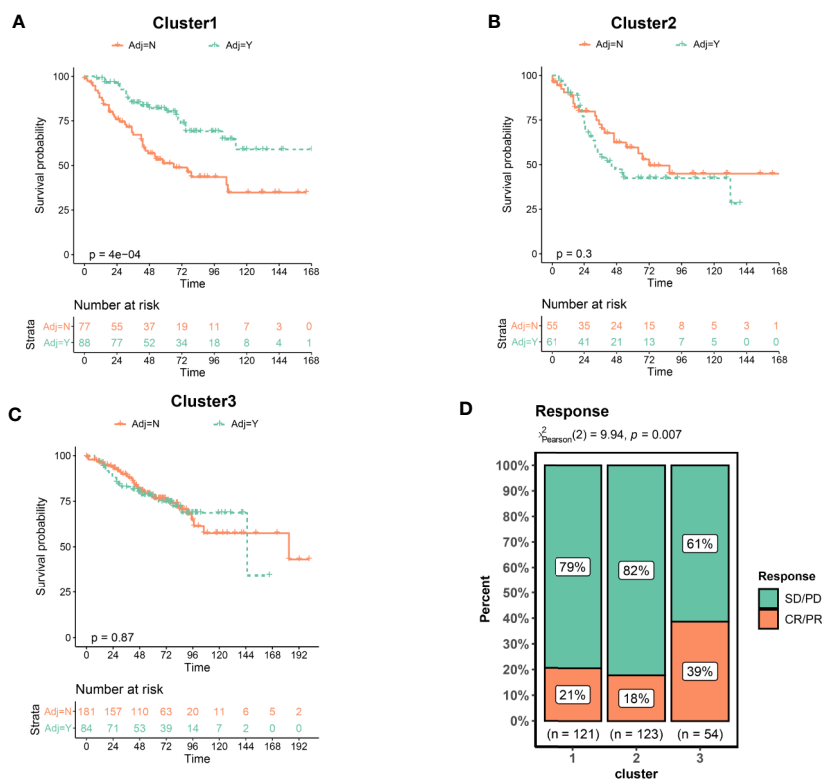


FIGURE 4 | Clusters predicts therapeutic benefit of chemotherapy and immunotherapy. **(A)** Kaplan–Meier curves of overall survival for patients in Cluster1 stratified by receipt of adjuvant chemotherapy. **(B)** Cluster2. **(C)** Cluster3. **(D)** Response rate of patients to immunotherapy. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease.

with low-risk (**Figure 6E**). ROC curve analysis revealed that the EMT and DNA repair risk scores had similar degree of discrimination in GSE39582 cohort and TCGA cohort (GSE39582: AUC= 0.714; TCGA: AUC=0.696, **Figure 6F**). The correlation between risk scores, gene expression and survival state were present in the **Figures 6G, H**. Next, we analyzed the association between risk scores and cluster. The Cluster 3, with a better prognosis, had the lowest risk score, while Cluster2, with the worst prognosis, had the highest risk score. And Cluster1, with intermediate prognosis, had medium risk score (**Figure 6I**). We further validate the risk scores using in-house data. We found that patients with metastatic CRC had higher risk scores than patients with non-metastatic CRC, but the difference was not statistically significant, possibly because of the small sample size (**Figure 6J**). These results indicated that the risk scores were closely related to prognosis and different clusters had distinct risk scores.

Since the EMT and DNA repair genes clusters were associated with immunotherapeutic response, we investigated whether the risk scores can predict immunotherapeutic benefit. Cluster 3 benefited from immunotherapy at a higher rate. We first compared the levels of risk scores in different clusters based on Invigor210 cohort. Cluster 3 had lowest risk scores, which indicated that low risk scores predicated immunotherapeutic benefit (**Supplementary Figure**

10A). Besides, the proportion of CR or PR was significantly increased in patients with low risk (**Supplementary Figure 10B**). In patients receiving immunotherapy, patients with low risk had better prognosis than those with high risk (**Supplementary Figure 10C**). These findings suggested that low risk scores predicated immunotherapeutic benefit.

DISCUSSION

With the development of research, we gain a deeper understanding of the biological and molecular characteristics of CRC (31). CRC classification based on characteristic pathways may be a promising way to simplify the classification process and improve clinical utility. Activation of EMT pathways is associated with malignant behavior and drug resistance (32). While activation of DNA repair pathways is a key feature of “hot tumor” and a predictor of immunotherapy (33). In the present study, we identified three distinct CRC clusters based on a combined EMT and DNA repair gene panel.

The three CRC clusters differ significantly in clinical characteristics, prognosis, genomic variation, active pathways, and response to chemotherapy and immunotherapy (**Figure 7**). Clustet1 (EPI^H/DNA repair^L) was characterized

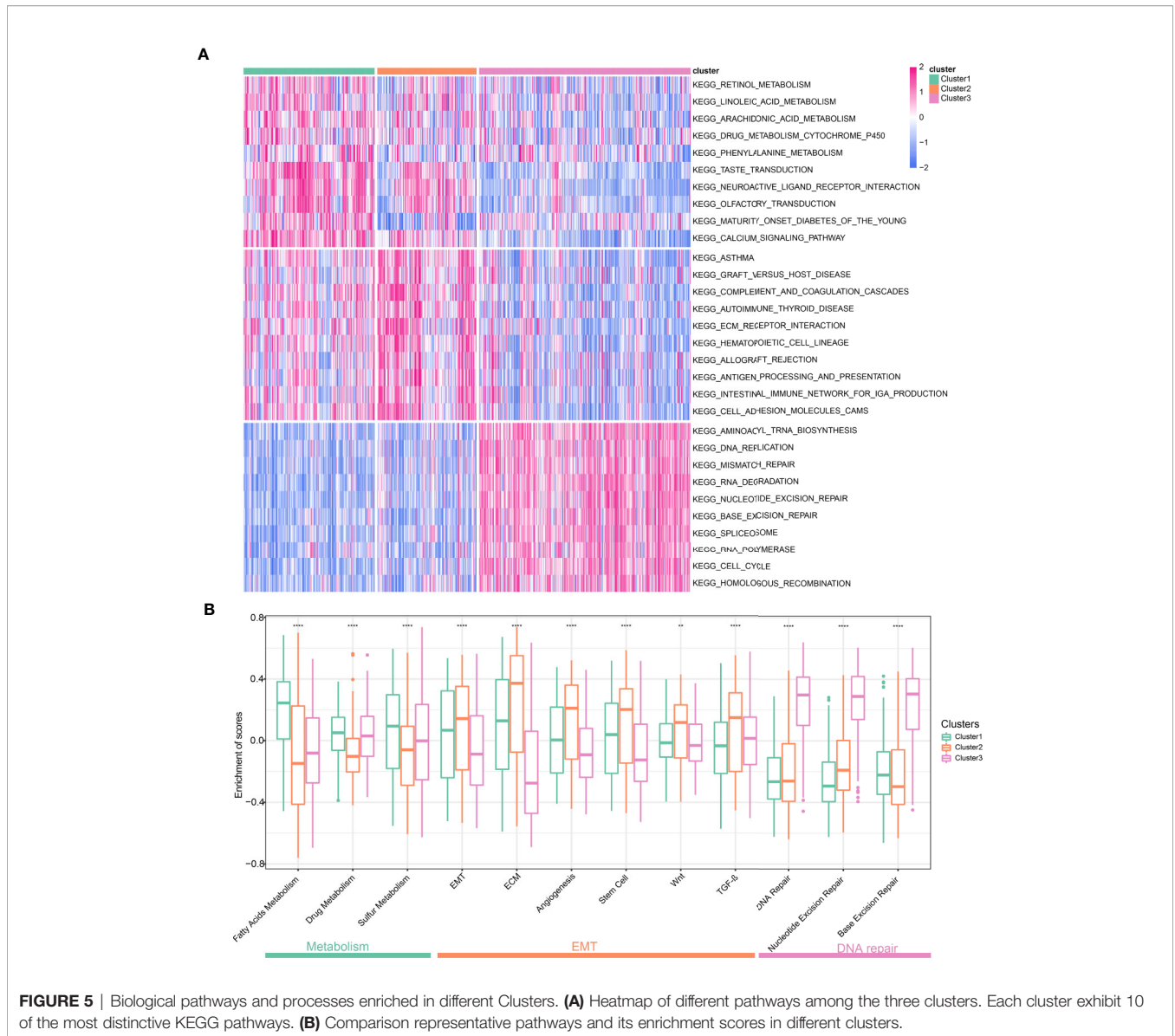


FIGURE 5 | Biological pathways and processes enriched in different Clusters. **(A)** Heatmap of different pathways among the three clusters. Each cluster exhibit 10 of the most distinctive KEGG pathways. **(B)** Comparison representative pathways and its enrichment scores in different clusters.

by down-regulated DNA repair pathways but active epithelial markers and metabolism pathway. Clustet1 has intermediate prognosis and lower proportion of BRAF mutation, CpG island methylator phenotype (CIMP) and dMMR. But Cluster1 has a higher proportion of patients with distal CRC as well as lymphatic metastasis. TMB scores was the lowest in the Cluster1. Patients in the Cluster1 might benefit from chemotherapy but not immunotherapy. Besides, Cluster1 was associated with a moderate EMT and DNA repair risk scores. The Cluster2 (EPI^L/DNA repair^L) was characterized by down-regulated DNA repair and epithelial markers. Clustet2 was associated with the worst prognosis. Cluster 2 has a high percentage of BRAF mutation, CIMP, T4 stage, distant metastasis, and young patients. Clustet2 presented with high TMB and genes significantly amplified in Cluster2 were enriched in Cell cycle and Cell adhesion molecules. Patients

in the Cluster2 might not benefit from chemotherapy and immunotherapy. The EMT and DNA repair risk scores was the highest in the Cluster2. The Cluster3 (EPI^H/DNA repair^H) presented with activation of DNA repair pathway and epithelial markers. Patients in Cluster3 had the best prognosis. Cluster 3 had a high percentage of dMMR, node-negative, no distant metastasis, or LV or VL and elderly patient. Clustet3 presented with high TMB and genes significantly amplified in Cluster3 were enriched in Defense response to bacterium and Focal adhesion. Cluster 3 benefited from immunotherapy at a higher rate. The EMT and DNA repair risk scores was the lowest in the Cluster3. Therefore, the identification of three different clusters is of great significance for the accurate treatment of CRC.

Chemotherapy is one of the main treatment strategies for CRC, which is critical for creating surgical opportunities and preventing tumor recurrence (34). Detecting patients who may

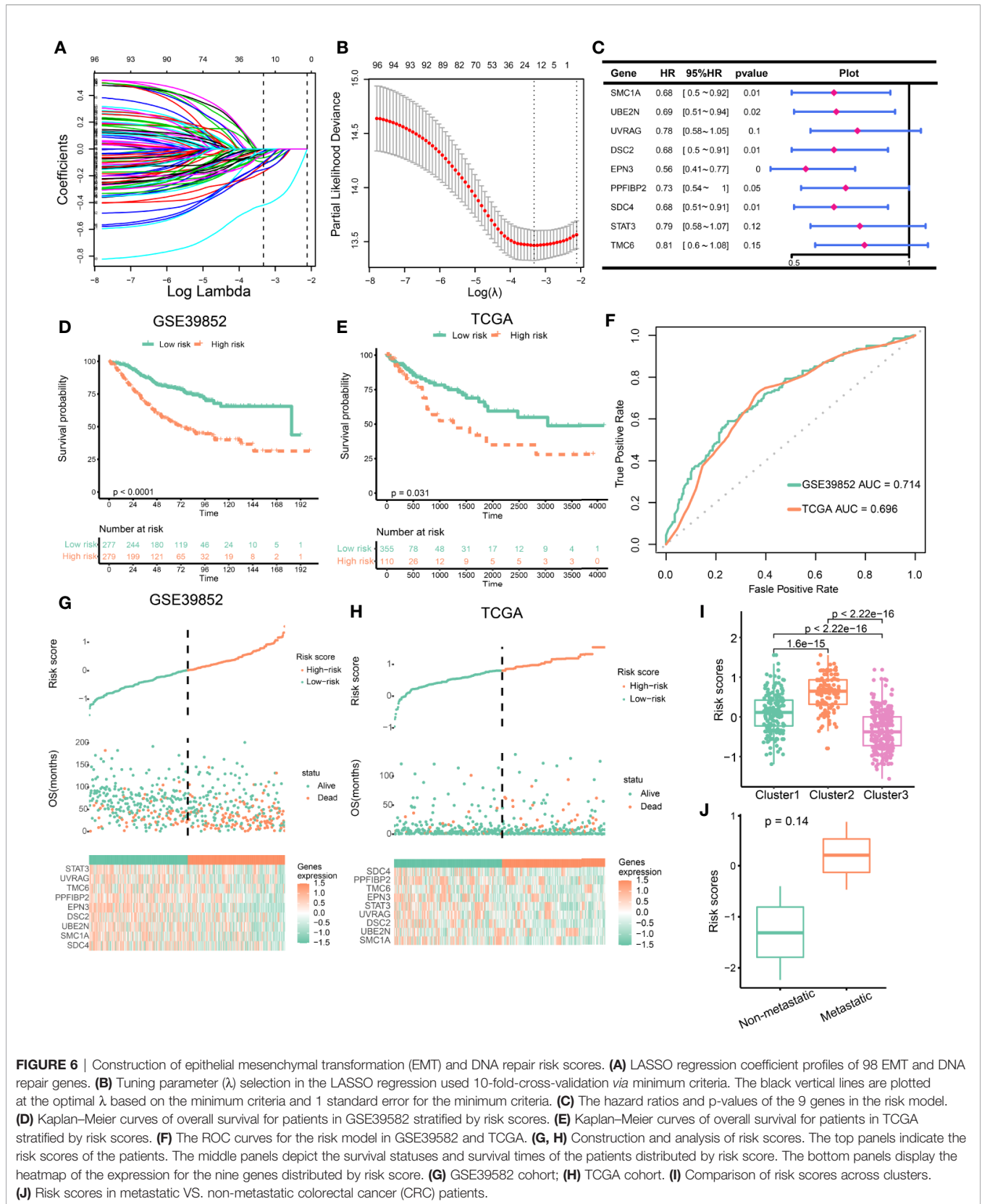
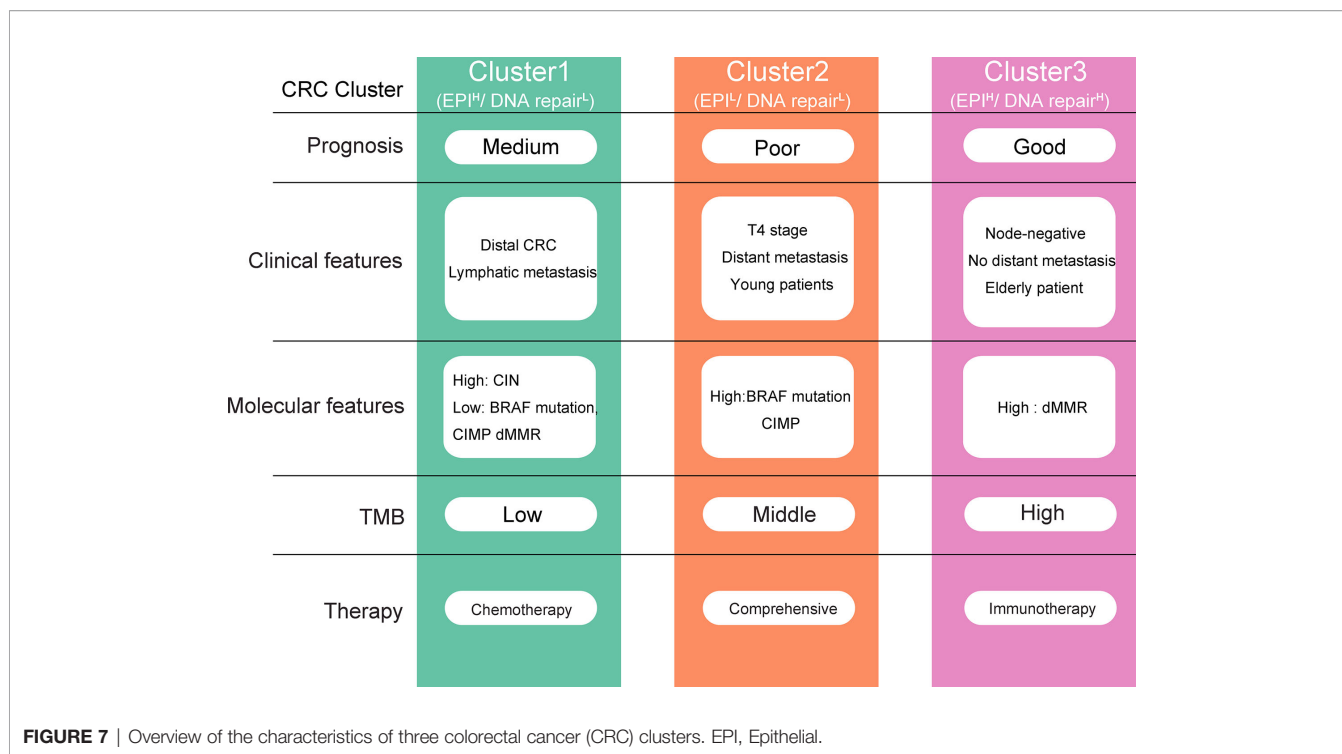


FIGURE 6 | Construction of epithelial mesenchymal transformation (EMT) and DNA repair risk scores. **(A)** LASSO regression coefficient profiles of 98 EMT and DNA repair genes. **(B)** Tuning parameter (λ) selection in the LASSO regression used 10-fold-cross-validation *via* minimum criteria. The black vertical lines are plotted at the optimal λ based on the minimum criteria and 1 standard error for the minimum criteria. **(C)** The hazard ratios and p-values of the 9 genes in the risk model. **(D)** Kaplan–Meier curves of overall survival for patients in GSE39852 stratified by risk scores. **(E)** Kaplan–Meier curves of overall survival for patients in TCGA stratified by risk scores. **(F)** The ROC curves for the risk model in GSE39852 and TCGA. **(G, H)** Construction and analysis of risk scores. The top panels indicate the risk scores of the patients. The middle panels depict the survival statuses and survival times of the patients distributed by risk score. The bottom panels display the heatmap of the expression for the nine genes distributed by risk score. **(G)** GSE39852 cohort; **(H)** TCGA cohort. **(I)** Comparison of risk scores across clusters. **(J)** Risk scores in metastatic VS. non-metastatic colorectal cancer (CRC) patients.



benefit from chemotherapy is an important step in precision treatment. Activation of EMT is a recognized factor in the induction of chemotherapy resistance (35). 5-fluorouracil (5-Fu) based chemotherapy is commonly used in convention chemotherapy of CRC (36). The 5-Fu resistance is partially induced by EMT *via* the Akt gene or mediated by Twist, miR-200c, miR-141 (26, 34). Besides, down-regulation of EMT-related miR-200c and miR-141 could induced resistance to oxaliplatin, which is one of the most common drugs in CRC chemotherapy (37). Moreover, EMT is strongly associated with tumor proliferation, infiltration, metastasis, tumor budding (10). Given that Cluster2 presents with activation of EMT, we have reasons to infer that Cluster2 has a poor prognosis and does not benefit from chemotherapy.

Metabolic reprogramming is a hallmark of malignancy (38). To support the rapid proliferation, progression, and metastasis, cancer cells rewire metabolic pathways *via* increased generation of adenosine triphosphate (ATP), macromolecule synthesis, and antioxidant regeneration (39). Abnormal metabolic pathways provide new targets for the treatment of cancer and sensitize cancer chemotherapy (40). For example, increased expression of MUC1 enhanced glycolysis, nonoxidative PPP, and pyrimidine biosynthesis (41). Inhibition of MUC1 sensitizes cancer cell lines to 5-FU (24, 42). Combination of antimetabolic therapy and chemotherapy may yield better response rates (43). Based on our analysis, Cluster1 present with increased metabolism pathways, we speculated that Cluster1 patients may benefit from anti-metabolic therapy and chemotherapy.

Currently, benefits of immunotherapy have received immense research interest because of the impressive long-lasting response

seen in several solid tumors (33, 44). In CRC, immune response and survival benefit were limited to mismatch-repair-deficient and microsatellite instability-high (dMMR-MSI-H) CRC patients, who account for only a small percentage of CRC patients (around 8%–15%) (3, 45). Thus, the selection criteria for candidates who are likely to benefit from such regimens requires further investigation. In the present study, we found that patients in the Cluster3 had the highest response rate to immunotherapy (around 40%). Besides, Cluster3 was present with high proportion of dMMR and TMB, which were recognized immunotherapeutic response prediction marker. We infer that patients in Cluster3 may benefit from immunotherapy. In addition, an interesting phenomenon we found was that although Cluster3 had a higher proportion of dMMR, the expression of key MMR genes was elevated. The MMR gene expression products are called MMR proteins, and they exist as heterodimeric complexes for mismatch base identification and subsequent repair (45). Most mutations in the MMR gene interfere with dimerization, leading to proteolytic degradation of the heterodimer, resulting in the loss of obligatory and secondary proteins (27). This assumption may explain why mRNA is elevated but protein expression is down-regulated. Further research is needed to confirm this assumption.

In recent years, the availability of clinical-grade, rapid, and inexpensive benchtop next-generation sequencers, as well as prepackaged analytical software and reagents, has driven the rapid growth and popularity of gene panel assays in clinical laboratories (46). The gene panel amplifies only specific genes and therefore has the advantage of lower cost and faster speed (47). The limitations of gene panel assay are the high investment

in equipment and the cost of sequencing reagents, making it impractical in the case of too small a total specimen volume. In addition, despite the wide application of the technology in recent years, there is still a shortage of experienced professionals. This lack of expertise results in variable quality of analysis and interpretation of the complex data. It is also unclear how to validate, control and charge for these tests, limiting their deployment in hospital laboratories (48).

This study has some limitations. First, the patient population is heterogeneous due to the retrospective nature of this study. Second, the robustness of the predictive value of the gene panel needs further validation in large prospective clinical trials. Third, experimental studies are needed to further elucidate the biological significances of the gene panel. Fourth, although our proposed EMT and DNA repair gene panel has potential clinical applications, such as the development of molecular typing kits for colorectal cancer, many issues remain unresolved, such as further identification of target genes, design of probes and determination of expression thresholds.

CONCLUSION

In conclusion, the present study developed and validated a combined EMT and DNA repair gene panel for CRC classification. Three CRC clusters with distinct characteristics were identified. This gene panel may have clinical application for prognosis estimation and guiding chemotherapy as well as checkpoint inhibitors.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Ethics and Human Subject Committee of Guangxi Medical University Cancer Hospital. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

Conceived and designed the experiments: XH, JL, HL, XM, YZ, and WT. Performed the data collection: XH, JL, HL, LZ, YD, and YM. Analyzed the data: XH, JL, HL, XM, YZ, LZ, YD, and YM. Contributed reagents/materials/analysis tools: HL, XM, YZ, and WT. Contributed to the writing of the manuscript: XH, JL, HL,

XM, YZ, WT, LZ, YD, and YM. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2020.595182/full#supplementary-material>

SUPPLEMENTARY FIGURE 1 | Protein-protein interaction (PPI) network of the 98 EMT and DNA repair genes. Genes belonging to EMT are represented in red and Genes belonging to DNA repair are represented in blue. The size of a gene is positively correlated with the number of genes it links.

SUPPLEMENTARY FIGURE 2 | Expression of representative EMT and DNA repair genes in the three CRC clusters. DNA repair genes were marked red and epithelial genes were green. Mesenchymal genes were orange.

SUPPLEMENTARY FIGURE 3 | Identification of distinct molecular clusters based on EMT and DNA repair genes using GSE14333 and GSE17536.

(A) Heatmaps show the expression of 98 EMT and DNA repair genes (GSE14333).

(B) Survival analysis of CRC patients in the three clusters (GSE14333).

(C) Heatmaps show the expression of 98 EMT and DNA repair genes (GSE17536). D: Survival analysis of CRC patients in the three clusters (GSE17536).

SUPPLEMENTARY FIGURE 4 | Clinical characteristics of colorectal cancer (CRC) patients according to the cluster (TCGA data). Bar plots showing the proportion of tumor stage, tumor localization, lymphatic invasion (LV) and vessel invasion (VL) in different clusters.

SUPPLEMENTARY FIGURE 5 | Representative genes with significant differences in amplification or deletion frequency among the three clusters.

SUPPLEMENTARY FIGURE 6 | Enrichment analysis of aberrant amplification or deletion of genes. Showing the top five terms with a P value less than 0.05. Left panel: GO biological process; Right panel: KEGG pathways.

SUPPLEMENTARY FIGURE 7 | Heatmap of different biological processes among the three clusters. Each cluster exhibit 30 of the most distinctive GO biological processes.

SUPPLEMENTARY FIGURE 8 | Tree diagram of distinctive GO biological processes in each cluster. Clustering of GO terms according to the common genes contained in the different terms. The closer the two terms are, the more genes they share. **(A)** Cluster1; **(B)** Cluster2; **(C)** Cluster3.

SUPPLEMENTARY FIGURE 9 | The expression of 9 prognostic genes in different clusters.

SUPPLEMENTARY FIGURE 10 | Correlation between risk score and immunotherapy response. **(A)** Comparison of risk scores across clusters based on "IMvigor" dataset. **(B)** Response rate of patients to immunotherapy. Patients were stratified according the risk scores. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease. **(C)** Kaplan–Meier curves for patients receiving immunotherapy.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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LCN2 Is a Potential Biomarker for Radioresistance and Recurrence in Nasopharyngeal Carcinoma

Meng-Xia Zhang^{1†}, Li Wang^{2†}, Lei Zeng^{3*} and Zi-Wei Tu^{4*}

¹ State Key Laboratory of Oncology in South China, Department of Nasopharyngeal Carcinoma, Sun Yat-Sen University Cancer Center, Collaborative Innovation Center for Cancer Medicine, Guangzhou, China, ² Department of Radiotherapy, Eye & ENT Hospital, Fudan University, Shanghai, China, ³ Department of Oncology, The Second Affiliated Hospital of Nanchang University, Nanchang, China, ⁴ NHC Key Laboratory of Personalized Diagnosis and Treatment of Nasopharyngeal Carcinoma (Jiangxi Cancer Hospital of Nanchang University), Nanchang, China

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Edited by:

David Y. Lee,
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United States

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Jareer Kassis,
Bien-Etre Labs, LLC, United States
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Medical University, China

*Correspondence:

Zi-Wei Tu
tuziwei198803@163.com
Lei Zeng
zlsports100@163.com

[†]These authors have contributed
equally to this work

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Background: Radioresistance-induced local failure, which can result in residual or recurrent tumors, remains one of the major causes of treatment failure in nasopharyngeal carcinoma (NPC). Lipocalin 2 (LCN2) is known to play important roles in cancer initiation, progression, and treatment responses. However, its role in the radioresistance of NPC remains unclear.

Methods: Microarray data from the Gene Expression Omnibus (GEO) was screened for candidate biomarkers relating to the radioresistance of NPC. The expression of LCN2 in NPC cell lines was verified by quantitative real-time PCR (RT-qPCR) and western blotting. The effects of knockdown or overexpression of LCN2 on NPC radiosensitivity were examined using a soft agar colony formation assay and a γ H2AX assay. LCN2 expression in NPC specimens was evaluated by immunohistochemistry. Survival outcomes were analyzed. A possible correlation between LCN2 and hypoxia-inducible factor 1-alpha (HIF-1A) was examined by western blotting and a tissue microarray.

Results: LCN2 was highly expressed in the radioresistant NPC cell line CNE2R. Knocking down LCN2 enhanced the radiosensitivity of NPC cells by impairing their ability to repair DNA damage or proliferate, while ectopic expression of LCN2 conferred additional radioresistance to NPC cells. Immunohistochemical analysis of 100 NPC specimens revealed that LCN2 expression was significantly upregulated in radioresistant NPC tissues and was associated with NPC recurrence. Furthermore, a significant correlation between the expression of LCN2 and HIF-1A was detected.

Conclusion: LCN2 is associated with radioresistance and recurrence in NPC and may facilitate the development of a radioresistant phenotype through interacting with HIF-1A. Our data indicate that LCN2 is a promising target for predicting and overcoming radioresistance in NPC.

Keywords: nasopharyngeal carcinoma, lipocalin 2, hypoxia-inducible factor 1-alpha, radioresistance, recurrence

INTRODUCTION

Nasopharyngeal carcinoma (NPC) is a malignancy of the epithelial origin. Although rare in western countries, it is endemic in Southeast Asia and southern China. NPC age-standardized incidence rates are 3.0 and 0.4 per 100,000 population for China and western countries, respectively (1). NPC is one of the most frequently diagnosed malignancies in China (2). Radiotherapy is the primary treatment option for non-metastatic NPC owing to the high sensitivity of this cancer to ionizing radiation and the relatively inaccessible anatomical location of the nasopharynx, which renders surgery difficult to implement. However, the efficacy of radiotherapy and the prognosis of NPC patients are impaired by inherent or acquired radioresistance, which can result in tumor recurrence or distant failure (3). Consequently, investigating the mechanism of radioresistance and identifying biomarkers that can predict radioresistance and outcomes for NPC patients are urgently needed to allow for individualized treatment.

Lipocalin 2 [LCN2; also known as neutrophil gelatinase-associated lipocalin (NGAL)] is a 25-kDa protein belonging to the lipocalin superfamily and is a vital modulator of iron homeostasis (4). A growing number of studies have identified the *LCN2* gene as crucial for various tumor-related processes, including tumorigenesis, tumor progression, and tumor resistance to therapies such as radiotherapy, chemotherapy, endocrine therapy, and targeted therapy (5–12). A previous study has demonstrated that *LCN2* is upregulated in lung cancer cells treated with X-ray irradiation and the sensitivity of these lung cancer cells to radiation is enhanced by the silencing of *LCN2*. Additionally, *LCN2* overexpression has been associated with radioresistance in both oral cancer and lung cancer cells and can serve as a predictor of radioresistance (13). These findings indicated that *LCN2* may play an important role in the radioresistance of several tumors. NPC is highly sensitive to radiotherapy and is markedly different from other head and neck cancers (e.g., oral cancer). However, the functional role of *LCN2* in NPC radioresistance remains largely unknown.

In the current study, we assessed the potential of *LCN2* as a biomarker for NPC radioresistance through analyzing a Gene Expression Omnibus (GEO) data set (GSE48501). Furthermore, we also investigated the relationship between *LCN2* and radioresistance, recurrence of NPC. Our results suggested that *LCN2* may be an important biomarker for NPC and throw light on the potential mechanisms underlying NPC radioresistance.

MATERIALS AND METHODS

Cell Lines

NPC cell lines (CNE1, HNE1, HNOE1, SUNE1, CNE-2, and its radioresistant cell type CNE2R) were obtained from Sun Yat-Sen University Cancer Center (Guangzhou, China) and maintained in DMEM medium (Invitrogen, California, USA) supplemented with 10% fetal bovine serum (Gibco, New York, USA) and 1% penicillin–streptomycin (HyClone, Utah, USA). All cells were cultured at 37°C in a humidified chamber with 5% CO₂.

Microarray Data Analysis

The mRNA expression profile of gene chip GSE48501 was downloaded from the GEO database (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE48501>) (14). GSE48501 includes the expression profile of two human radioresistant NPCs and two human radiosensitive NPCs obtained using the Affymetrix Human Genome U133 Plus 2.0 Array platform. Raw data were preprocessed using the Bioconductor package ‘affy’ as previously described (15). Differentially expressed genes (DEGs) were analyzed using the GEO2R tool (<http://www.ncbi.nlm.nih.gov/geo/geo2r/>). Adjusted P-value <0.01 was used to select DEGs.

Patients and Tissue Specimens

A total of 100 primary-diagnosed, non-disseminated, paraffin-embedded NPC tissue specimens were obtained from Jiangxi Provincial Hospital of Nanchang University (Nanchang, China) from February 2011 to November 2015 for immunohistochemical analysis. The sensitivity of NPC patients to radiotherapy was defined as previously described (10, 16). In brief, patients with radioresistant NPC were defined as those with incomplete regression of lesions after radical irradiation; residual tumors at more than 6 weeks after the completion of radiotherapy; or local/regional recurrence after radiotherapy. Patients with radiosensitive NPC were defined as those with complete regression after irradiation or without recurrence after the completion of radiotherapy (16). Written informed consent was obtained from all the patients. Approval for NPC tissue use was granted by the Ethics Committee of Jiangxi Provincial Hospital.

Western Blotting

Western blotting was performed to verify the knockdown or overexpression of *LCN2* in NPC cells. Cells were rinsed with cold phosphate-buffered saline (PBS) and lysed in RIPA buffer (Beyotime, Shanghai, China). The lysates were then incubated on ice for 30 min and centrifuged at 12,000 rpm for 25 min at 4°C. Equal amounts (40 µg) of protein were separated by 12% sodium dodecyl sulfate–polyacrylamide gradient gel electrophoresis (SDS–PAGE) and transferred onto polyvinylidene difluoride (PVDF) membranes. The membranes were blocked with 5% skimmed milk for 2 h at room temperature and then incubated with a 1:2,000 dilution of an anti-*LCN2* rabbit polyclonal antibody (TA322583, Origene, Maryland, USA) for 16 h at 4°C. This was followed by incubation with a 1:5,000 dilution of a horseradish peroxidase-conjugated secondary antibody for 1 h at room temperature on a shaker. An enhanced chemiluminescence reagent (Thermo Scientific, Massachusetts, USA) was used to detect protein signals. GAPDH was used as a loading control.

Quantitative Real-Time PCR

Total RNA was extracted from NPC cells using TRIzol reagent (Invitrogen) according to the manufacturer’s instructions. The concentration and quality of the isolated RNA were evaluated using an Agilent 2100 Bioanalyzer (Agilent Technologies, California, USA). First-strand cDNA was reverse-transcribed using the Prime-Script RT Reagent Kit with gDNA Eraser

(TaKaRa, Tokyo, Japan). Quantitative real-time PCR (qPCR) was performed to measure *LCN2* mRNA levels using SYBR Premix Ex Taq II (TaKaRa). The sequences of the primers used were 5'-GCTGACTTCGGAAGTAAAGGAGAA-3' (forward) and 5'-GGGAAGACGATGTGGTTTCA-3' (reverse) for *LCN2* and 5'-CATCTCGCCCCCTCTGCTGA-3' (forward) and 5'-GGATGACCTTGCCACAGCCT-3' (reverse) for *GAPDH*, which was used as an internal control (13). The PCR cycling parameters were as follows: 95°C for 30 s, followed by 40 cycles of 95°C for 20 s, 60°C for 30 s, and 70°C for 2 min. All the reactions were performed in triplicate. Gene expression was normalized that of *GAPDH* and quantified using the $2^{-\Delta\Delta C_t}$ method.

Plasmids, RNA Interference, and Stable Transfection

Human *LCN2* cDNA or a negative control sequence was cloned into a pSin-EF2 retroviral vector (Origene). CNE2R and HNE1 cells stably expressing scrambled or *LCN2* short hairpin RNAs (shRNAs) were established by the Sigma shRNA system according to the manufacturer's instructions. The sequences for human *LCN2* shRNA-1 and shRNA-2 were 5'-TACAATGTCACCTCCGTCCTGTTTAGGAA-3' and 5'-GAGAACCAAGGAGCTGACTTCGGAAGTAA-3', respectively; the non-specific shRNA control sequence was 5'-GCACTACCAGAGCTAACTCAGATAGTACT-3'. The constructed vectors were verified by DNA sequencing and then transfected into 293T cells. The supernatants containing the lentiviruses were collected and purified at 72 h post-transfection. Transfected cells were selected with puromycin (Sigma-Aldrich, St. Louis, MO, USA) at a concentration of 2 μ g/ml or neomycin (InvivoGen, Hong Kong, China) at a concentration of 300 μ g/ml for 1–2 weeks. To determine transfection efficiency, *LCN2* protein levels were assessed by western blotting.

Soft Agar Colony Formation Assay

The soft agar colony formation assay was performed as previously described (17, 18). Briefly, 100, 200, 1×10^3 , or 1×10^4 cells were suspended in 2 ml of 0.6% top agar (Sigma-Aldrich) and plated onto 1.2% base agar in 6-well plates and irradiated with a 0-, 2-, 4-, or a 6-Gy dose of 160 kV X-rays (RAD SOURCE, USA). The irradiated cells were cultured for 14 days. Colonies with a diameter of >50 μ m were counted and imaged at $\times 4$ magnification using a Nikon ECLIPSE Ti2 inverted fluorescence microscope. The cloning efficiency was calculated by dividing the number of colonies by the number of cells plated. Each measurement was the average \pm standard deviation (SD) of three experiments.

γ H2AX Assay

Cells were plated in 30-mm dishes and cultured for 72 h at 37°C. To detect irradiation-induced DNA double-strand breaks (DSBs), cells were treated with a 2-Gy dose of irradiation from an external X-ray source (RAD SOURCE) at room temperature and incubated for 0.5 and 24 h. Unirradiated cells served as controls. To detect H2AX phosphorylation, cells were sequentially fixed in 4% formaldehyde (Sigma-Aldrich) for

15 min and 50% methanol in PBS for 10 min. The cells were subsequently blocked with 5% bovine serum albumin for 30 min, incubated with a rabbit monoclonal anti- γ H2AX antibody (1:1,000, Cell Signaling Technology, Boston, USA) for 30 min, washed in PBS, incubated with an Alexa 488-conjugated (Molecular Probes, USA) secondary antibody for 30 min, and counterstained with DAPI (Invitrogen). Images were captured using an Olympus FV100 confocal microscope. γ H2AX-positive cells were defined as those with more than 20 γ H2AX foci. Five random fields per coverslip were selected to calculate the number of γ H2AX-positive cells. Assays were performed in triplicate to eliminate intra-assay variability.

Immunohistochemistry

Immunohistochemical analysis of *LCN2* was performed on 100 paraffin-embedded NPC specimens. First, tissue slides were baked in an oven at 60°C for 2 h and deparaffinized twice with dimethylbenzene, 10 min each step, and rehydrated with graded ethanol. The slides were then treated with citrate buffer (pH 6.0) under high pressure for antigen retrieval followed by the blocking of endogenous peroxidase activity with 0.3% H₂O₂ for 30 min. Next, the slides were sequentially incubated with an anti-*LCN2* antibody (1:100 dilution, Origene) for 16 h at 4°C, a biotinylated anti-rabbit antibody (1:1,000 dilution) for 30 min at room temperature, and a biotinylated secondary antibody for 1 h at 37°C. Finally, the tissue sections were stained with 3,3'-diaminobenzidine tetrahydrochloride (DAB) and counterstained with Harris modified hematoxylin. The immunohistochemical results were scored as the intensity grades multiplied by the positive ratios, as previously reported (19). The scores were classified as 0–3 (no staining, weak staining, moderate staining, and strong staining) for the staining intensity and 0–4 (no staining, <10, 10–50, 50–80, and >80% staining) for the positive ratio. The final scores (0–12) were grouped into no/low expression (≤ 6) and high expression (> 6). The scores were determined blindly by two pathologists.

Statistical Analyses

The data are presented as means \pm SD from three independent tests. The Student's *t*-test or the Mann–Whitney *U* test was used to compare the differences between continuous parameters. The distribution of clinicopathological variables between high and low *LCN2* expression groups was compared by the chi-square test. Survival curves were constructed using the Kaplan–Meier method and compared using the log-rank test. The endpoints were assessed as follows: local relapse-free survival (LRFS) and nodal relapse-free survival (NRFS) were measured from the date of treatment to the date of the first observation of local and regional recurrence, respectively. Local and regional relapse were defined as relapse-free survival (RFS). Distant metastasis-free survival (DMFS) was measured from the date of treatment to the date of the first observation of distant metastasis. Progression-free survival (PFS) was measured from the date of treatment to either the date of the first observation of local or regional recurrence, or distant metastasis. Overall survival (OS) was measured from the first date of treatment to the date of death due to any cause. Multivariable analysis was conducted using the

Cox proportional hazards model after adjusting for confounding factors such as age, sex, T stage, N stage, and receiving or not induction chemotherapy. The significance of any correlation between LCN2 and HIF-1A expression was determined by Pearson's correlation analysis. All statistical tests were two-sided. Associations were considered statistically significant at P -values < 0.05 . All statistical analyses were performed using SPSS version 26.0 (SPSS IBM, Chicago, USA). The raw data obtained in this study have been uploaded onto the Research Data Deposit (RDD) with the RDD number RDDB202000932.

RESULTS

LCN2 Was Identified as a Radioresistance-Related Gene in NPC

We manually found a GEO data set (GSE48501), using which, we conducted a comparative analysis of mRNA expression in NPC cell lines CNE2 and CNE2R. The online analysis tool GEO2R showed the upregulation of LCN2 in CNE2R compared to that of CNE2 (Figure 1A). A GEO profile was found at GDS3125/212531_at (nih.gov) and demonstrated the responses of radiosensitive and radioresistant tumors to ionizing radiation

(time course). Briefly, squamous cell carcinoma-derived xenografts were generated and allowed to grow to a volume of 150–200 mm³. At that time, tumors were either treated with a 3-Gy dose of irradiation or left untreated and then collected for RNA purification 5 or 24 h later. The overall expression level of LCN2 was higher in the radioresistant squamous cell carcinomas (SCCs) than in the radiosensitive SCCs, in both the irradiated and untreated groups ($P < 0.0001$). In the radiosensitive SCCs, LCN2 expression was significantly upregulated at the 24-h time point after irradiation when compared with that in the untreated group ($P = 0.0441$) (Figure 1B and Supplementary Table 1).

Validation of LCN2 Expression in NPC Cell Lines

To verify the expression of LCN2 identified in the microarray data, western blotting and RT-qPCR were performed to detect the protein and mRNA levels of LCN2, respectively, in five NPC cell lines (CNE1, CNE2, HNE1, HNOE1, and SUNE1) and one radioresistant NPC cell line (CNE2R). Consistent with the results of the microarray analysis, the highest expression of LCN2 was found in CNE2R cells. Furthermore, LCN2 was also highly expressed in CNE1 and HNE1 cells (Figures 1C, D). CNE1 is a highly differentiated NPC-derived squamous cell carcinoma

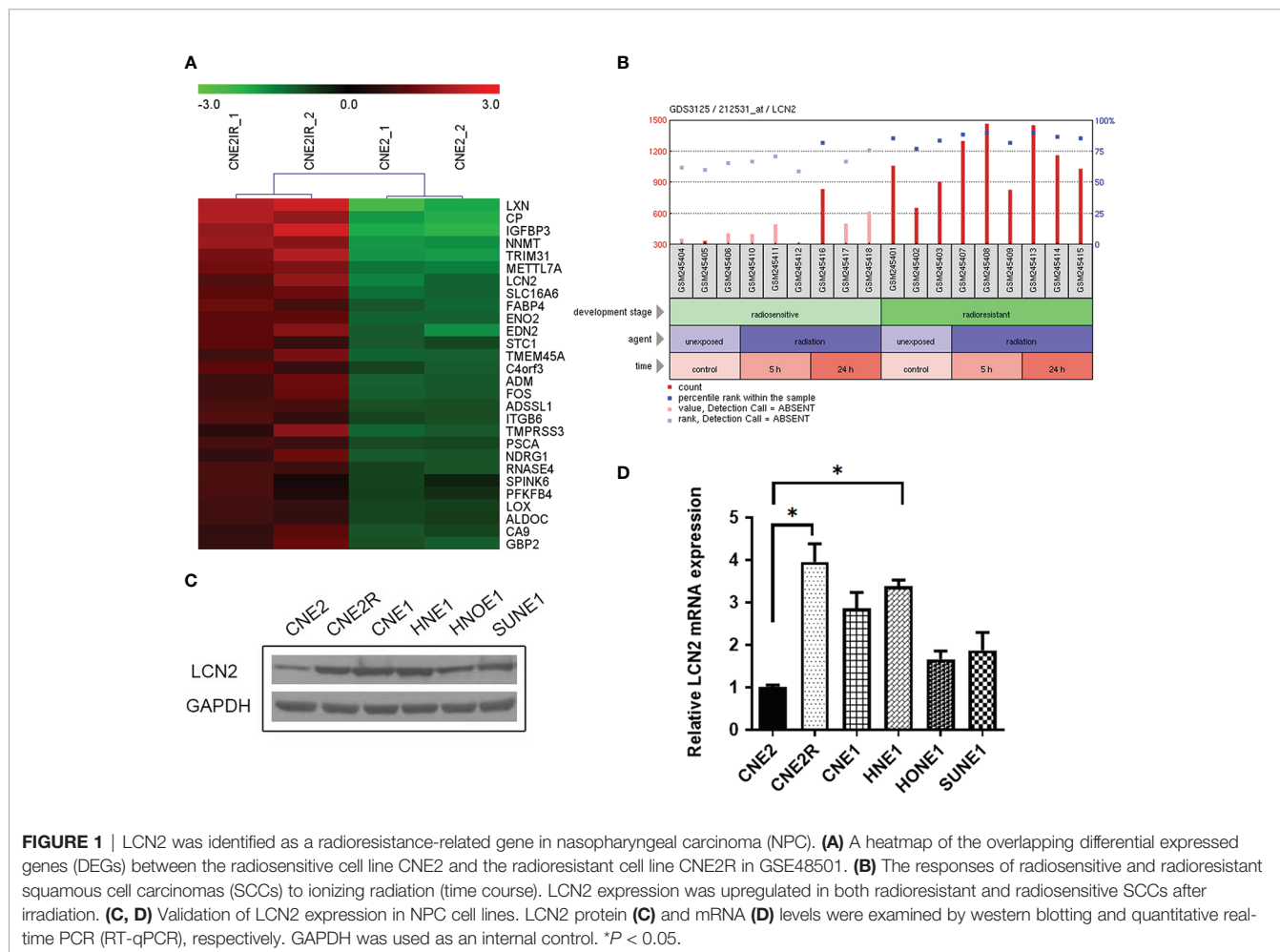


FIGURE 1 | LCN2 was identified as a radioresistance-related gene in nasopharyngeal carcinoma (NPC). **(A)** A heatmap of the overlapping differentially expressed genes (DEGs) between the radiosensitive cell line CNE2 and the radioresistant cell line CNE2R in GSE48501. **(B)** The responses of radiosensitive and radioresistant squamous cell carcinomas (SCCs) to ionizing radiation (time course). LCN2 expression was upregulated in both radioresistant and radiosensitive SCCs after irradiation. **(C, D)** Validation of LCN2 expression in NPC cell lines. LCN2 protein **(C)** and mRNA **(D)** levels were examined by western blotting and quantitative real-time PCR (RT-qPCR), respectively. GAPDH was used as an internal control. * $P < 0.05$.

cell line, while HNE1 is an Epstein–Barr virus (EBV)-positive cell line derived from a poorly differentiated squamous carcinoma. According to the law of Bergonié and Tribondeau, highly differentiated tumor cells usually display medium to low sensitivity to radiation (20, 21). In NPC, EBV infection is one of the most important factors contributing to radioresistance (22, 23). Consequently, these results indicate that LCN2 expression is upregulated in radioresistant NPC cells.

LCN2 Regulates the Radiosensitivity of NPC Cells

To determine whether LCN2 levels contribute to NPC radiosensitivity, we generated stable LCN2-knockdown CNE2R and HNE1 cell lines as well as a CNE2 cell line stably overexpressing LCN2. Stable transfections were confirmed by western blotting (Figures 2A, B). The colony survival assay is the gold standard method for assessing the radiosensitivity of tumor cells (24). Here, we performed a soft agar colony assay to

investigate the effect of LCN2 on cell proliferation after irradiation. We found that, following irradiation at the dose of 2, 4, or 6 Gy, colony-formation rates were markedly reduced in LCN2-depleted CNE2R cells compared with that of control cells (Figures 2C, E). Conversely, LCN2-overexpressing cells formed more colonies than control cells (Figures 2D, F).

Double-strand breaks (DSBs) constitute the major type of DNA damage caused by irradiation (25), while the DSB repair capacity is closely associated with radiosensitivity (26). Therefore, we conducted a γ H2AX [a biomarker of DSBs (27)] assay to examine the phosphorylation status of H2AX in these cells at 0, 0.5, and 24 h post-irradiation. At 24 h after receiving a 2-Gy dose of radiation, the DNA damage repair ability of cells with LCN2 knockdown was significantly attenuated (Figures 3A, B), whereas the opposite effect was observed in CNE2 cells stably overexpressing LCN2 (Figures 3C, D). These results indicated that LCN2 may regulate NPC radiosensitivity by influencing the DNA damage repair process.

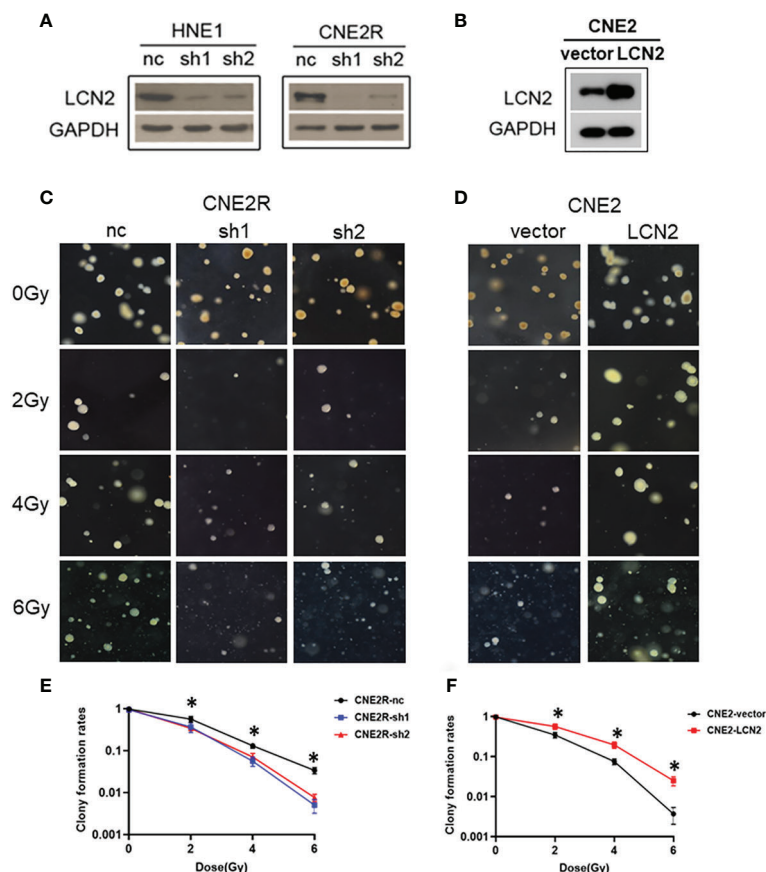


FIGURE 2 | LCN2 regulates the radiosensitivity of nasopharyngeal carcinoma (NPC) cells. (A, B) Validation of LCN2 expression in various NPC cell lines. Knockdown or ectopic expression of LCN2 in HNE1, CNE2R, or CNE2 cells was validated by western blotting. (C, E) A soft agar colony formation assay was used to assess the radiosensitivity of NPC cells. The colony formation rates were markedly reduced in CNE2R cells with LCN2 knockdown compared with that of control cells following irradiation at the dose of 2, 4, or 6 Gy. * $P < 0.05$. (D, F) CNE2 cells overexpressing LCN2 formed more colonies than control cells at the irradiation doses of 2, 4, and 6 Gy. * $P < 0.05$.

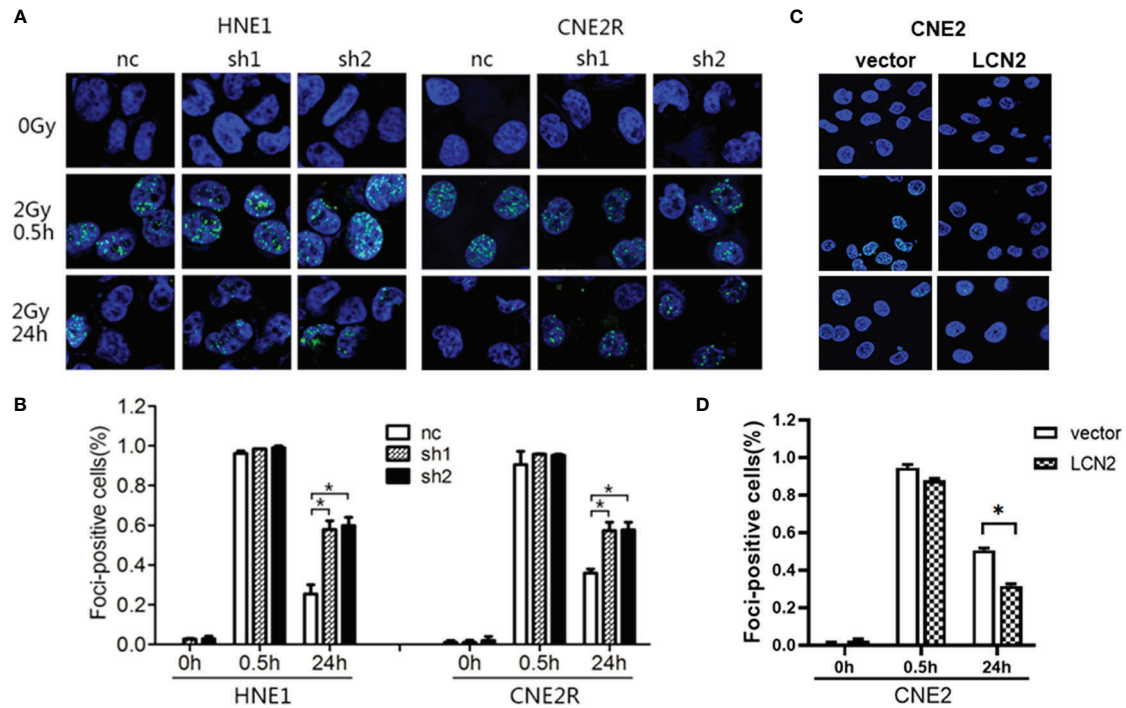


FIGURE 3 | LCN2 regulates the radiosensitivity of nasopharyngeal carcinoma (NPC) cells. **(A, B)** The DNA double-strand break repair capacity was impaired in HNE1 and CNE2R cells with LCN2 knockdown, as evidenced by the greater number of γ H2AX-positive LCN2-depleted cells when compared with that of control cells. * $P < 0.05$. **(C, D)** The DNA double-strand break repair capacity was enhanced in LCN2-overexpressing CNE2 cells based on the percentage of γ H2AX-positive cells. * $P < 0.05$.

LCN2 Is a Potential Biomarker for Predicting NPC Radioresistance

A total of 14 patients were defined as having radioresistant NPC according to the definition mentioned in the section *Patients and Tissue Specimens*. Immunohistochemical analysis showed that LCN2 expression was significantly higher in radioresistant NPC tissues than in radiosensitive NPC tissues ($P = 0.034$) (Figure 4A). The response to radiotherapy is related to the intrinsic characteristics of NPC, including tumor size and infiltration status. Therefore, we compared the potential of using LCN2 expression with that of using T stage, N stage, or UICC stage to discriminate between patients with radioresistant NPC and those with radiosensitive NPC using receiver operating characteristic (ROC) curves. The area under the curve (AUC) values for LCN2 expression, T stage, N stage, and UICC stage were 0.808, 0.634, 0.600, and 0.588, respectively. The sensitivity and specificity of LCN2, T stage, N stage, and UICC stage were 78.6 and 70.9, 64.3% and 55.8, 78.6 and 41.9%, and 71.4 and 40.7%, respectively (Figure 4B). These results indicated that the LCN2 expression level was the best predictor of NPC radioresistance among the four indicators.

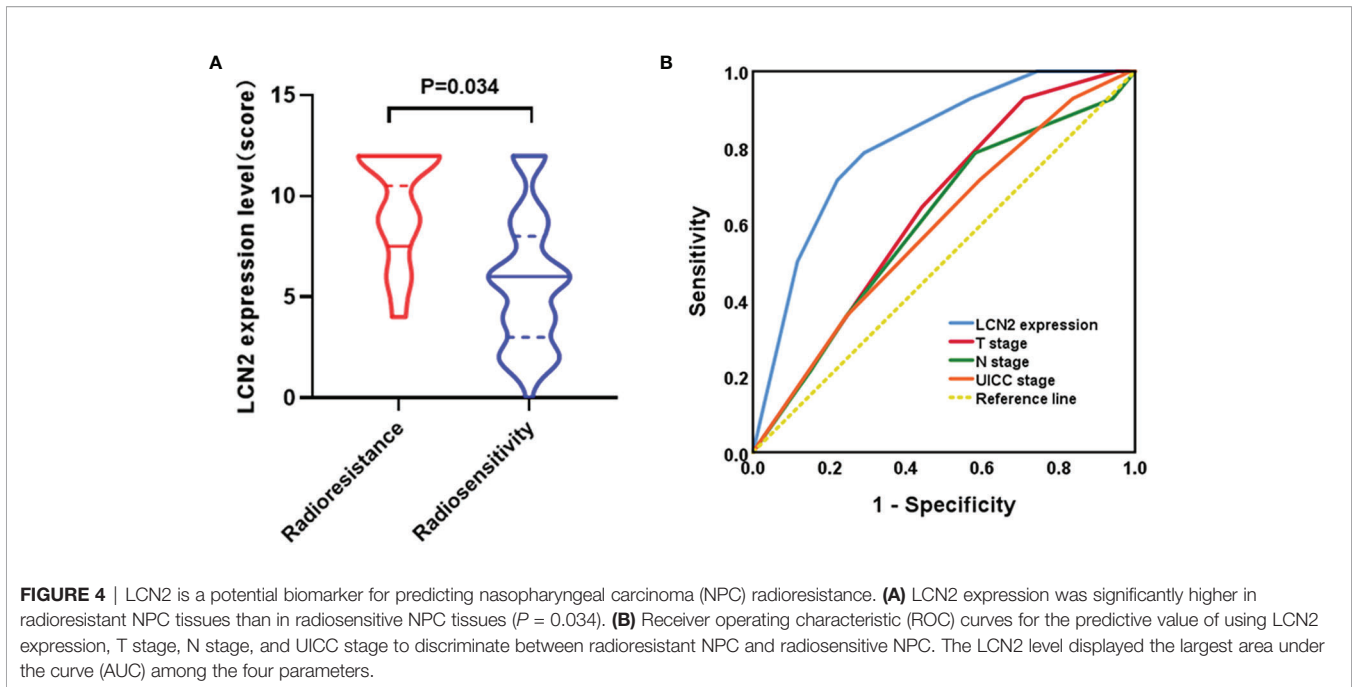
The Association Between LCN2 Expression and Survival Outcomes in NPC

Next, we assessed whether there was a correlation between LCN2 expression and the clinical parameters of 100 NPC patients. Sections of normal nasopharynx mucosa and NPC tissues

stained for LCN2 are shown in Figures 5A–C. The expression of LCN2 was not significantly associated with age, sex, T stage, N stage, UICC stage, or whether or not patients had received induction chemotherapy (Table 1). High expression of LCN2 was associated with poor LRFS ($P = 0.042$) and RFS ($P = 0.014$), but not with NRFS ($P = 0.212$), DMFS ($P = 0.239$), PFS ($P = 0.918$), or OS ($P = 0.737$) (Figures 5D–I). Further multivariate analyses identified LCN2 as an independent and unfavorable prognostic indicator for RFS in NPC patients ($P = 0.022$) (Table 2). We also found that LCN2 expression was an independent prognostic factor for LRFS, but with borderline significance ($P = 0.055$). Radioresistance is known to facilitate tumor recurrence to some extent. Consequently, these results indicated that LCN2 overexpression is clinically relevant for NPC recurrence.

The Correlation Between the Expression of LCN2 and HIF-1A

Given that radioresistance is closely related to the hypoxic microenvironment of tumors (28, 29), we speculated that there may be a correlation between the expression of LCN2 and that of hypoxia-related genes. We found that the protein level of HIF-1A, a hypoxia-related factor, was reduced in both HNE1 and CNE2R cells with LCN2 knockdown, while it was increased in CNE2 cells stably overexpressing LCN2 (Figure 6A). We also performed a correlation analysis between LCN2 and HIF-1A expression in 23 NPC tissues using a microarray. The results



demonstrated that the expression of LCN2 in NPC tissues was positively correlated with that of HIF-1A (Pearson correlation coefficient (r) = 0.5294, $P = 0.0094$) (**Figure 6B**). These results suggested that LCN2 may regulate NPC radioresistance through interacting with HIF1A.

DISCUSSION

Although several genes, including those associated with cell-cycle control, DNA damage repair, and apoptosis are known to influence the effects of ionizing radiation-induced cell damage, our knowledge of radiation-induced resistance in tumors at the molecular level remains limited. Microarrays have been applied to identify genes involved in the radioresistance of various tumors (30–35). Chang et al. analyzed the gene expression profiles of radioresistant NPC cell lines using a cDNA array and identified at least two genes, *GP96* and *GDF15*, that were involved in the development of radioresistance in NPC (35). In this study, we further identified LCN2 as a radioresistance-related gene in NPC cells using the GEO data set GSE48501 and the online analysis tool GEO2R. Additional functional studies and survival analysis confirmed the key role of LCN2 in the acquisition of a radioresistant phenotype and the recurrence of NPC.

Several studies have reported that the aberrant expression of LCN2 can confer resistance to radiotherapy and chemotherapy in several types of cancer (5–13). Additionally, although increased LCN2 expression was shown to correlate with the apoptosis induced by several reagents in human lung cancer cells, this LCN2 upregulation represented a survival rather than a proapoptotic response (36). Meanwhile, LCN2 was also upregulated in HepG2 cells following irradiation or H_2O_2 treatment (37). The results of these studies suggest that LCN2 protects tumor cells against

extracellular stimuli-induced damage, thereby facilitating their survival. Irradiation-induced cell death results from irreparable DNA DSBs, while radioresistance is tightly linked to the ability of cells to repair DNA damage after irradiation (38, 39). DSB repair usually begins within 30–60 min of irradiation and peaks after 24 h. γ H2AX is a marker for DSB recognition and repair, and the DSB repair efficacy is characterized by the presence of γ H2AX foci (40–44). In this study, we found that knocking down LCN2 markedly impaired the DNA DSB repair capability of the NPC cell lines CNE2R and HNE1 and reduced their proliferative ability, which enhanced the sensitivity of these cells to irradiation. Conversely, the overexpression of LCN2 increased the radioresistance of NPC cells. These results suggest that LCN2 may induce radioresistance *via* regulating the DNA DSB repair capability of NPC cells. Cancer cells can activate several pathways to repair DSBs and maintain their proliferation status, thereby promoting tumor radioresistance and recurrence.

Radioresistance frequently underlies tumor recurrence. In line with this phenomenon, our results showed that patients with high LCN2 expression levels had shorter RFS and LRFS. However, studies investigating LCN2 in different head and neck cancers have reported inconsistent results. LCN2 expression was reported to be downregulated in oral cancer, and was further reduced in oral cancer with metastasis (5, 12, 45). In these studies, patients with high levels of LCN2 had better survival outcomes, making LCN2 a good prognostic factor in oral cancer. The mechanism through which LCN2 exerts its anti-tumor effects in oral cancer may be related to a reduction in autophagy mediated through mTOR signaling pathway activation (12). In contrast, LCN2 was reported to be highly expressed in thyroid carcinoma (46, 47) and the silencing of LCN2 attenuated cancer cell survival under conditions of serum deprivation. The discrepancies among these results are partially due to the high heterogeneity among head and neck cancers. One study

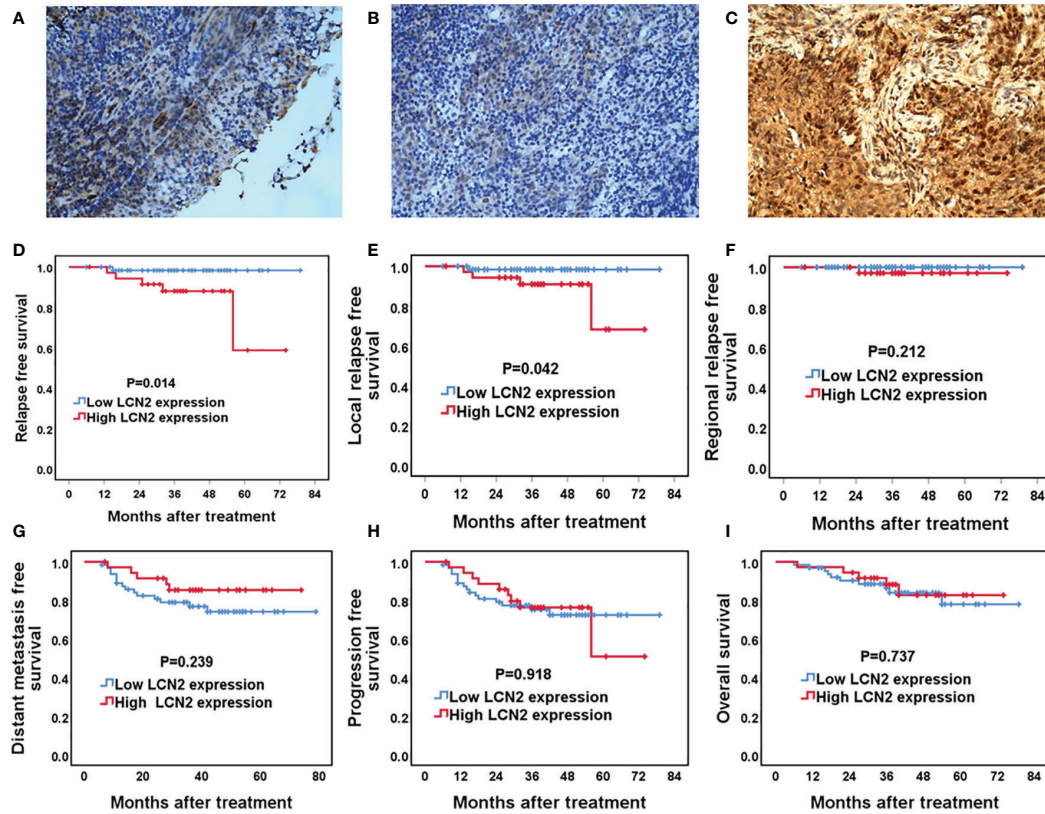


TABLE 1 | The associations between LCN2 expression and clinicopathological parameters.

Variable	Total population	LCN2 expression level		P-value
		Low	High	
Sex				0.738
Female	34	21	13	
Male	66	43	23	
Age (y)				0.129
<50	51	29	22	
≥50	49	35	14	
T stage				0.089
T1–3	38	15	53	
T4	26	21	47	
N stage				0.657
N0–1	26	13	39	
N2–3	38	23	61	
UICC stage				0.194
I–III	28	11	39	
IV	36	25	61	
Induction CT				0.260
No	23	9	32	
Yes	41	27	68	

CT, chemotherapy.

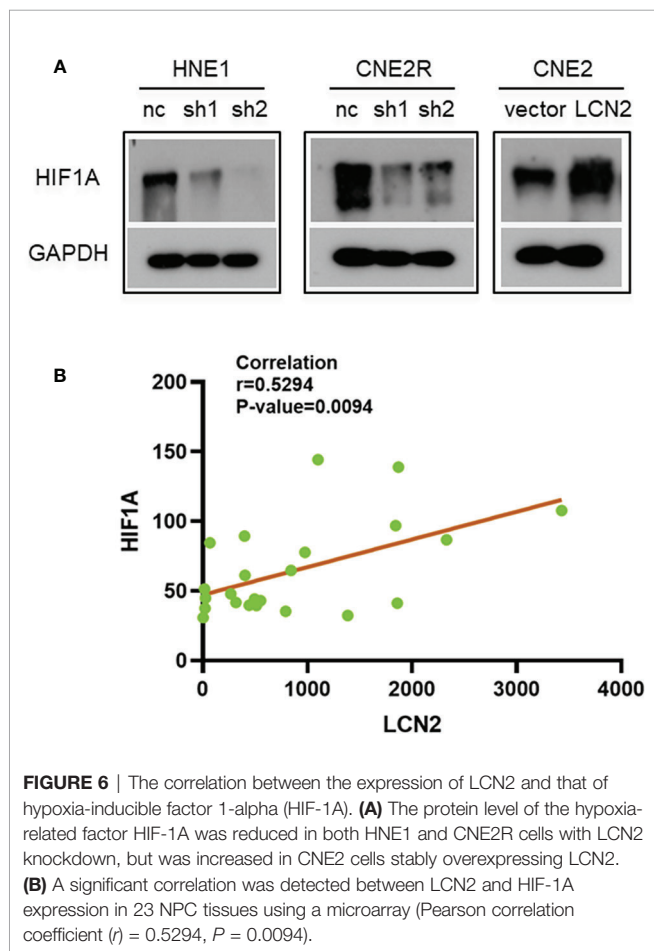
demonstrated that survival outcomes for NPC patients with metastasis are generally poor as the biology of NPC differs from that of classic head and neck squamous cell carcinoma (48). NPC tends to be more sensitive to ionizing radiation than other head and neck cancers. Therefore, once NPC becomes resistant to radiotherapy, the treatment outcomes can be poor.

Solid tumors usually have inefficient vasculatures and high energy requirements, resulting in oxygen deprivation (hypoxia) in the tumor microenvironment. Cancer cells can be radioresistant under hypoxic conditions (49, 50). Sørensen et al. reported that, although head and neck cancer cells with HPV infection exhibited markedly greater radiosensitivity than HPV-negative cells, both cell types displayed the same radioresistance potential under hypoxic conditions (51). HIF-1A, which mediates adaptive responses to hypoxia, has been implicated in the induction of biological radioresistance in cancer cells under oxygen deprivation. Most tumor hypoxia adaptations are orchestrated by HIF-1A (52–54). We found that the protein level of HIF-1A was reduced in both HNE1 and CNE2R cells with LCN2 knockdown, but was increased in CNE2 cells stably overexpressing LCN2. Furthermore, we also identified a positive correlation between LCN2 and HIF-1A expression in 23 NPC

TABLE 2 | Multivariate analysis using a Cox proportional hazards model for the RFS and LRFS of NPC patients.

Endpoint	Variables	HR	95% CI	P-value
RFS	Sex (female vs. male)	0.990	[0.172–5.697]	0.991
	Age (<50 vs. ≥50)	2.212	[0.401–12.215]	0.362
	T stage (T1–3 vs. T4)	0.401	[0.068–2.376]	0.314
	N stage (N0–1 vs. N2–3)	0.595	[0.097–3.644]	0.575
	Induction CT (no vs. yes)	0.823	[0.133–5.085]	0.834
	LCN2 expression (low vs. high)	13.925	[1.360–142.548]	0.026
LRFS	Sex (female vs. male)	0.515	[0.070–3.816]	0.516
	Age (<50 vs. ≥50)	4.484	[0.574–35.048]	0.153
	T stage (T1–3 vs. T4)	0.151	[0.015–1.484]	0.105
	N stage (N0–1 vs. N2–3)	1.179	[0.152–9.150]	0.875
	Induction CT (no vs. yes)	1.870	[0.152–22.952]	0.625
	LCN2 expression (low vs. high)	12.999	[0.950–177.791]	0.055

RFS, relapse-free survival; LRFS, local relapse-free survival; HR, hazard ratio; CI, confidence interval; CT, chemotherapy. The bold values highlight meaningful p-values with statistical significance or borderline significance.



tissues. Similarly, Yang and et al. demonstrated that LCN2 significantly enhanced VEGF-induced angiogenesis in human breast cancer and that this effect was mediated through HIF-1A via extracellular signal-regulated kinase (Erk) (55). LCN2 expression was also reported to be increased in tumor cells cultured under hypoxic conditions and paralleled the levels of HIF-1A in mouse melanoma cells (56). As HIF-1A is firmly associated with the radioresistance of cancer cells, we think that

LCN2 might interact with HIF-1A to facilitate the development of a radioresistant phenotype in NPC. Further studies are warranted to elucidate the mechanism underlying how LCN2 and HIF-1A regulate NPC radioresistance.

This study had several limitations. First, the patient population was relatively small, and these results need to be further verified in a larger cohort. Additionally, no *in vivo* experiments were performed. Finally, we did not further explore the mechanism through which LCN2 exerts its effect on the radioresistance of NPC.

In summary, we demonstrated that LCN2 was positively correlated with the radioresistance of NPC cells. LCN2 was highly expressed in patients with radioresistant NPC. Survival analysis revealed that high LCN2 expression was related to poor RFS and LRFS. Additionally, we identified a positive correlation between LCN2 and HIF-1A expression, which suggested that LCN2 may induce NPC radioresistance through regulating pathways associated with adaptation to hypoxia in the tumor microenvironment. The underlying molecular mechanisms remain to be further elucidated.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the ethics committee of Jiangxi Provincial Hospital. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

Z-WT and LZ conceived the study. M-XZ and LW helped to organize and perform the study. Statistical analysis was undertaken by Z-WT. All authors read and approved the final version of the manuscript. M-XZ wrote the manuscript.

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SUPPLEMENTARY MATERIAL

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Role of Non-coding RNAs on the Radiotherapy Sensitivity and Resistance of Head and Neck Cancer: From Basic Research to Clinical Application

Xixia Zhang and Jing Yang*

Department of Otolaryngology Head and Neck Surgery, Shengjing Hospital of China Medical University, Shenyang, China

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Edited by:

José Díaz-Chávez,
National Institute of Cancerology
(INCAN), Mexico

Reviewed by:

Zhonghua Wu,
The First Affiliated Hospital of China
Medical University, China
Jinxin Shi,
The First Affiliated Hospital of China
Medical University, China

*Correspondence:

Jing Yang
yangjing7694@126.com

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Head and neck cancers (HNCs) rank as the sixth common and the seventh leading cause of cancer-related death worldwide, with an estimated incidence of 600,000 cases and 40–50% mortality rate every year. Radiotherapy is a common local therapeutic modality for HNC mainly through the function of ionizing radiation, with approximately 60% of patients treated with radiotherapy or chemoradiotherapy. Although radiotherapy is more advanced and widely used in clinical practice, the 5-year overall survival rates of locally advanced HNCs are still less than 40%. HNC cell resistance to radiotherapy remains one of the major challenges to improve the overall survival in HNC patients. Non-coding RNAs (ncRNAs) are newly discovered functional small RNA molecules that are different from messenger RNAs, which can be translated into a protein. Many previous studies have reported the dysregulation and function of ncRNAs in HNC. Importantly, researchers reported that several ncRNAs were also dysregulated in radiotherapy-sensitive or radiotherapy-resistant HNC tissues compared with the normal cancer tissues. They found that ectopically elevating or knocking down expression of some ncRNAs could significantly influence the response of HNC cancer cells to radiotherapy, indicating that ncRNAs could regulate the sensitivity of cancer cells to radiotherapy. The implying mechanism for ncRNAs in regulating radiotherapy sensitivity may be due to its roles on affecting DNA damage sensation, inducing cell cycle arrest, regulating DNA damage repair, modulating cell apoptosis, etc. Additionally, clinical studies reported that *in situ* ncRNA expression in HNC tissues may predict the response of radiotherapy, and circulating ncRNA from body liquid serves as minimally invasive therapy-responsive and prognostic biomarkers in HNC. In this review, we aimed to summarize the current function and mechanism of ncRNAs in regulating the sensitivity of HNC cancer cells to radiotherapy and comprehensively described the state of the art on the role of ncRNAs in the prognosis prediction, therapy monitoring, and prediction of response to radiotherapy in HNC.

Keywords: head and neck cancer, radiotherapy, non-coding RNA, miRNA, long non-coding RNA, circRNA

INTRODUCTION

Head and neck cancers (HNCs) rank as the sixth common and the seventh leading cause of cancer-related death worldwide, with an estimated incidence of 600,000 cases and 40–50% mortality rate every year (Ferlay et al., 2015; Economopoulou et al., 2016). HNCs are presented mainly by the squamous cell carcinoma originating from the epithelial cells of the oral cavity, nasal cavity, oropharynx, larynx, or hypopharynx (Leemans et al., 2018).

Radiotherapy is a common local therapeutic modality for cancers mainly through the function of ionizing radiation. Ionizing radiation could kill cancer cells via directly damaging the DNA strands of cancer cells to end the infinite proliferative capacity, and also indirectly causes DNA damages in cancer cell through ionizing water to generating highly reactive oxygen species. Radiotherapy is one of the major treatment modalities for HNC, with approximately 60% of patients treated with radiotherapy or chemoradiotherapy (Berrington de Gonzalez et al., 2011). Many high-quality clinical studies have reported that radiotherapy or concurrent chemoradiotherapy could improve the survival for patients with early-stage HNC or locally advanced HNC (Bonner et al., 2010; Caudell et al., 2017; Lacas et al., 2017; Nichols et al., 2019). Although radiotherapy is more advanced and widely used in clinical practice, 5-year overall survival rates of locally advanced HNC are still less than 40% (Pignon et al., 2009). Cancer cell resistance to radiotherapy remains one of the major challenges to improve the overall survival in HNC patients.

Non-coding RNAs (ncRNAs) are newly discovered functional RNA molecules that are different from messenger RNAs, which can be translated into a protein (Mattick and Makunin, 2006; Feng et al., 2019; Dai et al., 2020; Yu et al., 2020). Over the past decades, researchers have discovered multiple kinds of ncRNAs, showing that ncRNAs constitute more than 90% of RNAs transcribed from the human genome DNA (Slack, 2006). Such discovery of numerous ncRNAs has opened up brand-new directions for understanding the normal physiology and the development of diseases. Based on the function difference, ncRNAs are usually divided into two categories: housekeeping and regulatory ncRNAs. The regulatory ncRNAs are mainly composed of miRNAs, circRNAs, and long non-coding RNAs (lncRNA; Chen, 2016; Wu et al., 2017; Yao et al., 2019). In recent years, regulatory RNAs were extensively researched and are revealed to participate in regulating the expression of protein-coding genes at transcriptional, post-transcriptional, as well as translational levels. Emerging studies have reported the key roles of regulatory ncRNAs in various biological processes, disease occurrence, and development.

Many previous studies have reported the dysregulation and function of ncRNAs in HNC (Wang et al., 2018; Jiang et al., 2019;

Vo et al., 2019). ncRNAs could regulate the expression of genes associated with cell cycle, cell apoptosis, invasion, and migration, and eventually affect the proliferation, invasion, and metastasis of HNC (Feng et al., 2020; Gu et al., 2020; Huang et al., 2020; Sur et al., 2020; Wang et al., 2020; Zhang et al., 2020). Additionally, researchers reported that several ncRNAs were also dysregulated in radiotherapy-sensitive or radiotherapy-resistant HNC tissues compared with the normal cancer tissues (Zhang et al., 2013; de Jong et al., 2015; Qu et al., 2015a,b; Suh et al., 2015; Xu et al., 2015; Gao et al., 2017a; Hess et al., 2017; Chen et al., 2019; Vahabi et al., 2019; Pasi et al., 2020). They found that ectopically elevating or knocking down expression of some ncRNA could significantly influence the response of HNC cancer cells to radiotherapy, indicating that ncRNAs could regulate the sensitivity of cancer cells to radiotherapy. In this review, we aimed to summarize the current function and mechanism of ncRNAs in regulating the sensitivity of HNC cancer cells to radiotherapy.

RADIATION-INDUCED CELL RESPONSE AND MECHANISM OF RADIOTHERAPY RESISTANCE

Since the discovery of ionizing radiation in 1895, the concept of radiation-based therapy modality was prompted and has been regarded as a major treatment for many types of cancers (Wills, 1904; Alsaifi et al., 2020; Ampil et al., 2020; Plavc et al., 2020; Tao et al., 2020; Sher et al., 2020a,b). Especially, radiotherapy is a crucial treatment for HNC. The radiation-induced cell death is regarded to originate from the damage of two cellular components: DNA and cell membrane.

Upon exposure to radiation, the radiation passed directly through the cell and ionized the DNA, causing lethal DNA damage. Additionally, the radiation can also result in DNA damage through ionizing the intracellular water and inducing the generation of reactive oxygen species (Rothkamm and Löbrich, 2003). These reactive oxygen species could bring various injuries to cells, among which are the DNA double-strand breaks (DSBs), which are one of the most cytotoxic injuries to cells. DSBs, involving breaks in the phosphodiester backbone of both strands of DNA, increase positively with the radiation dose (Hanai et al., 1998; Rothkamm and Löbrich, 2003). It is estimated that each gray unit of radiation could produce 10^5 ionizations per cell, which cause about 40 DSBs, 2,000 single-strand breaks (SSBs), as well as other types of damages in the DNA (Lewanski and Gullick, 2001). When recognizing these complex radiation-induced damages, the cells respond to these damages through multiple signaling pathways. These pathways are involved in modulating important cellular activities such as DNA damage repair, cell-cycle arrest, and apoptosis. In addition, radiation could also function on the cell membrane to mediate cell apoptosis. Mechanistically, radiation activates the enzyme sphingomyelinase, which could hydrolyze plasma-derived sphingomyelin and produce ceramide. Through this process, ceramide could be produced within seconds of radiation exposure. The accumulation of ceramide in cells could initiate cell apoptosis (Obeid et al., 1993; Haimovitz-Friedman et al., 1994;

Abbreviations: ATM, ataxia telangiectasia mutated; ATR, the ataxia telangiectasia mutated and Rad3 related; Bcl-2, B cell lymphoma 2; CHK2, checkpoint kinase 2; DSBs, DNA double-strand breaks; EGFR, epidermal growth factor receptor; EMT, epithelial-to-mesenchymal transition; γ -H2AX, phosphorylated H2AX; HNCs, head and neck cancers; HR, homologous recombination; Jab1, c-Jun activation domain binding protein-1; lncRNA, long non-coding RNAs; MCL1, myeloid cell leukemia 1; ncRNAs, non-coding RNAs; NHEJ, non-homologous end joining; NKILA, NF- κ B interacting lncRNA; NPC, nasopharyngeal carcinoma; SSBs, single-strand breaks; UTR, untranslated region.

Jarvis et al., 1994a,b). However, the mechanism on how ceramide initiates apoptosis is still unclear.

In response to radiation exposure, most cells immediately initiate cell apoptosis because of severe DNA damage or the accumulation of ceramide in cells. Such programmed death mechanism could protect cells from propagating genetic mutations to the next generation. Additionally, cells will also initiate the DNA damage repair system, which was developed during the evolution of species. There are two major DNA repair pathways: homologous recombination (HR) and non-homologous end joining (NHEJ; Burgess et al., 2020; Nastasi et al., 2020; Zhao et al., 2020).

The HR repairing system depends on homologous DNA sequences from sister chromatids. This makes HR restricted to phases of the cell cycle where homologous sister chromatids coexist (Zhao et al., 2017). In contrast, NHEJ is a promiscuous repair system that directly ligates two broken ends independent of sequence homology. Hence, NHEJ is not cell cycle dependent and could be initiated at any cell cycle phase (Emerson and Bertuch, 2016). Another important response to DNA damage is cell cycle arrest. Normally, cell cycles pass through the G0 phase, G1 phase, S phase, G2 phase, and M phase. The cell cycle checkpoint pathway regulated the progression of the cell cycle. When sensing DNA damages, cells will stop the cell cycle progression to spare more time for DNA repairing. Overall, these complex cell responses to radiation-induced injury depend on the modulation of various genes.

The sensitivity of cancer cells to radiotherapy depends on the intensity of DNA damage with cells, the cells' ability to balance the expression of genes associated with apoptosis promotion and inhibition, the expression level of genes regulating the induction of cell cycle arrest, and the DNA repair system. Radiotherapy-resistant cancer cells showed obvious tendency to inhibit cell apoptosis and augment the DNA damage repair rate. This adaptation to radiotherapy is closely related with the dysregulated expression of genes in resistant cells. ncRNAs, especially the regulatory ncRNAs, are characterized for their role on regulating gene expression in cancer cells. Because of such an important role, ncRNAs are believed to be associated with radiotherapy resistance and could regulate cell sensitivity to radiotherapy.

IONIZING RADIATION MODULATES NCRNA EXPRESSION PROFILING

The role of ncRNAs on cancer development and progression is one of the hottest topics in cancer cell biology research. With the help of microarray and next-generation technology, researchers have discovered an amount of ncRNAs, such as miRNAs, lncRNAs, and circRNAs, which was differently expressed in cancer tissues compared with the normal tissues. Many of these ncRNAs could significantly regulate the biological behaviors of cancer cell. In HNC, many researchers also focus on the expression and function of ncRNAs. Numerous ncRNAs are reported to modulate HNC proliferation, invasion, metastasis, apoptosis, and other biological processes (Wu et al., 2015; Bao et al., 2018; Vo et al., 2019; Wang S. S. et al., 2019).

Recently, researchers also found that these oncogenic or tumor-suppressive ncRNAs could also regulate the sensitivity of cancer cells to radiotherapy. Moreover, they also explore dysregulated ncRNAs expression through comparing radiotherapy-resistant, radiotherapy-sensitive, and normal cancer tissues or cells, so as to discover key ncRNAs that could regulate radiotherapy sensitivity. We summarized the differently expressed ncRNAs in radiotherapy-resistant, radiotherapy-sensitive, and normal cancer tissues or cells as shown in **Table 1** (Qu et al., 2012, 2015a,b; Li et al., 2013; Li B. Y. et al., 2017; Li L. N. et al., 2017; Li et al., 2020; Shiiba et al., 2013; Zhang et al., 2013; Wang et al., 2014, 2016, Wang Z. et al., 2019; de Jong et al., 2015; Lin et al., 2015; Maia et al., 2015; Suh et al., 2015; Sun et al., 2015; Xu et al., 2015; Zhao et al., 2015; Huang et al., 2016, 2018, 2019; Kang et al., 2016; Weng et al., 2016; Wu et al., 2016, 2018; Gao et al., 2017a,b; Han et al., 2017; Hu et al., 2017; Chen H. et al., 2018; Chen et al., 2019; Feng et al., 2018; He et al., 2018; Yang et al., 2018; Kong et al., 2019; Tian et al., 2019; Vahabi et al., 2019; Yi et al., 2019; Gou et al., 2020; Kangboonruang et al., 2020; Shuai and Huang, 2020).

NCRNAS DIRECTLY REGULATE RADIOTHERAPY SENSITIVITY BY MODULATING SPECIFIC PROCESSES

The efficacy of radiotherapy depends mainly on the sensitivity of cancers to radiotherapy. Many factors are found to influence the radiotherapy sensitivity of cancers. Several research groups have discovered that some differentially expressed ncRNAs in HNC could affect radiotherapy sensitivity. Meanwhile, several research groups also discovered differentially expressed ncRNAs in radiation-sensitive and radiation-resistant HNC cancer cells by sequencing and microarray analysis. Some high-regulated ncRNAs in radiation-resistant cancers could decrease the sensitivity of cancer cells to radiotherapy, whereas ncRNAs down-regulated in radiation-resistant cancers could enhance the sensitivity of cancer cells to radiotherapy. These ncRNAs modulate the response of cancer cells to radiotherapy mainly through regulating different genes involved in important processes that are tightly associated with radiotherapy sensitivity. In this section, we mainly describe the effect and molecular mechanisms of ncRNA on regulating radiotherapy sensitivity of HNC cells by DNA damage sensing, cell cycle progression, DNA damage repair, and cell apoptosis of HNC cells.

Affecting DNA Damage Sensation and Inducing Cell Cycle Arrest

In response to DNA damage, cells have evolutionarily developed a mechanism to sense the DNA damage and initiate DNA damage repair. In the process, the ataxia telangiectasia mutated (ATM), an important Ser/Thr kinase, plays a major role in sensing DNA DSBs and initiating a series of cascade responses leading to cell cycle checkpoint activation and DNA repair (Weber and Ryan, 2015; Carrassa and Damia, 2017; **Figures 1, 2**). After sensing the radiation-induced DNA damage, the main sensor ATM and the ataxia telangiectasia mutated and Rad3

TABLE 1 | Dysregulated ncRNAs in response to radiation in HNC.

Studies	ncRNA	Expression of ncRNA in response to radiation	Cancer type
Qu et al., 2012	miR-205	Up-regulation	NPC
Li et al., 2013	miR-324-3p	Down-regulation	NPC
Zhang et al., 2013	miR-29c	Down-regulation	NPC
Wang et al., 2014	miR-24	Down-regulation	NPC
Lin et al., 2015	miR-378g	Down-regulation	NPC
Qu et al., 2015a	miR-23a	Down-regulation	NPC
Qu et al., 2015b	miR-203	Down-regulation	NPC
Sun et al., 2015	miR-101	Down-regulation	NPC
Xu et al., 2015	miR-185-3p, miR-324-3p	Down-regulation	NPC
Zhao et al., 2015	miR-504	Up-regulation	NPC
Huang et al., 2016	miR-19b-3p	Up-regulation	NPC
Kang et al., 2016	miR-24	Up-regulation	NPC
Wang et al., 2016	miR-24-3p	Up-regulation	NPC
Gao et al., 2017a	miR-138-5p	Down-regulation	NPC
Gao et al., 2017b	ebv-miR-BART7	Up-regulation	NPC
Han et al., 2017	XIST	Up-regulation	NPC
Hu et al., 2017	lncRNA ANRIL	Up-regulation	NPC
Li B. Y. et al., 2017	miR-210	Up-regulation	NPC
Li L. N. et al., 2017	miR-125b	Up-regulation	NPC
Feng et al., 2018	miR-495	Down-regulation	NPC
He et al., 2018	lncRNA PVT1	Up-regulation	NPC
Huang et al., 2018	miR-150	Up-regulation	NPC
Wu et al., 2018	miR-222	Up-regulation	NPC
Chen et al., 2019	CircRNA_000543	Up-regulation	NPC
Kong et al., 2019	miR-193a-3p	Up-regulation	NPC
Tian et al., 2019	miR-483-5p	Up-regulation	NPC
Wang et al., 2018	miR-372	Down-regulation	NPC
Yi et al., 2019	lncRNA PTPRG-AS1	Up-regulation	NPC
Shuai and Huang, 2019	circRNA_001387	Up-regulation	NPC
Maia et al., 2015	miR-296-5p	Up-regulation	Laryngeal cancer
Chen H. et al., 2018	miR-128a	Down-regulation	Laryngeal cancer
Yang et al., 2018	lncRNA-NKILA	Down-regulation	Laryngeal cancer
Shiiba et al., 2013	miR-125b	Down-regulation	Oral cancer
Weng et al., 2016	miR-494-3p	Down-regulation	Oral cancer
Wu et al., 2016	miR-17-5p	Up-regulation	Oral cancer
de Jong et al., 2015	microRNA Expression	Down-regulation	HNC
Suh et al., 2015	miR-196a	Up-regulation	HNC
Huang et al., 2019	LINC00958	Up-regulation	HNC
Vahabi et al., 2019	miR-96-5p	Up-regulation	HNC
Gou et al., 2020	lncRNA BLACAT1	Up-regulation	HNC
Kangboonruang et al., 2020	MALAT1	Up-regulation	HNC
Li et al., 2020	LINC00520	Up-regulation	HNC

HNC, head and neck cancer; NPC, nasopharyngeal carcinoma.

related (ATR) were activated and subsequently activated the cell cycle checkpoint kinases. Usually, ATM activates the checkpoint kinase 2 (CHK2), and ATR is responsible for the

activation of CHK1. The activation of CHK1/2 could lead to phosphorylation and inactivation of CDC25A and CDC25C, both of which were involved in dephosphorylation and activation of CDK2 and CDK1, respectively. Inactivation of CDC25A and CDC25C consequently leads to maintenance of CDKs in the phosphorylated and inactivated form, thus inhibiting S phase and M phase entry and eventually inducing cell cycle arrest. Overall, ATM/CHK2 and ATR/CHK1 pathways work coordinately and cooperate to mediating cellular responses to DNA damage and are responsible for the maintenance of genomic stability by inducing cell cycle arrest. In HNC, the function of ATM was reported to be directly and indirectly regulated by several ncRNAs including miRNA and lncRNA. Mansour et al. (2013) reported that miR-421 could directly inhibit the expression of ATM in HNC through binding the ATM' S 3'-untranslated region (UTR). Forced expression of this miRNA could result in a significant cellular ATM deficiency and defect DNA damage repair, and eventually promote the sensitivity of HNC cells to radiotherapy. lncRNA PVT1 was found to be overexpressed in HNC tissues and cell line, and knockdown of PVT1 enhances the radiosensitivity of nasopharyngeal carcinoma (NPC) cell lines (He et al., 2018). Mechanically, knockdown of PVT1 significantly decreased the phosphorylation levels of ATM, p53, and Chk2, causing a decrease in the DNA repair ability of NPC cells after radiotherapy and enhancing their radiosensitivity (He et al., 2018).

Regulating DNA Damage Repair

When DSBs, the most harmful type of DNA damage, occurred in cells, the histone variant H2AX was immediately phosphorylated by the activated ATM (Jackson, 2002; Symington and Gautier, 2011). Therefore, phosphorylated H2AX (γ -H2AX) can be a useful indicator for DSB DNA damage. More importantly, γ -H2AX is responsible for the recruitment of DNA repair associated proteins to initiate the NHEJ or HR repair processes. In NPC cancer, miR-24 has been revealed to directly target H2AX (Wang et al., 2014). It demonstrated that the inhibition of miR-24 results in significant up-regulation of H2AX and thereby renders cancer cells resistant to radiation-induced DNA damage (Wang et al., 2014). Meanwhile, researchers also reported that miR-24 can inhibit the DNA DSB repair by targeting a DNA damage repair associated protein c-Jun activation domain binding protein-1 (Jab1; Wang et al., 2016). Jab 1 was crucial for DSB repair, and deletion of Jab1 resulted in spontaneous DNA fragmentation and increased expression of the γ -H2AX level (Doronkin et al., 2002; Pan et al., 2012, 2013).

Modulation of Cell Apoptosis

When the radiation-induced DNA damage is too lethal to be repaired, cells would initiate an automatic death program like apoptosis to protect genome stability. Therefore, the flexibility of cancer cells to initiate cell apoptosis sometimes may determine whether cancer cells are sensitive to radiotherapy or resistant to it. Some ncRNAs have been reported to influence the sensitivity of cancer cells to radiotherapy through modulation of cell apoptosis. Mechanistically, these ncRNAs mainly function

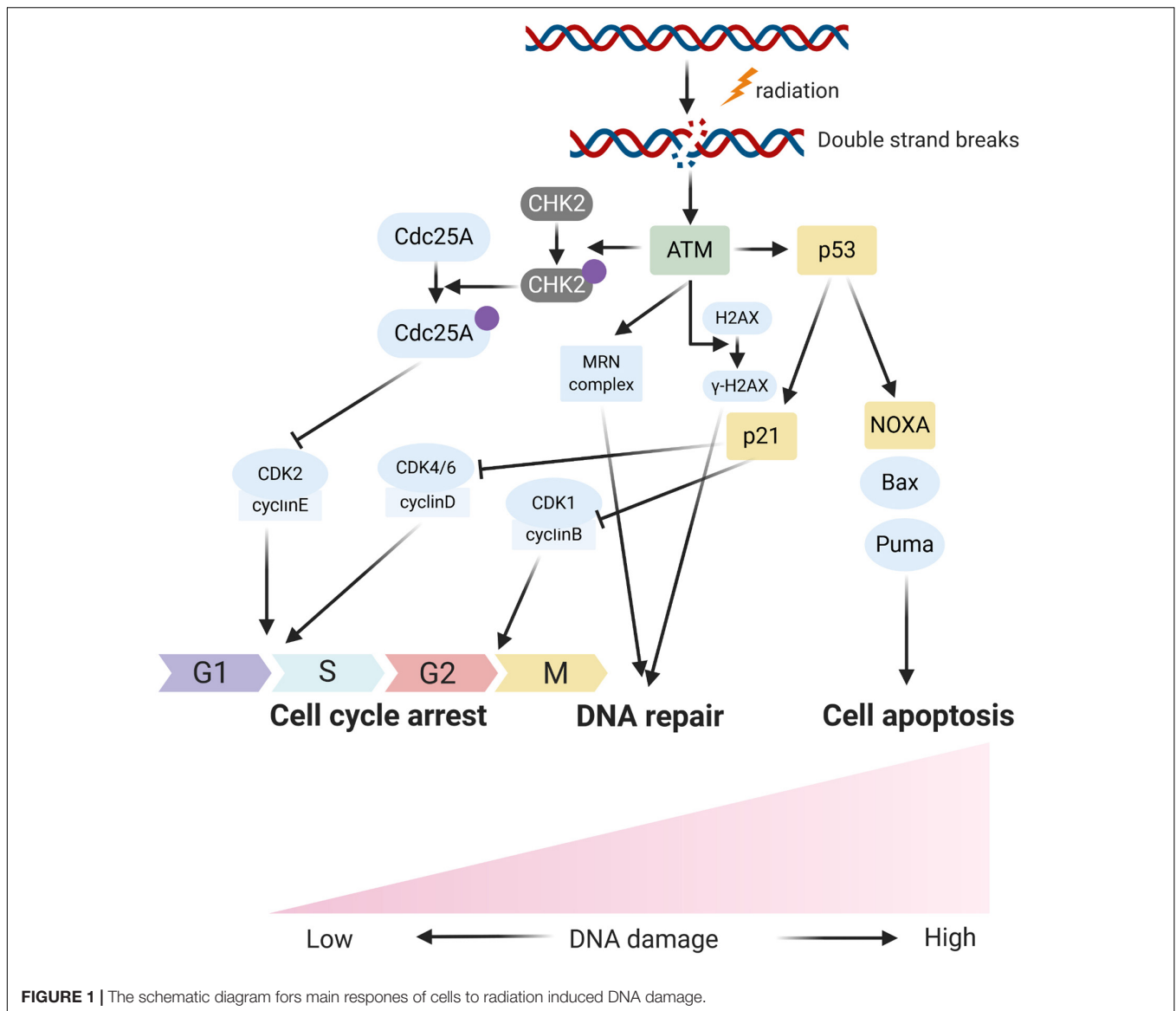


FIGURE 1 | The schematic diagram for main responses of cells to radiation induced DNA damage.

through regulating the expression of anti-apoptosis or pro-apoptosis members (Table 2).

Many ncRNAs could enhance HNC cancer cell sensitivity to radiotherapy through promoting cell apoptosis. MiR-29c was found to target the classical anti-apoptotic proteins B cell lymphoma 2 (Bcl-2) family including myeloid cell leukemia 1 (MCL1) and Bcl-2 itself in human NPC. *In vitro* and *in vivo* studies illustrated that miR-29c could promote cell apoptosis, through which ectopic restoration of miR-29c substantially enhanced the sensitivity of NPC cells to radiotherapy (Zhang et al., 2013). MiR-378g was reported to enhance radiosensitivity, promoting apoptosis in NPC cells via directly targeting SHP-1 (Lin et al., 2015). Overexpression of SHP-1 partially reversed the effect of miR-378g mimics on cell apoptosis and radiosensitivity. MiR-185-3p and miR-324-3p can inhibit NPC cell growth and promote apoptosis partly through targeting SMAD7 (Xu et al., 2015; Figure 3).

On the other hand, several miRNAs were reported to inhibit cell apoptosis and enhance HNC cancer cell resistance to radiotherapy. Evidence has shown that PTEN is involved in regulating cell response to radiation-induced cell apoptosis and cell cycle arrest through inhibiting radiation-induced activation of the PI3K-Akt signaling pathway. Several miRNAs including miR-205 and miR-96-5p were reported to be elevated in HNC tissues from NPC patients after radiotherapy, and target PTEN to mediate resistance of HNC cells to radiotherapy (Qu et al., 2012; Vahabi et al., 2019). MiR-504 is found to be up-regulated in NPC radioresistant cells and could directly inhibit the expression of NRF1 and lead to radioresistance in NPC cells. NRF1 inhibition by miR-504 disturbed mitochondrial-mediated oxidative responses, which influence apoptosis of HNC cells and contribute to the resistance of cancer cells to radiation (Zhao et al., 2015). Huang et al. revealed that miR-19b-3p is up-regulated in NPC and could activate NF- κ B activity

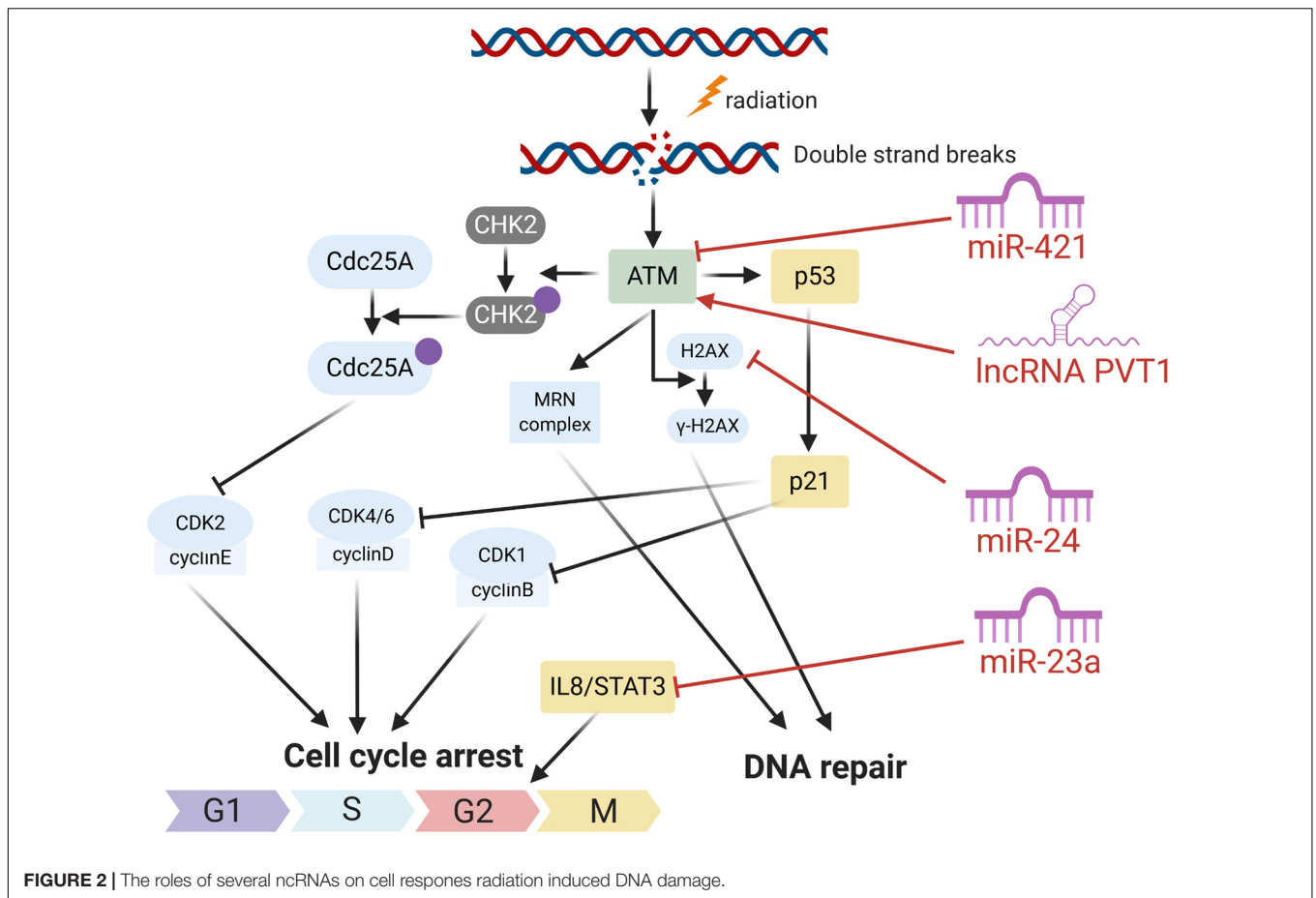


TABLE 2 | ncRNAs regulating the expression of anti-apoptosis or pro-apoptosis members.

Studies	ncRNA	Cancer type	Target genes	Effects on apoptosis (overexpression)	Effects on radiotherapy (overexpression)
Qu et al., 2012	miR-205	NPC	PTEN	Inhibiting cell apoptosis	Radiotherapy resistance
Zhang et al., 2013	miR-29c	NPC	mcl1 or bcl2	Pro-apoptosis	Radiotherapy sensitive
Wang et al., 2014	miR-24	NPC	H2AX	Pro-apoptosis	Radiotherapy sensitive
Lin et al., 2015	miR-378g	NPC	SHP-1	Pro-apoptosis	Radiotherapy sensitive
Xu et al., 2015	miR-185-3p, miR-324-3p	NPC	SMAD7	Pro-apoptosis	Radiotherapy sensitive
Zhao et al., 2015	miR-504	NPC	NRF1	Inhibiting cell apoptosis	Radiotherapy resistance
Huang et al., 2016	miR-19b-3p	NPC	TNFAIP3/NF-κB axis	Inhibiting cell apoptosis	Radiotherapy resistance
Hu et al., 2017	lncRNA ANRIL	NPC	miR-125a	Inhibiting cell apoptosis	Radiotherapy resistance
Li B. Y. et al., 2017	miR-210	NPC	HIF-1α, CTR1A, ADAMTS5, CAMTA1	Inhibiting cell apoptosis	Radiotherapy resistance
He et al., 2018	lncRNA PVT1	NPC	ATM-P53	Inhibiting cell apoptosis	Radiotherapy resistance
Kong et al., 2019	miR-193a-3p	NPC	SRSF2	Inhibiting cell apoptosis	Radiotherapy resistance
Yi et al., 2019	lncRNA PTPRG-AS1	NPC	miR-194-3p/PRC1	Inhibiting cell apoptosis	Radiotherapy resistance
Yang et al., 2018	lncRNA-NKILA	Laryngeal cancer	NFKB/ikb/p65 Pathway	Pro-apoptosis	Radiotherapy sensitive
Wu et al., 2016	miR-17-5p	Oral cancer	p53	Inhibiting cell apoptosis, G2/M phase arrest	Radiotherapy resistance
Gou et al., 2020	lncRNA BLACAT1	HNC	PSEN	Inhibiting cell apoptosis, G2/M phase arrest	Radiotherapy resistance
Li et al., 2020	LINC00520	HNC	LINC00520/miR-195/HOXA10	Inhibiting cell apoptosis, G2/M phase arrest	Radiotherapy resistance

HNC, head and neck cancer; NPC, nasopharyngeal carcinoma.

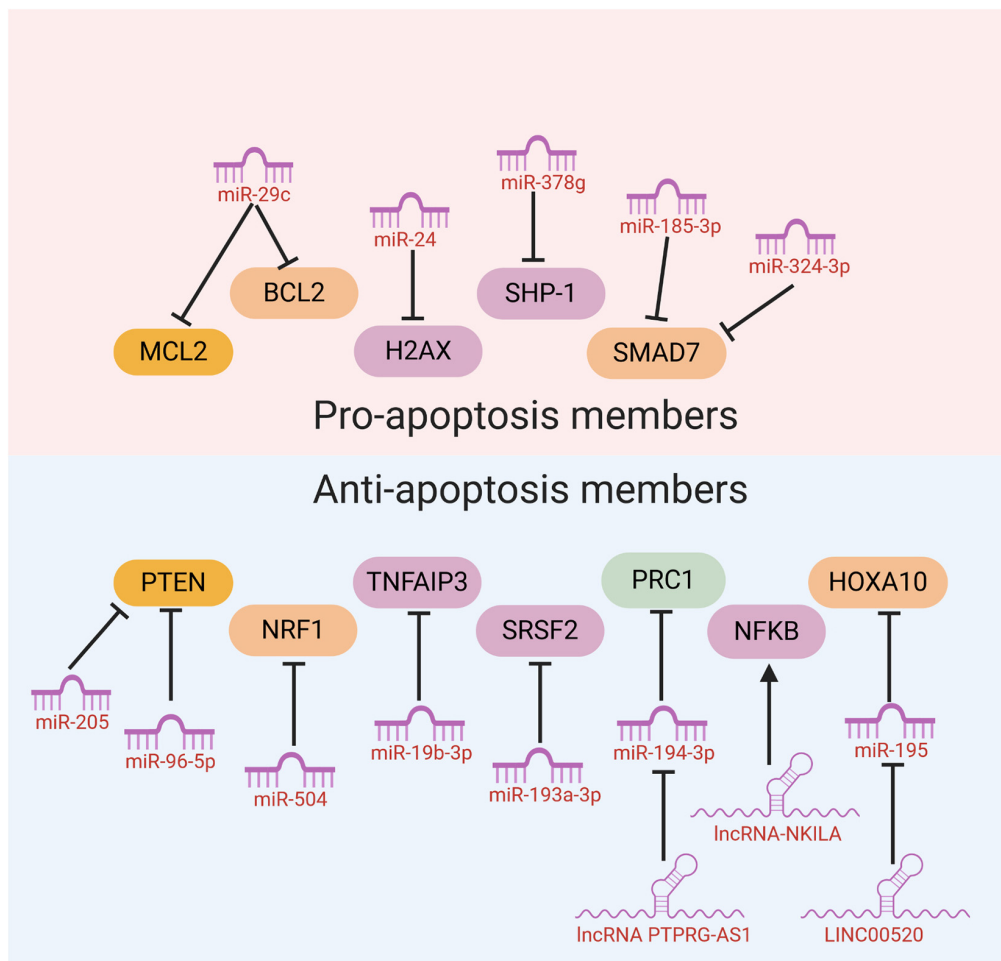


FIGURE 3 | ncRNAs could affect HNC cancer cell sensitivity to radiotherapy through modulation of cell apoptosis.

by targeting TNFAIP3. This miR-19b-3p/TNFAIP3/NF- κ B axis could eventually inhibit cancer cell apoptosis and lead to NPC cell radioresistance (Huang et al., 2016). In addition, miR-210, miR-193a-3p, and miR-17-5p were reported to inhibit HNC cell apoptosis and promote cancer cell resistance to radiotherapy (Wu et al., 2016; Li B. Y. et al., 2017; Kong et al., 2019; **Figure 3**).

Recently, evidences have discovered that several lncRNAs and circRNAs could influence cancer cell sensitivity to radiotherapy through modulation of cell apoptosis. These lncRNAs and circRNAs function mainly through alleviating the role of certain miRNAs, which could be bound by lncRNAs and circRNAs through the base-pairing principles. For example, lncRNA ANRIL could function as a miR-125a sponge and negatively modulate miR-125a expression. ANRIL could reverse the inhibited proliferation, induced apoptosis, and enhanced radiosensitivity triggered by miR-125a overexpression (Hu et al., 2017). PTPRG-AS1 was found to specifically bind to miR-194-3p as a competing endogenous RNA, and miR-194-3p negatively regulates PRC1. Silencing PTPRG-AS1 could release the expression of miR-194-3p and resulted in enhanced sensitivity to radiotherapy and cell apoptosis (Yi et al., 2019). The LINC00520

could promote cell resistance to radiotherapy through reversing miR-195/HOXA10 in HNC (Li et al., 2020). CircRNA_000543 could serve as a sponge for miR-9 in NPC. Silencing circRNA_000543 sensitizes NPC cells to radiation by targeting the miR-9/PDGFRB axis (Chen et al., 2019). Additionally, NF- κ B interacting lncRNA (NKILA) has been reported to be down-regulated in laryngeal cancer and could enhance the cytotoxicity of radiation through promoting cell apoptosis. Mechanically, lncRNA NKILA functions through combining with NF- κ B: I κ B complex to inhibit I κ B phosphorylation, inhibits p65 nuclear translocation, and finally inhibits NF- κ B activation (Yang et al., 2018; **Figure 3**).

Activation of EGFR Signaling

Ionizing radiation activates the epidermal growth factor receptor (EGFR) family of receptor tyrosine kinases, which, in turn, can initiate PI3K/AKT or MAPK pathways.

Through activating the PI3K-AKT pathway, EGFR signaling can prevent radiation-induced apoptosis. EGFR signaling can also promote cancer cell growth by inducing cell cycle progression, which was driven by activation of the

retrovirus associated DNA sequence (RAS)–rapidly accelerated fibrosarcoma (RAF)–mitogen/extracellular signal-regulated kinase (MEK)–ERK pathways. Published data demonstrate that miR-203 is a critical determinant of NPC cells' response to radiotherapy, and reduced miR-203 could promote NPC cell radioresistance by activating IL8/AKT signaling (Qu et al., 2015b). In addition, PTEN is a common inhibitor of AKT and is also a direct target of several miRNAs in HNC, such as miR-205 and miR-96-5p (Figure 4).

Epithelial-to-Mesenchymal Transition

Epithelial-to-mesenchymal transition (EMT) is a phenotypic change in which the epithelial cancer cell acquired a fibroblastoid-like morphology. Such transition could result in enhanced tumor cell motility and invasiveness, increased metastatic potential, as well as resistance to radiotherapy or chemotherapy (Marie-Egyptienne et al., 2013). ZEB1, an important EMT marker, could also be regulated by lncRNA NEAT1 in NPC. lncRNA NEAT1 knockdown could sensitize NPC cells to radiation through releasing the expression of miR-204, and eventually enhances the expression of ZEB1, a downstream target of miR-204.

CLINICAL APPLICATION OF NCRNAS AS BIOMARKERS TO RADIOTHERAPY

The *in situ* ncRNA Expression in HNC Tissues Predicting Response of Radiotherapy

It is well known that ncRNAs have shown an important regulatory role on the sensitivity or resistance of HNC cells to radiotherapy. Based on this, ncRNAs expression can be useful biomarkers to identify HNC patients who will be sensitive to radiotherapy and to predict the survival outcomes of HNC patients receiving radiotherapy in clinical practice. One study explored the associations between miR-200b and miR-155 expression in HNC tissues and outcome, and confirmed the prognosis predictive value of candidate miRNAs (Hess et al., 2017). Additionally, some researchers began to build a predictive panel consisting of multiple markers in an attempt to better improve the predictive value. Chen L. et al. (2018) analyzed a large scale of miRNA array profiles and the corresponding clinical records for HNSCC patients (including 509 carcinomas and 44

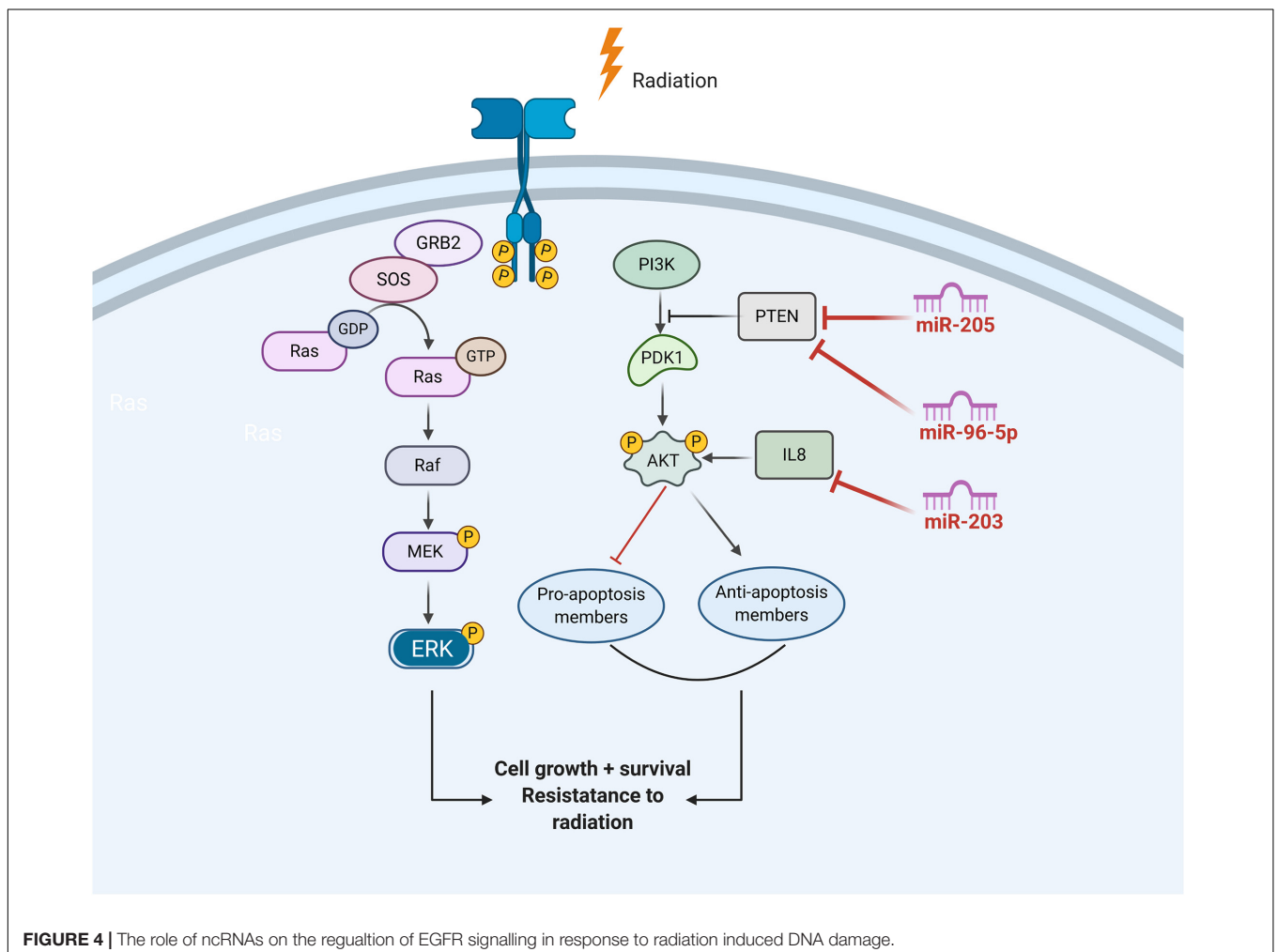


FIGURE 4 | The role of ncRNAs on the regulation of EGFR signalling in response to radiation induced DNA damage.

normal mucosa specimens) from the TCGA. They established a 5-miRNA signature including miR-99a, miR-31, miR-410, miR-424, and miR-495. Their results showed that this 5-miRNA signature could predict clinical outcomes, and the 5-miRNA signature-based nomogram is useful in predicting radiotherapy response and survival in HNSCC, implying that it might become a promising tool to optimize radiation strategies.

Circulating ncRNAs Serve as Minimally Invasive Therapy-Responsive and Prognostic Biomarkers

Circulating biomarkers in the peripheral blood, such as the biomarker HSP70 in HNSCC patients (Gehrmann et al., 2014), could provide a minimally invasive way to predict therapy response and survival outcomes, as well as monitor the therapy. NcRNAs, especially miRNAs, were reported to show high stability in blood plasma and resistance to RNase activity (Mitchell et al., 2008). This characteristic of miRNA combined with the minimally invasive accessibility of blood sample makes circulating miRNAs useful and attractive biomarkers. Nakashima et al. (2019) reported that the expression of miR-1290 was significantly down-regulated in the plasma of oral squamous cell carcinoma patients as compared to that in healthy volunteers. The patients who showed a poor pathological response to chemoradiotherapy presented a high proportion of miR-1290 down-regulation (Nakashima et al., 2019), suggesting that miR-1290 expression may be useful for guiding treatment decisions in oral squamous cell carcinoma patients receiving radiotherapy. Another research identified eight plasma miRNAs that differentiated significantly between HNC patients and the healthy donors. These candidate miRNAs also showed well therapy-response features and significantly decreased after receiving radiotherapy.

CONCLUSION AND PROSPECTIVE

Radiotherapy is a common therapeutic modality for HNC. Decreased sensitivity or resistance to radiotherapy is still a significant challenge in clinical practice and a barrier to improve the prognosis of HNC patients. With the development of molecular biology and sequencing technology, mounting evidence revealed the important role of ncRNAs on the carcinogenesis, development, and therapy resistance of HNC. These findings were of great significance for the following reasons. First, they highlight the potential of intervening relevant ncRNAs to overcome resistance and re-sensitize cancer cells to the effects of radiotherapy. Second, the ncRNAs could be used as

circulating biomarkers in the peripheral blood to predict therapy response and survival outcomes, as well as monitor the therapy.

In the future, more studies are required to further elucidate the potential roles of novel ncRNAs like circRNAs and piRNAs in regulating the sensitivity of HNC cells to radiotherapy. Furthermore, it is still unclear and less studied whether the tumor cells or tumor mesenchymal cells-derived exosomal ncRNAs confer HNC cell resistance characteristics to radiotherapy. These topics will be very interesting and meaningful because circRNAs were more stable than linear RNAs due to their covalently closed loop structures, and exosome encapsulated ncRNAs were less easy to be degraded in the circulation system. These characteristics make circRNAs and exosomal ncRNAs potential biomarker candidates for prognosis prediction and therapy response monitoring. Additionally, with the development of bioinformatics, the integration of ncRNA signature profiling into HNC screening algorithms may help in increasing the specificity of screening patients who will benefit from radiotherapy and improving the prognosis of HNC patients.

AUTHOR CONTRIBUTIONS

JY designed the review. XZ and JY collected the related manuscript. XZ and JY drafted and revised the manuscript. Both authors read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2020.637435/full#supplementary-material>

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PprA Protein Inhibits DNA Strand Exchange and ATP Hydrolysis of *Deinococcus* RecA and Regulates the Recombination in Gamma-Irradiated Cells

Yogendra Singh Rajpurohit^{1,2*}, Dharendra Kumar Sharma^{1,2} and Hari S. Misra^{1,2*}

¹ Molecular Biology Division, Bhabha Atomic Research Centre, Mumbai, India, ² Homi Bhabha National Institute (DAE-Deemed University), Mumbai, India

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Rosa Maria Bermudez-Cruz,
Centro de Investigaciones y Estudios
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Issay Narumi,
Toyo University, Japan
Kaiying Cheng,
Hangzhou Normal University, China
Sangyong Lim,
Korea Atomic Energy Research
Institute (KAERI), South Korea

*Correspondence:

Yogendra Singh Rajpurohit
ysraj@barc.gov.in
Hari S. Misra
hsmisra@barc.gov.in

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DrRecA and PprA proteins function are crucial for the extraordinary resistance to γ -radiation and DNA strand break repair in *Deinococcus radiodurans*. DrRecA mediated homologous recombination help in DNA strand break repair and cell survival, while the PprA protein confers radio-resistance *via* its roles in DNA repair, genome maintenance, and cell division. Genetically *recA* and *pprA* genes interact and constitute an epistatic group however, the mechanism underlying their functional interaction is not clear. Here, we showed the physical and functional interaction of DrRecA and PprA protein both *in solution* and inside the cells. The absence of the *pprA* gene increases the recombination frequency in gamma-irradiated *D. radiodurans* cells and genomic instability in cells growing under normal conditions. PprA negatively regulates the DrRecA functions by inhibiting DrRecA mediated DNA strand exchange and ATPase function *in vitro*. Furthermore, it is shown that the inhibitory effect of PprA on DrRecA catalyzed DNA strand exchange was not due to sequestration of homologous dsDNA and was dependent on PprA oligomerization and DNA binding property. Together, results suggest that PprA is a new member of recombination mediator proteins (RMPs), and able to regulate the DrRecA function in γ -irradiated cells by protecting the *D. radiodurans* genome from hyper-recombination and associated negative effects.

Keywords: PprA, DrRecA, *Deinococcus radiodurans*, DNA repair, ATPase

INTRODUCTION

An astounding gamma radiation resistance of *Deinococcus radiodurans* has been attributed to its efficient DNA double-strand break (DSB) repair supported by the Extended Synthesis Dependent Strand Annealing (ESDSA) mechanism and the ability to protect its biomolecules from oxidative damage (Zahradka et al., 2006; Slade et al., 2009; Misra et al., 2013). In bacteria, RecA plays an important role in homologous recombination (Bell and Kowalczykowski, 2016) and thus becomes integral to macromolecular events responsible for DNA strand break repair by homologous recombination and genome integrity (Heyer, 2015). RecA plays a deterministic role in both RecBC and RecF pathways of homologous recombination and several interacting proteins

may involve in the regulation of RecA functions, viz. RecBC, RecF, RecO, RecR, DinI, RecX, RdgC, PsiB, and UvrD at multiple levels (Cox, 2007). In *Escherichia coli*, the expression of RecA is under the control of SOS regulon while the C-terminal region of RecA protein autoregulates its functions (Little and Mount, 1982). For bacterium *D. radiodurans*, DNA DSB repair and cell survival are heavily relying on RecA-mediated homologous (Daly et al., 1994; Daly and Minton, 1996; Zahradka et al., 2006; Slade et al., 2009). *D. radiodurans* lacks the LexA/RecA mediated canonical SOS regulation as DrRecA expression and/or activity is not under the control of either LexA proteins or its operon partners (CinA and LigT) (Narumi et al., 2001; Bonacossa de Almeida et al., 2002; Jolivet et al., 2006; Satoh et al., 2006). Nonetheless, two transcriptional regulators; IrrE and DdrO are shown to be a transcriptional regulator of the *recA* gene in the gamma-irradiated *D. radiodurans* cells (Earl et al., 2002), while DrRRA is shown to be a positive regulator during normal growth (Wang et al., 2008). Recently, phosphorylation mediated regulation of DrRecA function has been suggested, where it was shown that a radiation responsive RqkA kinase phosphorylates at Y77 and T318 amino acid and these sites phosphorylation has a substantial impact on nucleotide preference and DNA affinity of DrRecA (Rajpurohit et al., 2016) and the conformational stability, dynamics of DrRecA (Sharma et al., 2020). RecX of *D. radiodurans* is a negative regulator of *recA* expression as well could directly inhibit RecA activities like DNA strand exchange, ATPase activity, and LexA cleavage (Sheng et al., 2005). Interestingly, RecX does not seem to be a regulator of DrRecA under gamma radiation conditions as radiation resistance of *recX*⁻ mutant was similar to that of wild-type *D. radiodurans* (Sheng et al., 2005). *D. radiodurans* cells also lack many known RecA protein regulators (RecB, RecC, RecE, and RecT) as known for *E. coli* (Slade and Radman, 2011). Thus, it is likely that some new protein regulators or other novel mechanisms may regulate DrRecA activity in the gamma-irradiated cells.

PprA (Pleiotropic protein promoting DNA repair) is a unique DNA repair protein contributing to ionizing radiation and desiccation resistance as transcription of this gene induced multi-fold when *D. radiodurans* cells exposed to gamma radiation and by desiccation (Liu et al., 2003; Narumi et al., 2004). Biochemically, it stimulates ATP and NAD-dependent DNA ligases and protects DNA ends from exonucleolytic degradation (Narumi et al., 2004). It also binds with double-strand DNA ends to promote DNA looping (Adachi et al., 2014). The *pprA*⁻ mutant of *D. radiodurans* grows slowly and displays high sensitivity to UV-A radiation (Bauermeister et al., 2009), ionizing γ - radiation, and mitomycin C (Narumi et al., 2004). Interestingly, the γ -radiation sensitivity level of the *pprA*⁻ mutant is much lesser compare to the *recA*⁻ mutant. The γ -radiation survival of double mutant of *pprA* and *recA* genes (*pprA*⁻*recA*⁻) did not show additive sensitive phenotype (Tanaka et al., 2004), suggesting that both the proteins seem to contribute to radioresistance of *D. radiodurans* through common pathways and epistatic. However, the precise nature of *recA* and *pprA* interaction at a cellular and genetic level is not known and would be worth unveiling. Here, we report the physical and functional interaction of PprA with DrRecA and

demonstrated that the role of PprA in the regulation of DrRecA biochemical properties and the recombination frequencies in the γ -irradiated *D. radiodurans*. The *pprA*⁻ mutant showed an increased recombination frequency in γ -treated cells and increased genomic instability in cells grown under normal conditions. Further, we showed that the inhibitory effect of PprA on DrRecA catalyzed DNA strand exchange activity was independent of PprA capability of sequestration of homologous DNA but dependent on PprA oligomerization and its DNA binding property. Together, results highlight the importance of PprA-DrRecA interaction in the regulation of DrRecA function under γ -irradiated conditions perhaps by protecting the genome from hyper-recombination and associated negative effect during post-irradiation recovery of *D. radiodurans*.

RESULTS

PprA Protein Interacts With DrRecA

PprA protein assists in DNA repair and cell survival of *D. radiodurans* recovering from ionizing radiation, and included in the DrRecA epistatic group (Narumi et al., 2004; Tanaka et al., 2004). The physical interaction of PprA and DrRecA protein was monitored using a bacterial two-hybrid system in surrogate *E. coli* BTH101, co-expressing T18 tagged PprA and T25 tagged DrRecA and also with tag swapped version of these proteins (Figure 1A). In the *E. coli* BTH101 cells, a functional gain of β -galactosidase activity due to interaction of tagged proteins (here DrRecA and PprA). The *in vivo* functional interaction of tagged proteins monitored as a function of β -galactosidase enzyme activity (Karimova et al., 2000). The nature and relative strength of the interaction between DrRecA-C18 and PprA-C25 was comparable to positive control where inter-subunit of RecA-RecA interaction was measured (Figures 1A,B). Similarly, in the tag swapped experiment, where RecA-C25 and PprA-C18 protein expressed in BTH cells, the interaction strength and β -galactosidase activity were comparable to DrRecA-C18 and PprA-C25 interaction results (Figures 1A,B). The β -galactosidase activity was minimal in the negative control, where T18 and T25 tags were expressed in BTH101 (Figures 1A,B).

The interaction of these proteins was also assayed in *D. radiodurans* by Co-immunoprecipitation (Co-IP) and by cellular co-localization. For Co-IP, DrRecA (poly His-tagged) and PprA (T18 tagged) were expressed either alone or together in *D. radiodurans* cells. Tag swap experiment was also done where DrRecA (T18 tagged) and PprA (poly His-tagged) together expressed in *D. radiodurans* cells (Supplementary Figure 1). Results showed that cells expressing alone DrRecA (T18 tagged) or PprA (poly His-tagged) did not produce a signal on blot when Co-IP was done with anti-His antibody (Ab) followed by detection by Anti-T18 Ab (Figure 1C). Similarly, in tag swapped experiment when PprA (T18 tagged) alone expressed and Co-IP was done with anti-T18 Ab followed by detection by Anti-His Ab (Figure 1C). The immunoprecipitate from cells expressing both proteins (T18 tagged DrRecA and poly His-tagged PprA) produce a band of molecular mass of \sim 56 kDa (a

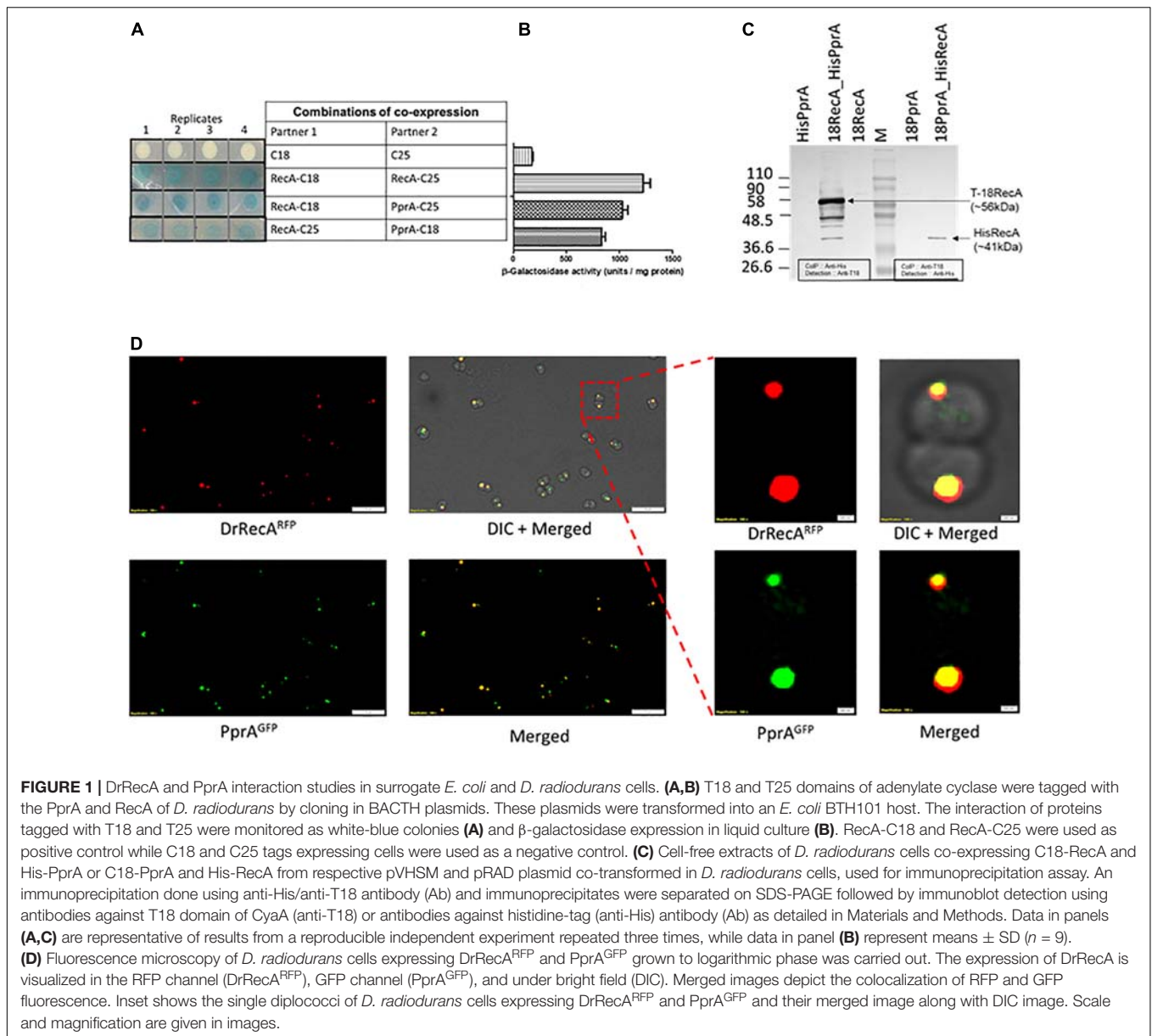


FIGURE 1 | DrRecA and PprA interaction studies in surrogate *E. coli* and *D. radiodurans* cells. **(A,B)** T18 and T25 domains of adenylate cyclase were tagged with the PprA and RecA of *D. radiodurans* by cloning in BACTH plasmids. These plasmids were transformed into an *E. coli* BTH101 host. The interaction of proteins tagged with T18 and T25 were monitored as white-blue colonies **(A)** and β -galactosidase expression in liquid culture **(B)**. RecA-C18 and RecA-C25 were used as positive control while C18 and C25 tags expressing cells were used as a negative control. **(C)** Cell-free extracts of *D. radiodurans* cells co-expressing C18-RecA and His-PprA or C18-PprA and His-RecA from respective pVHSM and pRAD plasmid co-transformed in *D. radiodurans* cells, used for immunoprecipitation assay. An immunoprecipitation done using anti-His/anti-T18 antibody (Ab) and immunoprecipitates were separated on SDS-PAGE followed by immunoblot detection using antibodies against T18 domain of CyaA (anti-T18) or antibodies against histidine-tag (anti-His) antibody (Ab) as detailed in Materials and Methods. Data in panels **(A,C)** are representative of results from a reproducible independent experiment repeated three times, while data in panel **(B)** represent means \pm SD ($n = 9$). **(D)** Fluorescence microscopy of *D. radiodurans* cells expressing DrRecA^{RFP} and PprA^{GFP} grown to logarithmic phase was carried out. The expression of DrRecA is visualized in the RFP channel (DrRecA^{RFP}), GFP channel (PprA^{GFP}), and under bright field (DIC). Merged images depict the colocalization of RFP and GFP fluorescence. Inset shows the single diplococci of *D. radiodurans* cells expressing DrRecA^{RFP} and PprA^{GFP} and their merged image along with DIC image. Scale and magnification are given in images.

theoretical size of T18 tagged DrRecA) Co-IP carried by anti-His antibody (Ab) and detection by Anti-T18 Ab (**Figure 1C**). The tag swapped experiment (poly His-tagged DrRecA and T18 tagged PprA) produces a band of molecular mass of \sim 41 kDa (a theoretical size of poly His-DrRecA) (**Figure 1C**). Results of Co-IP data suggest that DrRecA and PprA interact with each other and could be able to form a relatively stable complex which can be pulled down using Ab against one partner and the presence of an interacting partner in immunoprecipitant could be detected using Ab specific to another partner (**Figure 1C**). To further validate the interaction of DrRecA-PprA proteins *in solution*, the equimolar concentration of both proteins mixed and incubated for 10 min in the HEPES buffer followed by cross-linking of the interacting complex by glutaraldehyde and complex separated on SDS-PAGE. Results showed that both

proteins form a stable complex and appeared a high molecular mass complex on SDS-PAGE gel (**Supplementary Figure 2**, PprA^{wt}). Interestingly, though the presence of linear dsDNA induces the complex formation. However, later removal of DNA by DNAase treatment or using PprA mutant either lacking DNA binding (**Supplementary Figure 2**, PprA^{R166A}) or defective in oligomerization (**Supplementary Figure 2**, PprA^{R212A}) did not lose the ability of the physical interaction of proteins, together suggestive of physical interaction of DrRecA-PprA protein *in solution* which may further be supported by the presence of linear dsDNA (**Supplementary Figure 2**). Additionally, co-localization of DrRecA and PprA proteins was also ascertained by co-expressing of GFP-PprA and RFP-DrRecA fusion proteins in *D. radiodurans* cells and observation of fluorescence under a fluorescence microscope. The majority of cells expressing the

GFP-PprA and RFP-DrRecA fusion proteins form definitive foci and a large number of foci showed colocalization in *D. radiodurans* cells (Figure 1D). Since both proteins were shown to be DNA repair proteins and showed cellular colocalization together support the observation of physical interaction of DrRecA and PprA protein in *D. radiodurans*.

PprA Contributes to Recombination Frequency and Genetic Stability in *Deinococcus radiodurans*

Radiation resistance of *pprA*⁻ mutant and PprA overexpressing *D. radiodurans* cells were monitored (Supplementary Figure 3). As reported earlier, *pprA*⁻ mutant showed sensitivity to gamma radiation, but the overexpression of PprA in the wild type did not change its response to gamma radiation (Supplementary Figure 3). Although, DrRecA plays an important role in *D. radiodurans* resistance to genotoxic effects of radiation, desiccation, and oxidative stress (Jolivet et al., 2006; Schlesinger, 2007; Slade et al., 2009; Rajpurohit et al., 2016), its unregulated functions might result to hyper recombination leading to genomic instability in bacteria (Sander et al., 2003; Sheng et al., 2005).

PprA protein could be able to interact with DrRecA physically *in solution* and *in vivo* (Figure 1), therefore, we tested the role of PprA in the modulation of DrRecA functions in the normal and γ -stressed *D. radiodurans* cells. The effects of PprA on recombination and genomic stability were monitored by measuring the recombination frequency of the *nptII* gene (pNOKpqq plasmid confer Kan^R) in either *pprA*⁻ mutant or PprA overexpressing *D. radiodurans* grown under normal and gamma stressed conditions (Figures 2B,C). Since the transformation efficiency of *pprA*⁻ mutant was \sim 10-fold less than wild type *D. radiodurans* (Figure 2A), the recombination frequency normalized with transformation efficiency and normalized recombination frequency did not change significantly in both the *pprA*⁻ mutant or PprA overexpressing wild type cells grown under normal condition (Figure 2B). However, the irradiated (3kGy) *D. radiodurans* cells showed \sim 10% normalized recombination frequency in the absence of the *pprA* gene while this frequency is \sim 5% in the wild type and \sim 1% in the cells overexpressing PprA (Figure 2C). These results suggested that the presence of PprA protein in cells could negatively regulate the recombination in gamma-irradiated cells *in vivo* and cells lacking the *pprA* gene showed relatively higher recombination events compare to wild-type cells.

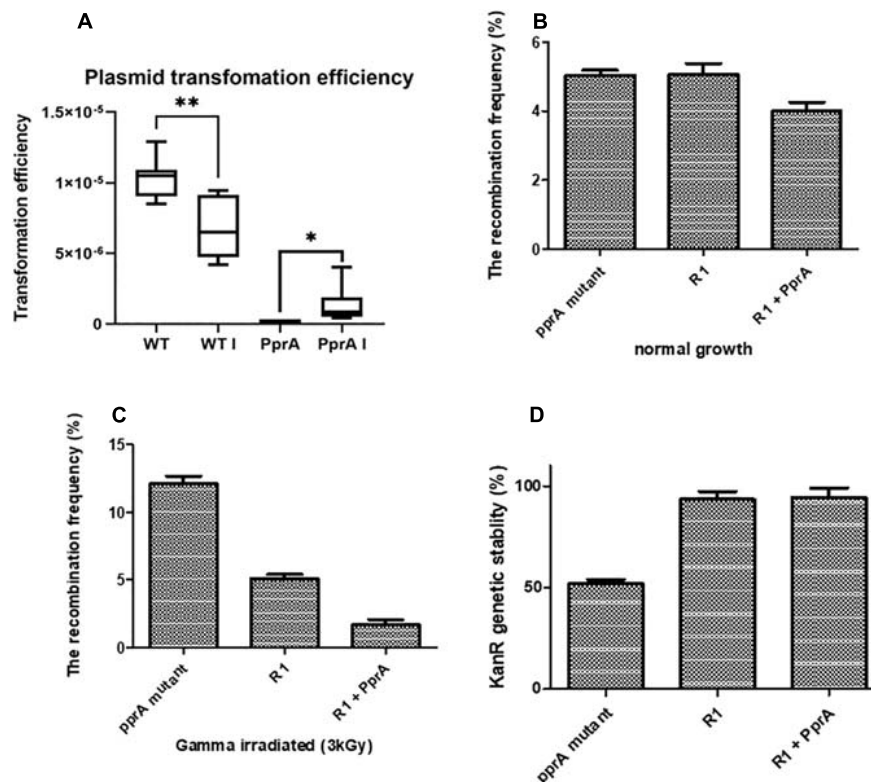


FIGURE 2 | Transformation efficiency, recombination frequency, and genomic stability. (A) Plasmid pVHS559 was used to evaluate the transformation efficiency of γ -irradiated and unirradiated wild type and *pprA*⁻ mutant of *D. radiodurans* cells. Transformants were selected on an appropriate antibiotic. Transformation efficiency calculated by calculating CFU/ μ g plasmid DNA used. (B,C) Recombination frequency of *nptII* gene (confer kanamycin resistance) in (B) growing normally, and (C) γ -irradiated cells of wild type (R1), *pprA*⁻ mutant, and PprA over-expressed cells (R1 + PprA). (D) Genomic stability of *nptII* marker (confer kanamycin resistance) after 15th generations of wild type (R1), *pprA*⁻ and PprA over-expressed cells (R1 + PprA). Data represented here is means \pm SD ($n = 9$).

Genomic instability was also tested by scoring the kanamycin resistance of cells of different genetic backgrounds from the above experiment. A significant loss of kanamycin resistance gene (*nptII*) (~50%) was observed in the *pprA*⁻ mutant after the 15th generation of growth, while it did not change in the wild-type cells overexpressing PprA and was similar to wild type control (**Figure 2D**). This result emphasizes that the presence of PprA protein helps in maintaining the genomic stability in *D. radiodurans* cells while in *pprA*⁻ mutant, the kanamycin resistance has lost progressively over the generation possibly due to genomic instability. Collectively, results about recombination and genomic stability suggested that the absence of the *pprA* gene alleviates the recombination frequency in the gamma-irradiated *D. radiodurans* cells and also impacts the genomic stability of the marker gene (*nptII*) in the cells growing normally.

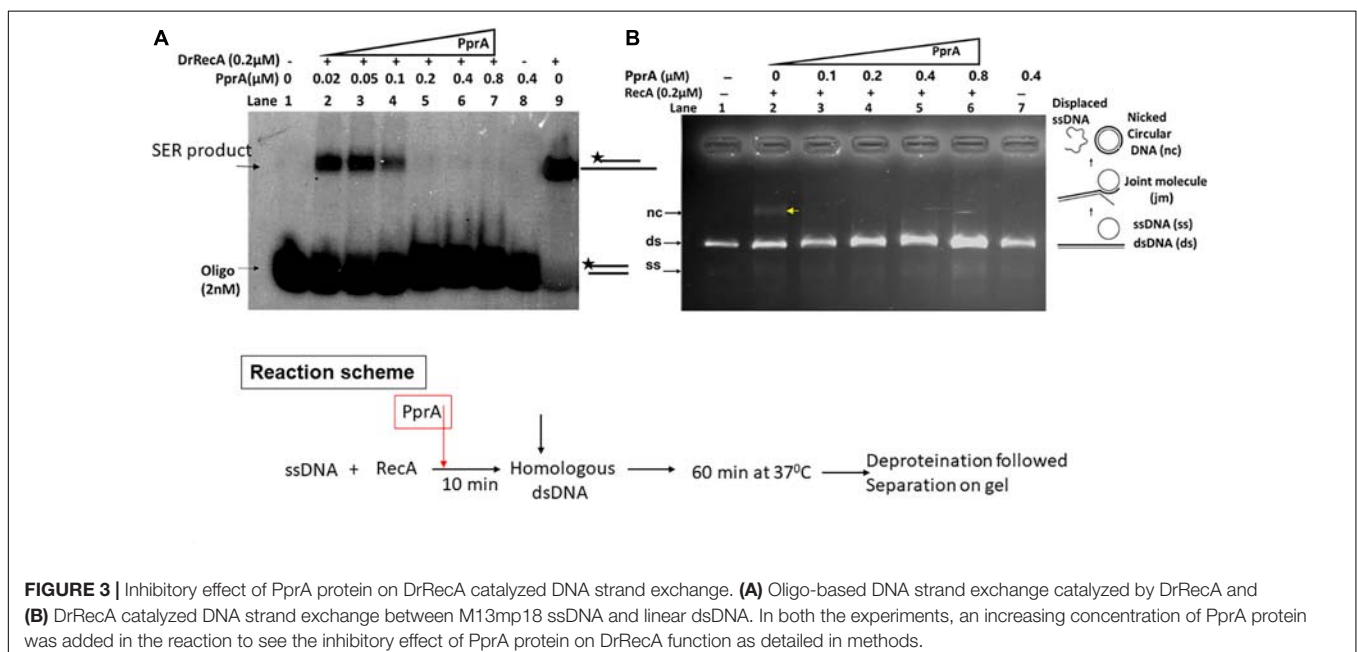
PprA Inhibit Strand Exchange Promoted by DrRecA

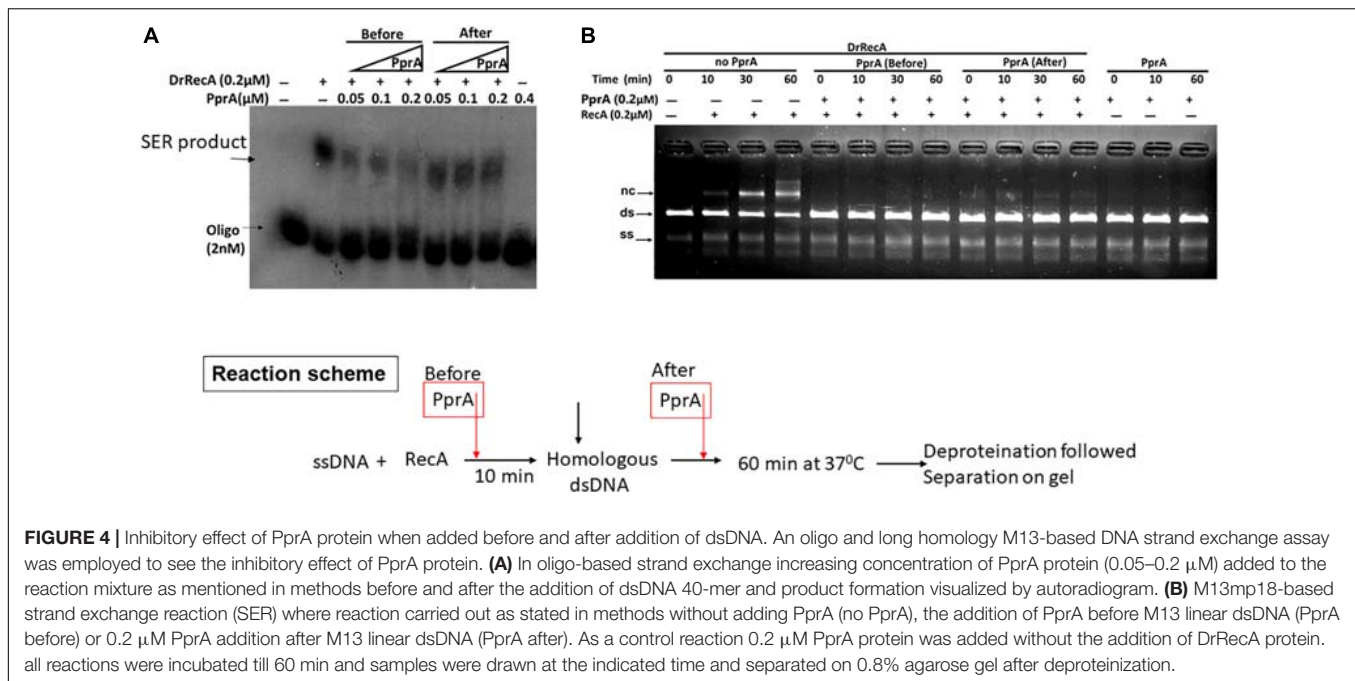
The recombination events in gamma-irradiated *D. radiodurans* cells had increased significantly in *pprA*⁻ genetic background (**Figure 2C**) suggestive of the possible inhibitory effects of PprA interaction on DrRecA functions *in vivo*. Therefore, the effect of PprA on recombination activity of DrRecA was examined in an assay using short (40 bp) and long homology (M13 DNA) at varying concentration of PprA (0.02–0.8 μ M) and a fixed concentration of DrRecA (0.2 μ M) as reaction scheme given in **Figure 3**. Results showed significant inhibition of heteroduplex formation with increasing concentration of PprA protein (**Figure 3A**, lane 2–7 and **Figure 3B**, lane 3–6). It may be noted that equimolar concentration of PprA (0.2 μ M) could exert the maximum inhibitory effect (**Figure 3A**, lane 5 and **Figure 3B**, lane 4). PprA alone did

not catalyze the heteroduplex formation (**Figure 3A**, lane 8 and **Figure 3B**, lane 7), while DrRecA without PprA showed an efficient DNA strand exchange activity (**Figure 3A**, lane 9 and **Figure 3B**, lane 2). These results together prove the inhibitory effect of PprA protein on DrRecA promoted DNA strand exchange reaction.

The Inhibitory Effect of PprA Protein Is Not Due to Sequestration of Homologous dsDNA During DNA Strand Exchange

To understand a mechanistic aspect of the PprA inhibition of DrRecA catalyzed DNA strand exchange reaction (SER), the SER assay was performed as discussed above and the PprA protein was added before and after the addition of homologous dsDNA (**Figure 4**). The pre-incubation of PprA with DrRecA led to complete inhibition of recombination reaction (**Figures 4A,B**). However, the inhibition was significantly less when PprA was added after the addition of dsDNA (**Figure 4**). A similar effect was observed in the strand exchange assay with a long homology substrate (**Figure 4B**). In the absence of PprA, DrRecA could efficiently catalyzed the reaction and recombinant product formation (**Figures 4A,B**). However, PprA addition after dsDNA addition in the reaction showed ~70% substrate conversion to product compare to reaction lane where PprA did not add (**Figure 4**). The observation of the complete inhibition of recombinant product, when PprA protein added before dsDNA addition allows us to speculate that PprA physical interaction with DrRecA may affect DrRecA ability to either interact ssDNA or RecA polymerization during the formation of presynaptic filament. PprA is a non-specific dsDNA binding protein and has nearly negligible affinity for ssDNA (Narumi et al., 2004; Rajpurohit and Misra, 2013; Adachi et al., 2014). Thus, the possibility of homologous dsDNA sequestration



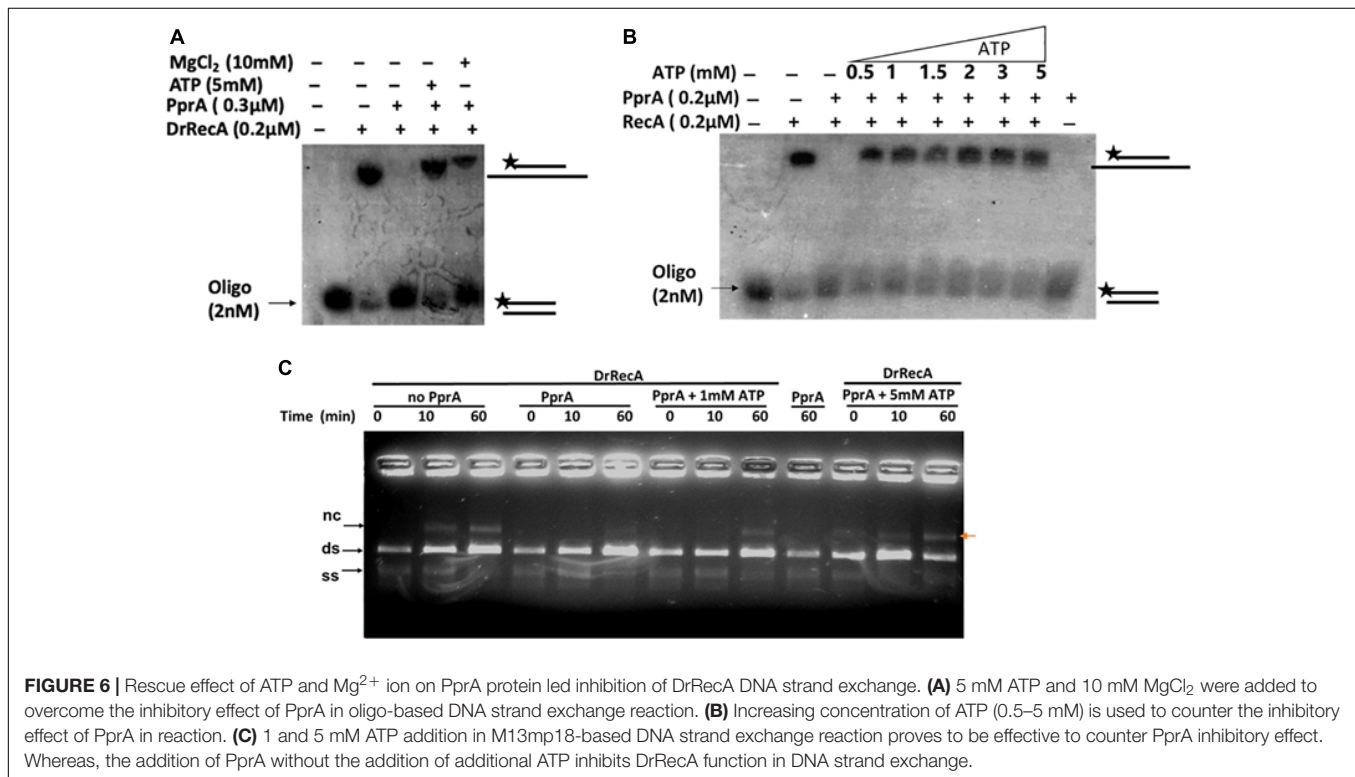
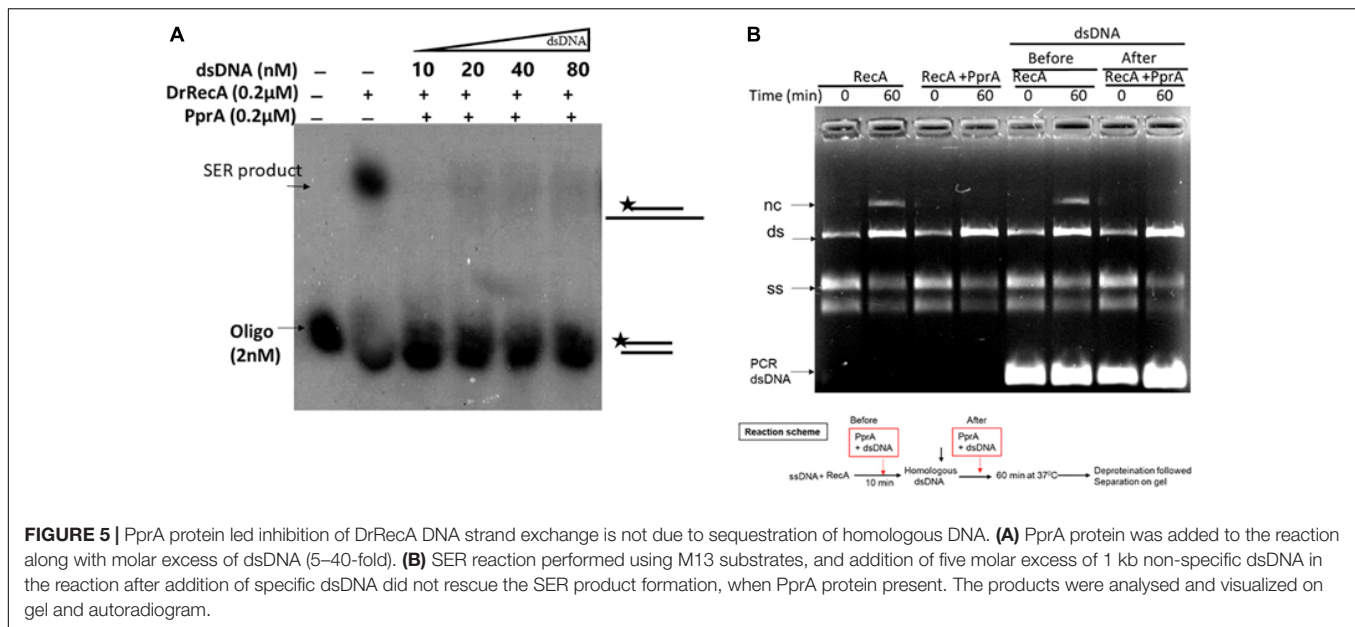


by PprA might affect the strand exchange activity of DrRecA was examined in the presence of 5–40-fold molar excess of non-specific dsDNA (**Figure 5**). The addition of an increasing concentration of non-specific dsDNA did not rescue DrRecA strand exchange activity from PprA led inhibition (**Figure 5A**). A similar observation was also confirmed using M13 substrates, where strand exchange reaction was performed in the presence of five molar excess of 1 kb non-specific dsDNA (**Figure 5B**). These findings together highlight the direct inhibitory effect of PprA on DrRecA catalyzed SER, however, this effect is not due to limiting the availability of homologs DNA by PprA during DNA strand exchange reaction.

PprA Protein Hamper the ssDNA Stimulated ATPase of DrRecA

How PprA inhibits the DrRecA catalyzed SER is not clear and hypothesized that PprA interaction with DrRecA may hamper either DrRecA functional biochemical activities such as access to DNA substrates, ATPase activity, or PprA may limit metal ion availability to DrRecA. PprA protein did not show binding with ssDNA, however able to bind with dsDNA and form a distinct DNA-PprA nucleoprotein complex than the DNA-DrRecA nucleoprotein complex (**Supplementary Figure 4**). Interestingly, PprA did not limit the access of DrRecA to DNA substrates as the binding of DrRecA to ssDNA and dsDNA with and without PprA protein was found to be similar (**Supplementary Figure 4**). This observation was further supported by data presented in **Figure 5**, where the addition of molar excess non-specific dsDNA did not rescue the inhibitory effect of PprA (**Figure 5**). Next, we checked the possibilities of limiting the availability of ATP or metal ion by PprA when added in DrRecA catalyzed SER. For that, an assay was

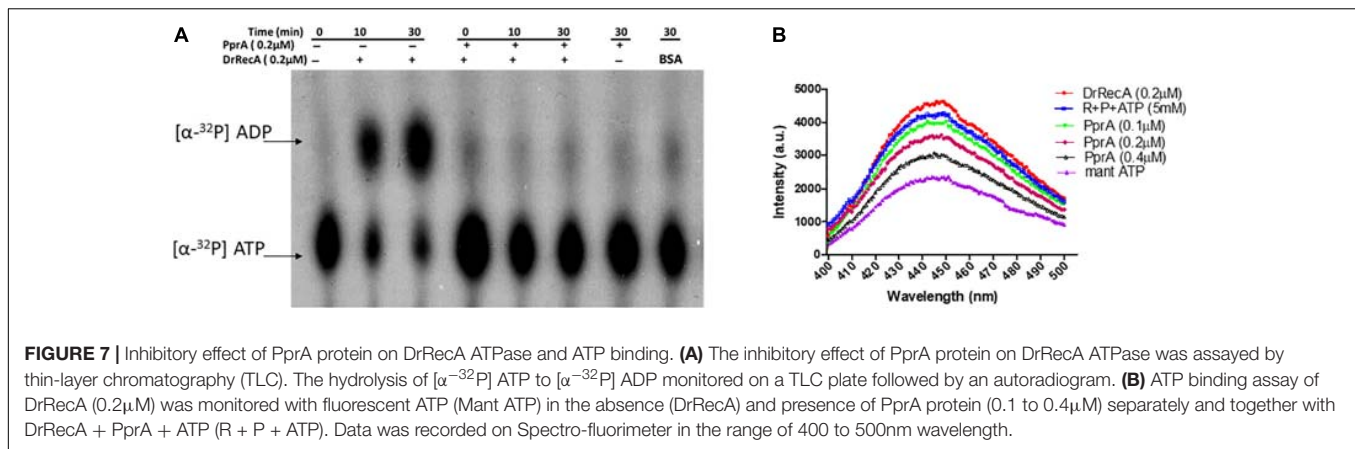
performed where PprA protein-mediated inhibition of DrRecA catalyzed DNA strand exchange (short homology oligo-based) was rescued by adding molar excess of ATP and metal ion (MgCl_2) (**Figure 6A**). Data showed in **Figure 6A** suggested that the addition of 5 mM ATP could able to restore the strand exchange product formation in the presence of PprA while excess metal ion (10 mM) did not restore the reaction (**Figure 6A**). A similar observation was also apparent in the M13 based SER (**Figure 6B**). No adverse effect of excess ATP (5 mM) was observed in DrRecA catalyzed strand exchange (**Supplementary Figure 5**). Collectively, these data conclude that PprA did not limit the binding of DrRecA to DNA as well as the availability of metal ions rather PprA may have an effect on either limiting the ATP hydrolysis or availability to DrRecA during strand exchange reaction as the addition of ATP (1–5 mM) could effectively reverse the inhibitory effect of PprA (**Figures 6B,C**). To address these possibilities, ssDNA-dependent ATPase activity of DrRecA was checked in the presence and absence of equimolar concentration of PprA protein. Results showed that DrRecA display strong ssDNA-dependent ATPase activity (**Figure 7A**). The addition of equimolar concentration of PprA protein resulted in strong inhibition of DrRecA ATPase and could not be restored till 30 min of reaction time (**Figure 7A**). PprA alone or BSA (negative control) did not hydrolyze the ATP (**Figure 7A**). The experiment results reveal the mechanistic proof of PprA protein led inhibition of DrRecA by limiting the DrRecA ATPase function. This observation was further corroborated by a fluorescent ATP (mant-ATP, sigma) binding assay. In this assay, binding of fluorescent ATP by DrRecA was found to be inhibited by increasing the concentration of PprA protein (0.1–0.4 μM) and this inhibition could be reversed by the addition of ATP (5 mM) (**Figure 7B**). Together, presented data suggested that PprA could impede the DrRecA



by either sequestering the ATP in solution or by limiting ATP access to the nucleotide-binding pocket of DrRecA and consequently interfering with the ATP hydrolysis. Since PprA was not able to bind and hydrolyze ATP (Figure 7A), the possibilities of DrRecA's ATPase inhibition by PprA protein would possibly due to the inability of DrRecA filament to either bind or hydrolyze the ATP when it forms physical interaction with PprA.

PprA Mutants Lacking Oligomerization and DNA Binding Could Not Inhibit the DrRecA

PprA protein has both dsDNA binding and oligomerization properties (Narumi et al., 2004; Rajpurohit and Misra, 2013; Adachi et al., 2014). Our interest was to find out how do these properties contribute to PprA inhibition of DrRecA function.



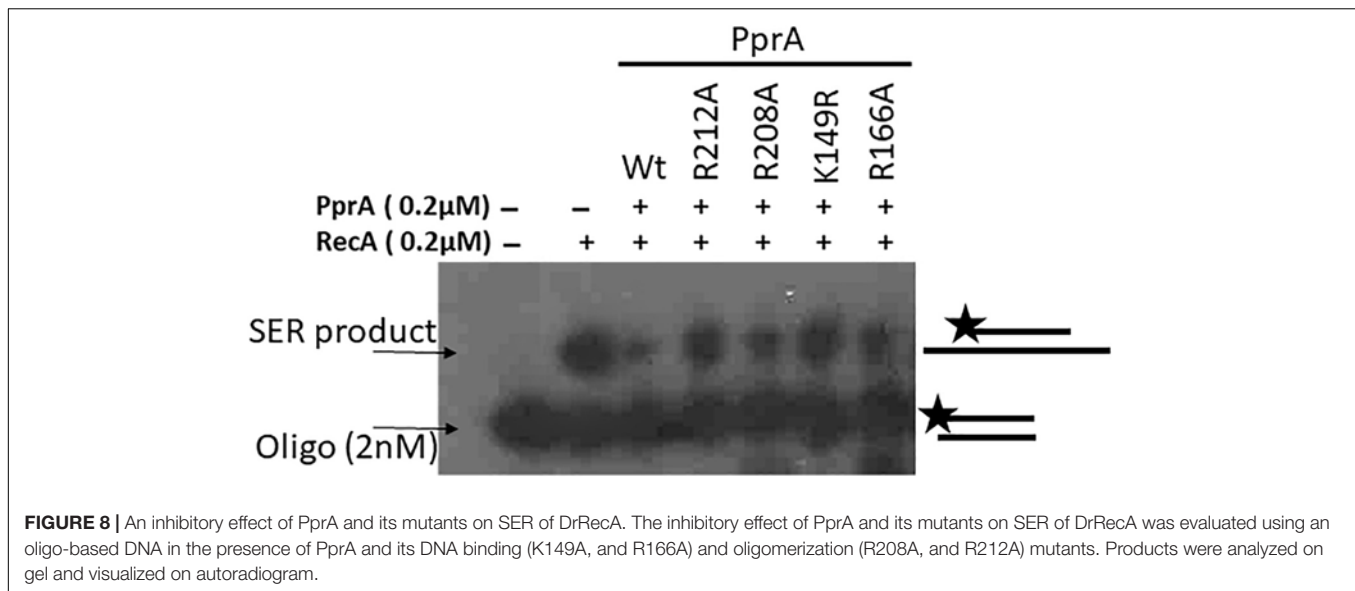
Previously, R208A and R212A mutants of PprA lacking both oligomerization and DNA binding properties, while K149A and R166A mutants lacking DNA binding activity but proficient in oligomerization were reported (Adachi et al., 2014). We have generated these mutants and their properties were verified and found to similar to as reported earlier (data not shown). The strand exchange reaction was monitored in the presence of PprA and its R208A, R212A, K149A, and R166A mutants. Interestingly, all four mutants either defective in DNA binding activity (R208A, R212A, K149A, and R166A) or oligomerization (R208A and R212A) showed marginal inhibition on DrRecA catalyzed DNA strand exchange reaction (Figure 8), while wild type PprA having intact DNA binding and oligomerization properties was being able to efficiently inhibit DrRecA functions (Figure 8). Interestingly, DNA binding mutant (R166A) and oligomer mutant (R212A) of PprA retained their ability to interact with DrRecA similar to wild-type PprA (Supplementary Figure 2). However, these mutations of PprA protein (R166A and R212A) hamper their ability to interfere with DrRecA catalyzed SER raised the possibility of a more dynamic nature of the interaction of PprA interaction with DrRecA. Nonetheless, these results highlighted the crucial role of PprA DNA binding and oligomerization ability in the inhibition of DrRecA function *in vitro*.

DISCUSSION

Deinococcus radiodurans cells have an extraordinary DNA repair capability and can endure a high level of genetic perturbation caused by ionizing radiation, desiccation, and stress-induced by cold conditions (Cox and Battista, 2005; Slade et al., 2009; Misra et al., 2013). DrRecA mediated recombination repair required for radiation-resistant phenotype and *recA* mutant of *D. radiodurans* highly sensitive to gamma radiation, UV radiation, and MMC (mitomycin C) treatment (Moseley and Copland, 1975; Gutman et al., 1994; Rajpurohit et al., 2016). Moreover, radiation sensitivity directly correlated with reduced recombination frequency in *recA* mutant of *D. radiodurans* (Moseley et al., 1972; Daly et al., 1994; Daly and Minton, 1996). RecA and its homolog catalyzes homologous recombination

repair (HRR) of the collapsed replication fork, DNA DSBs, and involve in the maintenance of genomic integrity (Li and Heyer, 2008). The regulation of bacterial RecA function is highly diverse and is regulated by many proteins. The regulatory proteins catalog affecting the function of bacterial RecA is increasing and many new candidates have been added to this list in the recent past (Cox, 2007). To add a new candidate to this list, the present study has brought forth a PprA protein as a negative regulator of DrRecA. In bacteria, canonical mechanisms of RecA regulation; is SOS regulatory mechanism and the same was found to be redundant in the case of regulation DrRecA (Narumi et al., 2001; Slade et al., 2009). Therefore, some new mechanisms that could regulate DrRecA expression have been suggested (Earl et al., 2002; Wang et al., 2008; Devigne et al., 2015; Blanchard et al., 2017). Phosphorylation-mediated regulation of DrRecA activity and structure dynamics has been recently shown (Rajpurohit et al., 2016; Sharma et al., 2020). *D. radiodurans*, RecFOR proteins that help the loading of RecA on DNA substrate were shown to be crucial for DrRecA function (Slade et al., 2009; Bentchikou et al., 2010), while RecX is shown to be a negative regulator of DrRecA and causes net disassembly of RecA nucleoprotein filament through physical interaction and mitigating the possibilities of hyper recombination that would be deleterious for the genome integrity under normal growth of this bacterium (Sheng et al., 2005). The present study has provided evidence to suggest the regulatory role of PprA protein in the regulation of DrRecA functions and possible underlying mechanisms to explain the epistatic natures of *pprA* and *recA* genes in *D. radiodurans*, particularly in γ -irradiated cells. The data presented in this study supported the following conclusions, (1) DrRecA and PprA protein interact physically, (2) PprA role is crucial in minimizing the deleterious effect of DrRecA due to possible hyper recombination activity in the cells recovering from gamma irradiation and for the genomic stability of the cells growing normally, (3) PprA protein could interfere DrRecA catalyzed strand exchange reaction is due to impediment of the ATPase function of DrRecA, but not due to sequestration of homologous dsDNA, and (4) PprA The oligomerization and DNA binding properties crucial for PprA led inhibition of DrRecA function.

PprA and DrRecA could physically interact *in vitro* and *in vivo* (Figure 1). This observation is not surprising as the



epistatic nature of these protein and the ability of PprA protein to interact with other DNA metabolic protein (DNA ligase, DNA gyrase, and topoisomerase IB) and DNA replication related proteins (DnaA and DnaB) due to its pleiotropic functions (Kota and Misra, 2008; Devigne et al., 2015; Maurya and Misra, 2020). The presence of dsDNA further augments the interaction of both proteins (**Supplementary Figure 2**) and was further supported by the inability of PprA mutants (lacking DNA binding and oligomerization properties) to exert an inhibitory effect on DrRecA catalyzed SER (**Figure 8**). The requirement of intact DNA binding and oligomerization properties of PprA protein for the maximum inhibitory effect on DrRecA catalyzed DNA strand exchange (**Figure 8**) is intriguing and raises the possibility that DNA might function as a mediator for this interaction. PprA protein has dsDNA binding properties but lacking ssDNA binding (Adachi et al., 2014), while DrRecA has both ssDNA and dsDNA binding properties with more affinity toward dsDNA in the absence of nucleotide cofactor (Warfel and LiCata, 2015; Rajpurohit et al., 2016; Sharma et al., 2020). The binding of DrRecA to ssDNA and dsDNA was least affected by the presence of an equimolar concentration of PprA (**Supplementary Figure 4**). Thus, it is likely that PprA may suppress the DrRecA activity by possible interdependent mechanisms; where direct binding of PprA to DrRecA may have further augmented by DNA binding ability of PprA protein to make a stable complex with DrRecA (**Figure 8** and **Supplementary Figure 4**). RecA promoted DNA strand exchange reaction begins with the loading of RecA on the single-stranded DNA (ssDNA) to form nucleoprotein filament which searches for homologs double-strand DNA (dsDNA) and facilitate the strand exchange (Shan and Cox, 1997; Yu et al., 2001). Interestingly, ATP hydrolysis is not required for the formation of heteroduplex complex during DNA strand exchange reaction as RecA may able to perform a search for homologs DNA even in the presence of a non-hydrolyzable ATP analog; ATP γ S or in the presence of ADP-AIF4 analog

(Menetski et al., 1990). Therefore, it was proposed that RecA unsaturated nucleoprotein filament propel the DNA exchange until the newly formed heteroduplex molecule keeps releasing from triple-helix nucleoprotein complex during SER (strand exchange reaction) and this function is being facilitated by ATP hydrolysis. Therefore, ATP hydrolysis by nucleoprotein filament is crucial for propelling the strand exchange reaction in the forward direction (Kowalczykowski et al., 1987). The established hypothesis about RecA mediated DNA strand exchange suggest that nucleoprotein filaments adopt a stretched, rigid, underwound B-DNA-like conformation (Chen et al., 2008), and the discontinuities in RecA nucleoprotein filaments would terminate the strand exchange and start homology search (Shan and Cox, 1997). Thus, ATP hydrolysis by RecA nucleoprotein filament offers dynamics to the RecA nucleoprotein filaments (van Loenhout et al., 2009). Data from the present study suggest that PprA protein interaction with DrRecA causes severe inhibition of ATPase function of DrRecA nucleoprotein filament (**Figure 7**) and inhibition of DNA strand exchange (**Figure 3**). The PprA led inhibition of DrRecA strand exchange was could not be rescued by adding molar excess of dsDNA or metal ion suggest that the inhibitory effect of PprA is not indirect rather through direct interaction with DrRecA filament and inhibition of ATPase function. The exact mechanism and the nature of this interaction are not clear but PprA interaction with DrRecA nucleoprotein filament interaction may likely either freeze the domain motion of nucleoprotein filament of DrRecA assisted by its ATPase activity or may limit the access the ATP to DrRecA nucleoprotein. Since PprA alone did not have ATPase and neither it can bind with ATP, thus former possibility is more likely. Recently, the functional implications of RecA unsaturated and saturated nucleoprotein filaments formation and the role of RecA ATPase function to regulate the dynamic equilibrium was probed by Zhao et al. (2017) by capillary electrophoresis-laser-induced fluorescence polarization assay (CE-LIFP) and suggest that RecA unsaturated nucleoprotein filaments predominate under

physiologically relevant conditions over long saturated RecA nucleoprotein filaments and these unsaturated nucleoprotein filaments are key driver scaffolds for the DNA strand exchange and homologous recombination (Zhao et al., 2017). Therefore, ATPase function is not only required for the removal of RecA from heteroduplex complex but also facilitates the formation of unsaturated nucleoprotein filament continuously to propel the DNA strand exchange reaction in a forward direction (Kowalczykowski et al., 1987; Zhao et al., 2017). The ATPase function of DrRecA nucleoprotein filaments may exist in an inactive default state under the condition when protein is bound to dsDNA. However, the inactive state changes to an active ATPase state when ssDNA is added to the reaction or in the presence of lower pH or by volume exclusion agents (Ngo et al., 2013). In general, the ATPase function of RecA gives mechanical power for nucleoprotein filament remodeling and dysfunctional ATPase would hamper the remodeling capacity of RecA filament and resultant no strand exchange product will be formed. Thus, data presented in the present study suggested that inhibition of ATPase of DrRecA by PprA may directly lead to an imbalance in DrRecA saturated and unsaturated nucleoprotein filament and resultant inhibition of DNA strand exchange.

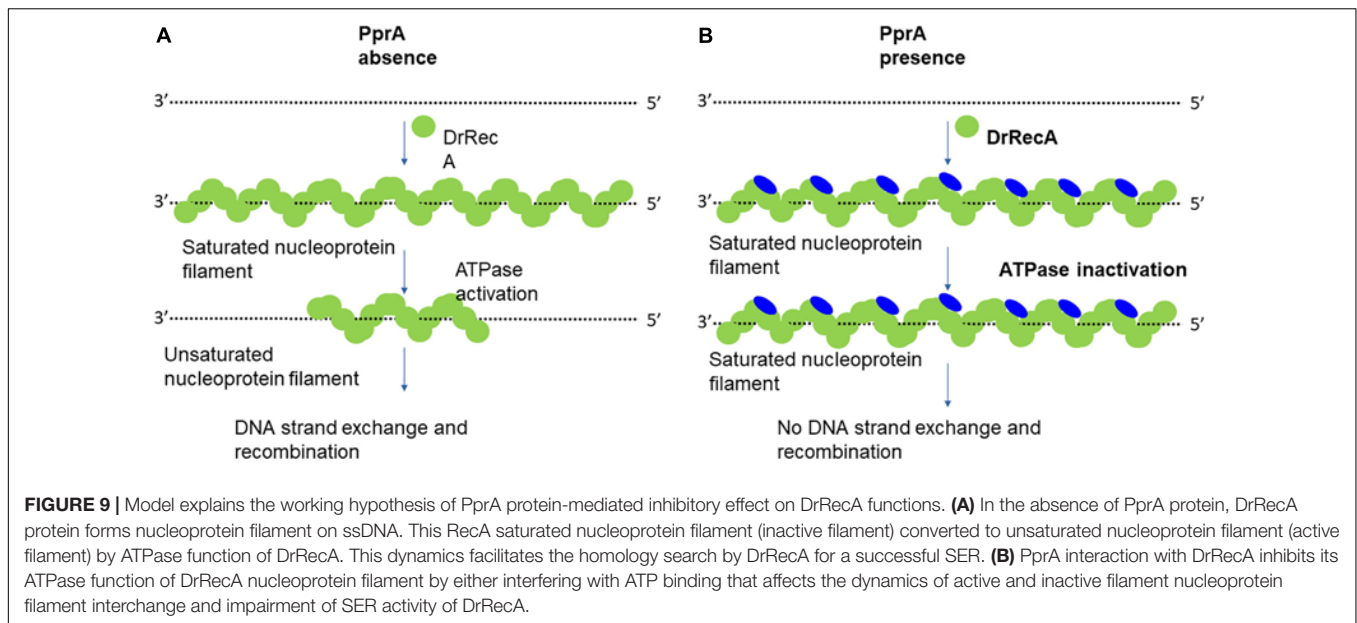
The activity of RecA is supposed to be highly regulated because unregulated RecA function may lead to hyper-recombination situations and could be deleterious for cell survival. Therefore, numerous protein regulator (RecBCD, RecFOR, SSB, LexA, UmuD, DinI, PsiB, RdgC, and RecX proteins) known to regulate bacterial RecA activity (Lusetti et al., 2004, 2006; Drees et al., 2006; Spies and Kowalczykowski, 2006; Cox, 2007). Here our data suggest that the PprA protein of *D. radiodurans* is a new regulator of RecA function, especially in the irradiated cells. Earlier it was shown that DrRecA could catalyze the DNA strand exchange through unique inverse strand exchange and able to complement the RecA functions in *E. coli*. However, *E. coli* RecA could only complement partially the DrRecA functions suggesting that RecA regulatory network operates in *D. radiodurans* are different from *E. coli* (Narumi et al., 1999). Interestingly, the existence of PprA protein was reported only in the *Deinococcaceae* family, and no homolog was reported outside this family (Narumi et al., 2004), suggesting that RecA activity regulation by PprA protein may be limited to the *Deinococcaceae* family. However, it would be interesting to see the inhibitory effect of PprA on RecA from other bacteria like *E. coli*. The ectopic expression *pprA* gene in *E. coli* induces the catalase function and oxidative stress resistance but its interaction with *E. coli* RecA not studied (Kota and Misra, 2006). How does PprA precisely contribute to the regulation of recombination repair and DrRecA function in *D. radiodurans* needs further careful and thorough study. Nonetheless, the present study hitherto brought forth interesting observations about the negative regulation of DrRecA activities and recombination by PprA. The recombination frequency increases in *pprA*⁻ mutant cells after irradiation but, little change in recombination compare to wild-type cells in PprA overexpressing cells after irradiation could be due to very high overexpression *pprA* gene itself in wild type cells after irradiation (Figure 2). On the mechanistic front, we propose that DrRecA activity inhibition by PprA by impeding the ATPase function

of DrRecA and altered nucleoprotein filament function which effectively diminishes the homology search and DNA strand exchange function of DrRecA (Figure 9). Zahradka et al. (2006) showed that DNA repair in *D. radiodurans* follows biphasic repair kinetics following exposure to extreme radiation, in phase I, massive DNA synthesis followed by assembly of DNA fragments occurs, which is dependent on DNA polymerase I activity and termed extended synthesis-dependent strand annealing (ESDSA) repair (Zahradka et al., 2006). Though the DrRecA level increased in the ESDSA phase, its function was primarily required in the later stage of repair where DrRecA mediated homologous recombination using substrate from ESDSA repair to produce full-length chromosomes (Liu et al., 2003; Slade and Radman, 2011). We believe that the implications of our finding of DrRecA and PprA interaction may help in allowing ESDSA repair by minimizing the DrRecA induced recombination events during ESDSA repair after acute doses of γ -radiation (Zahradka et al., 2006; Slade et al., 2009). Together, based on data presented here allow us to speculate that even though PprA work as an inhibitor of DrRecA by impeding its ATPase function but this inhibitory effect of PprA protein may well help *D. radiodurans* cells to efficiently repair shattered genome with the highest precision and thus help in maintaining genomic integrity.

MATERIALS AND METHODS

Bacterial Strains, Growth Medium, and Plasmids

Wild type bacterium *D. radiodurans* R1 used from lab stock (ATCC 13939). *pprA*⁻ mutant was a generous gift from I. Narumi, Japan (Narumi et al., 2004). Wild type *D. radiodurans* and its mutant were grown in TGY medium (1% Bacto tryptone, 0.1% glucose, 0.5% yeast extract) with appropriate antibiotic as described earlier (Rajpurohit and Misra, 2010). For the cloning and maintenance of plasmids; *E. coli* Novablue strain was used while *E. coli* BTH101 (lacking *cyaA*, referred here as BTH101) was used for the coexpression of cloned proteins on BACTH plasmids for *in vivo* protein-protein interaction studies and grown at 30°C (Maurya et al., 2018). pUT18, pKNT25, and pET28a (+) plasmids and their derivatives were maintained in *E. coli* cells (Nova blue) in the presence of the required antibiotics. Shuttle vector for *E. coli* and *D. radiodurans* pVHS559 and their derivatives were maintained in the presence of spectinomycin *D. radiodurans* (70 μ g/ml) and *E. coli* (150 μ g/ml) (Maurya et al., 2018). Standard molecular biology techniques were used as described (Green and Sambrook, 2012). Antibodies against the T18 (SC-13582) and T25 (SC-33620) domains of CyaA of *Bordetella pertussis* were procured commercially (Santa Cruz Biotechnology, Inc.), an antibody (Ab) against Anti-His purchased from New England Biolabs (United States). Molecular biology grade chemicals, enzymes, and other salts used in this study were procured from different manufactures like Sigma Chemicals Company, United States; Roche Biochemicals, Mannheim, Germany; New England Biolabs (United States); and Merck India Pvt. Ltd., India. Radiolabeled nucleotides were obtained from the Board of Radiation and Isotope Technology (BRIT), Department of



Atomic Energy, India. All the bacterial strains and plasmids used in this study are listed in **Supplementary Table 1**.

Construction of Recombinant Plasmids and Protein Purification

List of plasmids and primers used in this study given in **Supplementary Table 1**. The transnational fusion of DrRecA and PprA protein with T18 tag and T25 tag obtained by cloning of coding sequence of *recA* and *pprA* gene in pUT18 and pKNT25 plasmids at the restriction sites indicated in **Supplementary Table 1**. Obtained plasmids for *recA* gene (pUTDrrecA and pKNDrrecA) and *pprA* gene (pUTpprA and pKNDrpprA) were transformed to *E. coli* BTH101. Coding sequences of polyhistidine-tagged DrRecA and PprA were PCR amplified using pETHisFw and pETHisRw primers from their respective pET28a (+) clones as a template (Kota and Misra, 2006; Rajpurohit et al., 2016), and were sub-cloned in shuttle plasmid pRADgro at *ApaI* and *XbaI* sites, yielding pRadHisrecA and pRadHispprA respectively. Similarly, the T18-tagged *recA* gene was PCR amplified using primers (BTHrecA-F and BTHrecA-R) and T18-tagged *pprA* genes using primers (BTHpprA-F and BTHpprA-R) and cloned in pVHS559 shuttle vector at *NdeI-XhoI* sites for coimmunoprecipitation studies in *D. radiodurans* (**Supplementary Table 1**). Expression of all fusion proteins was confirmed by Western blotting using antibodies against the T18 domain of C18-tag and polyhistidine-tag (**Supplementary Figure 2**). pVHpprA^{GFP} expressing PprA-GFP fusion protein constructed earlier and used here (Kota et al., 2014). For the construction of the DrRecA-RFP expression plasmid, the coding sequence of DrRecA was cloned at pDSred plasmid (Clontech) at *KpnI* and *BamHI* sites, yield pDSrecA^{RFP}. The *rfp-recA* region was PCR amplified from pDSrecA and cloned at *ApaI* and *EcoRV* sites in pRAD plasmid and pRADrecA^{RFP} plasmid was obtained. Both pRADrecA^{RFP} and pVHpprA^{GFP} plasmids

were transformed into *D. radiodurans* transformants were screened on TYG agar plates supplemented with spectinomycin (75 µg/ml) and chloramphenicol (7 µg/ml). Recombinant GFP-PprA was expressed by inducing the culture with 10 mM IPTG in the case of *D. radiodurans* while RFP-DrRecA expresses constitutively.

Recombinant plasmids pETrecA and pETpprA used in this study were constructed earlier and described previously (Rajpurohit and Misra, 2013; Rajpurohit et al., 2016). Recombinant DrRecA and PprA were expressed in *E. coli* BL21(DE3) pLysS. Both proteins were purified as described previously (Rajpurohit and Misra, 2013; Rajpurohit et al., 2016). In brief, *E. coli* BL21(DE3) pLysS expressing recombinant proteins were harvested after 3 h post-induction by IPTG. The cell pellet was suspended in buffer A (20 mM Tris-HCl; pH 7.6, 150 mM NaCl) containing 10 mM imidazole, 0.5 mg/ml lysozyme, 1 mM phenylmethylsulfonyl fluoride (PMSF), 0.2% Triton X-100, and 10% glycerol and incubated at 37°C for 30 min. A protease inhibitor cocktail was added to the reaction mixture, and the cells were sonicated for 10 min using 5-s pulses with intermittent cooling for 10 s at 35% amplitude. The cell lysate was centrifuged at 12,000 rpm for 30 min at 4°C. The cell extract was loaded onto a NiCl₂ charged-fast-flow-chelating Sepharose column (GE Healthcare) equilibrated with buffer A (20 mM Tris-HCl; pH 7.6, 300 mM NaCl, 10% glycerol). The column was washed with 20 column volumes of buffer A containing 20 mM imidazole until proteins stopped coming from the column. Recombinant proteins were eluted with buffer A containing 250 mM imidazole. Fractions were analyzed by SDS-PAGE, and those containing nearly pure proteins were pooled and their his-tag removed by incubating proteins with Factor-Xa (NEB). Untagged protein comes out in flow-through when loaded on Ni-NTA agarose column following the protocols described by the manufacturer (Qiagen, Inc.). Unbound proteins were further purified on Q-sepharose,

Heparin, and Superdex-200 column. Proteins fractions free from detectable nuclease contamination and has more than 95% purity, were pooled and precipitated by ammonium sulfate precipitation followed by dialysis in buffer B; 10 mM Tris-HCl (pH 7.6), 50 mM KCl, 50% glycerol, and 1 mM PMSF and stored at -20°C Proteins.

Protein-Protein Interaction Studies, Western Blotting, and Coimmunoprecipitation

A bacterial two-hybrid system (BACTH) is employed to ascertain the *in vivo* protein-protein interaction in *E. coli* as detailed elsewhere (Battesti and Bouveret, 2012; Siddiqui et al., 2017). BTH101 *E. coli* cells were transformed with different plasmids expressing target proteins with T18 tags or T25 tags at the C-terminus of target proteins, respectively. Empty vectors in BTH101 cells used as controls. The cells in quadruplet spotted on LB agar plates containing 5-bromo-4-chloro-3-indolyl- β -D-galactopyranoside (X-Gal) (40 $\mu\text{g/ml}$), IPTG (0.5 mM), and antibiotics as required. After overnight incubation of plates at 30°C , the appearance of white-blue colored colonies was recorded. In parallel, an aliquot of the same culture was grown overnight with 0.5 mM IPTG and appropriate antibiotics, and β -galactosidase activity was measured from liquid cultures as described earlier (Maurya et al., 2018). In brief, diluted culture (1:4) into LB medium with OD₆₀₀ normalized. Cultures (100 μl) were mixed with 1 μl Z-buffer (60 mM Na₂HPO₄, 40 mM NaH₂PO₄, 10 mM KCl, 10 mM MgSO₄, 50 mM β -mercaptoethanol, pH 7.0) followed by the addition of, 0.01% SDS and 20 μl chloroform to permeabilize the cells, and cell debris was removed. Enzyme activity was measured in triplicate with 50 μl of supernatant using 0.4% O-nitrophenyl- β -D-galactopyranoside (ONPG) as a substrate. The β -galactosidase activity was calculated in Miller units as described previously (Battesti and Bouveret, 2012). The interaction of DrRecA and PprA proteins *in solution* was assayed by a glutaraldehyde-assisted cross-linking experiment. In brief, both proteins (approx. 5 μg each) were mixed in HEPES buffer (pH 7.6) and allowed to interact for 10 min followed by addition of 0.5% glutaraldehyde added and reaction incubated for another 10 min reaction terminated by adding 2X SDS dye and samples analyzed on SDS-PAGE. 1 kb dsDNA was added to see DNA-assisted protein interaction. For the western blotting and coimmunoprecipitation studies, different derivatives of pVHS559 and pRAD plasmids expressing C-18 tag (18DrRecA and 18PprA) and His-tag (HisRecA and HisPprA) fusion proteins were co-transformed in different combinations into *D. radiodurans*. The recombinant cells co-expressing these proteins were induced with 0.5 mM IPTG, and harvested cell washed with 70% ethanol followed by lysed in the buffer (50 mM Tris base, 150 mM NaCl, 5 mM EDTA) containing 0.5% Triton X-100, 1 mM PMSF, 1 mM dithiothreitol (DTT), supplemented with 0.5 mg/ml lysozyme, and 50 μg of a protease inhibitor cocktail tablet followed by sonication. The clear cell-free extracts (CFE) were obtained by centrifugation at $12000 \times g$ for 30 min. CFE used

for immunoprecipitation using polyclonal antibodies against either T18 or Anti-His tag antibody (Ab) and precipitated immunoprecipitates were separated on a 10% SDS-PAGE gel, blotted onto a polyvinylidene difluoride (PVDF) membrane, and hybridized with monoclonal antibodies against the either T18 or Anti-His tag antibody (Ab) as required. Hybridization signals were detected using anti-mouse secondary antibodies conjugated with alkaline phosphatase using BCIP/NBT (5-bromo-4-chloro-3-indolylphosphate/nitroblue tetrazolium) substrates (Roche Biochemical, Mannheim, Germany).

The Measure of Cell Survival, Recombination Frequency, Genomic Stability, and Transformation Efficiency

Wild type and its mutants (*recA*⁻ and *pprA*⁻) were treated with different doses of γ -radiation as described previously (Rajpurohit et al., 2008). In brief, mutant and wild-type *D. radiodurans* cells were grown in TGY medium to the late log phase at 32°C . The cells were suspended in sterile phosphate-buffered saline (PBS) and exposed to different doses of γ -radiation (GC500; ⁶⁰CO; Board of Radiation and Isotopes Technology, Department of Atomic Energy, India). Appropriate dilutions were plated on TGY agar plates and incubated at 32°C . The numbers of CFU were recorded after 48 h of incubation at 32°C .

For recombination frequency estimation *pNOKpqq* plasmid was used (Rajpurohit et al., 2008). This suicidal vector-only survives when integrated at the *pqq* locus of the chromosomal site of host *D. radiodurans* cells. Recombination frequency was estimated for normal growth and γ -irradiated cells as discussed earlier (Vierling et al., 2000). Briefly, 10^6 *D. radiodurans* R1 cells were mixed with 5 μg *pNOKpqq* plasmid, incubated on ice for 20 min and at 32°C for 50 min followed by dilution in 5 ml TGY medium overnight. Appropriate serial dilutions were plated on TGY plates with or without Kanamycin (8 $\mu\text{g/ml}$) and incubated at 32°C for 72 h to count colony-forming units (CFU). The recombination ability was calculated by the following formula: recombination efficiency (%) = (CFU with Kam/CFU without Kam) \times 100, Here, Kam stands for kanamycin antibiotic. Genomic stability assayed as described earlier (Sheng et al., 2005). In brief, *nptII* gene stability was examined by genomic PCR using Npt-F and Npt-R primers (Supplementary Table 1). Homozygous cells incubated at 32°C in TGY medium till stationary phase and subcultured to fresh TGY medium. each subculture considered as a new generation. For each generation, approximately one thousand clones from every sample plated on TGY plates with and without antibiotics and plates incubated at 32°C . The formula applied for genetic stability (%) calculation [Genetic stability (%) = (CFU with Kam/CFU without Kam) \times 100]. Plasmid pVHS559 was used to evaluate the transformation efficiency of γ -irradiated and unirradiated wild-type and *pprA* mutant cells. Transformants were selected on an appropriate antibiotic. 10 OD (A₆₀₀) cells resuspended in fresh TGY medium and irradiated for a 6kGy dose. Unirradiated sham controls were plated parallelly. 30 mM CaCl₂ is used to assist transformation. Appropriate dilution

plated and transformation efficiently calculated by calculating CFU/ μg plasmid DNA used.

DNA Binding Assay

DNA binding activity of DrRecA and PprA protein was checked using electrophoretic gel mobility shift assay (EMSA) as described earlier (Rajpurohit et al., 2016). In brief, 40 nucleotides long random sequence oligonucleotide (Oligo40-F, **Supplementary Table 1**) was used as ssDNA substrate and dsDNA substrate was made by annealing it with its complementary strand (Oligo40-R, **Supplementary Table 1**). Both ssDNA and dsDNA were labeled with [^{32}P]- γ -ATP using polynucleotide kinase and purified by G-25 column. The 0.2 pmole of the labeled probe (ssDNA and dsDNA) was incubated with increasing concentrations of DrRecA (0.5–2 μg) in 10 μl of reaction mixture containing 10 mM Tris-HCl, pH 7.5, 50 mM NaCl and 1 mM DTT for 10 min at 37°C. 2 μg PprA protein used with DrRecA or alone. Products were analyzed on a 12.5% native polyacrylamide gel, dried and signals were recorded by autoradiography.

DNA Strand Exchange Reaction

Long homology-dependent RecA-dependent DNA strand exchange was carried out using circular M13mp18 ssDNA and linear dsDNA as described earlier (Kim and Cox, 2002). Reaction carried out in buffer (25 mM Tris-acetate, 1 mM DTT, 5% glycerol, 3 mM potassium glutamate, 10 mM magnesium acetate, and an ATP-regenerating system (10 units/ml of pyruvate kinase/3.3 mM phosphoenolpyruvate or 10 units/ml creatine kinase/12 mM phosphocreatine). 2.5 μM *E. coli* SSB (NEB), ATP, DrRecA, and PprA protein concentrations are indicated for each experiment. The reaction began with a pre-incubation of 6 μM ssDNA^{nt} with DrRecA protein at 37°C for 10 min. followed by the addition of ATP and SSB protein. After incubation of 10-min, linear 5 μM dsDNA^{nt} was added to start the DNA strand exchange reactions. PprA protein was added before and after the addition of dsDNA (when required). The reactions were stopped by the addition of 5 μl of gel loading buffer (0.125% bromophenol blue/25 mM EDTA/25% glycerol/5% SDS) and samples were electrophoresed in a 0.8% agarose gel with TAE buffer. Gel stained with ethidium bromide and photographed in Gel doc system (Syngene).

For the oligo-based DNA strand exchange reaction, firstly, 1 μl of 0.1 μM concentration Oligo40-F was labeled at 5' end using polynucleotide kinase enzyme (PNK, NEB) using reaction buffer (70 mM Tris-HCl, pH 7.6, 10 mM MgCl₂, and 5 mM DTT) and 1 μM [^{32}P]- γ -ATP for 1 hr. Unused [^{32}P]- γ -ATP removed by passing reaction mixture from G-25 column. To obtain dsDNA equal molar concentration of [^{32}P]-labeled Oligo40-F and its complementary oligo Oligo40-R mixed in 50 μl reaction volume supplemented with 1X buffer (10 mM Tris-HCl, pH 7.6, 50 mM NaCl, and 1 mM EDTA) (**Supplementary Table 1**). Reaction sample heated for 5 min at 95°C and allowed for slow cooling to room temperature for annealing purpose. To perform the assay, indicated concentration of DrRecA incubated with oligo167-mer (2.5 μM nucleotides, **Supplementary Table 1**) in 10 μl of buffer (25 mM Tris-HCl, pH 7.5, 1 mM DTT, 2.5 mM MgCl₂,

0.25 mM KCl) containing 1 mM ATP for 5 min., after this ^{32}P -labeled oligo40-mer dsDNA oligonucleotide (2.5 μM nucleotides, **Supplementary Table 1**) added. PprA protein was added as and when required with indicated concentration. At the indicated times, a 2.5 μl aliquot was removed and mixed with an equal volume of 1% SDS containing proteinase K (1 mg/ml) and incubated at 37°C for 20 min. The samples were analyzed on 10% PAGE, dried gel exposed to x-ray, and autoradiogram developed.

ATPase Assay

[α - ^{32}P] ATP (Board of Radiation and Isotope Technology, Dept. of Atomic Energy, India) was used for TLC, and the release of [α - ^{32}P]ADP was measured as described earlier (Modi et al., 2014). In brief, purified recombinant DrRecA (0.2 μM) was incubated in the buffer (25 mM Tris-HCl, pH 7.5, 1 mM DTT, 2.5 mM MgCl₂, 1 mM ATP, 25 mM KCl, 2 nM ssDNA^{nt}) added with 30 nM of [α - ^{32}P] ATP. DrRecA incubated with increasing concentration of PprA to check PprA effect on ATPase of DrRecA. Reaction mixtures were incubated at 37°C for 10 min. The reaction was stopped by the addition of 10 mM EDTA. Further, 1 μl of the reaction mixture was spotted on polyethyleneimine (PEI)-cellulose TLC sheets. Spots were air-dried, components were separated on a solid support in a buffer system in 0.75 M KH₂PO₄/H₃PO₄ (pH 3.5), and an autoradiogram was developed.

ATP Binding Assay

ATP binding assay to DrRecA performed as described earlier (Rohn et al., 1999). In brief, 100 nM of fluorescent Mant-ATP (sigma) and 0.2 μM DrRecA was added in an assay buffer (20 mM Tris-HCl, 50 mM KCl, 1 mM DTT and 5 mM MgCl₂) in a cuvette (final volume 0.4 ml). The samples were then analyzed on FLS980 Spectrometer, Edinburg Instruments, United Kingdom at room temperature using an excitation wavelength of 355 nm and recording the emission spectra from 400 to 500 nm. The baseline buffer spectrum was subtracted from all spectra shown. To check the PprA protein effect, PprA protein added in reaction with increasing concentration as indicated. ATP (5 mM) was added for the competition assays.

Site-Directed Mutagenesis

PprA protein mutants were generated using a site-directed mutagenesis kit (New England Biolabs) following the kit manufacturer's protocols. Details of primers used for site-directed mutagenesis are given in **Supplementary Table 1**.

Evaluation of Transformation Efficiency

For the evaluation of transformation efficiency, 5 μg pVHSM plasmid was used to transform the wild-type and *pprA*⁻ mutant cells. Transformants were selected on spectinomycin antibiotic (100 $\mu\text{g}/\text{ml}$). For the gamma-irradiated cells, 10 OD (A₆₀₀) cells were resuspended in a fresh TGY medium and irradiated for a 6kGy dose. Unirradiated sham controls were plated parallelly. 30 mM CaCl₂ is used to assist transformation. Appropriate dilution plated and transformation efficiently calculated by calculating CFU/ μg plasmid DNA used.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author/s.

AUTHOR CONTRIBUTIONS

YR: hypothesized, design of experiments, execution, and manuscript writing and editing. DS: design and execution of experiments. HM: manuscript writing, editing, and discussion. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.636178/full#supplementary-material>

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GLI1: A Therapeutic Target for Cancer

Justin T. Avery^{1†}, Ruowen Zhang^{2†} and Rebecca J. Boohaker^{1*}

¹ Oncology Department, Drug Discovery Division, Southern Research, Birmingham, AL, United States, ² Department of Medicine, Stony Brook University, Stony Brook, NY, United States

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Edited by:

José Díaz-Chávez,
National Institute of Cancerology
(INCAN), Mexico

Reviewed by:

Chinnadurai Mani,
Texas Tech University Health Sciences
Center, United States

Kai Jiang,
University of Kentucky, United States

*Correspondence:

Rebecca J. Boohaker
RBoohaker@southernresearch.org

[†]These authors have contributed
equally to this work and
share first authorship

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GLI1 is a transcriptional effector at the terminal end of the Hedgehog signaling (Hh) pathway and is tightly regulated during embryonic development and tissue patterning/differentiation. GLI1 has low-level expression in differentiated tissues, however, in certain cancers, aberrant activation of GLI1 has been linked to the promotion of numerous hallmarks of cancer, such as proliferation, survival, angiogenesis, metastasis, metabolic rewiring, and chemotherapeutic resistance. All of these are driven, in part, by GLI1's role in regulating cell cycle, DNA replication and DNA damage repair processes. The consequences of GLI1 oncogenic activity, specifically the activity surrounding DNA damage repair proteins, such as NBS1, and cell cycle proteins, such as CDK1, can be linked to tumorigenesis and chemoresistance. Therefore, understanding the underlying mechanisms driving GLI1 dysregulation can provide prognostic and diagnostic biomarkers to identify a patient population that would derive therapeutic benefit from either direct inhibition of GLI1 or targeted therapy towards proteins downstream of GLI1 regulation.

Keywords: hedgehog, GLI1, therapeutic resistance, DNA damage repair, cancer

GLI AND THE HEDGEHOG PATHWAY

GLI1 is an effector transcriptional factor distal to both the canonical and non-canonical Hedgehog (Hh) signaling pathways. The Hh family of proteins contains three subfamilies: sonic hedgehog (SHh), desert hedgehog (DHH) and Indian hedgehog (IHH) (1). IHH and DHH are reported to be involved in normal tissue development, such as bone formation (2). SHh, first discovered in *Drosophila*, has been found to be highly conserved across many different vertebrate species including human, mouse, rat, frog, fish, and chicken, and is the most studied member of the hedgehog family (3). SHh plays a critical role in the embryonic development that is necessary for certain cell differentiation and maintenance of tissue polarity (4). Due to its conserved nature, and apparent critical functionality across organisms, SHh and the downstream pathway members have evolved to serve vastly diverse roles in both embryonic and non-embryonic cellular homeostasis. Herein, we focus specifically on our current understanding of SHh-GLI pathway and its clinical significance in human development and the consequences of its dysregulation in disease progression (5–8).

SHh-mediated transduction is initiated *via* extracellular SHh ligand binding to the 12-span transmembrane receptor, PATCHED-1 (PTCH-1) or the redundant receptor PTCH-2, in target cells (9, 10). In the absence of SHh, PTCH-1 and, redundantly, PTCH-2, catalytically inhibit

downstream signaling activity with seven-transmembrane G-protein-coupled receptor, Smoothed (SMO) (11–13). Upon SHh binding to PTCH, the inhibitory interaction is terminated through internalization of PTCH, releasing SMO and allowing for phosphorylation to transduce signal into the cytoplasm (14). The resultant signal leads to the component dissociation of a large protein complex comprising of Sufu and GLIs in the cytoplasm, releasing the GLI transcription factors. Finally, the released GLI transcription factors translocate into the nucleus to execute transcriptional activation of specific target genes (15). Aberrant activation of the hedgehog pathway has been shown to promote oncogenic activities, such as metastasis, DNA damage repair, stem-ness, and chemotherapeutic resistance, in a variety of types of cancer (16–26).

There are two models for the over-activation of the Hh pathway in cancer: (a) ligand-dependent model: tumors are able to over-activate SHh-GLI pathway *via* autocrine signaling to produce high level of SHh ligands (18, 27–31). This can be observed in several epithelial originating tumors such as small cell lung cancer (SCLC), pancreatic, colon, and prostate cancer, and glioblastomas, and medulloblastomas. (b) Ligand-independent model: Clinical observations have found mutations of PTCH-1 and PTCH-2 in basal cell carcinomas and in medulloblastomas, resulting in dysregulated GLI signaling due to ineffective sequestration of SMO signaling, regardless of SHh ligand levels. Mutant PTCH often results in SMO constitutive activation, subsequently promoting cell transformation and tumorigenesis (32). Inactivation of PTCH-1 due to gene mutation has also been reported in trichoepitheliomas (33), esophageal squamous cell carcinomas (34), and transitional cell carcinomas of the bladder (35). In both models, the commonality is a failure to stifle SMO signal transduction.

Regardless of how SHh-GLI pathway is activated, all biological function of these upstream proteins such as SHh, PTCH and SMO depends on the transcriptional effectors at the distal end of the pathway: the GLI proteins. There are three GLI transcriptional proteins in this family, two which act as transcriptional activators (GLI1 and GLI2) and one transcriptional repressor (GLI3) (36). GLI1 was initially found to transcriptionally regulate specific target genes involved in mammalian development, such as patterning in the central nervous system, proliferation, differentiation, and survival (37). However, increased expression in terminally differentiated cells is a known oncogenic biomarker for numbers cancer subtypes (38–40), making it an ideal drug discovery target.

GLI1 AS A TRANSCRIPTION FACTOR

GLI1 (1106 amino acids; MW 117.9kDa) was originally identified as an amplified gene product in a malignant glioma (41) and was the first member described in the human GLI gene family. GLI1-DNA binding is mediated by five highly conserved tandem C2-H2 zinc finger (ZF) domains and a consensus histidine-cysteine linker sequence between zinc fingers (42).

While ZF1-3 interacts with the phosphate backbone and contributes to binding stability and recruitment of co-regulatory factors, ZF4-5 regulates transcription, recognizing the consensus sequence 5'-GACCACCCA-3' in the promoter region of target genes. The two cytosine-pairs flanking the central adenine within the consensus site are critical for GLI binding, whereas the other positions can tolerate a certain degree of flexibility (43). In addition to the transcriptional ZF domain, the GLI proteins contain both nuclear export sequence (NES) and a nuclear localization signal (NLS), which facilitate the nucleo-cytoplasmic shuttling of GLI (44). GLI1 also contains a single SUFU-interacting site located at the N-terminus (SIN) (45), which is responsible for SUFU-mediated cytoplasmic retention of GLI1. The positioning of the SIN is unique to GLI1; GLI2 and GLI3 also have a SUFU-interacting site though it is located in the C-terminus (SIC) (45, 46). The GLI1 C-terminal region possesses a transactivation domain (TAD) which remodels chromatin and interacts with histone acetyltransferase (HAT), histone deacetylase (HDAC); SWI-SNF5; SWI/SNF-like Brg/Brm-associated factor; and the TFIID TATA box-binding protein-associated factor, TAFII31 (26). Like the SIN domain, all GLI proteins also possess a TAD, but GLI2 and GLI3 have an additional N-terminal repressor domain, which is lacking on GLI1. Therefore, GLI1 performs as a strong transcriptional activator (47), whereas full-length GLI2 is generally a weak activator since the fully activated form requires significant truncation of its N-terminus and C-terminus (48–51), and GLI3 has been reported as a strong repressor in most settings (52).

Two additional isoforms of GLI1, N-terminal deletion variant (GLI1 Δ N) and truncated GLI1 (tGLI1), have been identified. GLI1 Δ N is generation is the result of a 128-amino acid deletion on its N-terminus (47). This deletion results in loss of the lone critical suppressive SUFU-binding domain on the GLI1 protein sequence, while preserving the ZNF domains, NLS and NES, and the transactivation domain. As would be expected, this isoform of GLI1 functions as a constitutively active protein, with activity comparable to full-length GLI1 (GLI1FL) but surprisingly does not show a preferential expression in cancer tissues (53, 54). tGLI1 originates from a splicing of exon 3 and part of exon 4 of the GLI1 gene, resulting in the deletion of 41 amino acids (55). All functional domains are retained in tGLI1, and this isoform is observed specifically in tumor expression. It has been shown to regulate an additional set of target genes involved in EMT, invasion and metastasis (56). All three GLI1 isoforms (GLI1FL, GLI1 Δ N, and tGLI1) could be activated by SHh ligand stimulation, but whether they induce differently transcriptional targets has not yet been determined.

NON-CANONICAL ACTIVATION OF GLI1

Over-activation of Hh promotes the tumor microenvironment through pro-inflammatory mechanisms, angiogenesis, genome instability, mutation, resistance to cell death, energy imbalance, and is involved in invasion and metastasis (57, 58). Some studies,

however, fail to observe a positive correlation between the Hh signaling pathway and the development/progression of cancer (59–61). For instance, Li discovered that SMO expression was not statistically correlated with CRC-specific or overall survival; the same results were reported by Stefanius, where no correlation between Hh and colorectal serrated adenocarcinomas was observed (62, 63). Our lab, like many others, observed a positive correlation between GLI1 expression and disease severity (64). We also demonstrated that both GLI1 and one of its transcriptional targets, NBS1, negatively correlate with CRC patient 5-year survival, driving chemotherapeutic resistance by overcoming FOLFOX induced DNA damage (standard of care treatment). The difference lies in the way GLI1 is activated—whether it be through canonical activation (PTCH/SMO) or non-canonical (RAS/RAF, etc) (**Figure 1**). Elevated levels of GLI1 in cancer are often driven by non-canonical pathways. As such, this explains why Vismodegib, the first SMO inhibitor to be approved by the FDA for the treatment of BCC (65), failed to demonstrate the effectiveness in clinical trials for the treatment of metastatic colorectal cancer where GLI expression is driven non-canonically (66). Therefore, it is important to determine how GLI1 is upregulated and its function in the initiation, progression, invasion and metastasis in order to develop a therapeutic target for new treatment schemes based on the inhibition, at different levels, of the Hh pathway (67–69).

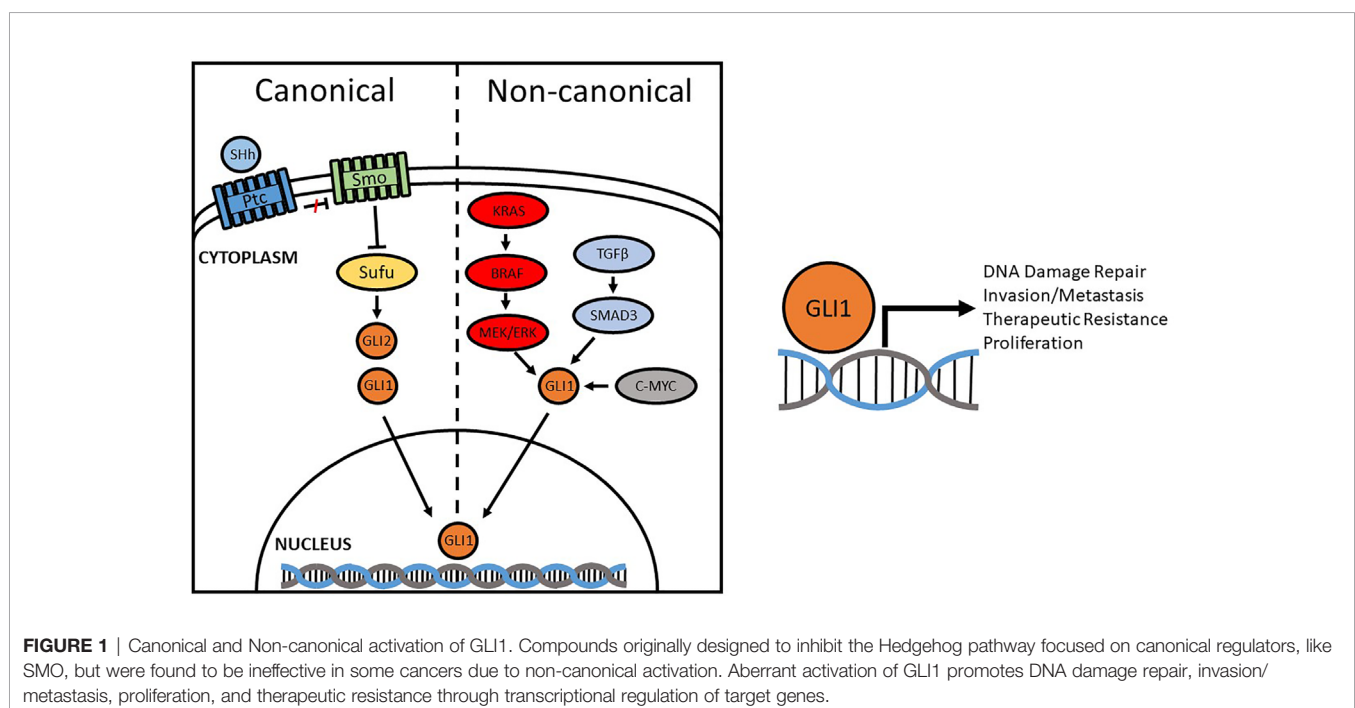
RAS-RAF-MEK-ERK Pathway

The RAS-RAF-MEK-ERK pathway is the most common non-canonical mechanism involved both in transcriptional activation of GLI genes and in post-translational modifications of GLI-transcribed proteins. In colorectal cancer, constitutively activated mutant KRAS or altered stimulation of pathway components

(mainly RAS, RAF, MEK) results in the hyperactivation of the mitogen-activated protein kinase (MAPK) extracellular signal-regulated kinase 1 and 2 (ERK1/2) and positively modulates tumor proliferation by increasing GLI1 transcriptional activity and expression of Hh target genes (54, 70, 71). This non-canonical activation pathway was confirmed in a study where EGF-induced stimulation of GLI is unaffected by SMO inhibition but was blocked by MEK1 inhibition (72). Similarly, the RAS-RAF pathway induces GLI1 and GLI2 transcriptional activity and increases mRNA and protein levels in a non-canonical manner in colon cancer cells (73). Pharmacological and genetic inhibition of GLI function is more effective in reducing tumor proliferation and inducing apoptosis than the inhibition of the canonical pathway at SMO level, suggesting that GLI activity is crucial for RAS/MEK-induced colon cancer proliferation (74, 75).

PI3K-AKT-mTOR Pathway

The PI3K-AKT-mTOR signaling pathway is another crucial non-canonical activator of GLI1, particularly evident in pancreatic cancers (76). Activation of PI3K-AKT signaling has been found to enhance GLI1 protein stability (77) since AKT is able to extend GLI proteins half-life in the cells by alleviating the inhibitory effect of PKA and facilitates nuclear translocation. Another mechanism of PI3K signaling activating GLI1 is *via* members of the ribosomal S6 kinase family (S6K/p70-S6K), which are the downstream effectors of the PI3K-AKT-mTOR axis. Activated S6K1 promotes GLI1 disassociation from SUFU by phosphorylating GLI1 at Serine residue at position 84, increasing GLI1 transcriptional activity (78). Additionally, p70-S6K2 has been shown to inhibit GSK3 by phosphorylating GLI1 at Ser9, leading to decreases of GSK3b-mediated GLI1 degradation (79).



TGF β Pathway

TGF β is a multifunctioning cytokine that has been implicated in nearly all the key steps of tumorigenesis, tumor maintenance and advanced metastasis (80). In brief, TGF β is secreted as a latent complex and sequestered in the extracellular matrix until activated. Once biologically available to its target cells, TGF β binds its type 2 receptor (TGFBR2), leading to the recruitment of its type 1 receptor (TGFBR1) and subsequent downstream signaling resulting in nuclear localization of the SMAD2/3/4 complex (81). In advanced pancreatic cancer, TGF β signaling contributes to a metastatic phenotype (82). GLI1 as an effector of TGF β signaling as it interacts with SMAD proteins to induce a subset of TGF β -inducible target genes, including BCL2, IL7, and Cyclin D1 (83). In the mouse model of PDAC, SMO-independent GLI1 activation promotes transformation and requires both TGF β and KRAS signaling (84) where inhibition of TGF β by TbrI antagonist SD208 significantly reduces tumor burden and increases infiltration of lymphocytes.

Other Pathways

C-MYC, which is frequently over-amplified in colorectal cancer, has been confirmed to be another oncogene that activates GLI1 independently from Hh ligand-mediated signaling (85). C-MYC is a transcriptional activator of GLI1. C-MYC-GLI1 activated pathway could be blocked by small molecule inhibitors targeting either protein, downregulating GLI1 expression and, in turn, inducing cell apoptosis of colorectal cells. Similarly, aberrant expression of oncogenic EGFR, which is responsible for the over-activation of GLI1 through RAS-RAF-MEK pathway, promotes colorectal cancer metastasis and chemotherapeutic resistance. In triple negative breast cancer, elevated expression of GLI1 is driven by VEGF/NRP2 and $\alpha 6\beta 1$ pathway results in an autocrine feedback loop with GLI1 enhancing the expression of NRP2 (86). Atypical protein kinase C ι/λ (aPKC) has been identified as a novel regulator of GLI1, and like the VEGF/NRP2 pathway, results in a positive feedback loop enhancing GLI1 overexpression in basal cell carcinoma (87) and has been also observed in drosophila (88). An interesting connection between GLI1 and p53 has also been reported because of loss of p53 results in aberrant GLI1 expression (89). Genetic mutations of aforementioned pathway genes have been shown to drive GLI1 expression in multiple types of cancer and cancer precursor diseases (90–95). An interesting GLI1 genetic translocation was first noted in 2004 when five pericytomas had an ACTB-GLI1 fusion transcript t(7;12) (96), with an additional three patients reported on 15 years later (97). Additional fusions were later observed with ACTB1/MALAT1/PTCH1-GLI1, which were associated with metastasis to the lung/lymph node in three of the patients (98).

GLI1 IN CANCER

While GLI1 and GLI2 are both transcriptional activators, GLI1 can be thought of as the primary effector of Hh signaling since GLI1 is a transcriptional target of GLI2, which may amplify Hh-

induced, GLI2-mediated transcription of GLI1 target genes (99–102). As previously stated, GLI1 induced by Hh signaling is important in the regulation of cellular proliferation, stemness, cell fate determination, and cellular survival in a variety of organs (36, 103); however, its aberrant activation has been associated with many human cancers (104). For example, GLI1 is amplified in glioma (37), osteosarcoma, and rhabdomyosarcoma (105). Mutations in PTCH or SMO are also prevalent in basal cell carcinomas, medulloblastomas, and cancers of the esophagus and bladder (102), and sustained and activated Hh-Gli signaling has led to the development of medulloblastomas in PTCH heterozygous mice (106). Melanomas and carcinomas of the prostate have further demonstrated a need for elevated Hh-Gli signaling, since inhibition by cyclopamine (a SMO inhibitor) can result in reduction for these types of cancers (107, 108).

Although GLI1 plays a key role in canonically activated Hh cancers (103, 109), non-canonical oncogenic activation (CMYC, RAS/RAF, TGF β , etc) is critical to address as well (110). For example, in gastrointestinal (GI) cancers, over-activation of GLI1 is driven by KRAS/BRAF mutation (102). It has recently been suggested that oncogenic GLI1 progresses during colon carcinogenesis (111, 112) and in metastatic disease (31), whereas in normal colonic tissue, Hh-GLI is strictly involved in differentiation (59, 113).

Cancer Stem Cells and Colorectal Cancer

Colorectal cancer (CRC) is still one of the most common gastrointestinal cancers worldwide and results in approximately 33% mortality rate, despite several therapeutic advancements (114). The most important prognostic indicator is stage at diagnosis. The 5-year relative survival of patients diagnosed with CRC is 90% for patients with localized disease (non-metastatic), whereas clinical statistics shows less than 5% 5-year survival for metastatic CRC (115, 116). Therefore, oncogenic drivers of metastasis promote a significant problem to both CRC patients and clinicians (63, 117). The mechanism for CRC progression toward metastasis is multifactorial, with age, dietary habits, genetic alteration (mutational activation of oncogenes and inhibition of several tumor suppressor genes), intensity of epithelial-to-mesenchymal transformation (EMT), angiogenesis in tumor growth, and response to the therapeutic treatment all playing roles in the progression of disease (118, 119). Various gene mutations (KRAS, MYB, and BRAF) and gene abnormal amplification (CMYC and EGFR) have been associated with the molecular mechanisms underlying the development of CRC, all of which can result in non-canonical activation of GLI1 (120, 121). Another complication for studying and treating CRC is the heterogeneity of the disease. This heterogeneity is driven by the pluripotent, self-renewing cancer stem cells (CSCs) which have unlimited self-renewal through symmetric cell division, and have the ability to give rise to progeny cells through asymmetric division, and an innate resistance to cytotoxic therapeutics (122). Additionally, many publications have implicated Wnt, Notch, Hh, and/or TGF β signaling pathways in proliferation and maintenance of CSCs, and dysregulation of these pathways might cause the development of CRC (123–127). All of these pathways drive

GLI1 expression, defining GLI1 as a cancer stem cell marker in multiple types of cancer, including colorectal (128–131).

Metastasis and Pancreatic Cancer

Pancreatic ductal adenocarcinoma (PDAC) is one of the deadliest types of cancer in the United States, with a 5-year survival rate of less than 3.5% (132, 133). Removal of the tumor is the only potentially curative treatment to date, but this is not achievable for over 85% patients due to non-resectable cases like early-stage metastasis or complicated primary site (80). KRAS over-activation mutations play a major role in initiating the transformation from precursor lesions termed “pancreatic intraepithelial neo-plasias” (PanINs) to PDAC and promote cancer development and metastasis (134–136). In pancreatic cancer, KRAS mutations are present in 90% of cases (137). Multiple mutation types exist, with codon-13 (G13D) or -61 (Q61L or Q61H) occurring less frequently and 95% of KRAS mutations occurring at codon-12. Single-nucleotide mutations on codon-12 result in eight different amino acid substitutions, with G12D the predominant mutation (51%), G12V (30%), G12R (12%), G12C (2%), G12S (2%), G12A (2%), G12L/F (1%) (11, 14). These missense mutations enhance the level of GTP-bound active KRAS due to impairing intrinsic and GTPase-activating protein-mediated GTP hydrolysis, resulting in over-activating downstream signaling, increasing cell growth and survival, leading to neoplastic transformation (138–140). For patients with locally advanced and/or metastatic PDAC, a G12D KRAS mutation within the primary tumor is an independent prognostic factor that results in significantly decreased overall survival, including those within the subgroup that receive chemotherapy (141). Pancreatic cancer with activating mutations in KRAS or BRAF occur frequently, and oncogenic pathways like RAS/RAF/MEK/ERK, the PI3K-AKT-mTOR, and TGF β signaling converge on the activation of GLI1, promoting cellular proliferation, tumor progression, chemotherapeutic resistance, and early metastasis (142, 143).

Radiosensitivity, Heterogeneity, and Brain Cancer

Glioblastoma multiforme (GBM) is the most aggressive and most common type of brain tumor. The standard of care for patients with GBM is maximum safe surgical resection followed by concurrent temozolamide (TMZ) and radiation therapy (144). TMZ is an alkylating agent that results in the transport of methyl groups to guanine and adenine, resulting in DNA damage and eventual cell cycle arrest and apoptosis. TMZ also acts as a radiation-sensitizer to enhance the DNA damage induced by the ionizing radiation. Individuals receiving this standard treatment have a median survival time between 12 and 15 months and have an average 5-year survival of 5% in the United States. Unfortunately, approximately 50% of patients do not respond to the standard of care regimen (145). Most of these cases are the result of overexpression of O6-methylguanine-DNA methyl-transferase (MGMT), a protein that directly counters the methyl damage caused by TMZ (146). In fact, hypomethylation of the MGMT promoter is a biomarker for aggressiveness of disease

and poor response to therapy (147). GLI1 was recently identified as positive regulator of MGMT, having several putative binding sites in the MGMT promoter region (148). Aberrant activation of GLI family members has been linked to chemotherapeutic resistance to TMZ (69). Data set analysis from the Chinese Glioma Genome Atlas (CGGA) indicates that individuals with lower expression of GLI1 (149) have a statistically greater median survival when compared to GLI1 high-expressing patients. Several studies have examined the effect of GLI inhibition in GBM cells *in vitro* and found that treatment with GLI inhibitors, like GANT61, results in decreased expression of MGMT and re-sensitization to TMZ (148, 150, 151).

Neuroblastoma accounts for roughly 8% of all childhood malignancies and up to 15% of all pediatric cancer deaths (152). It is a heterogeneous solid tumor, and the heterogeneity is partially driven by the generation of extrachromosomal circular DNA (eccDNA) (153). eccDNA formation has been linked to the dysregulation of the double-stranded break (DSB) repair mechanism, specifically that which drives non-homologous end-joining (NHEJ) and is produced through R-loop defects or circularization of gene fragments (154). Oncogenic GLI1 drives R-loop formation, and treatment with GANT61 has been shown to decrease the generation of R-loop formation (155), likely additionally reducing the generation of eccDNAs.

IMPACT OF GLI1 ON BIOLOGICAL PROCESSES

Metastasis and Epithelial-Mesenchymal Transition

EMT is considered to be an important feature in cancer development. This process allows the epithelial cells to undergo various biological changes, transforming them to a mesenchymal cell phenotype characterized by enhanced migration, invasiveness, and resistance to apoptosis. EMT markers, such as snail family of zinc-finger transcription factor 1 (SNAIL1), vimentin, and E-cadherin, are three of the primary factors that regulate the EMT transition. GLI1 can initiate cancer cell EMT by increasing expression of SNAIL1 and vimentin but decreasing E-cadherin, causing β -catenin to migrate into the nucleus and act as a transcription factor, inducing cell transformation (156, 157). Since β -catenin is an important member of the WNT signaling pathway, this results in cross-talk between WNT pathway and Hh pathway, resulting in GLI1 activation (158). Overexpression of GLI1 in colorectal cancer cells induces more invasive growth in organoid 3D cultures as well as in soft agar colony formation (159).

DNA Damage Repair Response

GLI1 activation has been linked to the DNA damage response (DDR) and promotes chemotherapeutic resistance. Recent studies have demonstrated that loss of either non-homologous end joining (NHEJ) gene DNA Ligase IV (Lig4), or genes involved in homologous recombination (HR) like X-ray cross complementation 2 (XRCC2),

and breast cancer growth suppressor protein 2 (BRCA2), or (Lig4/XRCC2) in combination with p53 deficiency results in PTCH-1 downregulation and GLI1 activation (69, 160). DNA damaging agents, such as doxorubicin and cisplatin, induced concomitant expression of p53 and downregulation of GLI1 and its target genes (161). In response to damage, p53-induced cell cycle checkpoints prevents proliferation of damaged cells and provides sufficient time for repair, which is the opposite response that GLI1 promotes (69).

Specific inhibition of GLI1 induces extensive cell death while the inhibition of Hh signaling at the level of SMO did not in colorectal cancers (161). In HT29 cells, inhibition of GLI1 by siRNAs or GANT61 (a small molecule inhibitor) showed increased DNA damage and cell cycle arrest at G1-S and in early S-phase, resultant of down-regulation of cell cycle genes, such as E2F2, cyclin E2, Cdc25a, Cdk2 and cyclin A2, Cdc25c, cyclinB2, Cdc20, Cdc2. Inhibition of GLI1 induces serious DNA damage because it pauses DNA synthesis by impairing the ensemble of DNA licensing pre-complex and accumulates conflicts by head-to-head jam made by DNA and RNA synthesis machinery due to cell cycle arrest (155). Additionally, inhibition of GLI1 not only promotes cell cycle arrest it also impairs cell innate DNA damage response procedure. The DDR machinery is comprised of multiple sensors and repair enzymes that are deployed at various stages of the cell cycle to ensure the maintenance of chromosomal integrity and replicative fidelity. Numerous reports of overexpression of critical DDR component proteins in oncogenic environments indicate that chemo-resistance can arise due to over-activation of the MRE11, Rad50, NBS1 (MRN) complex. A critical component of the MRN complex is the Nijmegen breakage syndrome-1 (NBS1; p95, nibrin) protein, produced by NBS gene. Complexing with MRE11 and RAD50, NBS1 is the first factor to detect and bind to histone H2AX at the site of a DNA lesion which subsequently forms the multimeric MRN complex, initiating the process of DSBs repair (162–164). Overexpression of individual components of the MRN complex has been significantly associated with adverse clinical outcomes due to chemotherapeutic resistance. Therefore, induced novel therapeutic avenue would be to inhibit the DDR mechanism, allowing chemotherapeutic mechanisms that target DNA damage to work more effectively. The challenge, however, is to specifically eliminate DDR in cancer cells without affecting the normal and necessary functions of DDR in non-cancerous cells.

Ataxia-telangiectasia mutated (ATM) is a kinase that regulates a number of substrates, including the phosphorylation of NBS1, which is required to initiate and enhance NBS1's DDR activity. As such, several programs have attempted to develop various ATM inhibitors aimed to inhibit DDR (165). Unfortunately, ATM itself is not a specific therapeutic target because of its multiple domain nature, critical kinase function in normal cellular processes, and essential role in the maintenance of chromosome integrity at all phases of the cell cycle (166). Some studies reported that the level of phosphorylated NBS1 (Ser343), which is regulated by its upstream kinase ATM/ATR, is a critical phosphorylation status thought to increase DNA damage response and promotes cell survival. To test this theory, our lab

overexpressed wild type NBS1, domain-negative NBS1 (S343A), or phospho-mimic NBS1 (S343E) in HT29 cells. Overexpression of any NBS1 vector rescued ~25% of cells from apoptosis mediated by GLI inhibition. Surprisingly, the overexpression of S343E, S343A, or total NBS1 was not statistically different from one another, indicating that total levels of NBS1, elevated by GLI1 transcription, rather than the phosphorylation status, were responsible for protection from GLI inhibition-induced apoptosis (64). Since GLI1 is not typically expressed by differentiated cells, targeting oncogenic expression of GLI1 would result in fewer off-target effects and provide a specific therapeutic strategy.

GLI1 INHIBITORS

Most of the efforts to-date have typically focused on targeting GLI inhibition through the canonical Hh pathway, targeting upstream regulators like SMO, and subsequently sequestering GLI1 in the cytoplasm. Five SMO inhibitors have been approved by the FDA for clinical trials: vismodegib (GDC-0449), sonidegib (NPV-LDE-225), saridegib (IPI-926), BMS-833923, glasdegib (PF-04449913), and taladegib (LY2940680) (167). Variable success using SMO inhibitors has been demonstrated across a variety of different cancer types in preclinical models (30, 31, 107, 168–171) and clinical models (172–177). This is due to the predominant dependence of certain types of human cancers on canonical Hh signaling, such as basal cell carcinoma (173, 177), and medulloblastoma (172). However, clinical trials in most solid tumors have failed, likely because of aforementioned non-canonical activation pathways (i.e., RAS-ERK, PI3K-AKT-mTORS6K1 signaling, p53 loss, epigenetic alterations, etc.). Therefore, direct targeting of GLI might represent a better choice to improve the antitumor activity of these drugs in such cases.

The library of GLI1 antagonists is not as extensive as that for SMO. The most commonly used small molecules are GANT58 and GANT61, which were identified in a cell-based GLI-dependent luciferase screening system (178). These two compounds belong to different chemical classes, with GANT61 being a hexahydropyrimidine derivative and GANT58 possessing a thiophene core with four pyridine rings. Compared to GANT58, GANT61 is more specific toward GLI proteins and effectively reduces GLI1 and GLI2 DNA-binding ability, inhibiting the Hh pathway with a half maximal effective concentration (EC50) of 5 μ M in GLI1-expressing HEK293T cells (26). GANT61 binds to the GLI1 protein between ZF2 and ZF3, by interacting with Glu119 and Glu167, as demonstrated by *in silico* docking on the crystal structure of the ZF domain of GLI1 bound to DNA (119). Experimental analysis shows that mutation of the predicted binding sites significantly reduces GANT61-GLI binding affinity. The GANT61 binding site is different from the GLI DNA-binding region, and the inhibitor is not able to bind to other ZF transcription factors such as KLF4 or TFII β (26, 119). Unfortunately, GANT61 is not usable as a translational therapeutic as it is unstable and has poor PK properties (179).

Using GANT61 as an initial scaffold, Southern Research has recently developed a novel GLI1 inhibitor (SRI-38832) that has better PK properties and has shown efficacy *in vivo* (64). Additionally, there are several promising compounds showing the biological activity of GLI inhibition (180), arsenic trioxide (ATO), originally approved by the FDA for the treatment of acute promyelocytic leukemia, has been shown to inhibit GLI proteins by binding to GLI proteins and enhancing degradation (181). ATO is currently being tested in multiple clinical trials ranging from phase I to phase IV for either solid tumors and hematologic malignancies. However, recent reports indicate lack of efficacy against small cell lung cancer (182). Polyunsaturated fatty acids (PUFAs) have also been reported to repress GLI1 expression by stimulating GLI1 suppressor, nuclear factor of activated T cells 1 (NFATc1) expression (183). Glabrescione B (GlaB), an isoflavone naturally found in the seeds of *Derris glabrescens*, is able to bind the GLI1 ZF domain, thereby diminishing GLI1/DNA interaction (184). Lediand Biosciences used Glabrescione B as their scaffold for generating a pool of compounds for GLI1 inhibition (185, 186). Computational modeling of the DNA/GLI1 protein interaction has also been used to develop an 8-hydroxyquinolines as a GLI1 inhibitors, with similar scaffolds as Lediand Bioscience's compounds (187). Finally, the Hedgehog pathway inhibitors (HPIs) including HPI-1, HPI-2, HPI-3, and HPI-4, were identified with a high-throughput screening for compounds capable of abolishing the Hh target gene expression induced by the SMO agonist SAG (188). HPI-1 can suppress Hh pathway activation, likely through targeting a posttranslational modification of the GLI proteins and/or an interaction between the transcription factor and a co-factor (189). The detailed mechanisms of action have not yet been completely unraveled.

One specific problem often encountered is the non-specificity of developed compounds claiming to be specific for GLI1 (i.e., also inhibit GLI2 and decrease GLI2 protein/messenger expression). The homology of GLI1 and GLI2, along with the similarities in the promotor recognition sequence makes it difficult to design an inhibitor of one without inadvertently targeting the other. Computational modeling and structural biology (NMR; crystallography) can help to resolve the challenge of non-specificity.

CONCLUSIONS

GLI1 exists at the conjunction of multiple oncogenic pathways outside of the canonically understood hedgehog pathway. In the

scope of oncogenesis, GLI1 activation is particularly dominant in subsets of a number of cancer types because parallel non-canonical pathways outside of hedgehog signaling influence GLI1 function. Additionally, the list of GLI1 transcriptional targets continues to expand, encompassing cell cycle regulators (Cdt1), DNA damage repair proteins (NBS1), and proliferation (FOXM1). In certain cancers, it promotes a dedifferentiation to a more stem-like phenotype. Because of GLI1's regulatory fluidity, targeting upstream pathway members is often an exercise in futility, as seen by the failure of SMO inhibitors, for example. For this reason, GLI1 is a significant therapeutic target for the treatment of multiple cancer types.

Whether overexpressed due to canonical, non-canonical, or genetic mutation, elevated GLI1 expression drives several of the hallmarks of cancer including DNA damage repair, cell proliferation, and metastasis. Rather than target upstream regulators of GLI, targeting the distal effector provides the greatest potential for therapeutic benefit. Since GLI1 is canonically active in embryonic development, with minimal basal expression in differentiated cells, it 1) serves as a biomarker for de-differentiation in cancer cells, particularly those refractory to treatment and 2) provides a prominent target not readily expressed in most non-cancerous tissue. As such, by targeting the downstream effector (GLI1) rather than upstream activators, we can effectively inhibit the oncogenesis driven by aberrant GLI1 activation, and promote cancer-specific DNA damage. While many promising drug discovery campaigns are developing and looking for novel GLI1 inhibitors, more work needs to be done to develop a potent, specific inhibitory compound.

AUTHOR CONTRIBUTIONS

JA and RZ compiled the information and wrote the manuscript. RB reviewed and corrected the manuscript. JA and RZ have contributed equally to this work and share first authorship. All authors contributed to the article and approved the submitted version.

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NTNG1 Modulates Cisplatin Resistance in Epithelial Ovarian Cancer Cells via the GAS6/AXL/Akt Pathway

Shanyu Fang, Yuanyuan Luo, Ying Zhang, Houmei Wang, Qianfen Liu, Xinya Li and Tinghe Yu*

Laboratory of Obstetrics and Gynecology, The Second Affiliated Hospital, Chongqing Medical University, Chongqing, China

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David Y. Lee,
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United States

Reviewed by:

Tuan Zea Tan,
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Bien-Etre Labs, LLC, United States

*Correspondence:

Tinghe Yu
yutinghe@hotmail.com;
yutinghe@cqmu.edu.cn

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Cisplatin resistance is a challenge in the treatment of epithelial ovarian cancer. Here, clinical data showed that the level of netrin-G1 (NTNG1) in cisplatin-resistant cancer was higher than that in cisplatin-sensitive cancer (2.2-fold, $p = 0.005$); patients with a high NTNG1 level in cancer tissues had shorter progression-free survival (11.0 vs. 25.0 months, $p = 0.010$) and platinum-free interval (5.0 vs. 20.0 months, $p = 0.021$) compared with patients with a low level. Category- or stage-adjusted analyses demonstrated that the association between the NTNG1 level and prognosis occurred in type II or FIGO III/IV cancer. The basal level of NTNG1 in SKOV3/DDP cells (a cisplatin-resistant subline) was higher than that in SKOV3 cells; therefore, NTNG1 was overexpressed in SKOV3 cells, or silenced in SKOV3/DDP cells. Knocking in NTNG1 reduced the action of cisplatin to decrease cell death and apoptosis of SKOV3 cells, accompanied by upregulation of p-AXL, p-Akt and RAD51; however, opposite effects were observed in SKOV3/DDP cells after knocking down NTNG1. Co-immunoprecipitation demonstrated that NTNG1 bound GAS6/AXL. Silencing NTNG1 enhanced cisplatin effects *in vivo*, decreasing tumor volume/mass. These data suggested that a high NTNG1 level can result in cisplatin resistance in ovarian cancer cells via the GAS6/AXL/Akt pathway and that NTNG1 may be a useful target to overcome resistance.

Keywords: NTNG1, cisplatin resistance, ovarian cancer, Axl, DNA repair

INTRODUCTION

Ovarian cancer is the most lethal gynecologic malignancy worldwide; epithelial cancer (EOC) accounts for >85% of cases. The standard treatment for EOC is cytoreductive surgery, followed by cisplatin (CDDP)-based chemotherapy. However, the 5-year survival rate is <40%, since the gradually increasing cisplatin resistance during treatment leads to treatment failure (Christie and Bowtell, 2017; Coburn et al., 2017).

Mechanisms of cisplatin resistance are only partially understood. Cisplatin commonly attacks DNA leading to apoptosis; therefore, an increase in DNA repair and activation of survival pathways can result in cisplatin resistance, and numerous candidate genes have been identified

(Gasparri et al., 2018; Damia and Broggin, 2019). Understanding the functions of these molecules will help identify targets to overcome cisplatin resistance.

Netrin-G1 (NTNG1, also known as laminin-1) belongs to the family of netrins and interacts with diverse single-pass surface receptors to mediate cell repulsion, attraction, and adhesion (Sun et al., 2011). NTNG1 contains an extracellular N-terminal laminin-like domain and a C-terminal glycosylphosphatidylinositol (GPI) anchor; NTNG1 predominantly tethers to the membrane through the GPI anchor, promoting outgrowth of thalamocortical axons (Yin et al., 2002; Lin et al., 2003). It has been shown that abnormal expression of the NTNG1 gene plays a role in the occurrence and recurrence of colorectal cancer, and that an alteration in NTNG1 activity is related to poor prognosis via disruption of the extracellular matrix (Yi et al., 2011; Sho et al., 2017). However, the role of NTNG1 in ovarian cancer remains unclear.

The receptor tyrosine kinase AXL triggers cancer progression. AXL interacts with its ligand growth arrest-specific 6 (GAS6), promoting cell adhesion, survival, and proliferation via activation of the ERK or Akt pathway (Graham et al., 2014). Recent data have indicated that AXL may participate in cisplatin resistance. AXL can prevent DNA damage due to drugs and promote DNA repair by upregulating the expression of RAD51, a key protein for homologous recombination (HR) (Balaji et al., 2017; Kim et al., 2017; Rose et al., 2020). High expression of AXL is associated with lower therapeutic responses and poorer prognosis in ovarian cancer; thus, AXL is a candidate molecule to conquer cisplatin resistance (Kim et al., 2015; Suh et al., 2015; Tian et al., 2021). However, underlying mechanisms are poorly understood.

Our protein interaction analysis showed that NTNG1 can interact with GAS6, suggesting that the role of NTNG1 may correlate with AXL. Here, the correlation between the expression level of NTNG1 and cisplatin response in ovarian cancer was evaluated using online datasets, and the role of NTNG1 in cisplatin resistance was explored with knock-in and knockdown experiments. Preliminary data indicated that NTNG1 bound GAS6/AXL to activate the Akt pathway, thereby modulating the response of ovarian cancer cells to cisplatin.

MATERIALS AND METHODS

Bioinformatic Analyses

GSE45553 and GSE73935 datasets from the Gene Expression Omnibus (GEO) that contained mRNA profiles of cisplatin-sensitive and -resistant human ovarian cancer cell lines were analyzed. The GSE45553 dataset was for OVCAR-8 and OVCAR-8C, and GSE73935 was for A2780 and A2780-C; OVCAR-8C and A2780-C were cisplatin-resistant sublines. Interactions of the target gene and proteins were analyzed in the Biological General Repository for Interaction Datasets (BioGRID)¹ (Oughtred et al., 2019).

The KM plotter² was used to explore the relationship between the expression level of the target gene and progression-free survival (PFS) in patients with ovarian cancer (Zhou et al., 2019).

Patients and Cancer Tissues

The use of human tissues was ethically approved by the local Institutional Review Board. Paraffin-embedded tumor tissues were collected from 67 EOC patients, who underwent cytoreductive surgery followed by cisplatin-based chemotherapy at the Second Affiliated Hospital, Chongqing Medical University (Chongqing, China) from August 2009 to June 2018. Clinical data (i.e., age, pathological type/grade, FIGO stage, therapeutic responses, and survival) were recorded. Resistance was defined as tumors that recurred or progressed within 6 months of the last dose, and sensitivity was defined as tumors that relapsed after 6 months (Matsuura et al., 2017). The therapeutic outcome was reflected using PFS and the platinum-free interval (PFI). PFS was the interval from the date of initial surgery to the date of progression/recurrence or last contact (censored), and PFI was the interval from the end of cisplatin treatment to the date of progression/recurrence or last contact (censored). PFS/PFI received stage- or category-adjusted analyses. Type I cancer included low-grade serous, clear cell, and endometrioid cancers; type II was high-grade serous cancer (Salazar et al., 2018).

Detection of NTNG1 in Cancer Tissues With an Immunohistochemical Assay

An immunohistochemical assay was performed to detect NTNG1 in cancer tissues with a streptavidin-peroxidase kit (ZSGB-BIO, Beijing, China), using an anti-NTNG1 antibody (GeneTex, Irvine, CA, United States). The expression level of NTNG1 was quantified using the software Image-Pro Plus (Media Cybernetics, Rockville, MD, United States) and was expressed with the mean density (i.e., integrated absorbance/area). The cutoff value of a high/low expression level was determined using the receiver operator characteristic curve.

Cells

Human EOC cell lines SKOV3 and SKOV3/DDP (identified by STR; Cell Bank, Type Culture Collect., Chin. Acad. Sci., Shanghai, China) were cultured in RPMI 1640 medium (Gibco, Beijing, China) enriched with 10% fetal bovine serum (Biol. Ind., Kibbutz Beit Haemek, Israel) at 37°C and 5% CO₂. SKOV3/DDP was a resistant subline that can grow in the presence of 0.75 µg/mL of cisplatin (Yunnan Phytopharm., Kunming, China); cells were transferred to cisplatin-free medium for 5 days before performing experiments to avoid interference induced by residual drugs (Yu et al., 2015, 2016; Qian et al., 2019; Liu et al., 2020).

Cell Viability

Cells were seeded in a 96-well plate (5.0 × 10³ cells per well) and then exposed to cisplatin (0, 0.5, 1.0, 2.0, 4.0, 8.0, and 16.0 µg/mL). Cell viability was determined with a CCK-8 assay

¹<https://thebiogrid.org>

²<http://kmplot.com/analysis/>

(Dojindo Lab., Kumamoto, Japan) after 48 h. The half-maximal inhibition concentration (IC₅₀) was calculated using the probit regression. For transfected cells, cells were subjected to cisplatin (IC₅₀) and cell viability was determined after 24, 48, and 72 h.

Cell Transfection

A lentiviral vector of shNTNG1 (GenePharma, Shanghai, China) was used to downregulate NTNG1 in SKOV3/DDP cells, and a lentiviral vector of NTNG1 (GenePharma) was adopted to upregulate NTNG1 in SKOV3 cells. shNTNG1, shNC, NTNG1, or NC was transferred into cells with the Polybrene kit (GenePharma). Puromycin (Solarbio Life Sci., Beijing, China) was added into the medium to remove uninfected cells, thereby obtaining stably transfected cells. The siRNA sequences were as follows: shNTNG1, 5'-CCAAGCCTCTCCAGGTAA-3', and shNC, 5'-TTCTCCGAACGTGTCACGT-3'. NC was the negative control (i.e., empty vector).

Western Blotting

Proteins were extracted after cells were exposed to cisplatin (IC₅₀) for 48 h using ice-cold RIPA buffer (Beyotime, Chongqing, China) supplemented with phenylmethanesulfonyl fluoride (PMSF); the concentration was determined with a BCA kit (Beyotime). Proteins were separated by SDS-PAGE and transferred to a PVDF membrane (Merck Millipore, Billerica, MA, United States). Primary antibodies were as follows: anti-NTNG1 (GeneTex), anti-RAD51 (Abcam, Cambridge, United Kingdom), anti-AXL/p-AXL (Cell Signaling Technology, Danvers, MA, United States), anti-Akt/p-Akt (Cell Signaling Technol.), anti-GAS6 (Bioss Biotechnology, Beijing, China), and anti-β-actin (Proteintech, Wuhan, China). The secondary antibody was a goat anti-rabbit IgG antibody (Abcam). Bands were analyzed with the software Image Lab (Bio-Rad Lab., Hercules, CA, United States). The density ratio was used to calibrate the level of a target protein, with β-actin as the reference.

To detect the expression level of NTNG1 after cisplatin exposure, proteins were extracted after SKOV3 or SKOV3/DDP cells were exposed to cisplatin (IC₅₀ or 0.5 × IC₅₀) for 48 h, or after SKOV3/DDP cells were cultured in cisplatin-free medium for 3, 5, 7, and 9 days.

Cell Apoptosis

Cells were treated with cisplatin (IC₅₀), and then apoptotic cells were detected using an Annexin V assay (Elabscience, Wuhan, China) after 48 h.

Detection of γ-H2A.X Using an Immunofluorescent Assay

Cells were exposed to cisplatin (IC₅₀) for 48 h, fixed with 4% paraformaldehyde for 30 min, blocked with 10% BSA for 1 h, and incubated with anti-γ-H2A.X antibody (Alexa Fluor-647 conjugate; Abcam) overnight at 4°C in the dark. Nuclei were counterstained with DAPI (Beyotime). Cells were observed under a confocal microscope (Nikon, Tokyo, Japan), and the fluorescence intensity was determined with Image-Pro Plus.

Co-immunoprecipitation

Co-immunoprecipitation (coIP) was performed to validate the interaction between NTNG1 and GAS6/AXL. Protein A/G beads (MedChemExpress, Monmouth Junction, NJ, United States) were incubated with the primary antibody against NTNG1 (Santa Cruz Biotechnol., Dallas, TX, United States) with shaking for 1 h. NTNG1/NC-transfected SKOV3 cells were lysed in prechilled RIPA buffer supplemented with PMSF, protein A/G beads were added, and the mixture was shaken for 1 h. The beads were washed, and the eluted proteins were subjected to western blotting to detect NTNG1, GAS6, AXL, and p-AXL.

In vivo Therapies

The use of laboratory animals was ethically and scientifically approved by the local Institutional Review Board in compliance with the Care and Use of Laboratory Animals. A total of 1.0 × 10⁶ NC- or NTNG1-transfected SKOV3 cells, and shNC- or shNTNG1-transfected SKOV3/DDP cells, were subcutaneously injected into the left armpit of 4-week-old female BALB/c nude mice (Cavens Lab. Anim., Changzhou, China), with five animals in each group. Cisplatin (10 mg/kg) was injected via a tail vein every 4 days at four times in groups NC + CDDP and NTNG1 + CDDP for SKOV3 tumors, and in groups shNC + CDDP and shNTNG1 + CDDP for SKOV3/DDP tumors; mice in the remaining groups received normal saline. The tumor volume was calibrated every 4 days [(length × width²)/2]. Animals were euthanized 4 days after

TABLE 1 | Clinicopathological characteristics and their associations with the expression level of NTNG1 in ovarian cancer tissues.

Clinicopathological variables	Case no.	NTNG1 expression level		p-value
		Low (n = 39)	High (n = 28)	
Age (year)				
<50	23	13 (56.5%)	10 (43.5%)	0.840
≥50	44	26 (59.1%)	18 (40.9%)	
Histological type				
Serous	59	34 (57.6%)	25 (42.4%)	0.386
Clear cell	7	5 (71.4%)	2 (28.6%)	
Endometrioid	1	0 (0.0%)	1 (100.0%)	
Pathological grade				
1/2	25	12 (48.0%)	13 (52.0%)	0.191
3	42	27 (64.3%)	15 (35.7%)	
Category				
Type I	32	17 (53.1%)	15 (46.9%)	0.420
Type II	35	22 (62.9%)	13 (37.1%)	
FIGO stage				
I/II	22	15 (68.2%)	7 (31.8%)	0.247
III/IV	45	24 (53.3%)	21 (46.7%)	
Cisplatin response*				
Resistant	23	7 (30.4%)	16 (69.6%)	0.001
Sensitive	44	32 (72.7%)	12 (27.3%)	

*Resistance was that tumors recurred or progressed within 6 months from the last dose, and sensitivity was that tumors relapsed after 6 months.

the last dose; tumors were removed, weighed, and pathologically examined. NTNG1 and RAD51 proteins in tumor tissues were immunohistochemically detected.

Statistics

Data were processed with the SPSS software (IBM, Armonk, NY, United States). Analysis of variance was used, and multiple comparisons were performed with the *t*-test. The correlation between the NTNG1 level and clinicopathological variables was analyzed with the chi-square test. PFS and PFI were evaluated with the Kaplan–Meier method. The difference was significant if the *p*-value was <0.05.

RESULTS

A High Expression Level of NTNG1 in Cancer Tissues Indicated Chemoresistance and a Poorer Prognosis

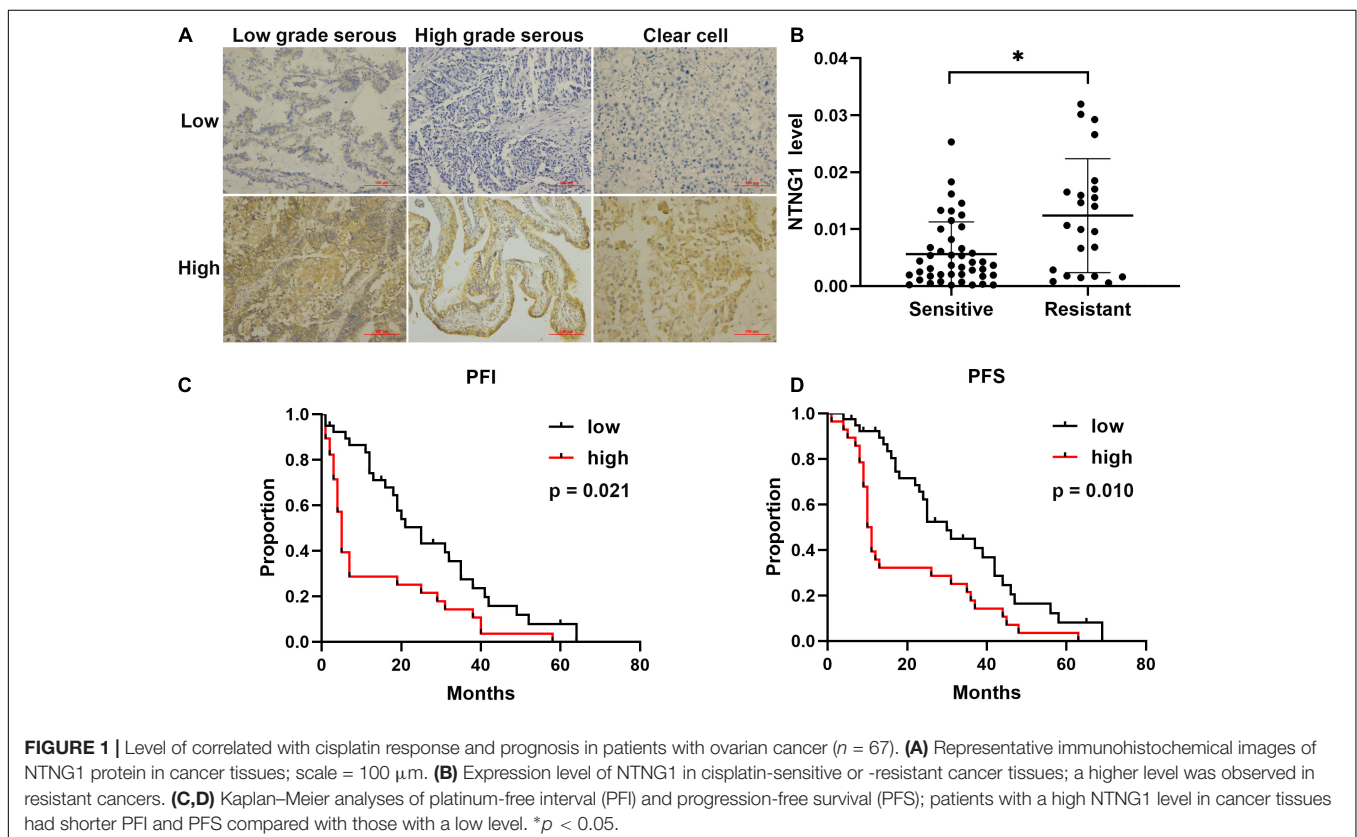
Bioinformatic analyses of the GSE45553 and GSE73935 datasets indicated that NTNG1 was a candidate gene involved in cisplatin resistance in ovarian cancer; the BioGRID demonstrated an interaction between NTNG1 and GAS6. The expression level of NTNG1 in cisplatin-resistant cell lines was higher than in cisplatin-sensitive cell lines (\log_2 fold change, 2.3–4.0). The KM plotter indicated that a higher expression level of the NTNG1

gene was related to a shorter PFS of ovarian cancer patients in overall as well as in the subgroup that received cisplatin treatments ($p = 0.005$, $p < 0.001$) (**Supplementary Figures 1, 2**).

To verify the aforementioned results, the correlation between the expression level of NTNG1 protein in cancer tissues and clinicopathological variables in 67 EOC cases was explored (**Table 1**). The NTNG1 level was higher in resistant cancers compared with sensitive cancers (0.0124 ± 0.0021 vs. 0.0056 ± 0.0009 , $p = 0.005$) (**Figures 1A,B**); the cutoff value was 0.0066. Predictive values were 57.1% (95% confidence interval [CI]: 37.6–76.7%) and 82.1% (95% CI: 69.4–94.7%) when using a high level for resistance and a low level for sensitivity, respectively ($p = 0.032$). Patients with a high NTNG1 level in cancer tissues had shorter PFS [median: 11.0 (95% CI 8.9–13.0) vs. 25.0 (95% CI: 17.1–32.9) months, $p = 0.010$] and PFI [median: 5.0 (95% CI: 2.7–7.3) vs. 20.0 (95% CI: 13.9–26.1) months, $p = 0.021$], compared with those with a low level (**Figures 1C,D**). Adjusted analyses showed that the correlation between the high NTNG1 level and the poorer prognosis was observed in type II and FIGO III/IV cancers (**Supplementary Figure 3**). Overall, the data demonstrated that a high level of NTNG1 in cancer tissues indicated poorer therapeutic responses and outcomes.

A High NTNG1 Level Caused Cisplatin Resistance

The IC_{50} values were 1.4 and 4.2 $\mu\text{g}/\text{mL}$ for SKOV3 and SKOV3/DDP cells, respectively, confirming the resistance



phenotype of SKOV3/DDP (Figure 2A). NTNG1 was detected in both cell lines, and the basal expression level in SKOV3/DDP was higher than that in SKOV3 (2.1-fold, $p < 0.001$) (Figure 2B). Therefore, SKOV3 and SKOV3/DDP were used for knock-in and knockdown experiments, respectively.

Following exposure to cisplatin, the level of NTNG1 dose-dependently increased in SKOV3 (2.6- to 4.2-fold, $p < 0.001$) and SKOV3/DDP (1.6- to 2.0-fold, $p < 0.001$) cells (Figure 2C). In SKOV3/DDP cells, this increased level gradually decreased to the basal level following the removal of cisplatin ($p = 0.007$) (Figure 2D).

Overexpression of NTNG1 decreased the percentages of dead and apoptotic cells induced by cisplatin in SKOV3 cells ($p = 0.006$ – 0.030 , $p = 0.004$) (Figures 3A,C,E,F). These percentages were increased in SKOV3/DDP cells after silencing NTNG1 ($p = 0.004$ – 0.018 , $p = 0.011$) (Figures 3B,D,E,G). Cisplatin-induced expression of NTNG1 was also observed following knock-in or knockdown. The findings demonstrated that NTNG1 was involved in cisplatin resistance.

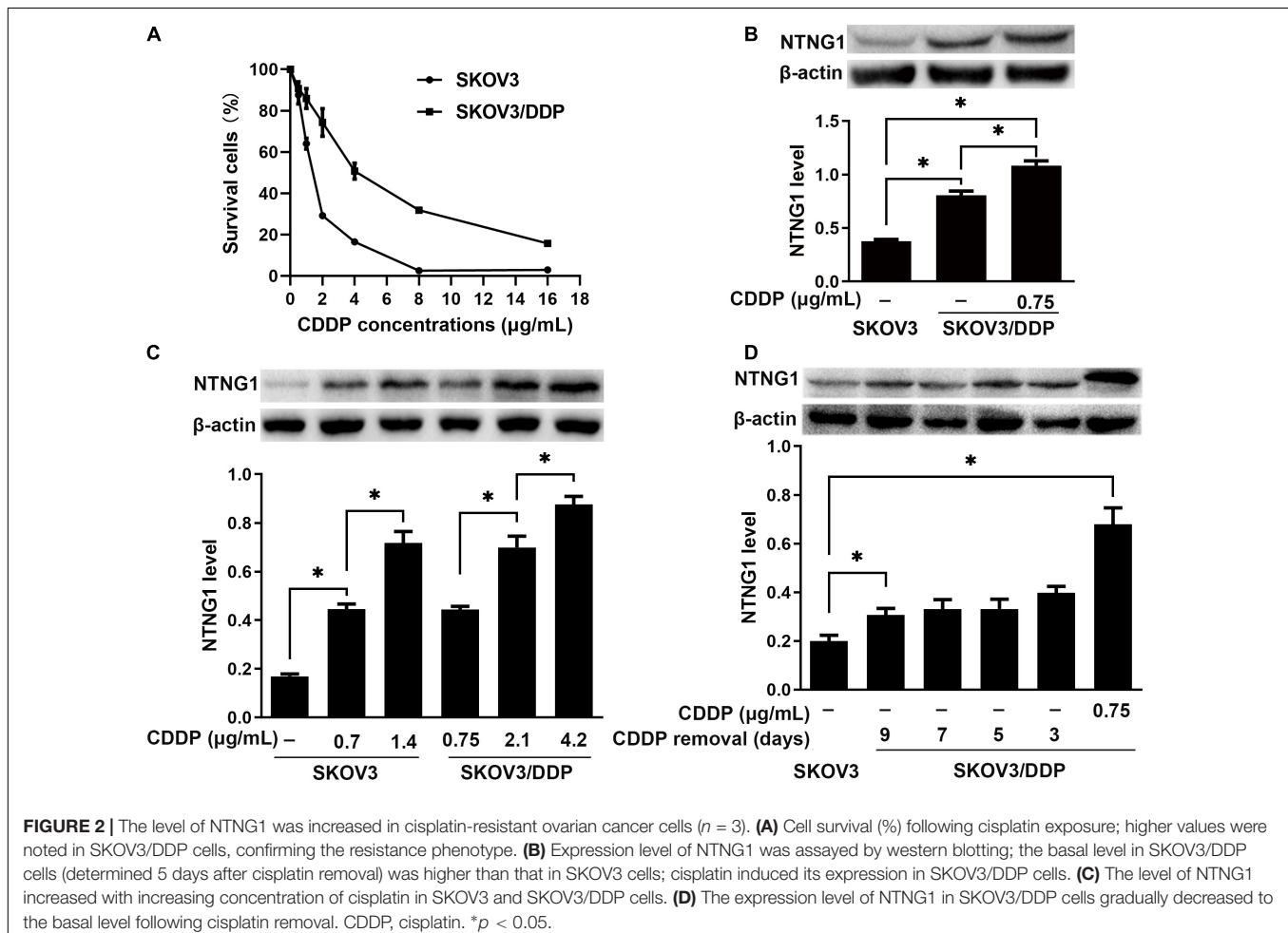
NTNG1 Promoted DNA Repair

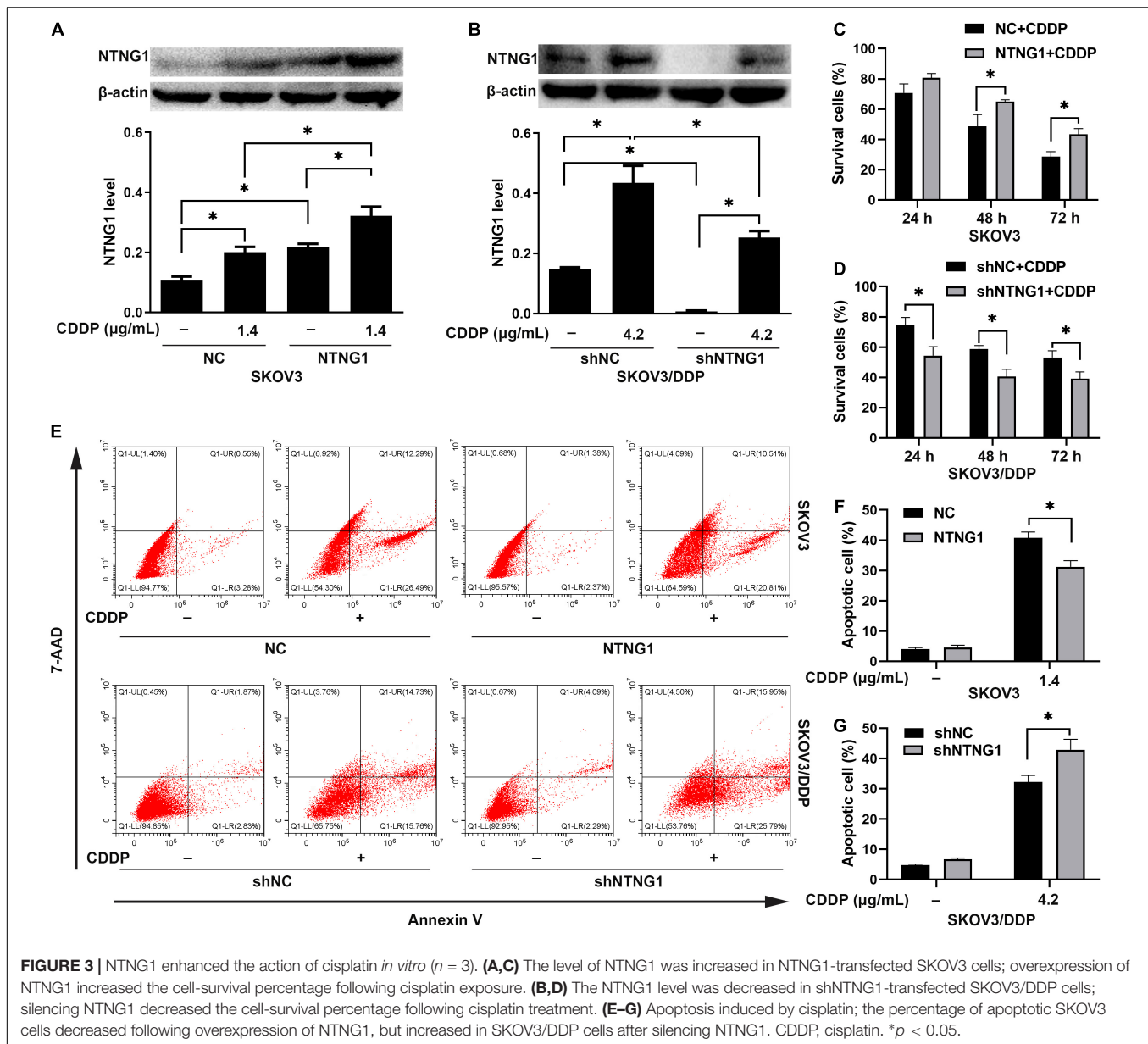
DNA damage/repair was assayed by detecting γ -H2A.X and RAD51. γ -H2A.X was involved in the retention of repair

complexes at sites of DNA damage, and RAD51 was a key molecule for HR (Bonner et al., 2008; Zhao et al., 2017). Cisplatin induced the formation of γ -H2A.X foci and an increase in the RAD51 level in both cell lines, i.e., initiating DNA repair. Overexpressing NTNG1 increased the RAD51 level in SKOV3 cells ($p = 0.002$), while the γ -H2A.X level decreased ($p = 0.023$) (Figures 4A,B,E). Silencing NTNG1 reduced the RAD51 level in SKOV3/DDP cells ($p = 0.001$), but the γ -H2A.X level was increased ($p = 0.025$) (Figures 4C,D,F). These data showed that NTNG1 upregulated the expression of RAD51, favoring DNA repair.

NTNG1 Improved DNA Repair Through the AXL/Akt Pathway

The BioGRID database indicated an interaction between NTNG1 and GAS6, suggesting that NTNG1 can activate the AXL/Akt pathway to enhance DNA repair. Cisplatin caused DNA damage, inducing phosphorylation of AXL/Akt; the levels of p-Akt and p-AXL were increased in SKOV3 cells following overexpression of NTNG1 ($p = 0.012$, $p = 0.013$) (Figures 5A–C), but were decreased in SKOV3/DDP cells following silencing of NTNG1 ($p = 0.001$, $p = 0.002$) (Figures 5D–F).





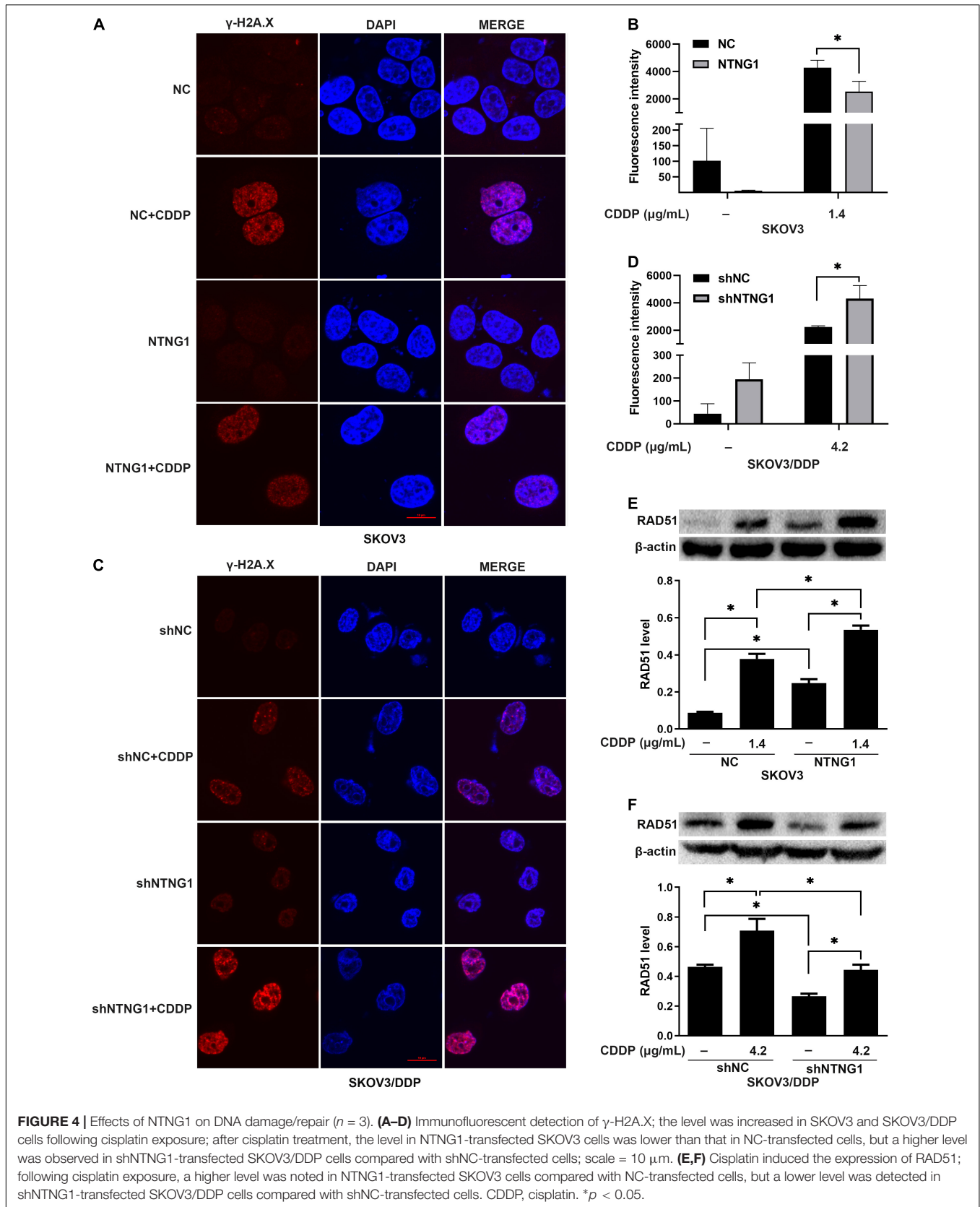
To understand the mechanism of NTNG1 regulation of the AXL/Akt pathway, the interaction of NTNG1 and GAS6/AXL was validated by a coIP assay. The immunoprecipitate obtained from lysates of SKOV3 cells contained NTNG1, GAS6, and AXL/p-AXL; overexpression of NTNG1 increased the levels of GAS6 and AXL/p-AXL (Figure 5G). These data indicated that NTNG1 directly bound GAS6/AXL to activate the AXL/Akt pathway.

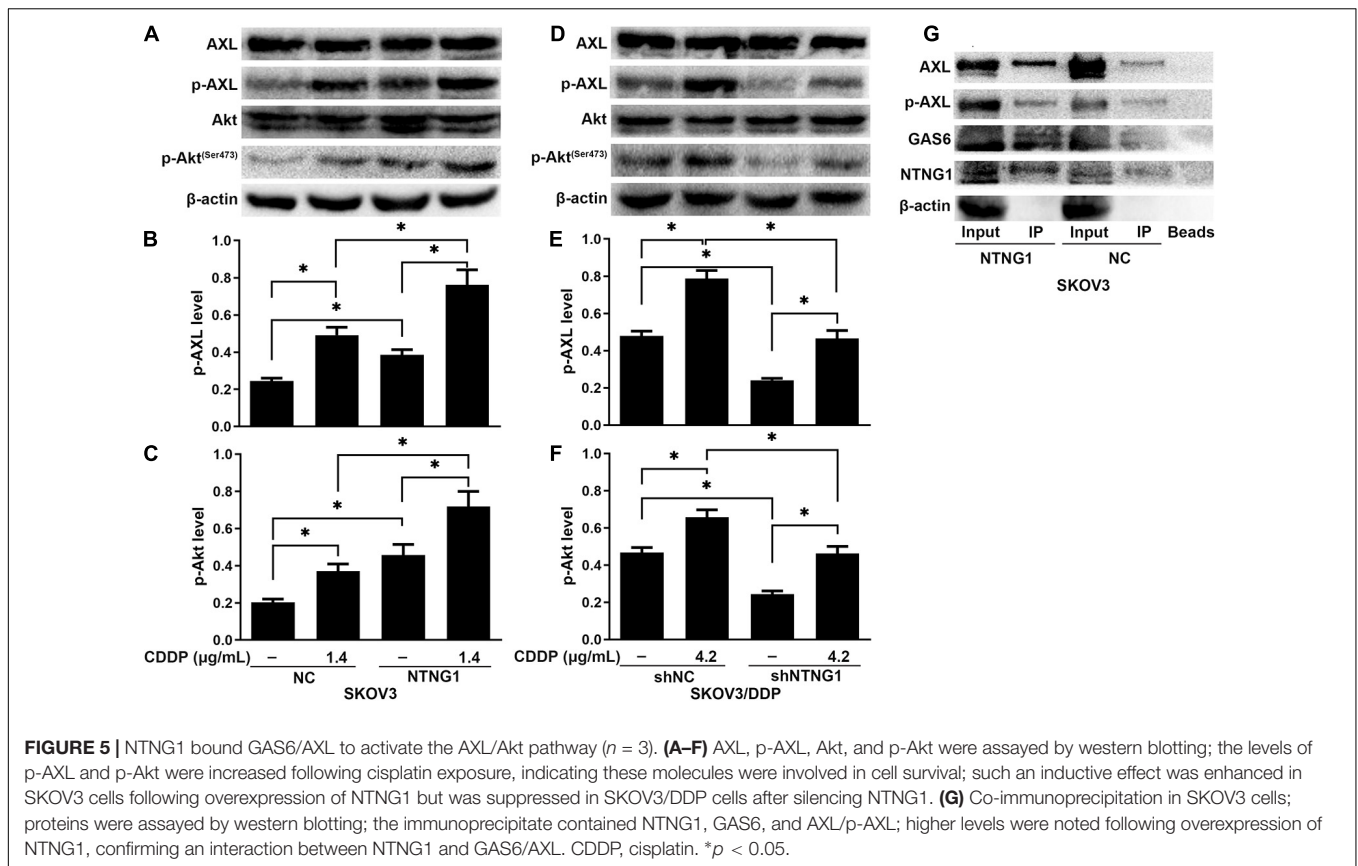
NTNG1 Modulated the Action of DNA *in vivo*

To determine the effect of NTNG1 on the action of cisplatin *in vivo*, NTNG1- or shNTNG1-transfected cells were injected into mice to form tumors. In SKOV3 tumors, overexpression of NTNG1 did not affect the tumor; tumor

volume and mass in group NTNG1 + CDDP were greater than those in group NC + CDDP ($p = 0.030$, $p = 0.029$) (Figures 6A–C). In SKOV3/DDP tumors, silencing NTNG1 did not inhibit the tumor; smaller tumors were detected in group shNTNG1 + CDDP compared with group shNC + CDDP ($p = 0.021$, $p = 0.009$) (Figures 6A,D,E).

NTNG1 and RAD51 in tumor tissues were analyzed. These two proteins were present at a higher level in SKOV3/DDP tumors compared with SKOV3 tumors, and cisplatin treatment induced an increase in both tumor types. In SKOV3 tumors, levels of NTNG1 and RAD51 in group NTNG1 + CDDP were higher than those in group NC + CDDP ($p < 0.001$, $p = 0.003$) (Figures 6F,H,I); however, in SKOV3/DDP tumors, levels in group shNTNG1 + CDDP were lower than those in group shNC + CDDP ($p < 0.001$, $p = 0.001$) (Figures 6G–I). These data confirmed that the





level of NTNG1 in tumor tissues determined the efficacy of cisplatin treatment.

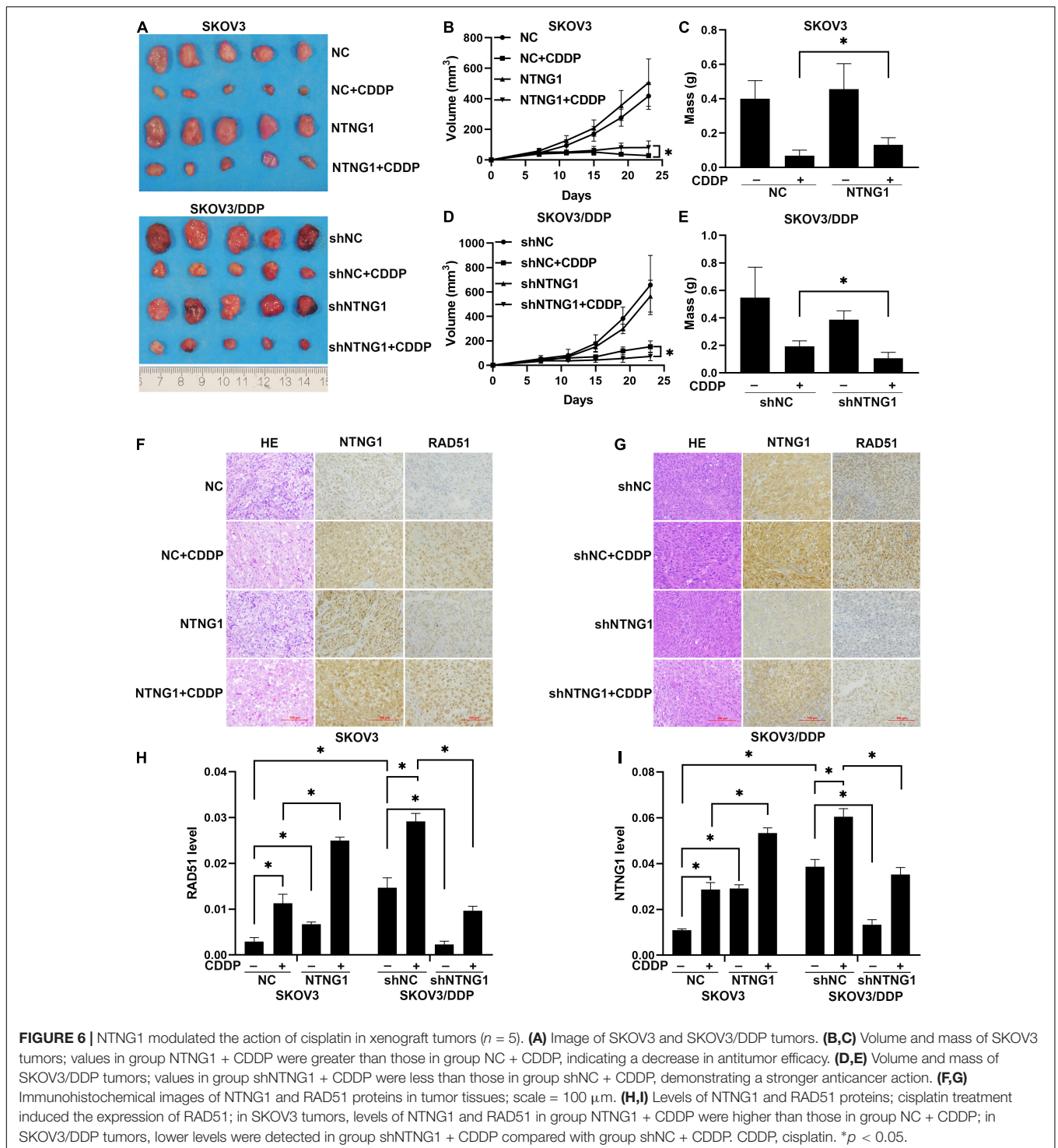
DISCUSSION

Clinical data indicated that patients with a low NTNG1 level in cancer tissues had longer PFI and PFS and that cancers exhibiting a low NTNG1 level were sensitive to cisplatin. The NTNG1 level did not correlate with other clinicopathological variables. Thus, longer PFI and PFS resulted from a better therapeutic response. Category- or stage-adjusted analyses demonstrated that the correlation between a high NTNG1 level and poorer prognosis occurred only in type II or FIGO III/IV cancers. These two results were consistent. Here, type II cancer was high-grade serous cancer, which was frequently detected at stage III/IV (Garces et al., 2015). Type II cancer had gene mutations (e.g., TP53 and BRCA) and copy amplifications (e.g., MYC and CCNE1), which can cause cisplatin resistance (Brachova et al., 2013; Rojas et al., 2016; Singh et al., 2019; Gorski et al., 2020). The sample size was small, and therefore the present results should be validated in larger trials. Using a low level to show sensitivity had a higher predictive value compared with using a high level to indicate resistance, i.e., a low NTNG1 level can provide more information for clinical decisions.

Cisplatin attacked DNA to cause breaks, and double-strand breaks (DSBs) led to cell death via apoptosis; enhancing DSBs was a strategy to modulate cisplatin treatment and to overcome

resistance (He et al., 2014; Wan et al., 2018; Qian et al., 2019). The γ -H2A.X foci formed at the DSB sites to favor an accumulation of repair molecules and were therefore used to monitor DSB repair (Liu et al., 2016). HR was the major pathway employed to repair DSBs induced by cisplatin; RAD51 was a key molecule in this pathway (Sugiyama and Kantake, 2009; Helleday, 2010; Lee et al., 2019). Levels of γ -H2A.X and RAD51 were upregulated following cisplatin exposure, i.e., cisplatin caused DSBs, initiating HR. Overexpression of NTNG1 increased the RAD51 level in SKOV3 cells, boosting HR; silencing NTNG1 decreased the RAD51 level in SKOV3/DDP cells, debasing HR; the expression pattern of NTNG1 determined the cells' response to cisplatin. These results were consistent with alterations of the percentages of dead and apoptotic cells following knock-in or knockdown of NTNG1. The γ -H2A.X foci disappear after DSBs were repaired (Pintado-Berninches et al., 2019). Consequently, a lower level of γ -H2A.X was observed following overexpression of NTNG1, but a higher level was detected after silencing NTNG1. The present data showed that NTNG1 modulated sensitivity to cisplatin by adjusting HR capability.

Survival pathways were necessary for cell survival and may be involved in chemoresistance. The Akt pathway was such a pathway to prevent apoptosis (Zhang et al., 2016). Activation of Akt can induce the expression of RAD51 to enhance DNA repair, while inactivation of Akt downregulated RAD51 to augment the action of DNA-damaging drugs (Ko et al., 2016; Boichuk et al., 2020). AXL, highly expressed in multiple cancer types, can activate Akt to favor cell



proliferation and chemoresistance (Li et al., 2014; Tian et al., 2016). AXL was the only known ligand of GAS6; binding of GAS6 to AXL activated the kinase domain of AXL, and downstream signaling pathways such as the Akt and ERK pathways were activated (Wang et al., 2016; Antony et al., 2018; Li et al., 2019). Activation of Akt and AXL was realized via phosphorylation. The BioGRID

suggested that GAS6 be a target protein of NTNG1. This was supported by our colIP results, which demonstrated an interaction between NTNG1 and GAS6/AXL. Cisplatin induced an increase in the level of p-AXL and p-Akt, confirming their roles in cisplatin resistance of ovarian cancer cells; the inductive effect was amplified in SKOV3 cells following overexpression of NTNG1, and an opposite result was observed

in SKOV3/DDP cells when silencing NTNG1. These findings suggested the following mechanism: NTNG1 interacted with GAS6/AXL, activating the Akt pathway, which upregulated the expression of RAD51 and improved the HR capacity, ultimately leading to cisplatin resistance.

In vivo data demonstrated that NTNG1 determined the therapeutic outcome of cisplatin: upregulation of NTNG1 decreased the therapeutic efficacy, but downregulation enhanced the anticancer action. These data were consistent with the results of *in vitro* therapies. The expression pattern of RAD51 protein displayed a similar trend. Thus, NTNG1 modulated the action of cisplatin by affecting HR. The therapeutic efficacy should be verified on an orthotopic ovarian cancer model to improve the clinical relevancy (Zhang et al., 2017; Liu et al., 2020). SKOV3/DDP represented acquired resistance, but resistance can be intrinsic in refractory ovarian cancer (Luvero et al., 2014; Cornelison et al., 2017). Thus, the role of NTNG1 in intrinsic cisplatin resistance should be explored.

Overall, the level of NTNG1 was higher in cisplatin-resistant ovarian cancer tissues compared with cisplatin-sensitive ones; patients with a high NTNG1 level in cancer tissues had shorter PFS and PFI. NTNG1 directly bound GAS6/AXL to regulate phosphorylation of AXL and Akt, upregulated the expression of RAD51, enhanced DSB repair, and eventually resulted in cisplatin resistance. Thus, NTNG1 was a target for ovarian cancer treatment, and inhibiting NTNG1 may be a useful strategy to overcome cisplatin resistance.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author/s.

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ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Institutional Review Board of The Second Affiliated Hospital, Chongqing Medical University. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements. The animal study was reviewed and approved by the Ethics Committee of Chongqing Medical University Approval.

AUTHOR CONTRIBUTIONS

SF designed the study and performed the experiments. YL, YZ, HW, and QL performed the experiments. SF and XL drafted the manuscript. TY designed the study and checked the manuscript. All authors have given approval to the final version of the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.652325/full#supplementary-material>

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Exosomes: A New Pathway for Cancer Drug Resistance

Yunbin Zhong^{1†}, Haibo Li^{2†}, Peiwen Li², Yong Chen³, Mengyao Zhang², Zhendong Yuan¹, Yufang Zhang⁴, Zhijie Xu⁵, Geng Luo¹, Yuan Fang^{2,6*†} and Xu Li^{2*†}

¹ Hand, Foot Vascular Surgery, Tungwah Hospital to Sun Yet-sen University, Dongguan, China, ² Department of Plastic Surgery, The Third Xiangya Hospital, Central South University, Changsha, China, ³ Dermatology Department, The First Hospital of Changsha, Changsha, China, ⁴ Anyang Tumor Hospital, The Fourth Affiliated Hospital of Henan University of Science and Technology, Anyang, China, ⁵ Department of Pathology, Xiangya Hospital, Central South University, Changsha, China, ⁶ Department of Plastic and Reconstructive Surgery, Shanghai Ninth People's Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, China

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Edited by:

José Díaz-Chávez,
National Institute of Cancerology
(INCAN), Mexico

Reviewed by:

Simona Bernardi,
University of Brescia, Italy
Stefano Fais,
National Institute of Health (ISS), Italy

*Correspondence:

Yuan Fang
jingji5996@163.com
Xu Li
1090787456@qq.com

[†]These authors have contributed
equally to this work and
share first authorship

[‡]These authors have contributed
equally to this work

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Exosomes are extracellular vesicles (EVs) that are secreted into body fluids by multiple cell types and are enriched in bioactive molecules, although their exact contents depend on the cells of origin. Studies have shown that exosomes in the tumor microenvironment affect tumor growth, metastasis and drug resistance by mediating intercellular communication and the transport of specific molecules, although their exact mechanisms of action need to be investigated further. In this review, we have summarized current knowledge on the relationship between tumor drug resistance and exosomes, and have discussed the potential applications of exosomes as diagnostic biomarkers and therapeutic targets.

Keywords: exosomes, cancer, chemoresistance, drug resistance, tumor microenvironment, signal transmission

INTRODUCTION

Exosomes were discovered by Pan et al. while studying the transition of reticulocytes to mature red blood cells (1), and were later defined by Johnstone et al. as vesicles that containing non-essential proteins that are expelled from cells (2) following the fusion of microvesicles with the cell membranes (3). Subsequent studies showed that exosomes are released from blood cells, tumor cells, epithelial cells, mesenchymal stem cells and neuronal cells into the blood, saliva, urine and other body fluids (4–7). Exosomes were first considered to be “garbage bags” that remove waste proteins and metabolites. However, recent studies have shown that the exosomal cargo is biologically active (8) and mediates intercellular communication (9). Several exosomes-enriched proteins, including cytoskeletal proteins, fusion-related proteins, tetrapeptides (CD9, CD63, CD81 and CD82) and membrane connectins (10, 11), as well as oncoproteins (12), have been reported on in recent years. Furthermore, exosomes are also known to transport nucleic acids, such as DNA and coding and non-coding RNAs (13), including micro RNAs (miRNAs) and circular RNAs (circRNAs) (14), that regulate various aspects of tumor development, including immunosuppression, angiogenesis, cell migration and invasion (15–17). Electron microscopy studies have revealed that exosomes measure 50–150 nm in diameter and have a “dish-like” or “cup-shaped” morphology. In addition, several surface exosomal proteins, such as the lysosomal protein Lamp2b, heat shock protein Hsp70 and others (18, 19) that serve as diagnostic markers have also been identified.

Chemotherapeutic drug resistance is a major challenge in cancer treatment. Tumor cells are either naturally resistant to drugs or acquire resistance over the course of treatment (20). Acquired drug resistance is the result of mutations, polymorphisms and splicing variations in genes related to drug metabolism and toxicity (21). A major mechanism of drug resistance in tumor cells is the overexpression of membrane transporters, in particular the ATP binding box (ABC) transporter protein, which rapidly expel drugs and thus reduces their intracellular levels. Furthermore, mutations in drug targets can decrease the efficacy and toxicity of drugs. Interestingly, studies have shown that exposure to chemotherapy drugs significantly increases mutation rates in cancer cells, which may be partially attributed to the activation of pro-survival and anti-apoptotic pathways (22). Furthermore, tumor cells have a higher DNA repair rate compared with normal cells, which neutralizes any DNA damage induced by chemotherapeutic drugs and generates drug-resistant clones. Given that exosomes are involved in the aforementioned signaling pathways, it is important to explore their role in the development of drug resistance (23). In this review, we have summarized the common mechanisms of the genesis of chemoresistance in cancer cells and have also discussed the possible involvement of exosomes.

EXOSOMAL-MEDIATED TUMOR RESISTANCE

Exosomes Participate in Tumor Microenvironment Regulation

The tumor microenvironment (TME) is comprised of fibroblasts, stromal cells and the extracellular matrix that aid in the survival, proliferation and metastasis of tumor cells (24, 25). Exosomes mediate cell-to-cell communication in the TME by shuttling signaling molecules, lipids, proteins, nucleic acids and metabolites. In addition, exosomes released from the tumor cells and stromal cells can regulate drug resistance by directly interacting with drug molecules, altering the transcriptome of cancer cells and influencing the immune response (26). The extracellular acidity of tumors markedly affects exosome release by tumors in terms of both the amounts of released exosomes and the make-up of the exosomes (27–30). As shown in **Figure 1** (31), exosomes release active molecules, such as ncRNAs and proteins into target cells following receptor ligand interactions, membrane fusion, as well as puffing, phagocytosis, or receptor-mediated endocytosis, which then regulates tumor cell proliferation, invasion, metastasis and drug resistance (32, 33).

Tumor cells induce adaptive changes in distant organs to create a “pre-metastatic” environment that is conducive to their growth, and the formation of the secondary metastatic foci (34). Zhou et al. showed that exosomes derived from highly metastatic breast cancer cells express high levels of miR-105 (35). Absorption of these exosomes by pulmonary microvascular endothelial cells leads to the significant downregulation of ZO-1, which increases vascular permeability and facilitates the colonization of lung tissues by the tumor cells. Likewise, Yu et al. found that tumor cell-derived exosomes induced the formation of a pre-metastatic

niche in the liver of a mouse model of pancreatic cancer, which enhanced metastasis and primary tumor growth. In addition, Costa-Silva et al. found that pancreatic cancer cells release exosomes loaded with macrophage migration inhibitory factors that are absorbed by the hepatic Kupffer cells. This promotes TGF- β D secretion and up-regulates the fibronectin level, and increases the metastasis of tumor cells to the liver. Evidence also indicates that the TME plays a role in drug resistance (36, 37). PGP transported by exosomes can fuse with the plasma membrane of osteosarcoma cells, and P-glycoprotein (P-gp) transporters that enrich tumor cells, resulting in pharmacological desensitization (38). In addition, exosomal P-gp in the serum of prostate cancer patients is a potential biomarker of docetaxel resistance (39).

Exosomes Participate in Tumor Local Immune Microenvironment Regulation

The TME harbors multiple immune cells including T lymphocytes, neutrophils, NK cells and the tumor-associated macrophages (TAMs). The T cells and TAMs in particular play a significant role in tumor genesis, development and drug resistance, while exosomes in the TME for instrumental in the interactions between immune cells and cancer cells. Exosomes released by the CD8+ T cells contain O-GlcNAc transferase, which upregulates PD-1 in the recipient cells and creates an immunosuppressive environment (40). Previous studies have shown that cancer cell-derived exosomes not only promote anti-tumor immunity but also enhance tumor immune escape (41). Binenbaum et al. demonstrated that when macrophage-derived exosomes expressing miR-365 are internalized by pancreatic ductal adenocarcinoma (PDAC) cells, the tri-phosphonucleotide pool in cancer cells increases and activates cytidine deaminase, which then inducing resistance to gemcitabine (42).

Signal Transmission Between Drug-Resistant Cells and Sensitive Cells

Given the highly heterogeneous nature of tumors, individual cells of the same tumor mass differ in their response to chemotherapeutic drugs. Interestingly, chemoresistance or chemosensitivity can be transferred between cells *via* exosomes (see **Table 1**). For instance, exosomes derived from cisplatin-resistant lung cancer cells induced drug resistance in recipient cells *via* miRNA-100-5p, which altered mTOR expression levels (43). Fu X et al. showed that multidrug-resistant liver cells transferred miRNA-32-5p to sensitive cells through exosomes, which then activated phosphatidylinositol kinase *via* the protein kinase B (Akt) pathway and induced drug resistance (44). Compared with chemo-sensitive breast cancer cell lines such as MCF-7, the resistant strains express significantly higher levels of miRNA-222. The exosomes secreted by azithromycin-resistant breast cancer cells can confer drug resistance to sensitive recipient cells *via* miR-222, which is known to regulate cell cycle and apoptosis-related genes (45). Zhang et al. showed that colon cancer cells-derived exosomes promoted cetuximab resistance by downregulating human chromosome 10 phosphatase (PTEN) and increasing phosphorylated Akt levels (46). Furthermore, the exosomes released by gemcitabine-resistant cancer cells delivered miRNA-222-3p to recipient cells through endocytosis, which promote drug resistance and malignant

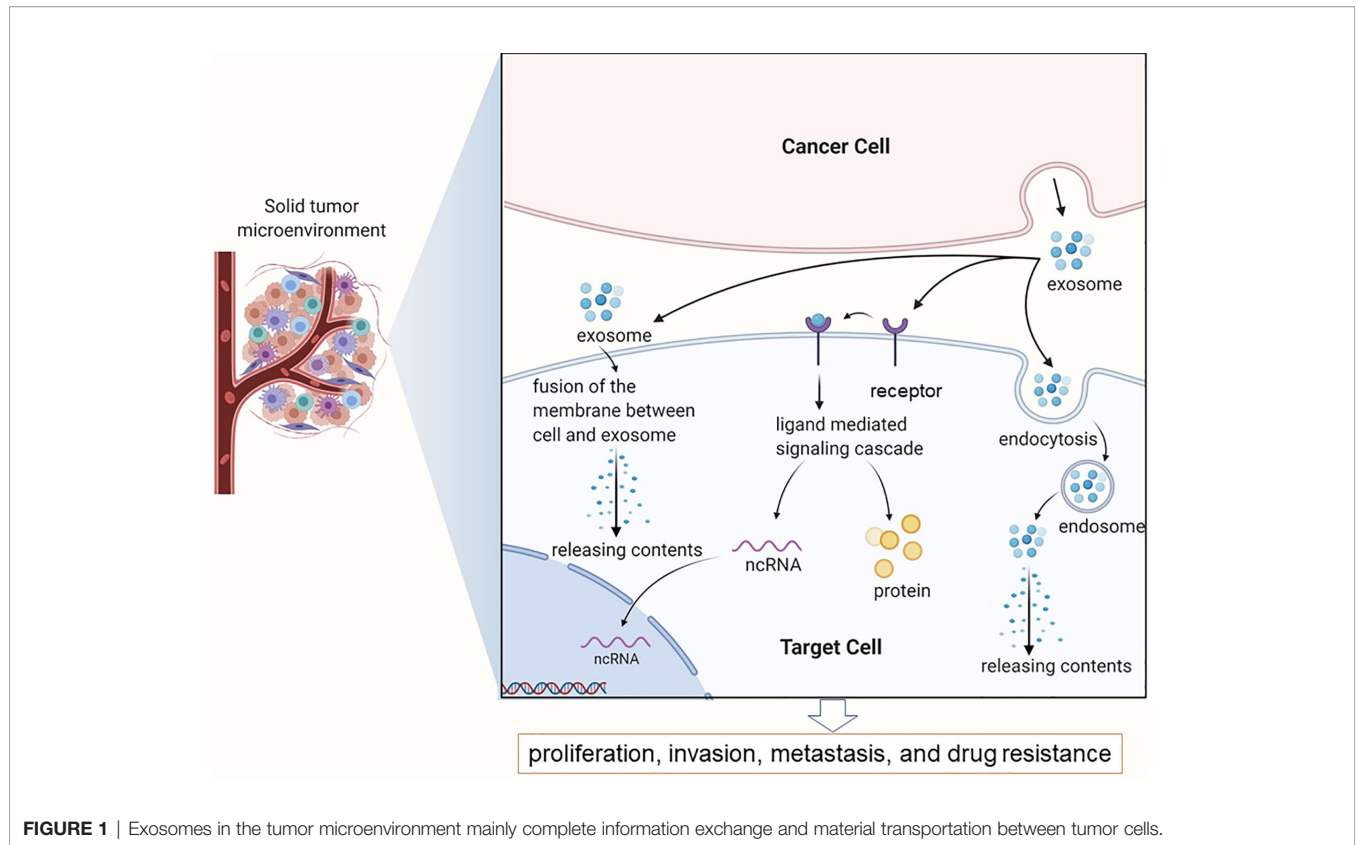


FIGURE 1 | Exosomes in the tumor microenvironment mainly complete information exchange and material transportation between tumor cells.

TABLE 1 | Signal transmission between drug-resistant cells and sensitive cells.

Disease	Cells	Drug resistance	Exosomal RNA	Mechanism/pathway	References
Lung cancer		Cisplatin	miR-100-5p	mTOR	(43)
Hepatocellular carcinoma	Bel/5-FU Bel7402	Multidrug resistance	miR-32-5p	PTEN/PI3K/Akt	(44)
Breast cancer	MCF-7	Adriamycin	miR-222		(45)
Colon cancer	RKO Caco-2	Cetuximab		PTEN/Akt	(46)
non-small cell lung cancer	A549-GR	Gemcitabine	miR-222-3p	SOCS3	(47)
Hepatocellular carcinoma	HepG2 PLC-PRF5	Sorafenib	linc-RoR	TGFβ	(48)
Renal Cancer		Sunitinib	lncARSR	miR-34/miR-449 AXL/c-MET	(49)

progression by targeting SOCS3 (47). Takahashi et al. found that sorafenib-resistant liver cancer cells expressed high levels of the lncRNA RoR that modulates the TGF-β signaling pathway. Furthermore, the co-culture of sensitive liver cancer cells with lncRNA-RoR exosomes released by drug-resistant cells was able to induce sorafenib resistance (48). Qu et al. found that exosomal lncARSR induced sunitinib resistance in renal cancer cells by sponging miR-34 and miR-449 and upregulating receptor tyrosine kinase (AXL) and c-MET (49).

Signal Transmission Between Stromal Cells and Tumor Cells

Exosomes derived from stromal cells can also induce drug resistance (Table 2). Zheng et al. showed that exosomes

derived from M2 macrophages conferred cisplatin resistance to gastric cancer cells through miRNA-21, which inhibited apoptosis by downregulating PTEN and activating the PI3K/Akt signaling pathway (50, 55). Likewise, Ji et al. found that exosomes derived from mesenchymal stem cells (MSCs) induced fluorouracil resistance in gastric cancer cells by activating the CaMKs/Raf/MEK/ERK pathway (51). In addition, exosomes secreted by bone marrow matrix cells induced bortezomib resistance in myeloma cells (52), whereas colon tumors in mice developed resistance to fluorouracil or oxaliplatin in the presence of fibroblast-derived exosomes (53). Boelens et al. found that matrix cells in breast tumors trigger drug resistance in a paracrine manner through exosomal RNAs that activate the NOTCH3 signaling pathway (54).

TABLE 2 | Signal transmission between stromal cells and tumor cells.

Disease	Stromal cells	Drug resistance	Exosomal RNA	Mechanism/pathway	References
Gastric cancer	M2 macrophages	Cisplatin	miR-21	PTEN-PI3K- Akt	(50)
Gastric cancer	MSCs	5-fluorouracil		CaM-Ks/Raf/MEK/ERK	(51)
Multiple myeloma	Patients' peripheral blood	Bortezomib	FFAR1/SP9/HIST1H2BG/ITIH2	mTOR/cAMP/PI3K-Akt	(52)
Colorectal cancer	Fibroblasts	5-fluorouracil Oxaliplatin		Promoted percentage, clonogenicity and tumor growth	(53)
Breast cancer	Stromal cells		5'-triphosphate exoRNA	STAT1/NOTCH3	(54)

As shown through the introduction to this section, exosomes play an important role in various types of transmissions, including the transmission of cisplatin and therapeutic antibodies (56–58). Exosomes with functional enzyme molecules can also be detected in human plasma and may play a pivotal guiding role in cancer progression (59). Exosomes carry molecules with the dual functions of being tracers and therapeutic molecules indicating their diagnostic and therapeutic potential (57). Exosomes are involved in regulating the direction material movement. Recently, researchers have also used exosomes to transfer nano materials (60). Therefore, the potential applications of exosomes are not only limited to its role in the development of tumor drug resistance.

THE ROLE AND MECHANISM OF ACTION OF EXOSOMES IN THE DEVELOPMENT OF TUMOR RESISTANCE

Regulation of Neoplastic Growth and Metastasis

Cancer stem cells (CSCs) are the source of primary and metastatic tumors, as well as the basis of chemo- and radio-resistance, which leads to tumor recurrence (61). Studies have shown that CSCs often reappear after chemotherapy and express the ATP binding cassette subfamily B member 5 (ABCB5) protein, which mediates multidrug resistance in multiple cancers. The stem cell-derived exosomes express pluripotency-related transcription factors such as Nanog, Oct-4, HOXB4, Rex-1, and Wnt-3, which can endow recipient cells with “stemness” features, such as self-renewal, expansion and differentiation into progenitor cells (62). In addition, exosomes secreted by the cancer-associated fibroblasts (CAFs) express Snail1, which can induce the epithelial-mesenchymal transition of recipient lung cancer cells (63). A recent study showed that tumor cells cultured in a conditioned medium of CAFs showed a higher proliferation rates in the presence of 5-fluorouracil or oxaliplatin, compared with cells grown in normal culture medium (53). Thus, drugs that target the CAFs can potentially sensitize tumor cells to chemotherapy. Furthermore, exosomes secreted by CSCs promote tumor growth and metastasis through paracrine and endocrine modes. The inhibition of exosome secretion can slow clonal expansion and tumor growth (64).

Expression of Transcription Factors

MiR-210 is expressed at significantly higher levels in colorectal cancer (CRC) tissues compared with that of normal colon tissues, and is associated with an increased level of metastasis. The exosomes secreted by the primary CRC cell line, HCT-8, induced 5-fluorouracil and folate resistance in the chemo-sensitive cells by delivering miR-210, which significantly increased sib levels (65). Non-small cell lung cancer (NSCLC) is usually of one of two types, either chemotherapeutic resistance or tumor rapid metastasis and spread. It is generally believed that this resistance is caused by mesenchymal NSCLC cells, but the mechanism of metastasis is unclear. R. J. et al. suggested that exosomes release by these transformed mesenchymal phenotype cancer cells could induce drug resistance in parental EPC and increased the expression of ZEB1 mRNA in receptor cells (66). Furthermore, T cell-derived exosomes triggered the epithelial mesenchymal transition (EMT) of esophageal cancer cells (67), and the exosomes released from CAFs promoted cancer cell growth and EMT *via* miR-21, miR-378e and miR-143 (68).

Cell Cycle and Apoptosis

MiR-21 is transferred from resident fat cells or fibroblasts in ovarian tumors to the cancer cells, and can induce drug resistance by inhibiting APAF1-mediated apoptosis (69). In addition, the exosomes secreted by the M2 polarized macrophages can induce cisplatin resistance in gastric cancer cells by directly delivering miR-21 into the recipient cells (50). Furthermore, Her2+ breast cancer cells-derived exosomes can promote trastuzumab resistance *via* lncSNHG14 that targets the Bcl-2/BAX apoptotic pathway (70). The PLX-4720 BRAF inhibitor-resistant melanoma cells were able to activate of PI3K/AKT signaling and inhibition of the MAPK pathway (71). A recent study showed that exosomes containing miR-32-5p induced multi-drug resistance by activating the PI3K/AKT pathway and inhibiting PTEN (44).

Drug Efflux and Metabolism

The concentration of drugs used for the treatment of cancer cells can be reduced to therapeutically sub-optimal levels by decreasing its permeability through the cell membrane and/or increasing its active efflux. L.V. et al. found that exosomes can efficiently transfer p-glycoprotein (p-gp) from chemo-resistant breast cancer cells to sensitive cells, thus inducing resistance in the latter through increased drug efflux (72). Based on these

findings, p-gp was hypothesized to be a drug efflux pump encoded by the ABCB1 gene (73). The experiment conducted by L.V. et al. indicated that when adding MCF-7/DOC that extract of supernatant fluid secretion body (DOC/exobiology) for culture, MCF-7/S resistance can be induced, and to join the MCF-7/S exosomes (S/exobiology) training, MCF-7/S did not acquire resistance. When MCF-7/S and DOC/exo was co-cultured, the level of p-glycoprotein expression raised based on the number of exosomes. Similar to the finding of the above mentioned studies, Ning et al. confirmed that exosomes secreted by MCF7/ADM carried UCH-L1 and p-glycoprotein (74). Taken together, the above mentioned findings indicate that drug-resistant breast cancer cells can secrete exosomes containing p-gp, and confer chemoresistance to the more sensitive recipients. The exosomes secreted by the docetaxel-sensitive DU145 prostate cancer cells (DU145 tax-sen) are enriched in MDR1 (ABCB1), mdr-3, endophilin-a2, and PABP4, which are potential biomarkers of docetaxel resistance (75).

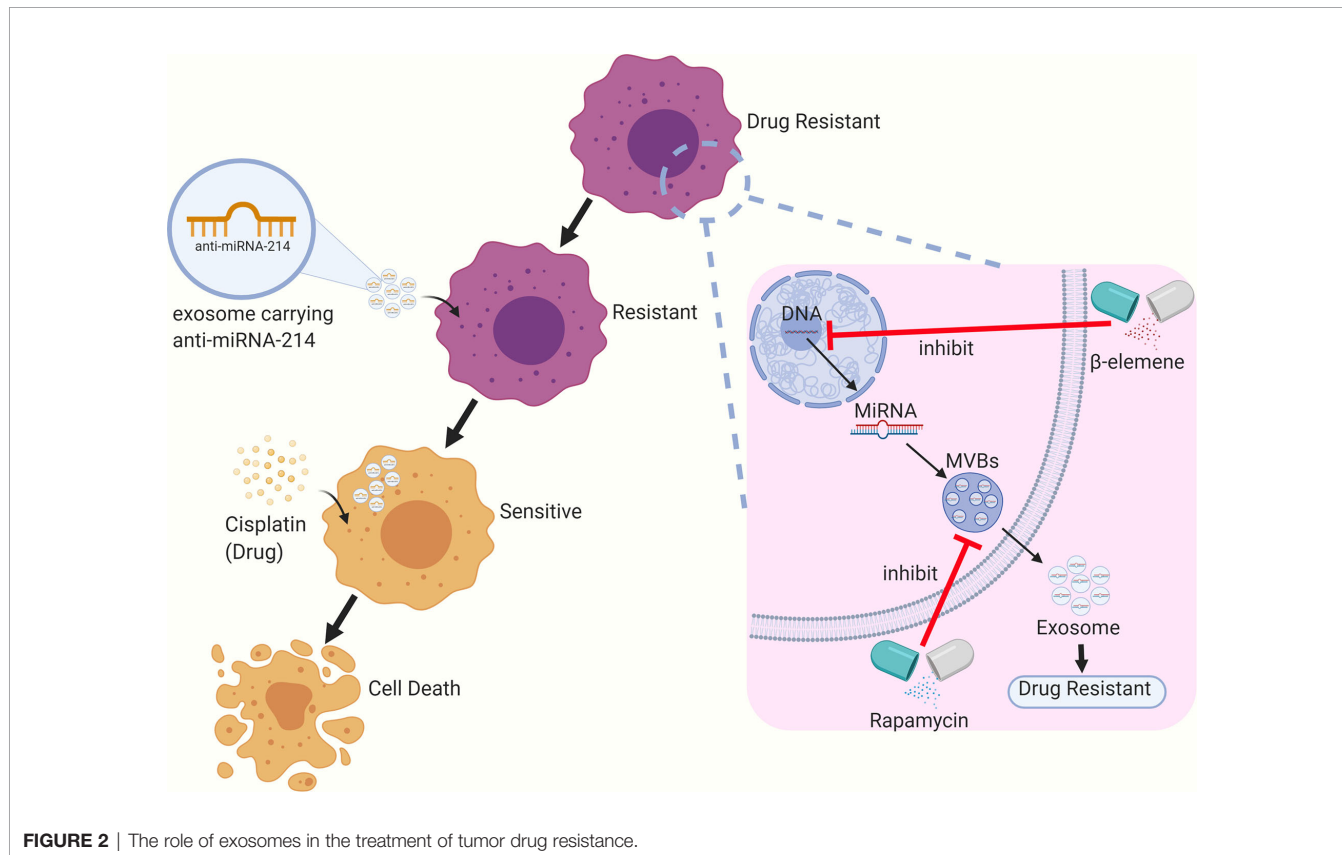
In conclusion, exosomes secreted by tumor related cells can promote tumor growth and metastasis through a variety of action pathways. At the same time, some small RNA molecules can affect cell apoptosis, so as to achieve the effect of drug resistance. If the exosome carrier is no longer small RNA, but a drug, it can induce drug resistance in the process of endocytosis and exocytosis. The ability of exosomes to repair DNA or block the process of transferring mRNA can increase the sensitivity of chemotherapy and radiotherapy and achieve better therapeutic effect.

THE ROLE OF EXOSOMES IN TUMOR DRUG RESISTANCE

Based on the available research, exosomes have shown to be promising nanocarriers that can be used for the reversal of tumor drug resistance. For instance, Wang et al. sensitized cisplatin-resistant gastric cancer cells by directly delivering anti-miRNA-214 to the recipient cells through exosomes (76). Rapamycin and U18666A can sensitize B lymphoma cells to rituximab *via* the inhibition of exosome release by interfering with the synthesis of MVBs and the incorporation of cholesterol into cell membranes. Some researchers have found that β -elemene can act on targeted genes in breast cancer cell lines to alter the expression of resistance-related miRNAs in exosomes, thereby reducing the level of resistance transmission through exosomes and enhancing the sensitivity to chemotherapy (77) (see **Figure 2**).

Targeting Exosomes to Reverse Chemoresistance

In recent years, several studies have demonstrated that exosomes can be targeted to prevent the development and reverse the chemoresistance of cancer cells. For instance, Cao et al. showed that the neutral sphingomyelinase (NSM) inhibitor, GW4869, sensitized cisplatin-resistant ovarian cancer cells, indicating the therapeutic potential of this novel drug in the recalcitrant cancer patients (78). Furthermore, studies have shown that ketotifen,



cannabinol (CBD) and psoralen can sensitize tumor cells to chemotherapeutic drugs by reducing exosome secretion from these cells. Likewise, rhamnose-emodin can reduce exosome secretion from the doxorubicin-resistant breast cancer cells and downregulate the expression of exosomal miRNAs involved in chemoresistance, reversing drug resistance. The exosomes secreted by human umbilical cord mesenchymal cells (hUC-MSC-Exo) can sensitize myelogenous leukemia K562 cells to imatinib by activating the caspase signaling pathway (79). Therefore, the combination of imatinib and hUC-MSC-Exo is a promising therapeutic strategy against chronic myelogenous leukemia (CML) (79). Li et al. found that the exosome-specific miRNA-770 reversed doxorubicin resistance in triple negative breast cancer (TNBC) cells by regulating apoptosis pathways and the TME (80). In addition, Akt inhibitors could reverse the chemoresistance of sensitive cancer cells induced by exosomes derived from the drug-resistant cells (81). Wang B. et al. found that the IC50 of cisplatin in chemo-sensitive TNBC cells increased 2.24 times after being co-cultured with a chemo-resistant cell line but decreased upon treatment with the compound, Yiqi (82).

Exosome and Tumor Chemotherapy Resistance Markers

Exosomes can be isolated from various biological fluids, such as blood, urine and saliva. The blood of healthy individuals may contain over 2000 trillion exosomes, whereas that of cancer patients contains 4000 trillion exosomes. Thus, tumor cells may produce and secrete more exosomes compared with normal cells, and can be used as potential diagnostic biomarkers (83). Yuwen et al. correlated lower serum levels of exosomal miRNA-146a-5p in patients with advanced NSCLC with a higher recurrence rate (84). In addition, serum exosomal miRNA-146a-5p is a novel biomarker that can be used to predict and monitor cisplatin resistance in NSCLC patients. Likewise, the serum exosomes enrichment of in TAG72 indicates a high probability of 5-FU resistance in CRC cells (85), and that of exosomal miRNA-222-3p predicts gemcitabine sensitivity in NSCLC patients (47). TRPC5 expression in breast cancer tissues and patient response to chemotherapy are significantly correlated with the level of cirExo-TRPC5 in peripheral blood. Since cirExo-TRPC5 levels increase after chemotherapy, it can be used as a promising biomarker for the image-based detection of chemo-resistance (86). High expression of GSTP1 in circulating exosomes may indicate an increase of chemo-resistance. A clinical study showed that the level of miRNA-151a in cerebrospinal fluid (CSF)-derived exosomes reflects potential chemo-resistance of glioblastoma multiforme (GBM) patients (87). In addition, Leukemia-derived exosomes induced IL-8 production in bone marrow stromal cells, which can protect acute myeloid leukemia cells from chemotherapy drug induced apoptosis (88). The content of exosomes secreted by tumor cells changes along with the level of cellular stress induced by anticancer therapy, which leads to the metastasis of drug resistant phenotypes in breast cancer (72, 82). This leads to the transfer of drug resistance mediated by exosomes between drug resistant cells and sensitive breast cancer cells (89). Moreover,

the selective isolation of circulating subsets of exosomes enriched in tumor sources could effectively improve the sensitivity and specificity of detection (90).

Then, according to the above, we boldly speculate that in the future, it can be separated from various human biological liquids, such as blood, urine and salivary blood, which could directly detect some disease-related exosomes bio-markers, so as to predict the condition and curative effect of patients at this stage. Then, some drugs are used to target the corresponding exosomes to reverse the drug resistance. This may be a simple, convenient and universal treatment in the future.

CONCLUSION AND PROSPECTS

Exosomes mediate intercellular communication in the TME, and can induce drug resistance in tumor cells by transferring specific mRNAs, ncRNAs or proteins (91). The differential expression of these molecules in exosomes are useful clinical markers of tumor drug resistance (92). Therefore, there is a clear need to further elucidate the role of exosomes in tumor drug resistance to improve prognostic prediction and therapeutic efficacy.

Exosomes in the TME have increasingly been identified as the vectors of oncogenesis, drug resistance and metastasis, although the exact pathways and mechanisms involved are still unclear. A deeper understanding of these mechanisms will provide new insights into tumor heterogeneity, and significant differences in the chemotherapeutic responses of individual cancer patients. Furthermore, exosomes are also promising nanocarriers for the targeted delivery of drugs to tumor cells. Given that the prognosis of cancer patients is closely associated with natural or acquired chemo-resistance of the tumor cells, early identification of recalcitrant patients can help formulate individualized optimal treatment regimens. Tumor patients have a higher level of plasmatic exosomes compared with healthy individuals, independently of tumor histology. This difference indicates that exosomes released by tumors during chemotherapy may deliver cytotoxic drugs to healthy organs, thus inducing harmful effects (29, 93, 94). Several ncRNAs that can regulate tumor cell proliferation, metastasis, chemoresistance and recurrence have been identified in recent years. Circulating exosomes that contain cancer-specific miRNAs and lncRNAs are promising diagnostic/prognostic biomarkers and therapeutic targets in cancer, although their underlying mechanisms of action remain to be clarified.

AUTHOR CONTRIBUTIONS

YF and XL performed the research. HL, YF, and XL designed the research study. PL and YFZ contributed essential reagents or tools. YF, HL, and YC helped to analyze the data. XL wrote the article. YF, ZY, and GL revised the article. ZX modified the language. YBZ provided financial assistance. All authors contributed to the article and approved the submitted version.

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Effect of Photodynamic Therapy on Gemcitabine-Resistant Cholangiocarcinoma *in vitro* and *in vivo* Through KLF10 and EGFR

Yang Yang^{1,2†}, Jigang Li^{1†}, Lei Yao³ and Lile Wu^{2*}

¹ Department of Clinical Pathology, Hunan Cancer Hospital, Changsha, China, ² Department of Hepatobiliary Surgery, The Affiliated Hospital of Southwest Medical University, Luzhou, China, ³ Academician Expert Workstation of Sichuan Province, The Affiliated Hospital of Southwest Medical University, Luzhou, China

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Fei Han,
Army Medical University, China
Wunchana Seubwai,
Khon Kaen University, Thailand

*Correspondence:

Lile Wu
wbm_bigcat@hotmail.com

† These authors have contributed
equally to this work

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Cholangiocarcinoma is a relatively rare neoplasm with increasing incidence. Although chemotherapeutic agent such as gemcitabine has long been used as standard treatment for cholangiocarcinoma, the interindividual variability in target and drug sensitivity and specificity may lead to therapeutic resistance. In the present study, we found that photodynamic therapy (PDT) treatment inhibited gemcitabine-resistant cholangiocarcinoma cells *via* repressing cell viability, enhancing cell apoptosis, and eliciting G1 cell cycle arrest through modulating Cyclin D1 and caspase 3 cleavage. *In vivo*, PDT treatment significantly inhibited the growth of gemcitabine-resistant cholangiocarcinoma cell-derived tumors. Online data mining and experimental analyses indicate that KLF10 expression was induced, whereas EGFR expression was downregulated by PDT treatment; KLF10 targeted the EGFR promoter region to inhibit EGFR transcription. Under PDT treatment, EGFR overexpression and KLF10 silencing attenuated the anti-cancer effects of PDT on gemcitabine-resistant cholangiocarcinoma cells by promoting cell viability, inhibiting apoptosis, and increasing S phase cell proportion. Importantly, under PDT treatment, the effects of KLF10 silencing were significantly reversed by EGFR silencing. In conclusion, PDT treatment induces KLF10 expression and downregulates EGFR expression. KLF10 binds to EGFR promoter region to inhibit EGFR transcription. The KLF10/EGFR axis participates in the process of the inhibition of PDT on gemcitabine-resistant cholangiocarcinoma cells.

Keywords: cholangiocarcinoma, gemcitabine resistance, photodynamic therapy (PDT), KLF10, EGFR, cell cycle 3

INTRODUCTION

Cholangiocarcinoma is a diverse group of malignancies arising from the biliary epithelium and a relatively rare neoplasm in developed countries; however, the incidence of cholangiocarcinoma is increasing globally (Siegel et al., 2019). Due to the difficulty of prognostic accuracy, at least half of patients are diagnosed with unresectable tumors and progress to an advanced stage (Blechacz and Gores, 2008; Kim et al., 2017). Thus, advanced, or metastatic disease patients present an overall survival of less than 6 months and a 5-year survival rate of less than 10% (Marin et al., 2018).

Systemic chemotherapy, as well as single-agent molecular targeted therapy are the conventional treatments for cholangiocarcinoma. For example, gemcitabine, one of the most widely used chemotherapeutic drugs for treating cholangiocarcinoma, is a nucleoside deoxycytidine analog that can enter cells *via* nucleoside receptors and then activate deoxycytosine kinases to bind DNA (Thongprasert, 2005; Alvarells et al., 2014; Fan et al., 2017). Gemcitabine leads to apoptosis *via* blocking cell cycle progression at the G1/S phase boundary (Plunkett et al., 1995; Gilbert et al., 2006; Fan et al., 2017). However, patients with advanced cholangiocarcinoma often obtain chemoresistance and show poor response to chemotherapy (Park et al., 2015; Morizane et al., 2019). For example, patients with inoperable cholangiocarcinoma received gemcitabine therapy only obtained relatively low 5-year survival rates (Valle et al., 2010; Razumilava and Gores, 2013; Rizvi and Gores, 2013). The interindividual variability in target and drug sensitivity and specificity may lead to therapeutic resistance. According to the understanding of the cell mechanism related to cholangiocarcinoma growth and drug reaction, multimodal therapies including combined treatment have emerged as a reasonable method to promote the therapeutic efficacy.

Photodynamic therapy (PDT) employs light activation of tissue-localized photosensitizer in an oxygen-dependent process (the most convenient light source is a laser). In the first stage of PDT, a tumor selective photosensitizer is administered (Dougherty et al., 1998; Bown et al., 2002), followed after some time by the illumination with visible light, which, in the presence of oxygen, leads to the generation of cytotoxic species and consequently to cell death and tissue destruction (Dougherty et al., 1998; Dolmans et al., 2003; Ayaru et al., 2007). PDT has been reported to be used upon skin lesions or hollow organ walls, and in recent years more attention has been paid to its potential in the treatment of solid organ lesions and digestive tract dysplasia and early cancerous lesions (Evrard et al., 1991; Schuitmaker et al., 1996; Bown et al., 2002), including cholangiocarcinoma (Kahaleh, 2012; McCaughan et al., 1991; Talreja et al., 2011). Although the synergetic anti-tumor effects of PDT/gemcitabine combination have been reported within cholangiocarcinoma (Chen et al., 2014; Hong et al., 2014; Wentrup et al., 2016; Kim et al., 2018), the mechanism underlying the synergetic anticancer effect remains unclear.

Previous studies indicated that PDT might induce cancer cell survival pathway activation. For example, within perihilar cholangiocarcinoma (QBC939) cells, sublethal PDT (LC50) led to the alteration of survival signaling pathways such as HIF-1, NF- κ B, AP-1, and heat shock factor (HSF) (Luna et al., 1994; Broekgaarden et al., 2015; Weijer et al., 2015, 2016). PDT-treated QBC939 cell line also exhibited decreased protein levels related to the EGFR pathway, especially at LC90 (Weijer et al., 2017). Notably, HIF-1 induction within Het-1a, a human esophageal squamous epithelial cell line, decreased 5-ALA-PDT-induced cell death and apoptosis; the pro-survival response of HIF-1 showed to be inhibited after siRNA-mediated knockdown of HIF-1, thereby increasing PDT efficacy within the Het-1a cell line (Ji et al., 2006). Verteporfin-PDT induced EGFR and STAT3 expression in OVCAR-5 and H460 cancer cells, whereas

the EGFR or STAT-3 silencing with siRNA augmented PDT efficacy (Edmonds et al., 2012). Thus, identifying PDT-targeted survival pathways might provide an in-depth understanding of the synergetic anticancer effect of PDT and gemcitabine.

Herein, the study firstly constructed cholangiocarcinoma cells with resistance to gemcitabine and examined the specific effects of PDT exposure on regular and gemcitabine-resistant cholangiocarcinoma cell viability, apoptosis, and cell cycle distribution. The *in vivo* effects of PDT treatment on regular and gemcitabine-resistant cholangiocarcinoma cell-derived implanted tumor growth were also investigated. Then, bioinformatics analyses were performed using online datasets to identify differentially expressed transcription factors and genes after PDT treatment and KLF10 and EGFR were found. The predicted binding and regulation between KLF10 and EGFR were verified, and the specific effects of KLF10 and EGFR upon the synergetic anti-tumor effects of PDT/gemcitabine combination were examined, respectively and combinedly. In conclusion, we identified transcription factors and signaling that might participate in PDT reversing cholangiocarcinoma resistance to gemcitabine.

MATERIALS AND METHODS

Cell Resource

The human cholangiocarcinoma cell line RBE and QBC939 was obtained from Xiangya Cell Bank (Changsha, China) and cultured in RPMI1640 medium (Invitrogen, Carlsbad, CA, United States) supplemented with 10% FBS (Invitrogen). All cells were cultured at 37°C in 5% CO₂.

Induction of Gemcitabine-Resistant Cholangiocarcinoma Cell Lines

RBE and QBC939 cells were exposed to the graded concentrations of gemcitabine, as described previously (De Angelis et al., 2004). Generally, a total of 1×10^5 cells was cultured in 25-cm² flasks for 24 h and exposed to gemcitabine (0.25, 0.5, 1, 2, 4, 8, 16, 32, and 64 μ M) for 72 h. Surviving cells were cultured in drug-free medium to allow cells to attain 80% confluence. Then, the cells were cultured at this drug concentration until they grew steadily and the IC50 values were determined by the MTT assay. These surviving cells were then exposed to gemcitabine at twofold increase of IC50 concentration for six rounds. Two gemcitabine-resistant cell lines, RBE-R and QBC939-R were obtained after 8 months of culture. All two gemcitabine-resistant cell lines were grown in drug-free medium for 2 weeks then harvested, frozen in the liquid nitrogen, and stored at -80°C until analyzed.

MTT for Cell Viability

Cells were seeded in 96-well plates at a density of 5×10^3 cells/well and treated with gemcitabine for 72 h or PDT treatment. Cell viability was evaluated with the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay (AMRESCO, Solon, OH, United States). Half-maximal inhibitory concentration (IC50) was analyzed relative

to the DMSO control. Values are shown as the means of triplicate wells from three independent experiments for each drug concentration.

Flow Cytometry for Cell Cycle and Cell Apoptosis

For cell cycle analysis, cells were plated in 6-well plates at a density of 1×10^5 cells/well for 24 h. After treatment and/or transfection, cells were harvested, washed twice with cold PBS, fixed overnight in 70% ethanol at 4°C, incubated for 30 min in the dark with RNase A and propidium iodide (PI) (final concentration 2.4 µg/ml) at room temperature. The cell cycle distribution was examined using an ACEA NovoCyte flow cytometer (Becton-Dickinson, San Jose, CA, United States), and the data were analyzed using FlowJo software.

For cell apoptosis, an Annexin V-FLUOS staining kit (Roche Diagnostic, Mannheim, Germany) was used. Cells were plated in 6-well plates at a density of 1×10^5 cells/well for 24 h. After treatment and/or transfection, floating and adherent cells were collected, washed twice with cold PBS, resuspended in 100 µl binding buffer containing 2 µl Annexin V-FITC and 2 µl PI (50 µg/ml) and incubated at room temperature for 15 min in the dark. Then, cell apoptosis was analyzed using a FACScanto™ II flow cytometer (Becton-Dickinson), and the data were analyzed using FACS Diva™ software (Becton-Dickinson).

Immunoblotting

Target cells were lysed with the iced hypotonic buffer. After estimating the protein concentrations, the samples containing the proteins were loaded and separated on SDS-PAGE. Then, the blots were transferred to a PVDF membrane and incubated with the primary antibodies for 24 h at 4°C. The following antibodies were used: anti-ki67 (27309-1-AP; Proteintech, Wuhan, China), anti-Cyclin D1 (60186-1-Ig, Proteintech), anti-cleaved-caspase 3 (ab2302; Abcam, Cambridge, MA, United States), anti-caspase 3 (19677-1-AP, Proteintech), anti-EGFR (CSB-PA10279A0Rb; CUSABIO, Houston, TX, United States), Erk1/2 (67170-1-Ig, Proteintech), p-Erk1/2 (sc-81492; Santa Cruz, Dallas, TX, United States), anti-Akt (Y409094; ABM, Richmond, BC, Canada), anti-p-Akt (Y011054, ABM), anti-VEGF (CSB-PA07529A0Rb, CUSABIO), anti-KLF10 (ab73537, Abcam), and anti-GAPDH (ab8245, Abcam). After that, the membrane was incubated with HRP-conjugated secondary antibody (1:1000) for 1 h at 37°C. The visualization of the proteins was achieved by the enhanced chemiluminescence (ECL) reagent.

Subcutaneously Implanted Tumor Model in Nude Mouse

Gemcitabine-resistant QBC939-R/REB-R or gemcitabine-sensitive QBC939/REB cells were implanted into 5-week-old BALB/c nude mice. Briefly, the cells (2×10^6) in 100 µl of serum-free RPMI were injected subcutaneously into the flank of the mice. Mice bearing human cholangiocarcinoma

cells-derived xenograft tumors were treated or non-treated with PDT. Tumor size and tumor weight were measured every 3 days, and volumes were determined using the formula $\text{volume} = \text{length} \times \text{width}^2/2$. At day 35, the mice were sacrificed. The tumor tissues were collected and the protein levels of ki67, CyclinD1, and cleaved-caspase3/caspase3 were examined in tumor tissues. All procedures were approved by the Institutional Animal Care and Use Committee (IACUC) of The Affiliated Hospital of Southwest Medical University in accordance with the “Principles of Laboratory Animal Care” (NIH, Bethesda, MD, United States).

Mice Photodynamic Therapy

After tumor diameter of nude mice reached over 6 mm for about 15 days, mice bearing QBC939/REB- or QBC939-R/REB-R-derived tumors were randomized to divide into 8 groups, including QBC939 ($n = 6$), QBC939 + PDT ($n = 6$), QBC939-R ($n = 6$), QBC939-R + PDT ($n = 6$), REB ($n = 6$), REB + PDT ($n = 6$), REB-R ($n = 6$), REB-R + PDT ($n = 6$). Mice received intratumoral administration of 20% 5-ALA (Sigma Chemical Co.) at a dose of 100 mg/kg, following by PDT for 5 min at 4 h later (630 nm, 100 J/cm², 100 mW/cm²). The diameter of the irradiating laser beam entirely covered the tumor. At 14 days after irradiation, the mice were sacrificed. Tumor sizes and weights were analyzed statistically.

RT-qPCR

RNA was extracted from target cells and cDNA was prepared using the oligo-dT-based Transcriptor first-strand cDNA synthesis kit (Roche Diagnostics, Basel, Switzerland) with an input of 500 ng total RNA according to the manufacturer's instructions and diluted in RNase-free H₂O to obtain a final concentration of 5 ng/µl. The RT-qPCR assays were performed using a Power SYBR Green PCR Master Mix (Life Technologies, Carlsbad, CA, United States) in an ABI Prism 7900HT instrument (Applied Biosystems, Carlsbad, CA, United States). The relative expression levels were calculated using the $2^{-\Delta \Delta Ct}$ method, taking the GAPDH mRNA level as an internal reference.

Bioinformatics Analysis

The expression and clinical data were acquired from The Cancer Genome Atlas (TCGA)-cholangiocarcinoma (CHOL) data and the Gene Expression Omnibus (GEO) data with accession numbers GSE84756 and GSE68292. The GSE84756 dataset includes the whole genome expression profiling of biliary adenocarcinoma cells (SK-ChA-1) while were treated with the buffer (control group), dark toxicity (DT group), 50% lethal concentration of 500-mW laser light (LC50) group) or super lethal concentration of 500-mW laser light (LC90 group). The GSE68292 dataset includes the gene expression of hilar cholangiocarcinoma (SK-ChA-1) cells which were treated with PBS (control group), dark (0 mW group), 50-mW laser light (50 mW group), or 500-mW laser light (500 mW group). The TCGA-CHOL dataset includes the gene expression data of 9 normal control tissues and 36 cholangiocarcinoma tissues.

The online tool UALCAN (Chandrashekar et al., 2017)¹ was used for KLF10 expression, overall survival, disease-specific survival and progression-free interval analysis. The analysis for the microarrays GSE84756 and GSE68292 were analyzed by R language Limma package (Ritchie et al., 2015) with the condition of $|\log_2(\text{fold change})| \geq 0.56$, $P < 0.05$.

Chromatin Immunoprecipitation Assay

Chromatin immunoprecipitation assays were performed by using the Magna ChIP Kit (Millipore, Bedford, MA, United States) following the manufacturer's directions. QBC939 cells were treated with formaldehyde to generate DNA-protein cross-links. Cell lysates were sonicated to generate chromatin fragments of 200–300 bp, and the lysates were immunoprecipitated with anti-KLF10 or anti-IgG (internal reference). The precipitated chromatin DNA was recovered and measured by qPCR.

Dual-Luciferase Reporter Assay

To verify the binding between KLF10 and the EGFR promoter, we generated pscheck2-proEGFR and pscheck2-proEGFR-mut luciferase reporter plasmids. The plasmids were then co-transfected into 293T cells with a negative control vector or KLF10-overexpressing vector (vector/KLF10); 48 h after the transfection, the luciferase activity was determined using the Dual-Luciferase Assay Kit (Promega, Madison, WI, United States).

Statistical Analysis

Statistical analyses were performed using GraphPad (GraphPad Software, Inc., La Jolla, CA, United States). Significant differences between groups were evaluated by a Student's *t*-test. The results are reported as the means \pm standard deviation (SD) based on at least three replicates.

RESULTS

Effects of Photodynamic Therapy on Cholangiocarcinoma Gemcitabine Resistance

To investigate the specific functions of PDT exposure upon cholangiocarcinoma gemcitabine resistance to gemcitabine, we firstly established two gemcitabine-resistant cholangiocarcinoma cells, RBE-R and QBC939-R, as described. After 8 months induction, the IC₅₀ values of regular RBE and QBC939 cell lines were increased from 3.011, 4.501 to 14.44, 18.19 μ M, respectively (Figure 1A), suggesting that the gemcitabine-resistant cancer cells were successfully constructed. Secondly, these cholangiocarcinoma cells were exposed or non-exposed to PDT treatment and examined for the cell viability. Figure 1B showed that RBE-R, and QBC939-R cell viability was higher than that of regular RBE, and QBC939 cell; PDT exposure significantly inhibited the cell viability of all cancer cells and

RBE-R, and QBC939-R cell viability more inhibited. Then, RBE-R and QBC939-R cell lines showed to be exposed or non-exposed to PDT treatment and examined for the cell apoptosis and cell cycle distribution. Compared with RBE and QBC939 cell line, respectively, the apoptosis of RBE-R and QBC939-R cell line was significantly inhibited; PDT significantly promoted apoptosis of these cell lines (Figure 1C and Supplementary Figure 1A). Compared with RBE and QBC939 cells, respectively, RBE-R and QBC939-R cell cycle tended to distribute in S phase; PDT exposure induced cell cycle in G1 phase within these cell lines (Figure 1D and Supplementary Figure 1B). As for the proliferating and apoptotic markers, the protein levels of ki67 and Cyclin D1 were significantly increased, whereas cleaved-caspase 3/caspase 3 was decreased in RBE-R and QBC939-R cells; PDT exposure significantly reversed the changes in these proteins in these cell lines (Figure 1E). These data suggest that PDT exposure exhibited cytotoxicity on regular RBE and QBC939 cell line and gemcitabine-resistant RBE-R and QBC939-R cell line.

Photodynamic Therapy Treatment Inhibits Gemcitabine-Resistant Cholangiocarcinoma Cells Xenotransplanted Tumors

To further confirm the *in vitro* findings, we established a xenotransplanted tumor model in nude mice by injecting regular RBE and QBC939 cell lines or gemcitabine-resistant RBE-R and QBC939-R cell lines. Mice bearing tumors derived from regular RBE and QBC939 cell line or gemcitabine-resistant RBE-R and QBC939-R cell line showed to be treated or non-treated with PDT. Twenty-eight days after transplanting, the tumor volume (Figure 2A) and tumor weight (Figure 2B) of RBE-R or QBC939-R-derived tumors were significantly larger than those of the RBE or QBC939-derived tumors, respectively. For both types of tumors, PDT treatment significantly reduced the tumor volume and tumor weight (Figures 2A,B). Moreover, the protein levels of ki67, Cyclin D1, cleaved-caspase 3, and caspase 3 were examined in tumor tissues. Consistent with *in vitro* findings, ki67 and Cyclin D1 proteins showed to be dramatically increased, whereas cleaved-caspase 3/caspase 3 was decreased in RBE-R or QBC939-R-derived tumors, compared with those in RBE or QBC939-derived tumors, respectively (Figure 2C). PDT exposure significantly reversed the alterations in these proteins in both types of tumors (Figure 2C). These *in vivo* findings suggest that PDT treatment exhibits anti-tumor effects on gemcitabine-resistant cell-derived tumors.

Identification of Differentially Expressed Genes That Could Participate in Photodynamic Therapy Reversing the Resistance of Cholangiocarcinoma Cells to Gemcitabine

Transcription factors are at the core of gene expression regulation. To investigate the mechanism underlying PDT reversing cholangiocarcinoma gemcitabine resistance, we performed online data mining to identify transcription factors

¹<http://ualcan.path.uab.edu/index.html>

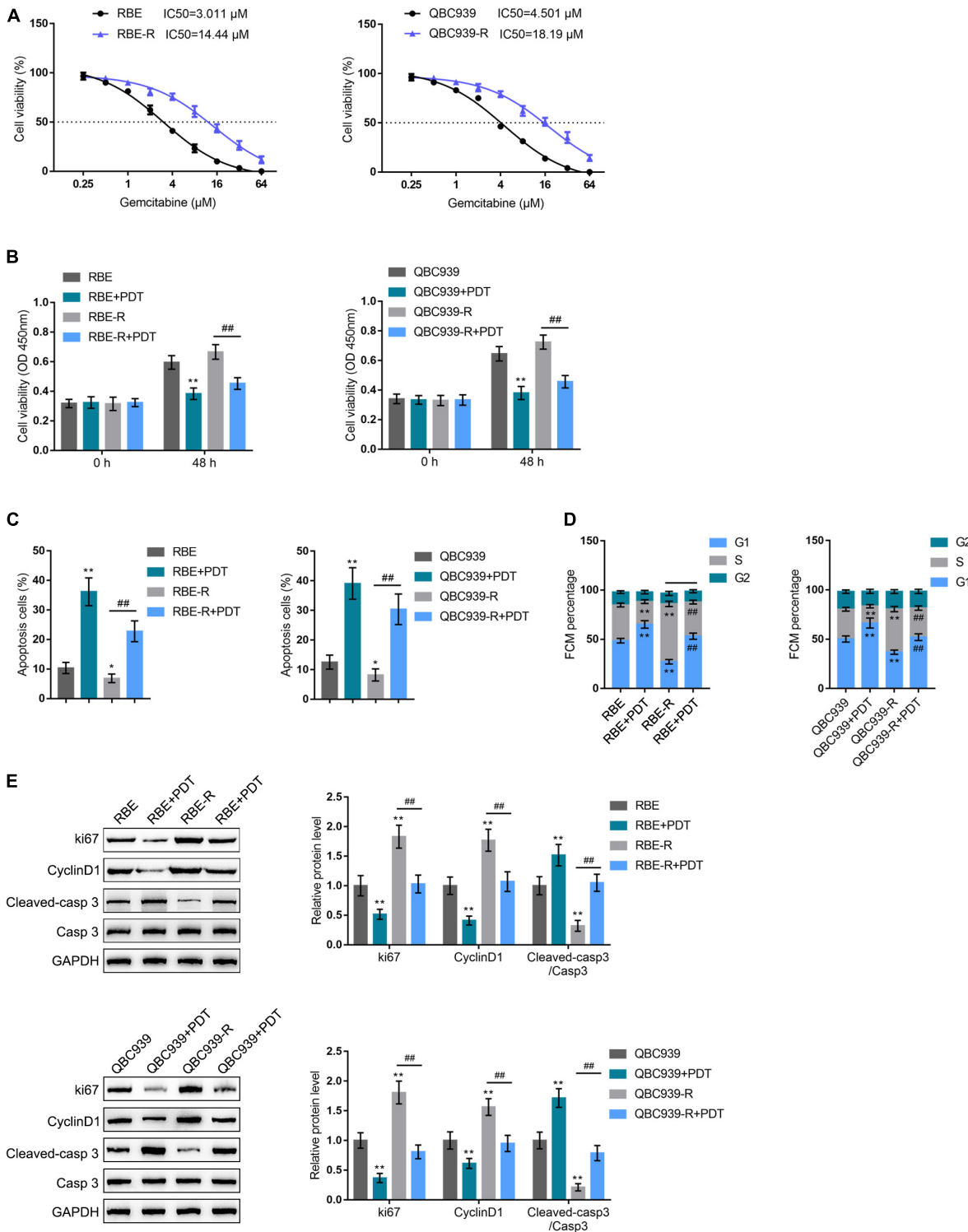
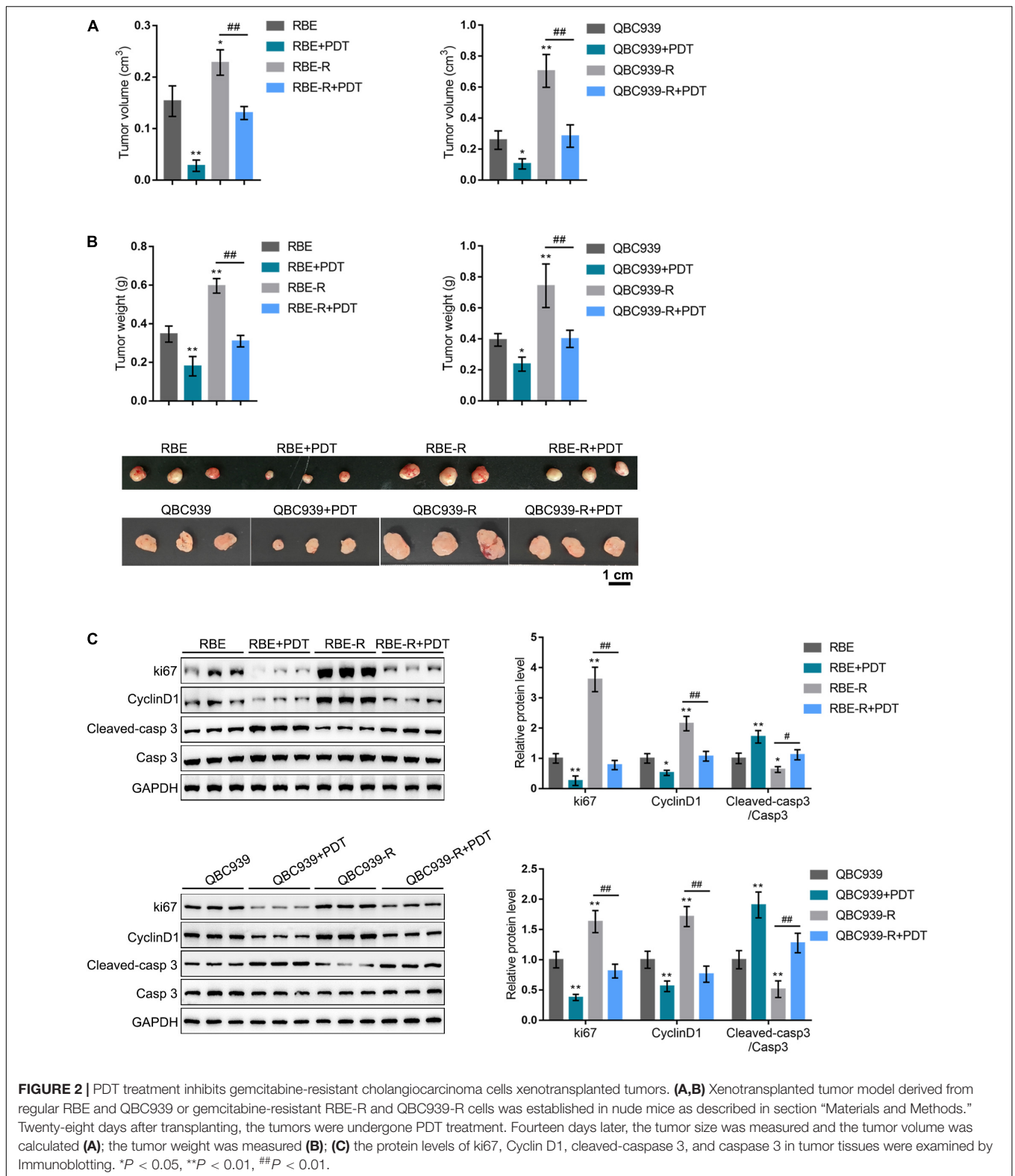


FIGURE 1 | Effects of PDT on gemcitabine-resistant cholangiocarcinoma cells. **(A)** Gemcitabine-resistant cholangiocarcinoma cell lines, RBE-R and QBC939-R were established as described; the cell viability was determined by MTT assays and shown as the IC50 values. **(B)** Regular and gemcitabine-resistant cholangiocarcinoma cell lines were exposed or non-exposed to PDT treatment and examined for the cell viability by MTT assays. Then, RBE, QBC939, RBE-R, and QBC939-R cells were exposed or non-exposed to PDT treatment and examined for the cell apoptosis by Flow cytometry **(C)**; cell cycle distribution by Flow cytometry **(D)**; the protein levels of ki67, Cyclin D1, cleaved-caspase 3, and caspase 3 by Immunoblotting **(E)**. **P* < 0.05, ***P* < 0.01, ****P* < 0.01.



altered by PDT treatment by bioinformatics analyses. GSE84756 and GSE68292 datasets were compared, and found that these two datasets intersected in 31 differentially expressed

transcription factors (11 downregulated and 20 upregulated) within PDT-subjected QBC939 cell line (**Supplementary Table 1**). Among the 20 upregulated transcription factors,

CEBPD, CSRN1, and KLF10 were regularly underexpressed in cholangiocarcinoma but upregulated by PDT treatment. According to TCGA-CHOL data, KLF10 expression was significantly downregulated in cholangiocarcinoma tissues (**Supplementary Figure 2A**). Moreover, also according to TCGA-CHOL data, cholangiocarcinoma patients with lower KLF10 expression predicted poorer overall survival (**Supplementary Figure 2B**), disease-specific survival (**Supplementary Figure 2C**), and progression-free survival (**Supplementary Figure 2D**). Thus, KLF10 might participate in the process of PDT reversing cholangiocarcinoma gemcitabine resistance.

As for the downstream signaling involved, also based on GSE84756 and GSE68292, a total of 147 genes were upregulated and 181 downregulated after PDT treatment. These deregulated genes were applied for DAVID KEGG signaling enrichment annotation, and 17 were enriched in tumor signaling, including 11 downregulated (EGFR, AXIN2, WNT7B, ADCY1, GNG12, GNAI1, ITGB1, ITGA6, LAMB1, LAMC1, and RUNX1) and 6 upregulated (CXCL8, FOS, MYC, JUN, NFkB2, and TRAF4) by PDT. Then, KLF10 targeted signaling was predicted and EGFR was among the KLF10 targeted genes. According to GSE84756 and GSE68292, KLF10 expression was significantly upregulated, whereas EGFR expression was downregulated by PDT treatment (**Figures 3A–D**). In tissue samples, KLF10 and EGFR expression were negatively correlated (**Figures 3E,F**). Thus, we hypothesize that KLF10 might target the EGFR promoter region to inhibit EGFR transcription. KLF10 and EGFR might be involved in the process of PDT reversing cholangiocarcinoma gemcitabine resistance.

KLF10 Inhibits EGFR Transcription by Binding the Promoter Region

Before investigating the roles of KLF10 and EGFR in PDT reversing cholangiocarcinoma gemcitabine resistance, we first examined the predicted KLF10 binding and negative regulation of EGFR. RBE and gemcitabine-resistant RBE-R cell line showed to be exposed or non-exposed to PDT treatment and examined for KLF10 mRNA expression and protein levels. KLF10 expression was downregulated within RBE-R cells compared with RBE cells. Moreover, consistent with online microarray expression profiles, PDT treatment significantly induced KLF10 mRNA expression and protein levels in both RBE and RBE-R cells (**Figures 4A,B**). Then, KLF10 overexpression or silencing was achieved in RBE-R cells by transfecting KLF10-overexpressing vector or small interfering RNA targeting KLF10. The transfection efficiency was verified using Immunoblotting (**Figure 4C**). In RBE-R cells, EGFR mRNA expression and protein levels were significantly downregulated by KLF10 overexpression, whereas upregulated by KLF10 silencing (**Figures 4D,E**). These data confirmed that KLF10 negatively regulates EGFR expression.

To confirm the binding of KLF10 to EGFR promoter, we transfected RBE-R cells with KLF10 or empty vector and performed ChIP assay using anti-KLF10 or anti-IgG (negative control). We employed real-time qPCR to examine the EGFR promoter levels in the immunoprecipitate. **Figure 4F** showed that the EGFR promoter levels were significantly increased

within the immunoprecipitate of anti-KLF10 compared with that in anti-IgG group; moreover, KLF10-overexpressing cells obtained higher EGFR promoter levels compared with that in cells transfected with empty expression vector. Next, dual-luciferase reporter assay was performed by constructing wild-type psicheck2-proEGFR and mutant type psicheck2-proEGFR-mut luciferase reporter plasmids and co-transfected them in 293T cells with KLF10 or empty vector. **Figure 4G** showed that KLF10 overexpression dramatically repressed psicheck2-proEGFR plasmid luciferase activity; when co-transfected with psicheck2-proEGFR-mut, KLF10 overexpression failed to alter the luciferase activity. In summary, KLF10 could inhibit EGFR transcription *via* directly binding to the EGFR promoter region.

EGFR Might Participate in Photodynamic Therapy Reversing Cholangiocarcinoma Gemcitabine Resistance

After confirming KLF10 negative regulation of EGFR, next, the specific effects of these two factors on the process of PDT reversing cholangiocarcinoma gemcitabine resistance were investigated. RBE or gemcitabine-resistant RBE-R cell lines showed to be exposed or non-exposed to PDT treatment and examined for EGFR mRNA and protein expression. EGFR mRNA and protein expression showed to be significantly upregulated within RBE-R cell line compared with RBE cell line; PDT treatment significantly downregulated the mRNA and protein expression of EGFR within these two cell lines (**Figures 5A,B**). Next, EGFR overexpression was achieved within RBE-R cell line under PDT treatment by transfecting the EGFR-overexpressing vector (EGFR). The transfection efficiency was verified using real-time qPCR (**Figure 5C**). Under PDT treatment, EGFR overexpression significantly promoted RBE-R cell viability (**Figure 5D**), inhibited cell apoptosis (**Figure 5E**), and increased the proportion of S phase cells (**Figure 5F**). As for the proliferating and apoptotic markers, under PDT treatment, EGFR overexpression significantly increased EGFR and VEGF proteins, as well as the ratio of p-Erk1/2/Erk1/2 and p-Akt/Akt (**Figure 5G**). These data suggest that EGFR overexpression could attenuate gemcitabine-resistant cholangiocarcinoma cell response to PDT treatment.

KLF10 Silencing Attenuates the Effects of Photodynamic Therapy on Gemcitabine-Resistant Cells

Considering that PDT significantly induces KLF10 expression, next, KLF10 silencing was achieved in RBE-R cells under PDT treatment by transfecting si-KLF10 or si-NC (negative control). Similar to EGFR overexpression, under PDT treatment, KLF10 silencing significantly promoted RBE-R cell viability (**Figure 6A**), repressed cell apoptosis (**Figure 6B**), and increased the proportion of S phase cells (**Figure 6C**). As for the proliferating and apoptotic markers, under PDT treatment, KLF10 silencing decreased the protein levels of KLF10, whereas increased EGFR and VEGF protein levels and the ratio of p-Erk1/2/Erk1/2 and p-Akt/Akt significantly (**Figure 6D**).

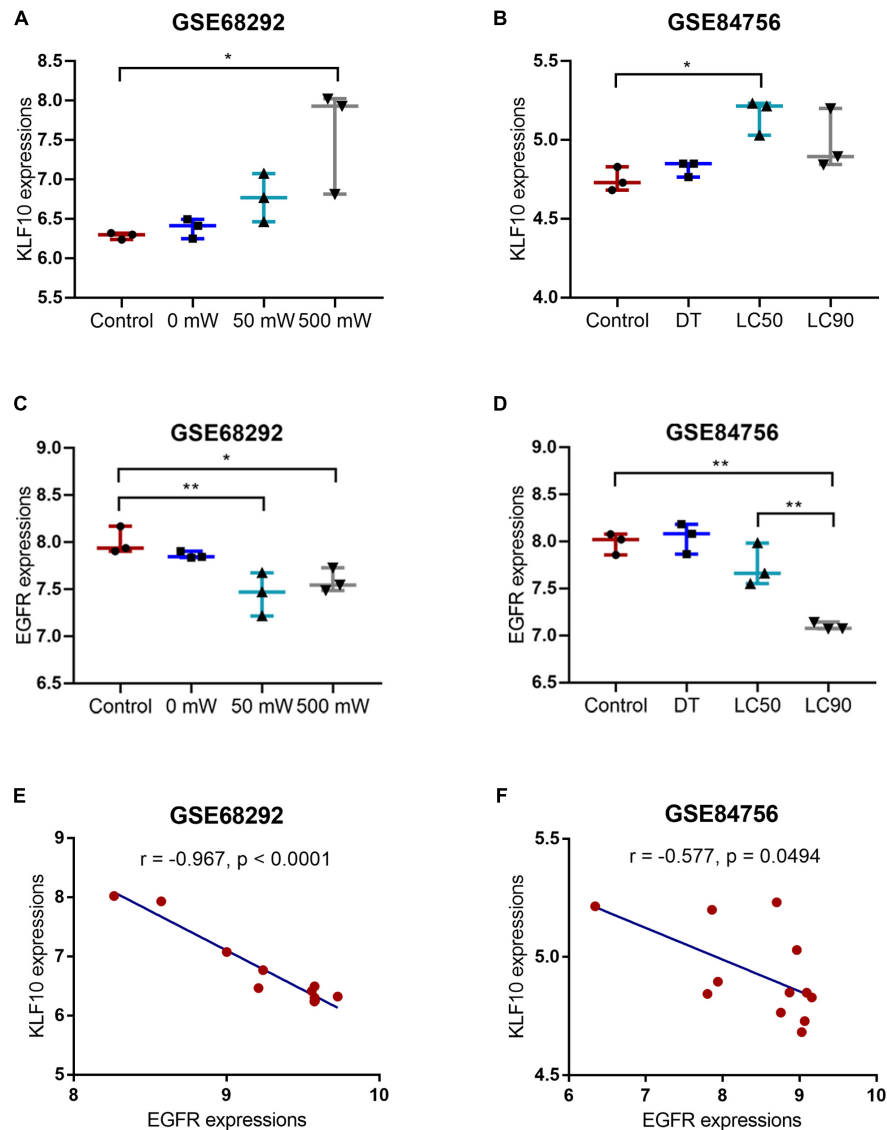
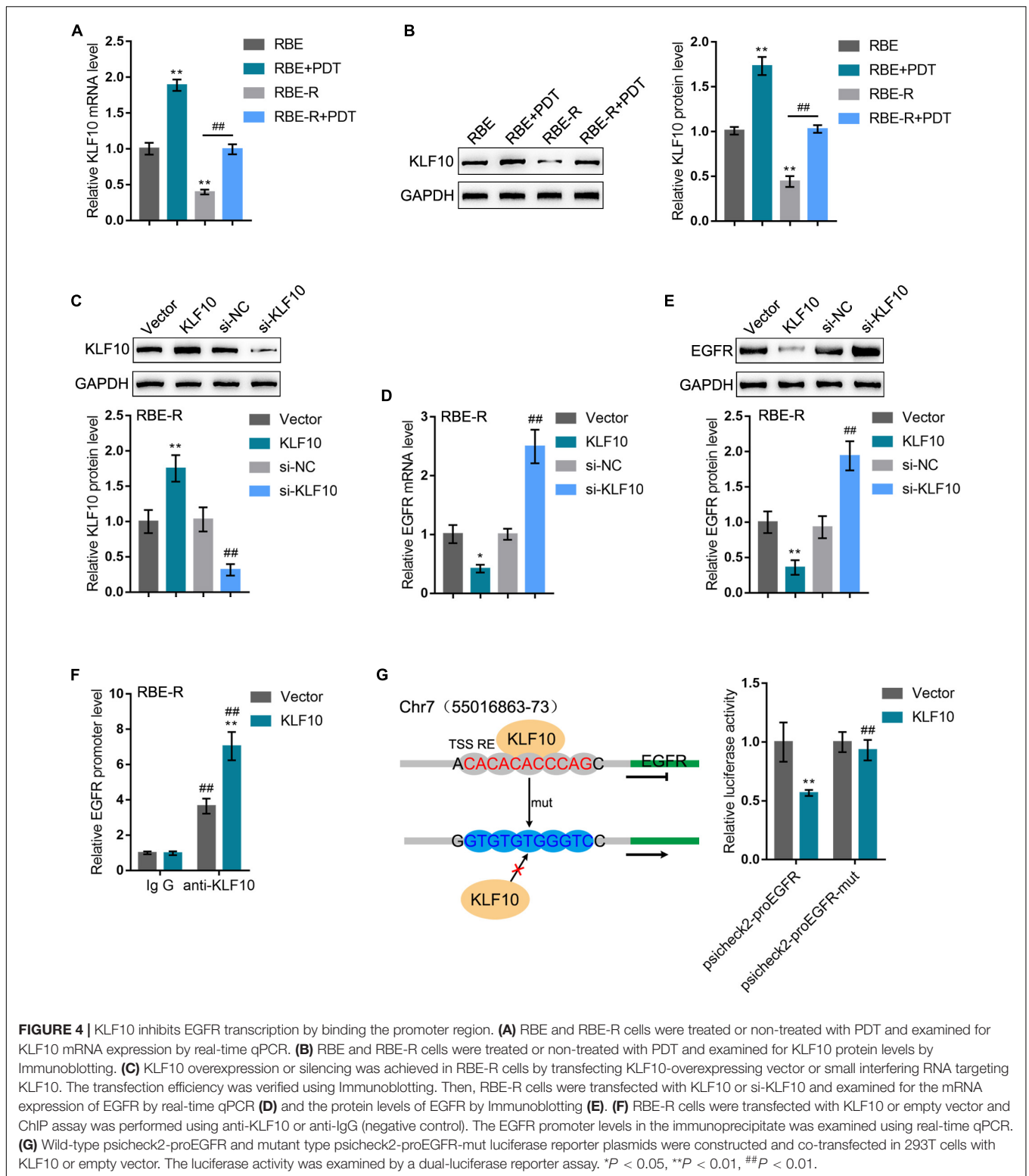


FIGURE 3 | KLF10 expression and correlation with EGFR. **(A)** KLF10 expression under the control, 0, 50, or 500 mW PDT exposure, according to GSE68292. **(B)** KLF10 expression under the control, dark toxicity (DT), LC50, or LC90 PDT exposure, according to GSE84756. **(C)** EGFR expression under the control, 0, 50, or 500 mW PDT exposure, according to GSE68292. **(D)** EGFR expression under the control, dark toxicity (DT), LC50, or LC90 PDT exposure, according to GSE84756. **(E)** The correlation between KLF10 and EGFR expression, according to GSE68292. **(F)** The correlation between KLF10 and EGFR expression, according to GSE84756. * $P < 0.05$, ** $P < 0.01$.

KLF10 Modulates Photodynamic Therapy Reversing Cholangiocarcinoma Gemcitabine Resistance Through EGFR

To investigate whether the KLF10/EGFR axis plays a dynamic role in the process of PDT reversing cholangiocarcinoma gemcitabine resistance, we co-transfected RBE-R cells with si-KLF10 and si-EGFR, exposed the cells to PDT treatment, and examined for the mRNA expression of KLF10 and EGFR. As shown in **Figures 7A,B**, under PDT treatment, si-KLF10 transfection downregulated KLF10 expression and upregulated EGFR expression, and si-EGFR transfection caused no alteration

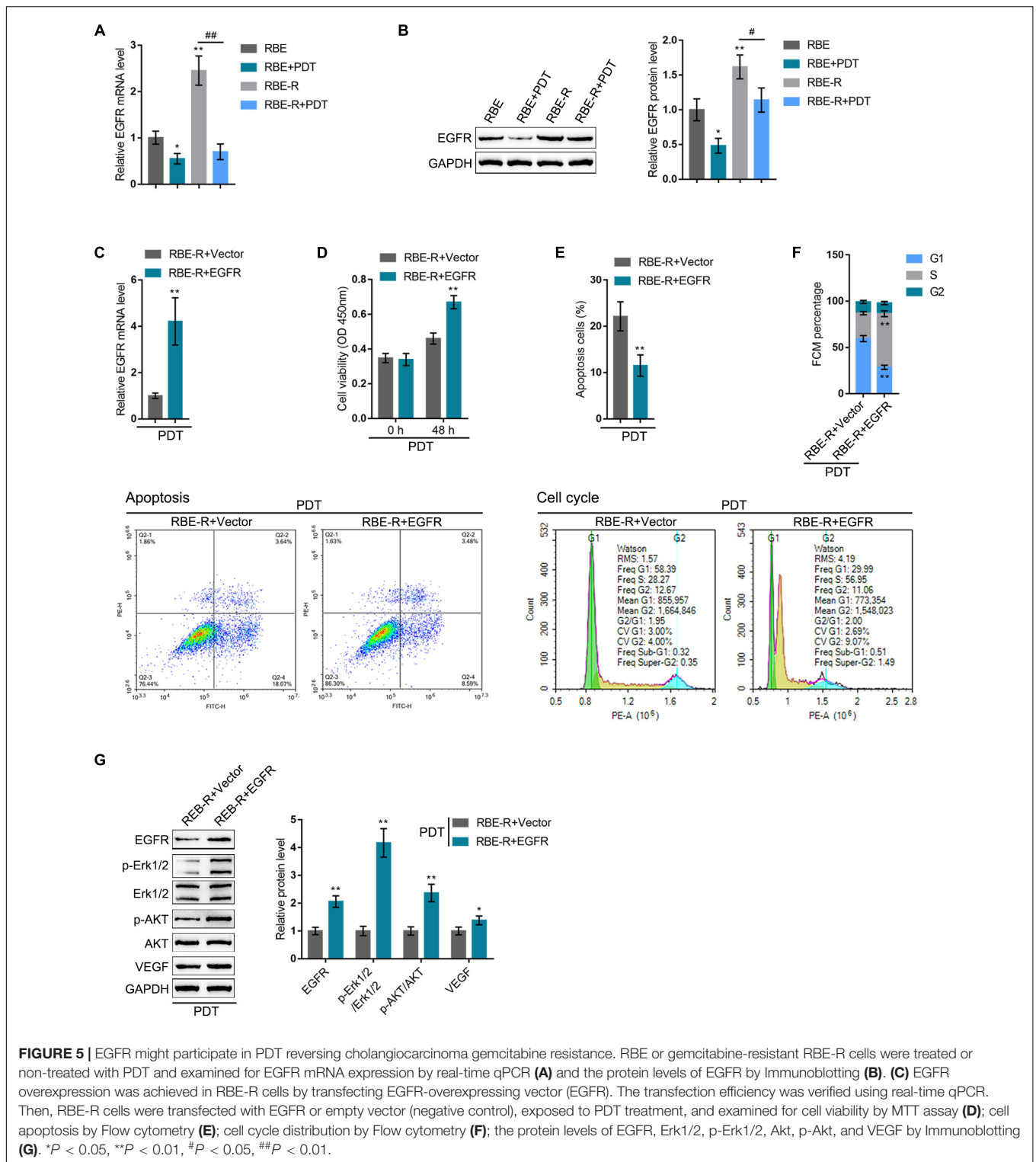
in KLF10 expression and downregulated EGFR expression; the effects of si-KLF10 transfection on EGFR expression was significantly reversed by si-EGFR transfection (**Figures 7A,B**). As for the cellular functions, KLF10 silencing promoted cell viability, repressed cell apoptosis, and increased the proportion of S phase cells (**Figures 7C–E**); on the contrary, EGFR silencing inhibited cell viability, enhanced cell apoptosis, and elicited G1 cell cycle arrest (**Figures 7C–E**). The effects of KLF10 silencing on cell phenotype were reversed by EGFR silencing (**Figures 7C–E**). As for the proliferating and apoptotic markers, KLF10 silencing increased EGFR and VEGF proteins and the ratio of p-Erk1/2/Erk1/2 and p-Akt/Akt (**Figure 7F**); on the



contrary, EGFR silencing reduced EGFR and VEGF proteins and the ratio of p-Erk1/2/Erk1/2 and p-Akt/Akt (Figure 7F). The effects of KLF10 silencing on these markers were reversed by EGFR silencing.

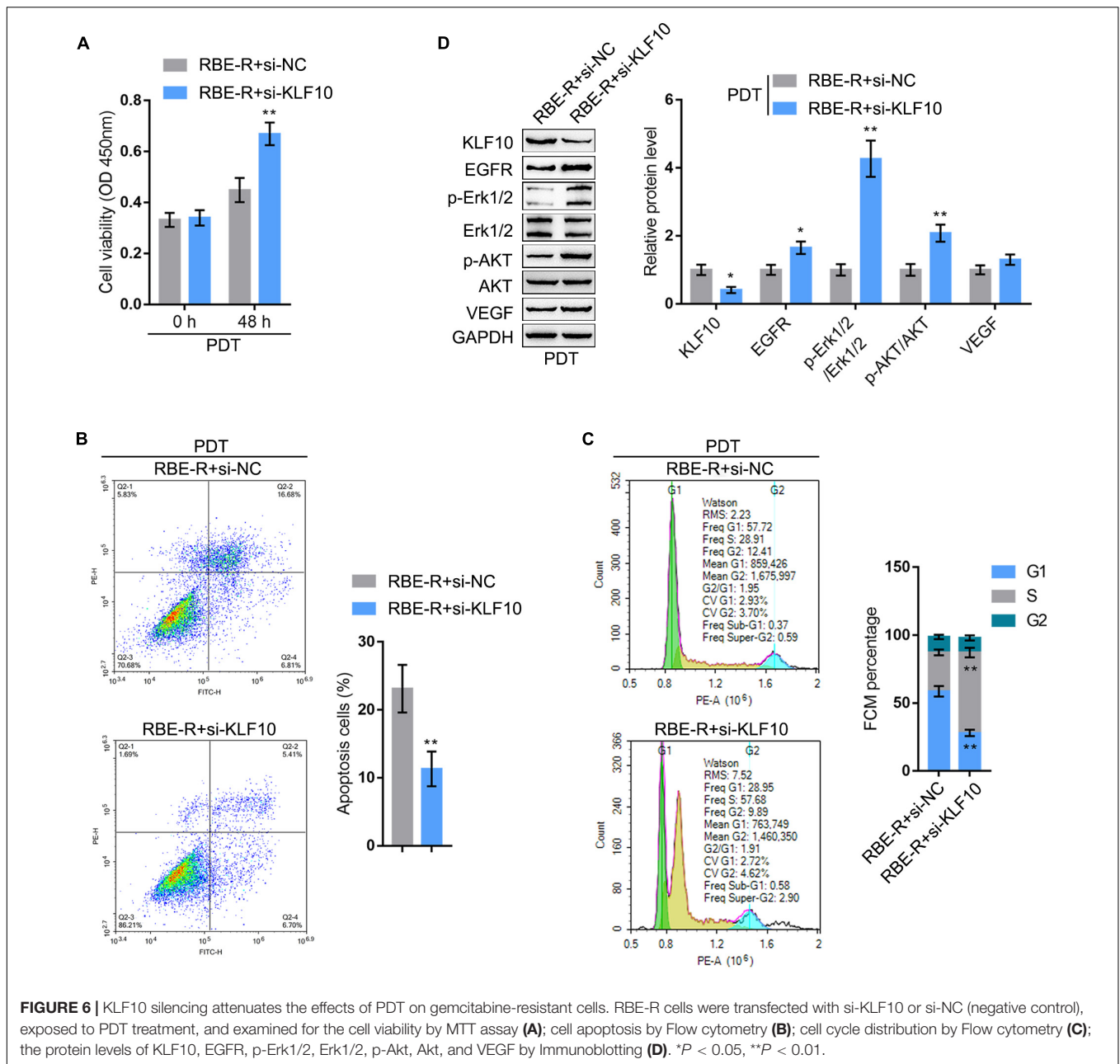
DISCUSSION

In the present study, we found that PDT treatment inhibited gemcitabine-resistant cholangiocarcinoma cells *via* repressing



cell viability, enhancing cell apoptosis, and eliciting G1 cell cycle arrest through modulating Cyclin D1 and caspase 3 cleavage. *In vivo*, PDT treatment significantly inhibited the growth of gemcitabine-resistant cholangiocarcinoma cell-derived tumors. Online data mining and experimental analyses indicate that

KLF10 expression was induced, whereas EGFR expression was downregulated by PDT treatment; KLF10 targeted the EGFR promoter region to inhibit EGFR transcription. Under PDT treatment, EGFR overexpression and KLF10 silencing attenuated the anti-cancer effects of PDT on gemcitabine-resistant



cholangiocarcinoma cells by promoting cell viability, inhibiting apoptosis, and increasing S phase cell proportion. Importantly, under PDT treatment, the effects of KLF10 silencing were significantly reversed by EGFR silencing.

The use of PDT with concomitant chemotherapy is frequently seen in the treatment of cancers. Nevertheless, limited data are available to analyze PDT combined with chemotherapy. Several prospective and retrospective studies were performed comparing the outcome of PDT combined with chemotherapy vs. PDT alone (Hong et al., 2014; Park et al., 2014; Wentrup et al., 2016). Another retrospective study was performed to compare the overall survival of PDT combined with chemotherapy vs. chemotherapy alone, and PDT/chemotherapy combination was found to

present the potential of survival benefits, nevertheless, the difference did not reach statistically significant ($P = 0.47$) (Knuppel et al., 2012). Gonzalez-Carmona et al. (2019) first indicated that PDT/chemotherapy combination could lead to an obviously higher overall survival in patients with unresectable cholangiocarcinoma than chemotherapy alone ($P = 0.022$). Herein, the study constructed gemcitabine-resistant cholangiocarcinoma cells and found that PDT exposure indeed inhibited these cells, as manifested as inhibited cell viability, enhanced cell apoptosis, and G1 cell cycle arrest. Moreover, PDT treatment inhibited the growth of the tumor derived from gemcitabine-resistant cholangiocarcinoma cells. Despite previous prospective and retrospective studies and our present findings, the specific molecular mechanism

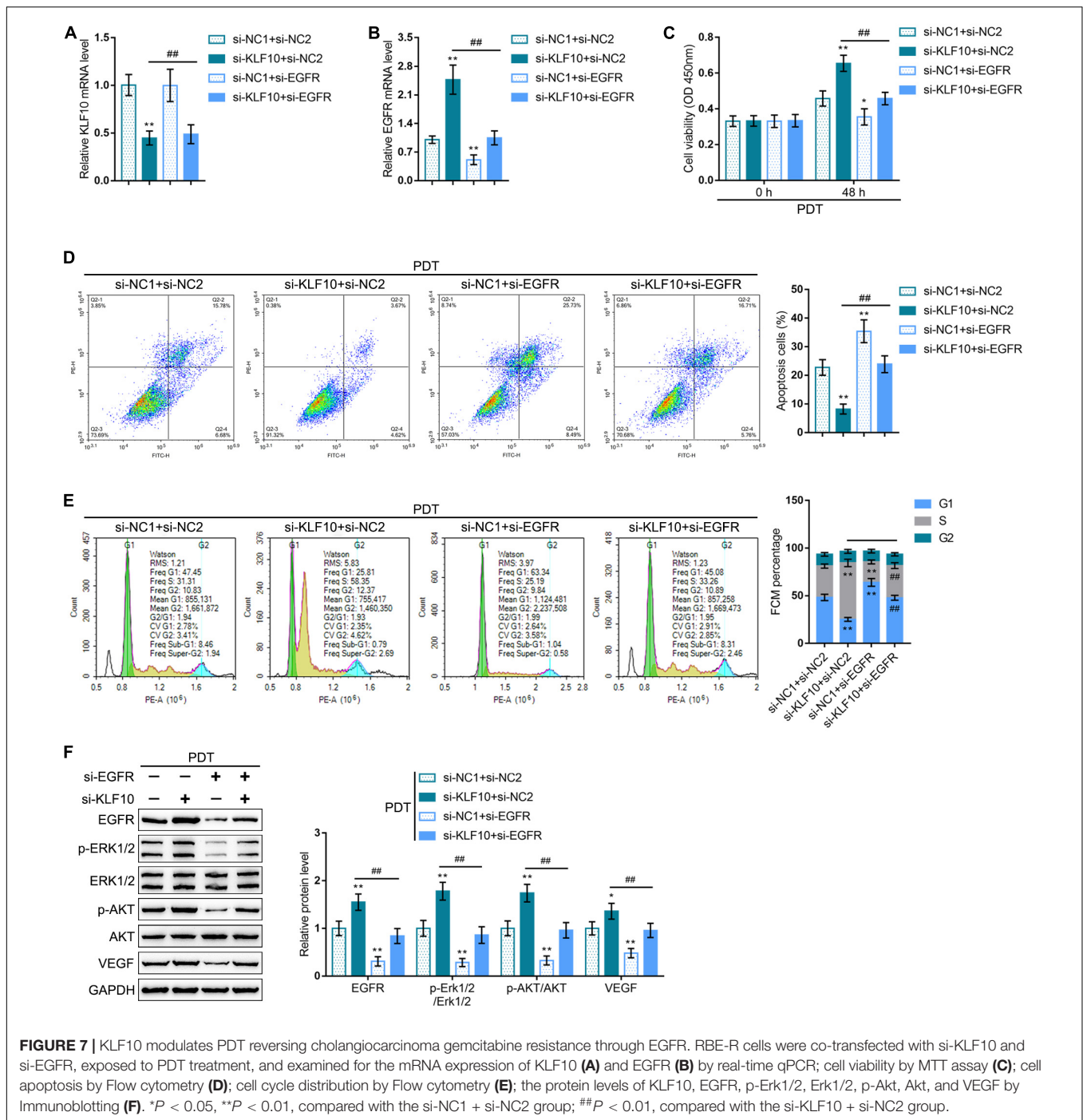


FIGURE 7 | KLF10 modulates PDT reversing cholangiocarcinoma gemcitabine resistance through EGFR. RBE-R cells were co-transfected with si-KLF10 and si-EGFR, exposed to PDT treatment, and examined for the mRNA expression of KLF10 (A) and EGFR (B) by real-time qPCR; cell viability by MTT assay (C); cell apoptosis by Flow cytometry (D); cell cycle distribution by Flow cytometry (E); the protein levels of KLF10, EGFR, p-ERK1/2, Erk1/2, p-Akt, Akt, and VEGF by Immunoblotting (F). **P* < 0.05, ***P* < 0.01, compared with the si-NC1 + si-NC2 group; ##*P* < 0.01, compared with the si-KLF10 + si-NC2 group.

underlying the synergetic anti-tumor effects of PDT/ gemcitabine combination remain unclear.

Indeed, PDT treatment could alter a range of survival pathways, including HIF-1, NF-κB, AP-1, HSE, and EGFR (Luna et al., 1994; Broekgaarden et al., 2015; Weijer et al., 2015, 2016, 2017). In the present study, by cross-checking online microarray expression profiles reporting PDT-altered genes, we found that KLF10, a transcription factor, could be significantly induced by PDT treatment, which was further evidenced by the observation that PDT treatment upregulated

KLF10 mRNA expression and protein levels in gemcitabine-resistant cholangiocarcinoma cells. The KLF transcription factor family performs a variety of biological functions (McConnell and Yang, 2010), and several members of KLF family are found to be associated with certain aspects of tumor cell biology, such as cell growth, cell apoptosis, cell differentiation and cell migration (Tetreault et al., 2013; Cheng et al., 2019; Zhang et al., 2020). KLF10 enhances human leukemia cell death by upregulating Bim and Bax pro-apoptotic proteins (Jin et al., 2007). Within cholangiocarcinoma, KLF10 could be regulated by miR-106b and

might participate in the anti-apoptotic effects of miR-106b on cholangiocarcinoma cells (Wehrkamp et al., 2018). Thus, KLF10 might be involved in the inhibition of PDT on gemcitabine-resistant cholangiocarcinoma cells.

Considering that transcription factors are at the core of gene expression regulation, we also searched for possible target genes of KLF10. Notably, EGFR was in the intersection of KLF10 target genes and PDT treatment-downregulated genes. EGFR was overexpressed in multiple cancer types (Herbst and Shin, 2002), such as perihilar cholangiocarcinoma (Harder et al., 2009; Yang et al., 2014), and was affected by PDT using liposome bound ZnPc (Weijer et al., 2017). EGFR is an emerging therapeutic target for treating cancers, and the approval of monoclonal cetuximab and panitumumab and the kinase inhibitors gefitinib and erlotinib is evidence of this (Joseph et al., 2012). In the present study, EGFR mRNA expression and protein levels in gemcitabine-resistant cholangiocarcinoma cells were significantly downregulated by PDT treatment. Since KLF10 targets the promoter region of EGFR and inhibits EGFR transcription, we speculate that KLF10 might play a role in the synergetic anti-tumor effects of PDT/gemcitabine combination through inhibiting EGFR.

Gemcitabine was phosphorylated into gemcitabine monophosphate by deoxycytidine kinase (dCK) after an influx of nucleoside transporters into cell membranes, which underwent a complex intracellular transformation to gemcitabine diphosphate (dFdCDP) and triphosphate (dFdCTP), responsible for its cytotoxicity, thereby leading to inhibition of DNA synthesis and induction of apoptosis *via* blocking cell cycle progression at the G1/S phase boundary (Heinemann et al., 1995; Plunkett et al., 1995; Galmarini et al., 2002). As we observed in the present study, PDT treatment on gemcitabine-resistant cholangiocarcinoma cell lines elicited G1 cell cycle arrest, repressed cell viability, and enhanced cell apoptosis through modulating the cell cycle regulator and apoptosis-associated Cyclin D1 and caspase 3. As speculated, KLF10 silencing or EGFR overexpression attenuated the anti-cancer effects of PDT on gemcitabine-resistant cholangiocarcinoma cells by increasing S phase cell proportion, promoting cell viability, and inhibiting cell apoptosis. More importantly, when co-transfected to gemcitabine-resistant cholangiocarcinoma cells, the effects of si-KLF10 were significantly reversed by EGFR silencing, indicating that KLF10 participates in the inhibition of PDT on gemcitabine-resistant cholangiocarcinoma cells through EGFR.

CONCLUSION

In conclusion, PDT treatment induces KLF10 expression and downregulates EGFR expression. KLF10 binds to the EGFR promoter region to inhibit EGFR transcription. The KLF10/EGFR axis participates in the process of the inhibition of PDT on gemcitabine-resistant cholangiocarcinoma cells growth. These occurrences forebode that PDT treatment could be deemed as a newfangled strategy for the treatment of gemcitabine chemoresistance cholangiocarcinoma. However, in clinical chemotherapy of cholangiocarcinoma, gemcitabine and platinum-based drug combination is the first-line treatment (Abdel-Rahman et al., 2018). Multidrug resistance is one of the

major challenges in cholangiocarcinoma treatment (Gonzalez-Carmona et al., 2019). Therefore, the function and mechanism of PDT in multidrug-resistant cholangiocarcinoma need to be investigated in the future.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

ETHICS STATEMENT

All procedures were approved by the Institutional Animal Care and Use Committee (IACUC) of The Affiliated Hospital of Southwest Medical University in accordance with the “Principles of Laboratory Animal Care” (NIH, Bethesda, MD, United States).

AUTHOR CONTRIBUTIONS

LW designed the study and critically revised the manuscript. YY led the animal experiments and drafted the manuscript. JL and LY performed experiments on animal and cells, collected the samples, and analyzed the samples and data. All authors edited the manuscript and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2021.710721/full#supplementary-material>

Supplementary Figure 1 | Effects of PDT on gemcitabine-resistant cholangiocarcinoma cells apoptosis and cycle. RBE, QBC939, RBE-R, and QBC939-R cells were exposed or non-exposed to PDT treatment and examined for the cell apoptosis by Flow cytometry **(A)**; cell cycle distribution by Flow cytometry **(B)**.

Supplementary Figure 2 | Correlation of KLF10 expression with the prognosis of patients with cholangiocarcinoma. **(A)** KLF10 expression in normal ($n = 9$) and cholangiocarcinoma ($n = 36$) tissues, according to TCGA-cholangiocarcinoma (CHOL) database. Then, cases from TCGA-CHOL were divided into high and low KLF10 expression groups using the median value of KLF10 expression as cut-off, and the correlation between KLF10 expression and the overall survival **(B)**, disease-specific survival **(C)**, progression-free interval **(D)** in patients with cholangiocarcinoma was analyzed using a Cox proportional hazard regression model and log-rank analysis. Results were shown as Kaplan–Meier curves.

Supplementary Table 1 | Differentially expressed transcription factors in cholangiocarcinoma QBC939 cells treated by PDT based on GSE84756 and GSE68292.

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Biological Adaptations of Tumor Cells to Radiation Therapy

Angeles Carlos-Reyes¹, Marcos A. Muñoz-Lino², Susana Romero-García¹, César López-Camarillo³ and Olga N. Hernández-de la Cruz^{3*}

¹ Department of Chronic-Degenerative Diseases, National Institute of Respiratory Diseases "Ismael Cosío Villegas", Mexico City, Mexico, ² Laboratorio de Patología y Medicina Bucal, Universidad Autónoma Metropolitana Unidad Xochimilco, Mexico City, Mexico, ³ Posgrado en Ciencias Genómicas, Universidad Autónoma de la Ciudad de México, Mexico City, Mexico

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Subhayan Das,
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Emer Bourke,
National University of Ireland
Galway, Ireland

*Correspondence:

Olga N. Hernández-de la Cruz
ediacara79@yahoo.com.mx

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Radiation therapy has been used worldwide for many decades as a therapeutic regimen for the treatment of different types of cancer. Just over 50% of cancer patients are treated with radiotherapy alone or with other types of antitumor therapy. Radiation can induce different types of cell damage: directly, it can induce DNA single- and double-strand breaks; indirectly, it can induce the formation of free radicals, which can interact with different components of cells, including the genome, promoting structural alterations. During treatment, radiosensitive tumor cells decrease their rate of cell proliferation through cell cycle arrest stimulated by DNA damage. Then, DNA repair mechanisms are turned on to alleviate the damage, but cell death mechanisms are activated if damage persists and cannot be repaired. Interestingly, some cells can evade apoptosis because genome damage triggers the cellular overactivation of some DNA repair pathways. Additionally, some surviving cells exposed to radiation may have alterations in the expression of tumor suppressor genes and oncogenes, enhancing different hallmarks of cancer, such as migration, invasion, and metastasis. The activation of these genetic pathways and other epigenetic and structural cellular changes in the irradiated cells and extracellular factors, such as the tumor microenvironment, is crucial in developing tumor radioresistance. The tumor microenvironment is largely responsible for the poor efficacy of antitumor therapy, tumor relapse, and poor prognosis observed in some patients. In this review, we describe strategies that tumor cells use to respond to radiation stress, adapt, and proliferate after radiotherapy, promoting the appearance of tumor radioresistance. Also, we discuss the clinical impact of radioresistance in patient outcomes. Knowledge of such cellular strategies could help the development of new clinical interventions, increasing the radiosensitization of tumor cells, improving the effectiveness of these therapies, and increasing the survival of patients.

Keywords: radioresistance, radiotherapy, cancer, DNA-damage response, DNA repair pathways

INTRODUCTION

Radiotherapy (RT) is an effective treatment against different types of solid tumors detected in early stages, while it is also used as a palliative treatment in metastatic stages. Over 50% of cancer patients are treated with RT and, depending on the type of cancer and the location and size of the tumor, the application can be external or internal (1). The main objective of the RT is to kill tumor cells through DNA damage. However, the damage is detected by tumor cells through a DNA damage response (DDR) mechanism that promotes the activation of cell cycle checkpoints and induces the arrest, or delay, of the cell cycle, inducing the activation of the different DNA repair mechanisms (2). The DDR promotes several cell death pathways, including apoptosis, mitotic catastrophe, necrosis, and necroptosis, activated by death receptors dependent on kinases (RIPK1, RIPK3) (3, 4). The main radiation-activated DNA damage repair pathways are base excision repair (BER), non-homologous end joining (NHEJ), and homologous recombination (HR) (2). However, an increased tumor volume, low oxygen tension, and dysregulation of key genes can lead to tolerance and clonal selection of tumor cells to radiation, thus reducing sensitivity to radiotherapy, leading to tumor recurrence and therapy resistance (2, 5, 6). In addition, the radiation stimulates biological changes like chromatin remodeling, global changes in gene expression, metabolic reprogramming, epithelial-mesenchymal transition (EMT), and disturbances of circadian rhythms, among others (7–14). All changes induced by radiation promote an adaptation biological of tumor cells to the tumor microenvironment, which contributes to aggressiveness and radioresistance of tumors, exacerbating the cancer hallmarks, such as proliferation, migration, invasion, and metastasis (11, 15).

In this review, we describe strategies that tumor cells use to respond to radiation stress, to adapt, and proliferate, promoting the appearance of tumor radioresistance, and highlight strategies that target genes to enhance radiosensitivity in various cancer types.

RADIATION THERAPY IN CLINICAL PRACTICE

In clinical practice, radiotherapy (RT) treatment uses two ionizing radiation types: electromagnetic (like X-rays) and Gamma-rays. Radiotherapy aims to kill cancer cells during the treatment. The affected tissues absorb this energy, and its amount applied is by the unit weight of the organ or tissue and is expressed in units of gray (Gy) (3, 16). Radiation therapy can be delivered externally (teletherapy) or internally (brachytherapy), or both in combination; its use depends on factors such as type of cancer, size of the tumor, tumor location in the body, and regional extent, as well as anatomic area implicated in the geometric accuracy to apply the calculated radiation dose. The efficacy of radiotherapy is established by the therapeutic index of radiation that will be used; this is established by the relationship

between the tolerance of the normal tissue surrounding the tumor (NTT) and the lethal dose against the tumor (TLD), whose objective is to erase the tumor and prevent its regression in the affected area (17–20). **Table 1** summarizes the different types of RT currently used in clinical practice and their advantages and disadvantages.

TUMOR CELLS ACTIVATE SIGNALING PATHWAYS INVOLVED IN DNA-DAMAGE RESPONSE TO SURVIVE IONIZING RADIATION

Despite the recent technological advances in treatments against cancer, some tumors develop acquired resistance or have intrinsic resistance, which is a problem in the fight against cancer (36). In addition, the tumor heterogeneity can promote innate response favorable to radiation. However, the tumor heterogeneity induces the development of intratumoral resistance to radiation through clonal selection (37, 38).

Ionizing radiation (IR) produces DNA lesions, among them double-strand breaks (DSBs), the most lethal form of DNA damage, and single-strand breaks (SSBs). Ionizing radiation can, directly and indirectly, damage DNA, causing ionization of the atoms or breaking its bonds in the DNA molecules or by the production of highly reactive free radicals, which can interact with the DNA. DNA damage by exogenous agents like radiation is sensed by DNA damage response (DDR), mediated by activation of the DNA repair pathways (12, 18).

DDR also induces the cell cycle arrest through regulation checkpoint kinases and promotes apoptosis when DNA damage repair mechanisms fail (39, 40). Damage to DNA is repaired by activation of various repair pathways, like base excision repair (BER), non-homologous end joining (NHEJ), and homologous recombination (HR) (41, 42). **Figure 1** shows some proteins involved in DNA repair pathways modulated in response to radiation.

BER Pathway

This mechanism can repair more than 90% of radiation-generated DNA damage, which includes injuries on nitrogenated bases caused by oxidation, alkylation, deamination, and depurination, as well as SSBs (43). Briefly, this repair route detects and removes damaged bases through specialized DNA glycosylases, which are constantly scanning the damaged DNA. The UNG glycosylase hydrolyzes the N-glycosylic bond between the DNA base and sugar-phosphate backbone to produce a basic site. Then, APE1 endonuclease cleaves the phosphodiester bond to generate an SSB. DNA polymerase β (pol β) acts as an AP lyase, removing the sugar attached to the 5' phosphate, and DNA polymerase adds nucleotides to the end of SSB. Finally, a DNA ligase seals the nicks (44).

It has been reported that key factors for the BER pathway are overexpressed or activated in radioresistant cells. For example, the CUX1 transcription factor is overexpressed in colorectal

TABLE 1 | Types of radiotherapy used in clinical practice for the treatment of different types of cancer.

Teletherapy (applied externally)						
Protocol type	Characteristics	Cancer treated	Example of protocol	Advantage	Disadvantages	References
Three-dimensional conformal radiotherapy (3D-CRT)	Radiation administered geometrically from the volume to be treated	Prostate, spine, esophagus, lung, bladder, pancreas, head and neck cancer	Adjuvant (additional to chemotherapy) for locally advanced non-small-cell lung cancer; 55 to 65 Gy administered in three sessions over approximately 4 weeks	Uses three-dimensional images for the geographic location of the tumor Radiation beam is tailored to target tumor Limits radiation dose to adjacent tissues	Requires very precise dosing and planning to minimize exposure of surrounding normal tissues to radiation dose Requires specialized equipment Long treatment	(17, 21–23)
Intensity-modulated radiation therapy (IMRT)	Controls the shape (similar to 3D-CRT) and also the intensity of each beam emitted Reduces the exposure of healthy tissue to radiation	Prostate, spine, lung, breast, kidney, pancreas, liver, tongue, and larynx cancer	In prostate cancer (PCa), 62 Gy in 20 fractions, over 4 weeks	Dose limitations of the target tumor and adjacent tissues Vary dose intensities in the treatment field Precise geographic location of the tumor Use high doses The treatment can be completed in a few fractions (1 to 5) and in a short time (1 to 5 days) Improves response to treatment Can be used in combination with chemotherapy A treatment for inoperable tumors	Requires very precise doses Long treatment Requires specialized equipment	(17, 21, 24, 25)
Stereotactic Body Radiation Therapy (SBRT) or Stereotactic Ablative Radiation Therapy (SABR)	Uses multiple beams of radiation, from many different directions, that converge into a very small volume Allows high doses of radiation to be delivered with little damage to surrounding healthy tissue	Used in the treatment of small tumors in the head and brain, also in lung, spine, and liver cancer	In PCa, 25 Gy in five fractions over the course of 1–2 weeks	Precise geographic location of the tumor Use high doses The treatment can be completed in a few fractions (1 to 5) and in a short time (1 to 5 days) Improves response to treatment Can be used in combination with chemotherapy A treatment for inoperable tumors	Difficult to manage Requires a lot of pressure Requires specialized equipment	(21, 24, 25)
Volumetric modulated arc therapy (VMAT)	Supplies the radiation beams by means of a 360° arc integrated into a linear accelerator Treatment cycles are very fast (less than 2 min) Provides very high doses of radiation with precision and speed.	In head and neck tumors, PCa, or central nervous system tumors.	Twenty Gy in a single dose for the treatment of brain metastasis	Radiation adapts to the shape of the tumor to minimize exposure to healthy structures Rapid treatment administration	Longer doses	(21, 26–28)
Brachytherapy (Applied internally)						
Protocol type	Characteristics	Cancer treated	Example of protocol	Advantage	Disadvantages	References
Interstitial	Administration within the tumor	Uterus and recurrence of vaginal cuff cancer	In uterus cancer, three or four 6 Gy fractions, one fraction per week	High doses in tumor and low in healthy tissue Allows the treatment of larger tumors	Invasive Formation of necrotic cavities	(29, 30)
Intracavitary	Administration inside a natural (as vagina or larynx) or surgically created cavity	Larynx, uterine, cervical, and endometrial cancer	In cervical cancer, 15 or 20 Gy in three or four fractions.	Uses anatomical pathways to place radioactive sources	Higher risk of error	(31, 32)

(Continued)

TABLE 1 | Continued

Teletherapy (applied externally)						
Protocol type	Characteristics	Cancer treated	Example of protocol	Advantage	Disadvantages	References
Intraluminal	Application into the lumen of organs	Extrahepatic biliary duct cancer and esophagus cancer	For biliary duct cancer, 30 Gy for definitive dose	Can be used without anesthesia You can use low dose, pulsed dose, or high dose High doses of radiation to the tumor and minimize the dose to healthy adjacent organs Allows biliary drainage through the tumor Improve survival	May cause bleeding	(33, 34)
Intravenous	Venous administration of radioactive molecules	Hepatocellular carcinoma	For hepatic cancer, 100 Gy in a single dose	Little invasive Quick and easy administration Therapy targeting specific proteins on the surface of tumor cells	Long treatment May cause side effects	(35)

cancer (CRC) cell lines that exhibit high levels of ROS and is required for the activation of DDR using multiple transcriptional targets, such as ATR and ATM (45). In addition, CUX1 stimulates OGG1 expression, a DNA glycosylase involved in removing oxidative purine lesions (46). Naidu et al. found that cells with higher endogenous APE1 endonuclease are more radioresistant, and the APE1 ectopic expression in glioma cell lines has a dose-dependent effect, increasing radioresistance (RR) (47). Low expression of GADD45 α , an APE1-binding protein, has been observed in radioresistant cancer cells and biopsies from radioresistant cancer patients. Li et al. reported that GADD45 α subexpression protects from radiation-induced cell death and DNA damage contributing to the development of RR in cervical cancer (48).

On the other hand, Nickson et al. note that oropharyngeal squamous cell carcinoma (OPSCC) patients that are HPV-16 positive (+) have the most radiotherapy treatment sensitivity and survival, while HPV-16 negative (–) OPSCC patients have a lesser response to the same therapy. In addition, in *in vitro* studies in cell lines, HPV-16-positive cells and HPV-16 negative cells showed a relationship similar to that observed in OPSCC patients (HPV-16+/HPV-16–), related to the low efficacy of DNA repair mechanism in HPV-16 (–). Additionally, OPSCC HPV-16+ radiosensitive cells express high levels of the XRCC1, DNA polymerase β , PNKP, and PARP-1 proteins related to the BER and SSB repair mechanisms. At the same time, treating HPV-16 (–) cells with a PARP inhibitor (olaparib) and radiotherapy induces the most therapy radiosensitivity. The radiotherapy response is most effective in HPV-16 positive OPSCC patients compared to HPV-16 negative OPSCC

patients (43). DSB is the most complex and lethal type of DNA damage. When DSBs occur during RT, proteins involved in the NHEJ and HR pathways are turned on to promote the survival of tumor cells against damage.

The NHEJ Pathway

In mammalian cells, most DSB lesions are repaired by the NHEJ pathway. This is a mechanism triggered in cells in any phase of the cell cycle and allows DSBs to rejoin. The initial step in the NHEJ pathway is to recognize and protect free DNA ends by Ku70/80 heterodimer. After, the Ku70/80 complex recruits additional members of the NHEJ pathway to the damage sites, such as DNA-PKcs, forming the complex known as DNA-PK. DNA-PKcs, activated by autophosphorylation or ATM, phosphorylates different factors required for DNA end-processing, including Artemis endonuclease, Mre11/Rad50/Nbs1 complex, and different polymerases. Finally, DNA ligase 4 (LIG4) is responsible for catalyzing the ligation of the DNA ends (49).

In radiotherapy-resistant prostate cancer cell lines (PC3, DU145, and LNCaP), the DNA damage by radiation promotes DSBs mediated by DNA NHEJ and HR repair mechanisms activation, increasing Ku70, Ku80, BRCA1, BRCA2, and Rad51 expression of proteins, respectively. The resistant cells showed cell cycle arrest in G0/G1 and S phase through an increase in p-p53 (p53 phosphorylated) and p21 by Chk1/2 activation. Besides, the activation of caspase 3 and 7, the decrease of PARP-1 and Bax protein expressions, as well as the expression high of Bcl-2 and Bcl-xl proteins promote the inhibition of apoptosis, as well as autophagy, through the increased expression of Beclin-1 and

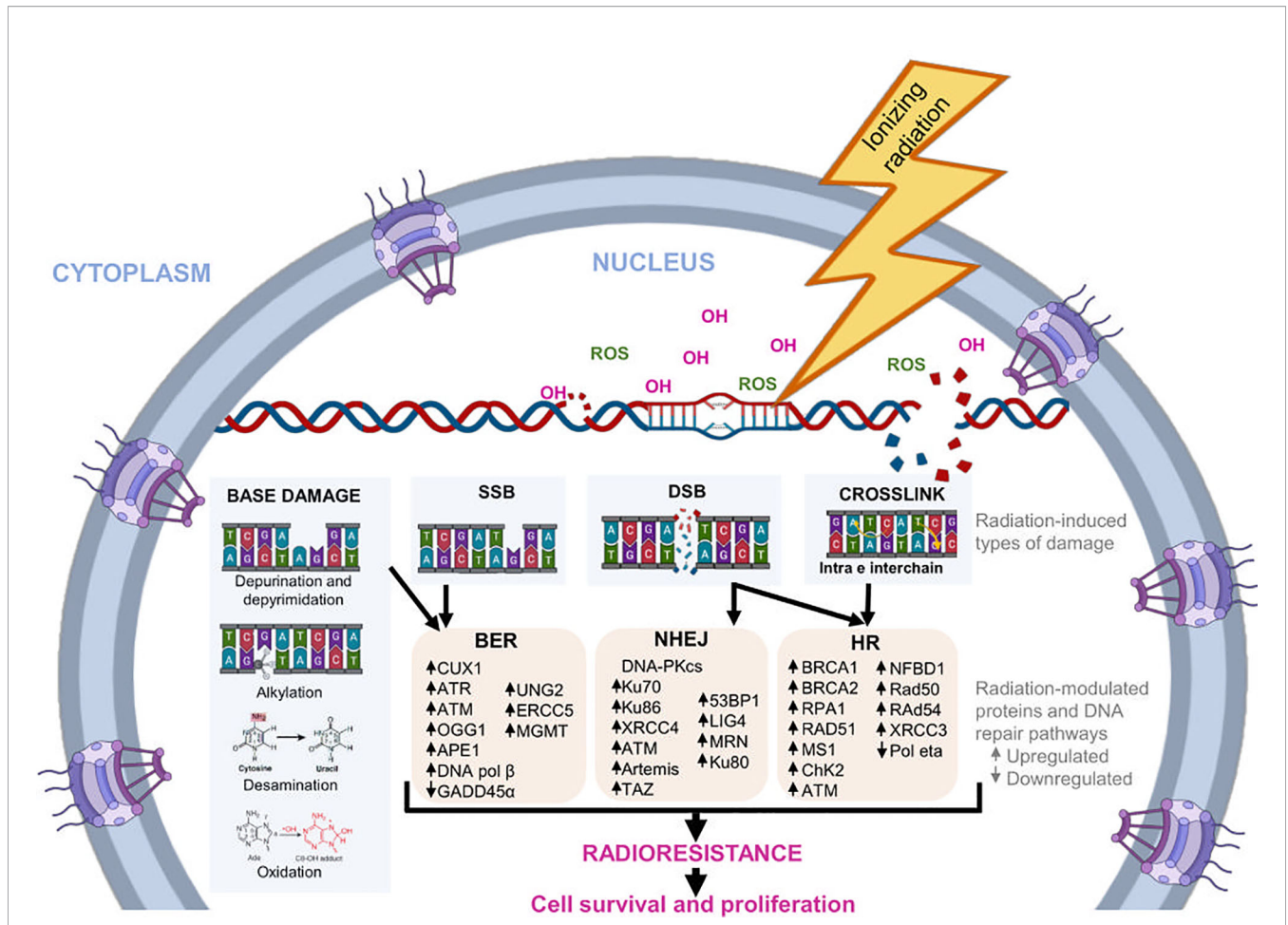


FIGURE 1 | DNA repair pathways induced by radiation. During radiotherapy, IR can alter the chemical structure of DNA directly or indirectly. Indirectly, it promotes the formation of molecules, such as the OH⁻ ion and ROS, which bind to nucleotides and modify them structurally. The main modifications induced by radiation are base damage, crosslink, SSB, and DSB. In response, cells regulate the expression of several genes and proteins involved in different DNA repair pathways, such as BER, NHEJ, and HR. The activation of this pathways helps to reduce radiation-induced DNA damage, favoring the survival and proliferation of tumor cells, as well as cellular radioresistance.

LC3A/B (50). In another report, Beskow et al. showed an increased expression of genes involved in NHEJ (such as DNA-PKcs, Ku70, and Ku86) in the residual carcinoma from patients with cervical cancer after RT relative to corresponding primary tumors (51). Accordingly, low expression of Ku80 in cervical cancer patients also shows a better response to RT, and therefore a greater overall survival of patients (52). In agreement, low expression of Ku70 or XRCC4 proteins in hypopharyngeal squamous cell carcinoma patients was related to better locoregional control, suggesting a greater sensitivity to chemoradiotherapy (53). TAZ is a transcriptional coactivator upregulated in different types of cancer; its overexpression stimulates the expression of genes involved in NHEJ, such as TP53BP1 (53BP1), PRKDC (DNA-PKCs), and XRCC6 (Ku70), contributing to the radioresistant phenotype. It has been associated with clinicopathological features, poor prognosis, and radioresistance in esophageal cancer cells. Furthermore, TAZ overexpression increases various hallmarks of cancer,

such as proliferation, migration, invasion, and decreased apoptosis (54).

In vitro studies have shown that radiation modulates the expression of different proteins involved in NHEJ. Bian et al. established a radioresistant breast cancer cell line (MD-PR) through prolonged and repeated exposure to radiation. After radiation, MD-PR presented higher expression of phosphorylated ATM and ATR than parental cells, resulting in higher efficiency in DDR and NHEJ. On the other hand, Artemis is rapidly hyperphosphorylated by ATM in response to radiation and subsequently recruited to the damaged sites together with 53BP1 to coordinate the binding of the DSBs (7). Other radiation-modulated proteins are DNA ligase IV (LIG 4) and TAZ. LIG 4 senses DSBs and facilitates cell survival following treatment with ionizing radiation. Lung cancer cells (LCCs) expressing mutant LIG4 are sensitive to ionizing radiation (55, 56). Additionally, the C-X-C motif chemokine ligand 1 (CXCL1) oncogene secreted by components of the tumor

microenvironment is highly expressed in various cancer types, promoting tumor angiogenesis, migration, invasion metastasis, tumor progression, and chemoresistance (57). In esophageal squamous cell carcinoma, the cancer-associated fibroblasts (CAFs) were found to produce high expression of chemokine CXCL1, which promotes radiotherapy resistance *in vitro* and *in vivo* in ESCC through an overregulated expression of DNA damage repair proteins (e.g., p-ATM, Rad50, p-Chk2, Ku80, and DNA-PKcs) and the Mek/Erk signaling pathway activation, as well as an increase of γ -H2AX protein. Besides, CXCL1 inhibits the expression of superoxide dismutase 1 (SOD1) and induces the accumulation of ROS-induced DNA damage repair pathways (27). In glioblastoma (GBM), the high expression of CXCL1 was related to poor prognosis of patients induced radiotherapy resistance through EMT event and using activation of NF- κ B signaling (58).

The HR Pathway

HR is a complex pathway specifically triggered in later-S and G2/M phases of the cell cycle because a homologous sequence is used as a template to restore dsDNA breaks, DNA gaps, and DNA interstrand cross-links. Compared with the NHEJ pathway, HR is a process that provides high-fidelity, requires more proteins to repair, and reduces the probability of genome rearrangements and loss of genetic material. During HR, DSB ends are recognized and resected by nucleases (Mre11-Rad50-NBS1 complex, Exo1, Dna2, Sae2/CtIP) and a helicase (Sgs1/BLM) to form a terminal 3'-OH single-stranded DNA tail. Then, the RPA protein binds to the tail and inhibits the formation of secondary structures in the ssDNA chain. Rad51 recombinase is recruited onto ssDNA through mediator proteins and forms a nucleofilament called the presynaptic filament. The Rad51 nucleofilament must search the homologous sequence located in the intact sister chromatid and invade (synapsis), generating the displacement of the homologous DNA strand to form the so-called D-loop. After D-loop formation, the invading chain is elongated by a polymerase, thus synthesizing the information lost during the DSB, then released. Later, multiple subpathways can be used for the resolution and repair of the DSBs (59–61).

Multiple studies have shown that radioresistant cells have an increase in DNA repair by HR compared to radiosensitive cells (43, 62). In breast cancer, the treatment used for conserving of breasts (BCT) is surgery plus adjuvant radiation therapy. However, some patients experience tumor recurrence around the scar. In the use of intraoperative radiotherapy (IORT) with intensive radiation administered during surgery directly to the tumor bed while sparing normal surrounding tissue, it has been observed that IORT induces biological changes in the tumor microenvironment and the activity of surgical wound fluid (RT-SWF) of breast cancer. These RT-SWF promote a DDR in the MDA-MB-468 cells, inducing overregulation of ERCC2, ERCC8, and RAD51 of the repair mechanisms NER and HR, promoting the arrest cell cycle at the G2M phase and raise its glycolytic metabolism (63). Overexpression of BRCA1/BRCA2/RAD51/RPA1 proteins in the HR was detected in hypopharyngeal carcinoma cell radioresistance, promoting the S phase and G2

phase cell cycle arrest. However, the RPA1 deletion in these cells leads to sensitivity to radiation (64). A similar study in a nasopharyngeal carcinoma (NPC) (CNE2RR) cell line induced the expression of NFBD1, BRCA1, BRCA2, RPA1, and RAD51 proteins widely associated with HR and radioresistance (65). Another report on this cancer found that the interaction of RAD50 (recombinant) with Mre11 and Nbs1 leads to G2/M cell cycle arrest through decreased DSBs, inhibits colony formation, and promotes sensitivity to radiation (66).

High expression of MSI1, CHK2, and Rad51 and higher ATM phosphorylation was reported in radioresistant stem-like cells from patient-derived glioblastoma (GBM). Furthermore, the overexpression of MSI1, a stem-like marker, promoted an increase in survival, invasion, EMT-like phenotype, and maintenance of cancer stem properties after radiation, through hyperactivation of DDR and DNA repair by HR (67). Some DNA lesions may persist despite the efficient activation of the different repair pathways in response to damage. In these cases, the cells can turn on the translesion DNA synthesis (TLS) mechanism, where a low-fidelity polymerase (such as Pol η) induces a bypass of DNA damage to ensure continued genome duplication and cell survival. Paradoxically, irradiated cells lacking Pol η showed greater radioresistance and survival through inhibition of the TLS mechanism, increasing the number of DNA templates and stimulating DNA repair by HR (68).

DNA repair pathways can compete or work together and converge at some point because, potentially, all types of damage can be generated during the irradiation. However, many details are still unknown (69). For example, leukemia cells lacking DNA pol β cannot perform the BER pathway efficiently but can activate the NHEJ pathway to repair damage by alkylation (70).

Cells have developed multiple pathways to detect DNA damage and coordinate the response to DNA damage, so the cell fate (survival or death) depends on their ability to activate these pathways quickly and efficiently. After irradiating HT29 colon cancer cells, ATM is activated by phosphorylation, promoting the recruitment of multiple factors involved in DDR, such as MDC1 and 53BP1, into the γ -H2AX repair foci (71). Chk2, a DDR regulator activated by ATM in response to damage, interacts with p53 to modulate the cell cycle (72). DSBs also stimulate the activation of GSK-3 β by ATM. Subsequently, it is translocated from the cytoplasm to the nucleus, where it participates in the recruitment of other repair factors to the site of damage. Examples of these factors involved in NHEJ are 53BP1 and MRN and UNG2 involved in BER (59). WNT proteins are overexpressed and activated by radiation and promote RR in several human cancers, such as CRC and intestinal stem cells through the Wnt/ β -catenin signaling pathway. After irradiation, β -catenin is stabilized by Wnt; it is translocated to the nucleus, enhancing the expression of different gene targets, such as LIG4 (73). The Wnt canonical pathway has also been associated with survival and aggressiveness of tumor cells after radiation because it promotes the maintenance of CSCs, EMT, and apoptosis evasion, contributing to RR and relapse of cancer (74).

The hippo pathway has an important role in regulating cell proliferation, organ growth, and cell regeneration. It has been

reported that this occurs *via* a pivotal role in cell growth, invasion, metastasis, and its components could be therapeutic target potential in cancer (75, 76). In a glioma U251 cell line, irradiation induced cell apoptosis through high expression of c-caspase 3, caspase 3, and Bax. Irradiation also promoted a low expression of YAP and the inactivation of Hippo/YAP signaling through the ubiquitination mediated by RCHY1 ubiquitin ligase, as well as the high expression of Mst1, LATS1, MOB1, and SAVI (77). Whereas the medulloblastoma cells were irradiated, a YAP high expression was detected, which induced the cell proliferation through high-rise Cyclin D2 (CCND2), and phosphorylated H3 promoted the tumor aggressiveness and tumor recurrence. Besides, YAP promotes IGF2 expression, which promotes the activation of PI3K/Akt pathway signaling. Akt activity automatically induces ATM and Chk2 dephosphorylation, immediately the lock of the DDR mechanism, thereby favoring radioresistance (78).

The Brahma-related gene product 1 (BRG1) enzyme catalyzes the SWI/SNF chromatin remodeling complex activity. BRG1 participates in proliferation, migration, and cellular and cell cycle progression in cancer (79). BRDs are conserved molecules that bind the acetylated lysine residues of the histone tails, leading to the regulation of gene expression, participate as readers of chromatin state, and repair DNA damage by activating DDR mechanisms. In cancer, BRDs are dysregulated, promoting the cell cycle and metastasis (80, 81). In colon cancer, BRG1-BRD dimerization was detected to have a greater chromatin binding strength, leading to radiosensitivity through γ -H2AX foci formation block and DSB repair. Also, this interaction inhibits the accumulation of 53BP1 towards the DSB sites and no alteration of ATM, CHK2, and p53 activations (71). On the other hand, in radiotherapy-resistant cervical cancer cell line (HeLa), the expression of DNA Damage-inducible protein 45 α (GADD45 α) was detected, promoting the increase cytoplasmic APE1 levels in these cells through overregulation of nitric oxide (NO), and inducing the nuclear export of APE1 to the cytoplasm, promoting cell proliferation and inhibiting apoptosis (48).

DSBs are the most lethal type of DNA strand damage and constitute the most complex type of damage. Consequently, it has been extensively studied. When DSBs occur, two evolutionarily highly conserved repair pathways are turned on: NHEJ and HR. In the same way, factors involved in both repair pathways are key to promoting tumor cells' survival against radiation damage.

CELL CYCLE ADAPTATIONS IN RESPONSE TO RADIATION

During the cell cycle, the cell duplicates its genome, grows, and divides; these events are regulated through cyclin-dependent kinase (CDK) in the checkpoints in the phase difference. Loss of cell cycle control is one of the hallmarks of cancer (82). The biological alterations in the cell cycle by radiation show changes in the phases of the cell cycle; for instance, in cervical cancer cell line HeLa irradiated with Gy (Gray) x-ray in different doses was

observed an important G2/M retardation of these cells, decreased CDK1 protein expression, and increased CHK1 expression. Furthermore, the radiation promotes DNA damage by DSBs and a high γ -H2AX expression and production of ROS after radiation (83). Other research, in an oral cancer cell line SCC4 treated with RAD001 (an inhibitor of mTOR) plus radiation, reduced mTOR-S6 and 4EBP1 activation was detected, as well as the arrest in the G2/M cell cycle phase. This phenomenon was induced through CHK1 activation due to phosphorylation in Ser345 position and inhibition of CDC2-cyclin B1 complex and high levels of histone H2AX phosphorylation, thus inhibiting the proliferation of these cells (84). On the other hand, Chang and coworkers showed that PI3K/Akt/mTOR signaling pathway inhibitors (BEZ235 or PI103), in combination with radiotherapy in resistant prostate cancer cell lines (PC-3RR, DU145RR and LNCaPRR), promote distribution of cell cycle toward (G2/M) phase and decrease of G0/G1 and S phases through reduced protein phosphorylation of p-CDK1, p-Chk1, p-Chk2, and p-Rb. Moreover, apoptosis was induced by activating caspase-3 and caspase-7, with the split-off PARP-1, high γ H2AX expression, and a decrease of repair proteins Ku70 and Ku80 BRCA-1, BRCA-2, and Rad-51 of NHEJ and HR, respectively, increasing to radiosensitivity in this cancer (50). Multiple studies have reported that tyrosine phosphatase (SHP1) is a negative regulator of cancer cell proliferation, EMT, migration, invasion, and cell cycle (85). In lung cancer, resistant cell lines (A549S1 and S549S2) show high levels of expression of SHP1, CDK4, and CylinD1 and low p16 expression. SHP1 promotes resistance to radiotherapy through regulating G0/G1 phase arrest of the cell cycle (86).

In another study, comparing two methods of radiation, one with carbon ions and the other X-irradiation in prostate cancer and colon cancer (PC3 and Caco-2 cell lines), it was observed that the carbon ions induce a higher γ H2AX foci formation in colon cancer than in prostate cancer. X-radiation promotes lesser γ H2AX foci formation, which is dose dependent, in both types of cancer. Furthermore, low doses of carbon ions trigger the G2/M arrest phase continuously, whereas high doses of radiation-X can keep the G2/M arrest phase in these cell lines and promote radiosensitivity (87). Radiotherapy promotes accumulation in the G2/M phase of the cell cycle in the different cancer types.

CHROMATIN REMODELING AS A MECHANISM OF RADIATION ADAPTATION

The genome of eukaryotes is located in a highly compacted core in chromatin form; this is a dynamic structure that maintains genomic stability and regulates gene expression and DNA repair. Chromatin remodeling is done through covalent modification of histones and the catalytic activity of remodeling proteins (88). For more than two decades, it has been reported that structural changes in the chromatin organization can contribute to the RR of tumor cells (89). The chromatin supercoiled (heterochromatin) configuration is more susceptible to developing radiotherapy

resistance than the relaxed chromatin (euchromatin) of tumor cells (90). For instance, in colon cancer, heterochromatin formation and histones methylation were observed in the irradiated subpopulation of cancer stem cells; both could promote radioresistance in this cancer (91).

Another study in a lung cancer cell line and head and neck squamous carcinoma cell line reported that more condensation of heterochromatin of irradiated cells is observed in 3D cultures than with 2D cultures, through decreased histone H3 acetylation and HP1a expression and fewer DSBs, promoting resistance toward radiotherapy (92). It has been described that genome compaction is a protective mechanism deployed by irradiated cells to protect the integrity of DNA against ionizing and other damaging agents. Takata et al. demonstrated that after γ -irradiation, the frequency of occurrence of DSBs is 5–50 times less in compact chromatin than in decondensed chromatin. However, they observed that this effect is a consequence of a lower chromatin opening rather than an increase in the concentration of associated proteins (14, 93).

Interestingly, the protective effect extends to other irradiation sources, such as carbon ion (C-ion), and chemical agents, such as cisplatin, both used in cancer therapies. Consistent with this, Sato et al. observed that cells subjected to different doses of X-rays can develop RR not only to X-rays but also to C-ion. It has also been reported that resistant C-ion cells may be sensitive to X-rays. These data suggest that resistance mechanisms to different sources may overlap. In the same report, they showed for the first time that the degree of RR correlates directly with the number of heterochromatic domains present in cells, so this characteristic could be used as an indicator of RR (14, 93).

Mund et al. (94) reported that after γ -irradiation of human bone osteoblastoma cancer cells, SPOC1 protein is recruited to DSBs-repair foci in an ATM-dependent manner. At repair sites, SPOC1 interacts with chromatin and chromatin remodeling factors, stimulating heterochromatinization and DDR (94).

Wang et al. also reported that EGFR is another protein involved in chromatin compaction after radiation in non-small-cell lung cancer (NSCLC) cells, and its inhibition can induce cellular senescence, increase the number of DSB, and radiosensitization, so it has been proposed as a therapeutic target for this cancer (95, 96).

The formation of highly condensed and ordered chromatin can reduce the exposure of DNA to OH and ROS radicals and decrease the direct ionization of DNA, thus increasing cell survival. On the other hand, heterochromatinization can promote the DNA repair activity of tumor cells through a greater restriction in molecular diffusion and thus promotes the detection of lesions. The latter is of great importance during HR repair since the colocalization and stability of the sister chromatids and the mechanical components are favored for rapid and accurate rejoining. The compaction of chromatin in response to radiation, and other stressors, has been reported in several species, so it appears to be a highly conserved adaptation mechanism (97).

However, highly compact chromatin constitutes a barrier limiting the access of proteins that participate in DDR to DNA damage. Therefore, regions to repair must be locally reconfigured

towards more relaxed chromatin to promote efficient repair and after repackaged again into nucleosomes (98). Chromatin remodeling proteins facilitate the recruitment of essential factors required during DNA repair. Brahma-related gene-1 (BRG1), the central catalytic subunit of many chromatin-modifying enzymatic complexes such as SWI/SNF, has been implicated in the ATP-dependent local alteration of chromatin structure after radiation. After DSBs formation, the ATM protein is activated and phosphorylates H2A histone family member X (H2AX) located at the damage sites, resulting in the formation of γ -H2AX-containing nucleosomes. Subsequently, BRG1 is recruited to damage sites through its interaction with acetylated histones H3 of γ -H2AX nucleosomes, where it promotes the disruption of histone-DNA contacts, thus increasing the local accessibility of DNA to repair proteins, stimulating DDR and apoptosis evasion (71, 99). On the other hand, Andrade et al. reported that by protein-RNA interactions in breast cancer cells, HuR stabilizes the ARID1A mRNA, a subunit of the SWI/SNF chromatin remodeling complex, reducing radiation-induced DNA fragmentation, possibly through NHEJ pathway stimulation, thus reducing DSBs accumulation and conferring RR (100).

CHANGES IN THE PLASMA MEMBRANE THAT FAVOR RADIORESISTANCE

The plasma membrane is a semipermeable lipid bilayer associated with different proteins and carbohydrates; their composition and organization largely determine its role within different biological processes. The plasma membrane helps maintain cell homeostasis by serving as a barrier between the intracellular and extracellular environment, regulating the transport of molecules, and is involved in cell communication and cell signaling in cell movements. After radiation, tumor cells can alter the expression of genes that promote changes in the composition of lipids and membrane proteins, thus promoting their reorganization and increasing the RR phenotype (101).

Astrocytoma cells can rearrange their plasma membrane and form thin and ultralong (up to hundreds of micrometers) protrusions, also called tumor microtubes (TMs), in response to radiation. The formation of these TMs may support brain invasion, proliferation, and multicellular communication over long distances; importantly, TMs-interconnected tumor cells were more resistant to RT. On the other hand, an increase of intracellular calcium has been reported to promote the sensitization of tumor cells to radiation (101). Osswald et al. have reported that intracellular calcium levels increase in cells that TMs do not connect after radiation. However, cells interconnected by TMs present more homogeneous calcium levels, similar to those of non-irradiated cells. The formation of TMs favors the cellular interconnections and the maintenance of calcium homeostasis since they could serve as bridges for the distribution and homogenization of calcium between cells and protect cells from cell death. Few proteins involved in the formation and function of TMs have been identified; one of

them is the growth-associated protein 43 (GAP-43), a protein associated with neuronal growth and plasticity. After radiation, GAP-43 is overexpressed, stimulating TMs formation, increasing cellular interconnectivity, and forming a communication network within the tumor (102). By gene-expression microarray analysis, Jung et al. identified the Ttyh1 protein as a new TM-relevant factor. Ttyh1 is a plasma membrane protein associated with neuronal development that colocalizes with integrin $\alpha 5$ and is highly expressed in invasive cells with one or two TMs, compared to less invasive cells with more than two TMs. However, although Ttyh1 expression is important for TMs formation, Ttyh1-deficient cells with more than two TMs showed higher TMs interconnectivity, leading to increased RR of tumor cells (103).

Both reports agree that radioresistant tumor cells presented more interconnecting TMs. In breast cancer, Chignola et al. reported that the formation of intercellular cytoplasmic bridges and the presence of multinucleated giant cells increase in response to radiation and significantly stimulate tumor RR. An increase in cytoplasmic bridges formation, and greater communication between cells within a tumor population, is stimulated by the action of Syncytin-1 homologous protein (SyHP). Syncytin-1 is a viral protein involved in fusogenic events between viral and cell membranes. After radiation, a portion of the cell population begins to die, exposing the SyHP protein on its surface. SyHP exposure on dead cells serves as a stimulus for the formation of cytoplasmic bridges and the induction of fusion events between the surviving cells, resulting in syncytia formation and increase of the tumor population survival (104). In CRC cell lines, the radiation triggers plasma membrane alterations, such as loss of polarity, spindle-cell shape, intercellular separation, and the emergence of pseudopodia; these changes increase invasion, migration, and survival of the radiated cells (105).

In the plasma membrane, ASMase hydrolyzes sphingomyelin generating ceramide; this process is carried out especially in lipid rafts, sphingomyelin-rich membrane microdomains involved in cell signaling. Ceramide-rich lipid rafts rearrange and fuse, forming large lipid platforms (106, 107). Ketteler et al. showed that stress by radiation stimulates changes in the lipid composition of plasma membranes, promoting their reorganization, altering downstream cell signaling, and affecting the RR of PCa cells. After radiation, epithelial cells (EC) stimulate the activation and translocation (from the lysosome to the plasma membrane) of the ASMase enzyme and decrease the expression of caveolin-1 (CAV1), increasing apoptosis. However, CAV1 overexpression has been reported in malignant EC of different types of solid tumors; tumor cells could increase CAV1 expression as a mechanism for evasion of apoptosis and RR (108).

ENDOPLASMIC RETICULUM ADAPTATIONS TO RADIATION

The endoplasmic reticulum (ER) is an endomembrane system that participates in multiple cellular functions, mainly related to

synthesis, folding, modification, and transport of proteins (109). Radiation and chemotherapeutic drugs can perturb cellular homeostasis and generate stress in the ER; numerous evidences indicate that said stress (ERS) plays an important role in activating resistance mechanisms to radiation and drugs (110). The accumulation of unfolded or misfolded proteins in ER lumen after radiation activates a cytoprotective unfolded protein response (UPR) that maintains ER homeostasis. However, the UPR pathway can induce cell death if stress is severe and persistent (13).

RR in oropharyngeal carcinoma cells (OPCCs) is regulated by protein kinase R-like endoplasmic reticulum kinase (PERK), one of the main sensors and transducers of the ERS pathway. After radiation, PERK is autophosphorylated and phosphorylates to the eukaryotic initiation factor-2 (eIF2 α) factor, which subsequently inhibits the global synthesis of proteins, reducing translocation and accumulation of misfolded proteins in the ER lumen. At the same time, phosphorylated eIF2 α activates NF- κ B, which is translocated to the nucleus and promotes the transactivation of its target genes. This process inhibits G2/M cell cycle arrest and apoptosis, as well as stimulates DNA DSB repair (110). Additionally, NF- κ B confers RR in lymphoma cells by, at least in part, inducing the aberrant expression of HIF-1 (111). IRE1 is another principal sensor of ERS pathway, and its overexpression in HPV-negative OPCC patients treated with RT has been correlated with poor outcomes. IRE1 promotes IL-6 activation, enhancing X-ray-induced DNA DSB and cell apoptosis (112). Another mechanism that activates ERS signaling is the activation of EGFR conferring RR in OSCC. The EGFR inhibition improves therapy in non-response OPCC patients by inhibiting PERK-eIF2 α -GRP94 and IRE1 α -GRP78 (113).

The ERS pathway also stimulates chaperones' expression to assist protein folding; the chaperone glucose-regulated protein 78 (GRP78) has been reported to increase its expression in response to radiation. Furthermore, the high expression of GRP78 in different types of cancers has been associated with RR. GRP78 overexpression increases DSB DNA repair and autophagy, as well as decreases apoptosis of tumor cells (13). Cetuximab is a monoclonal antibody used for the inhibition of EGFR and radiosensitization of tumor cells. However, it can also decrease the GRP78 expression of OPCC (13).

CSCs constitute a tumoral subpopulation with a high capacity for DNA repair, self-renewal, and differentiation towards other cell types and have been implicated in the recurrence of different types of tumors (114). CSCs present different mechanisms that have high resistance to different oncological therapies, including RT (115). In glioblastoma stem cells (GSC), an increase in ER luminal diameter, the activation of the UPR pathway, and the expression of proteins involved folding protein (such as GRP78 and GPR94) have been reported as mechanisms to avoid radiation-induced damage. Another survival mechanism in this tumor subpopulation is the activation of autophagy, which participates in the elimination of damaged cell fractions (116). The use of 2-deoxy-D-glucose (2-DG) may potentiate radiation-induced ERS to cytotoxic levels, inactivating the survival pathway and activating apoptosis (116).

Hypoxia is a feature frequently found in tumors, and its contribution to malignancy and treatment resistance has been demonstrated (117). Severe hypoxia also activates ER stress signaling. Particularly, the survival of a subset of hypoxic cells that determine tumor RR is dependent on the eIF2 α -associated arm of the UPR. The eIF2 α signaling promotes the synthesis of glutathione, cysteine uptake, and protection against ROS produced during periods of cycling hypoxia (118). In contrast, it has been reported that the enhancement of endoplasmic reticulum stress response under hypoxic conditions increases radiosensitivity in pancreatic and breast cancer cell lines *via* the stimulation of the insulin-like growth factor (IGF) signaling pathway and subsequent activation of the PI3K-mTOR pathway (119).

MITOCHONDRIAL ADAPTATIONS TO RADIATION

Mitochondria generate the chemical energy that cells need to carry out their biochemical functions through oxidative phosphorylation, the most efficient cellular pathway for the generation of ATP (120). The structure and function of mitochondria are compromised during different types of stress, including RT, so mitochondria respond through different adaptive mechanisms to support RR and maintain organellar and cellular homeostasis (**Figure 2**).

Lebeau et al. reported that acute stress in ER can also alter the mitochondria structure, promoting elongation and fragmentation. In response to ERS, mitochondria turn on a prosurvival mechanism called stress-induced mitochondrial hyperfusion (SIMH), avoiding premature fragmentation, stimulating metabolic activity, and facilitating adaptation and recovery during stress periods. In SIMH, ERS inhibits PERK-dependent eIF2 α phosphorylation, decreasing translation, translocation, and accumulation of misfolded or damaged proteins in the mitochondrial lumen, thus maintaining cellular proteostasis (121).

Lynam et al. compared two esophageal adenocarcinoma cell lines with the same origin but with different degrees of RR, OE33 R, and OE33 P, to identify mitochondrial alterations associated with RR. They observed that the resistant subline OE33 R presented an increase in ROS levels and more DNA mitochondrial mutations than the parental line OE33 P, an increase in the number and mass of mitochondria, and more elongated and condensed mitochondria. Likewise, OE33 R presented bioenergetic alterations, such as increased mitochondrial respiration and oxidative phosphorylation and increased levels of intracellular ATP. Additionally, five genes involved in energy metabolism (ATP5G1, ATP5G3, ATPV0A2, NDUFC2, and NDUFS3) were overexpressed in OE33 R cells, supporting increased metabolic activity in these cells. Interestingly, radioresistant cells show an increase in their metabolic plasticity, changing from glycolysis to oxidative phosphorylation pathways, accompanied by enhanced survival (122). In head and neck cancer cells, preservation of mitochondrial functions after radiation has also been associated

with a change from a glycolytic to more oxidative metabolism, increased mitochondria number, and a higher mtDNA content (123). Recently, Montenegro et al. also reported that radiation-induced changes that favor oxidative metabolism and an increase in ATP production in breast cancer cells were mediated by S-adenosylmethionine SAM. SAM is a donor of methyl groups in transmethylation reactions, so an increase in its cellular concentration stimulates the activity of different cellular methylases and promotes the hypermethylation of other cellular proteins. In this way, protein arginine methyltransferase 1 (PRMT1) methylates the BRCA1 protein after radiation and stimulates its nuclear translocation favoring DSBs repair *via* HR and inhibiting apoptosis. Thus, protein methylation also plays an important role in defense of tumor cells against IR (124).

However, exposure of tumor cells to a brief low-oxygen environment (7% O₂ for 3 h) decreases mitochondrial respiration, resulting in exacerbated glycolysis, high lactate concentrations, and an increase in RR. During acute hypoxic stress, tumor cells adapt their metabolism through HIF-1 α , which modulates glycolytic genes, making them less dependent on oxygen and increasing survival (125). The survival of HIF-1 α knockdown tumor cells under hypoxia conditions is lower and increases their response to RT because they maintain a more oxidative metabolism that requires oxygen consumption, and since there is not enough oxygen, they are more likely to die. Importantly, HIF-1 α inhibition altered tumor metabolism in mice exposed to a low oxygen environment (7% O₂ for 3 h), enhancing RT response but having minimal effect on tumors in air-breathing animals (10). Epperly et al. reported that after irradiation of tumor cells, the expression of HIF-1 α , c-Myc, and Glucose transporter 1 (GLUT1) increased in a dose-dependent manner, promoting the transport of glucose into the cell and stimulating glycolysis (126).

The signal transducer and activator of transcription 1 (STAT1), in addition to its role as a transmitter of interferon (INF) signaling and pro-apoptotic tumor suppressor, has been associated with energy metabolism regulation. The STAT1 overexpression pathway confers RR and INF resistance. In contrast, STAT1 knockdown in tumors alters the expression of genes and proteins of oxidative phosphorylation, the citrate cycle, and glycolysis/gluconeogenesis (127). In STAT1 knockdown tumor xenografts, radiation predominantly suppresses the glycolysis/gluconeogenesis pathway without significant change in STAT1 wildtype tumor xenografts. The IR-induced energy deprivation of proliferating STAT1-suppressed tumor cells constitutes a potential mechanism of tumor radiosensitization (128).

A determining point for the performance of oxidative phosphorylation in the cell is the transport of cytoplasmic pyruvate to the mitochondria. Mitochondrial pyruvate carrier (MPC) is the protein responsible for pyruvate transport to the mitochondria (129), and the subexpression of this carrier in pancreatic cancer and CRC cell lines induces changes associated with EMT and RR. MPC1-suppressed cells change their morphology from oval to spindle shape, the levels of E-cadherin transcript decreased, fibronectin increased, and migration and their ability to withstand radiation increased. When MPC1-suppressed cells were cultured in a glutamine-

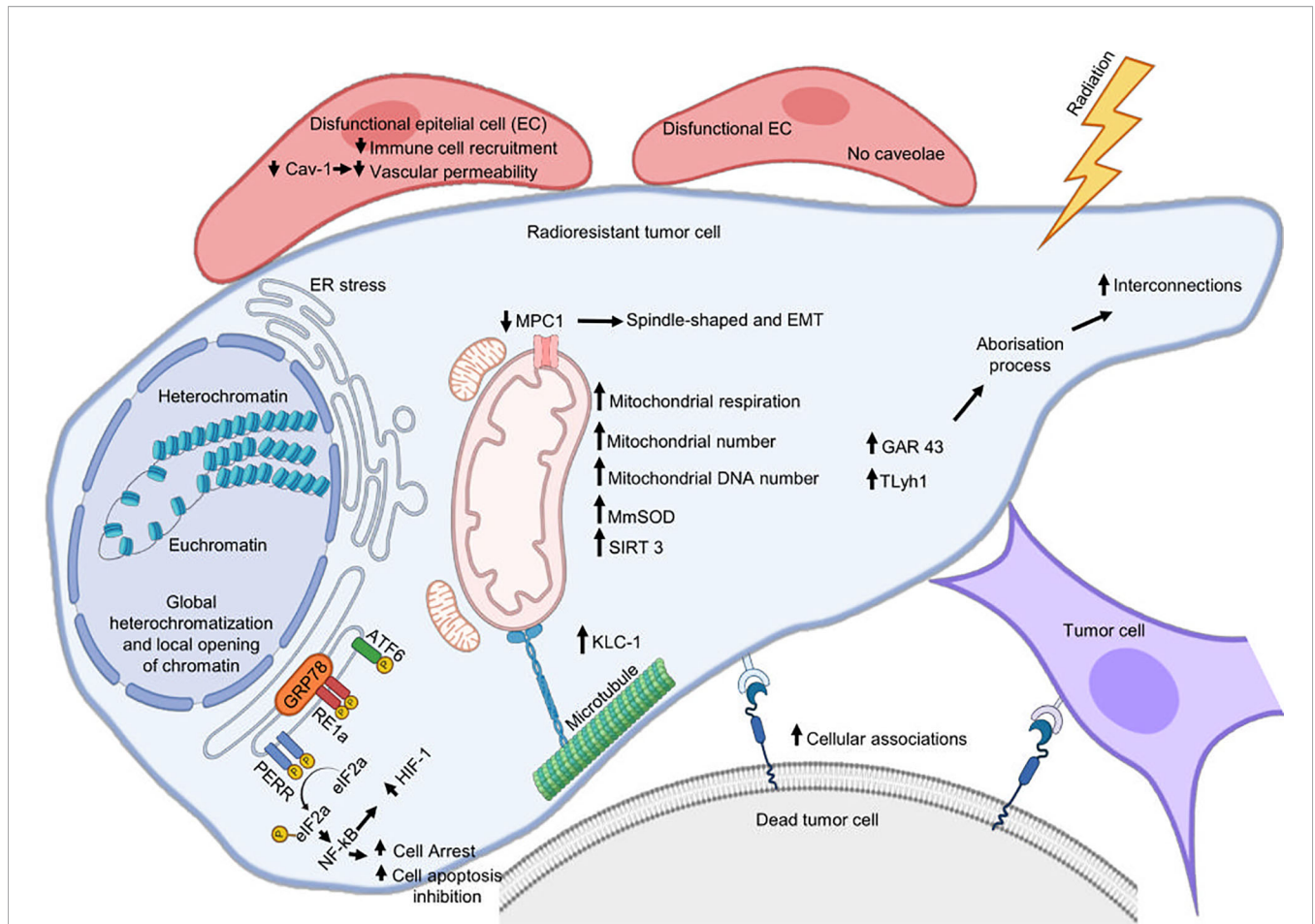


FIGURE 2 | Cellular mechanisms associated with radioresistance. Cytoplasmic membrane, reticulum endoplasmic, and mitochondria are the main organelles where tumor cells assemble a response to develop radioresistance. Radiation can damage the endoplasmic reticulum (ER) homeostatic state and cause ER stress that will favor radioresistance. This last is also supported by mitochondrial alterations, metabolic remodeling, and by an increase in plasma membrane interconnections favoring the formation of cytoplasmic bridges. Cetuximab promotes radioresistance involving ERS pathway IRE1 α /ATF6-GRP78. Silencing GRP78 inhibits the cooperative effects of radiotherapy and cetuximab inhibiting DSB repair and autophagy in OPCC. IRE1 promotes radioresistance in HPV-negative OPCC through IL-6 activation. Decreased MPC1 expression favors EMT and promotes radioresistance of cancer.

deficient medium, the changes in the EMT markers were suppressed; this suggests that EMT-like phenotype can be stimulated with alternative use of energy substrates, such as glutamine, when the entry of pyruvate into the mitochondria is reduced, thus compensating for ATP production (130).

Mitochondrial permeability transition pore (MPTP) is a non-specific pore located in the inner mitochondrial membrane, which opens under stress conditions resulting in alterations in oxidative phosphorylation, ATP depletion, and cell death (131). In a mouse model, Zhang et al. observed that after radiation to the whole body, liver cells from radiosensitive mouse strain (BALB/c) showed lower mitochondrial copy number, and MPTP opened sooner than radioresistant mouse strain (C57BL/6). Interestingly, they also showed that radiation response was maternally inherited (132).

The exact role that mitophagy plays in response to radiation is still debated. However, some authors have proposed that this

mechanism may help cells eliminate mitochondria damaged by treatment (133). Zheng et al. reported that Parkin-mediated mitophagy plays a relevant role in cellular homeostasis maintenance and RR of breast cancer cells under hypoxic conditions. Under normal conditions, Parkin protein accumulation in dysfunctional mitochondria initiates the process of mitophagy. However, this process is inhibited by p53 protein. Parkin-p53 interaction inhibits the translocation of Parkin to the mitochondria, disrupting the protective mitophagy process and radiosensitizing cells significantly. However, in different types of tumors, there is a dysfunction of p53 (mutation or silencing), and so an increase in mitophagy (134). Additionally, mitophagy was markedly increased by low oxygen tension. Thus, these two facts could explain why p53-deficient cells adapt better to hypoxic stress conditions and are more radioresistant (134).

Kinesins are motor proteins associated with microtubules of the cytoskeleton involved in the intracellular transport of

different cellular components, such as organelles and vesicles. Loss of Kinesin light chain 4 (KLC4) promotes apoptotic cell death and a decreased tumor growth in a mouse xenograft model. Also, downregulated-KLC4 cells have mitochondrial dysfunction through impaired mitochondrial respiration and an increase in ROS and mitochondrial calcium uptake. Because KLC4 is overexpressed in radioresistant lung cancer cell lines and tissues from lung cancer patients, it could be favoring mitochondrial homeostasis and the survival of tumor cells (135).

Mitochondria is the major source of ROS, which can cause oxidative damage to a wide range of molecules affecting cellular homeostasis; additionally, as we already mentioned, RT can also promote ROS generation (74). Manganese superoxide dismutase (MnSOD) is the major ROS-detoxifying enzyme located in the mitochondria; alterations in this enzyme generate mitochondrial and cellular dysfunction (136). Miar et al. have reported a higher expression of MnSOD compared to non-tumor samples in multiple tumor types, such as colon and lung, and an increase in MnSOD in middle-stage tumors of PCa. In addition, they also found high levels of MnSOD in all the metastatic tumors they analyzed, so overexpression of this enzyme may be involved in stimulating cancer hallmarks, such as migration and invasion, promoting thus carcinogenesis (137). Interestingly, it has been reported that MnSOD activity increases significantly after irradiation, contributing to the ROS neutralization and maintaining the cellular redox balance. In addition, irradiated cancer cells that overexpress MnSOD show an increase in the activation of the G2 phase of the cell cycle, so they can survive and divide despite the stress generated by radiation (126, 138).

On the other hand, it has been shown that higher doses of radiation generate lower mitochondrial membrane potential. Since the mitochondria use this membrane potential to generate energy in ATP form, its prolonged decrease can generate adverse effects on cells and lead to cell death (139). Epperly et al. reported that MnSOD overexpression in cancer cells stabilizes the initial changes in membrane potential generated by radiation, where another antioxidant enzyme, mitochondrial catalase, could maintain homeostasis at later times (83, 126).

Another mechanism of RR mediated by IL6 was studied by Tamari et al. comparing rat glioma cell lines (C6) as tumor cells against a rat astrocyte cell line (RNB) as a non-tumor cell. After irradiation, the addition of IL-6 reduces ROS levels and superoxide concentration in mitochondria, thus increasing C6 cell survival (140).

Additionally, there are other mitochondrial and epigenetic mechanisms associated with tumor RR. SIRT3 is a mitochondrial NAD (+)-dependent deacetylase that promotes deacetylation of other mitochondrial proteins to maintain metabolic homeostasis and prevent cell aging. Liu et al. reported that the SIRT3 promoter is overexpressed in radiation-treated tumor cells, and the NF- κ B transcription factor mediates their transactivation. After radiation, SIRT3 and Cyclin B1/CDK1 are overexpressed and translocated to the mitochondrial matrix, where SIRT3 is phosphorylated and activated by Cyclin B1/CDK1, thus promoting the deacetylation of mitochondrial proteins, such as

MnSOD, p53, and NADUFA9. In this way, SIRT3 maintains the mitochondrial homeostasis and increases survival and adaptive RR in tumor cells (141).

EXTRACELLULAR ADAPTATIONS OF TUMOR CELLS TO RADIATION

The behavior, progression, and response to different therapies of tumor cells are influenced by the type of molecules, cells, and conditions present in their surrounding environment, that is, by the tumor microenvironment (TME) (142). TME is very heterogeneous and consists of multiple elements, such as a diversity of infiltrating cells of the host, stroma cells, the vascular system, extracellular matrix (ECM), secreted soluble factors, and different surrounding types of non-malignant cells. Dynamic interactions of these components can promote tumor progression, migration, invasion, metastasis, and survival of tumor cells (143). Generally, solid tumor cells (e.g., ovary, lung, cervical, and colon) can be subjected to an oxygen concentration gradient, where low concentrations (hypoxia) can stimulate the malignant characteristics of tumor cells and resistance to RT (144). On the other hand, it has been described that acidic pH, lack of nutrients, and low oxygen concentrations promote deficient blood perfusion and, consequently, hypoxia within the TME (145). Hypoxia promotes sustained angiogenesis and the activation of new neovascularization mechanisms, such as vasculogenic mimicry, the latter induced through EMT phenotype and changes in gene expression (144–146).

EMT is a complex mechanism that allows solid tumor cells to suppress their epithelial characteristics and acquire a mesenchymal phenotype. During EMT, cells show morphological changes and adhesion and migration capacity, facilitating their detachment from the primary tumor and the invasion of other body regions, thus favoring metastasis and tumor progression. Interestingly, an association between EMT and the generation of CSCs has been widely reported, promoting the formation of new tumors (147).

In CRC cell lines, the radiation triggers molecular changes consistent with EMT, such as low expression of the epithelial marker E-cadherin and high expression of mesenchymal markers, such as vimentin, fibronectin, and the Snail Family Transcriptional Repressor 2 (SNAI2), increasing invasion, migration, and survival of the radiated cells (105). Another report, using the ESCC KYSE-150 cell line and a xenograft tumor model, showed that the irradiation of KYSE-150 cells stimulated the EMT phenotype and the acquisition of stemness-like properties. In addition, those cells undergo morphological changes from cuboidal to spindle-like shape and show high expression of WNT1 inducible signaling pathway (WISP1), a signaling protein associated with the ECM, which plays a role in the development of the EMT phenotype and RR through regulation of genes associated with EMT (148).

The ECM is a dynamic three-dimensional network of proteins (collagen, proteoglycans, laminin, and fibronectin) and non-cellular components of tissue (water, minerals) that serve, among other things, as a cellular niche, as the organizer of

TME components, and provides scaffolding for intercellular communication (149). When growing surrounded or embedded in the ECM, tumor cells are highly influenced by their matrix components. Inversely, tumor cells induce changes in their surrounding ECM to modulate its development, progression, and response to therapy (150). As mentioned already, tumor cells grown in a 3D environment have increased resistance to stressors, such as IR, compared to 2D cultures; this phenomenon is known as cell-adhesion mediated radioresistance (CAM-RR). It has been observed in several cell lines from different types of cancer that IR stimulates changes in the plasma membrane components. For example, after radiation, fibronectin and β 1-integrin are overexpressed. Also, the β 1-integrin is reorganized into clusters. Therefore, these two components can interact, stimulating cell-matrix interactions; consequently, RR and survival are increased (151–153). These interactions also influence chromatin structure, stimulate heterochromatinization with the aforementioned implications, and promote changes in gene expression and cellular response to environmental stimuli (92). Bai et al. compared the gene expression patterns of sarcoma cells grown in 2D and 3D by microarray analysis. These authors also observed that genes involved in tumor cell adhesion (N- and E-cadherin), gap junction (connexins Cx26, Cx43, Cx45), and ECM remodeling (COL1A1, LOX, FN1, SNED1, ITGB1, and LAMA4) were overexpressed in 3D cultures, and so are potentially involved in RR (154).

The lysyl oxidase-like 2 (LOXL2) is a protein that catalyzes the cross-linking of collagen and elastin components in the ECM and has been reported to contribute to the development and progression of several cancer types. A high expression of LOXL2 has been observed in DU145 and PC3 androgen-independent cell lines (from castration-resistant PCa), compared to LNCaP and 22Rv1 androgen-dependent cell lines. LOXL2 inhibition promotes radiosensitivity in prostate cells and xenograft tumors by EMT reversion and increased apoptosis by caspase-3 activation (155). PC-3, DU145, and LNCaP cancer prostate cell lines treated with radiation acquire characteristics of the EMT phenotype and stemness-like properties and show structural changes, such as loss of the glandular morphology, vacuolated cytoplasm, pleomorphic nuclei, and enlarged cell size. Furthermore, they increase the activation of p-Chk1 and p-Chk2 proteins and turn on the PI3K/Akt/mTOR signaling pathway; both processes can contribute to the repair of radiation-induced damage in tumor cells (156). Another study performed in poorly differentiated hepatocellular carcinoma (HCC) showed an association between PI3K/AKT/mTOR signaling pathway activation through the protein 3-phosphoinositide-dependent protein kinase 1 (PDPK1) and an increase in stemness characteristics, EMT, metastasis, DDR, and RR (157). Konge et al. demonstrated that TGF- β -induced mammary epithelium cells promote EMT and CSCs generation, which are more radioresistant compared to breast cancer non-stem cells. In addition, CSCs populations present very few polyploid cells, a G2/M arrest phase cell cycle, free radical scavengers, and activation of the death receptor pathways

(FasL, TRAIL, and TNF- α), contributing to acquired RR during EMT (158).

On the other hand, although RT is a localized treatment, it promotes cytokine expression and systemic release. Cytokines are small proteins secreted by multiple cell types, which fundamentally modulate the immune and inflammatory response, and as already mentioned, they could mediate the survival of tumors to radiation. Ellsworth et al. conducted a prospective study to evaluate changes in cytokine expression patterns in NSCLC patients undergoing radiation therapy and found that different cytokines changed their expression during RT, including sCD40l, IP-10, MIP-1 β , CX3CL1, VEGF, GM-CSF, IL-12, IFN- γ , IL-1a, and VEGF, which could participate in the promotion, growth, and progression of tumors by suppressing factors of the immune system, adding thus another layer to the complex response to the IR (159).

POTENTIAL MOLECULAR TARGETS TO ENHANCE RADIOSENSITIVITY OF CANCER CELLS

There is no universal method to detect RR in patients. However, after RT, if a reduction in tumor volume is not observed in the expected response time or even increases, RR is suspected. RR can also be clinically deduced in cases of tumor recurrence, that is if tumor reappearance is detected after RT (15, 20). Depending on the type of tumor, stage of development, and location, other clinical manifestations associated with RR may be observed in patients. For example, in PCa, if symptoms of urinary obstruction continue after treatment, or if in a laboratory test the patient again shows elevated serum prostatic antigen levels, RR is also suspected (160).

The knowledge generated in recent decades on the mechanisms of tumor resistance to RT has made it possible to identify different molecules that can be used as molecular markers of RR or as therapeutic targets to increase radiosensitivity. Different research groups have focused on the search for markers of resistance to RT; some traits proposed as RR predictors include the presence of oxidative stress markers, such as some reactive oxygen species that are produced during therapy, tissue hypoxia which is evidenced by vascularity and central necrosis in some tumors, presence of cancer cells close to blood vessels, as well as the expression of specific interleukins, such as IL-8 (161). More specific molecular markers related to mechanisms of cellular adaptation and resistance to radiation have been proposed. TME and EMT signatures, TGF- β , poly ADP-ribose polymerase (PARP-1), or certain chaperone proteins have been found in radiologically resistant PCa. Analysis of these markers in patients can allow oncologists to assess the initial response to therapy and propose a more appropriate therapeutic strategy for each patient (160, 161).

As previously mentioned, RR is the main obstacle to the success of radiotherapies, so different research groups are constantly working in the search for strategies that allow reducing the resistance of tumor cells to radiation, and thus be

able to increase the success of therapies and favorably impact on the quality of life and survival of cancer patients. Because one of the main mechanisms of RR in different types of tumors is the overexpression of molecules involved in DDR and DNA repair, these molecules are among the most explored therapeutic targets. However, molecules that participate in other RR mechanisms, such as epigenetic modulation, chromatin remodeling, maintenance of organelle homeostasis, lipid and carbohydrate metabolism, apoptosis, EMT, and signal transduction, among others, have been identified. Decreasing these molecules during RT can be of great help to increase the response of patients to therapy.

In recent years, multiple molecule types have been developed (mainly chemical inhibitors or interference RNAs) that specifically inhibit or decrease the action of proteins involved in tumor RR, and when tested in preclinical studies (in cell cultures or animal models), have given promising results for the

radiosensitization of cells from different tumor types, such as brain, lung, pancreas, colorectal, breast, oral, cervical, prostate, and liver (**Table 2**). Inhibitors could be applied to patients in combination with radiation to increase the response to RT; even the combination of protein inhibitors can help increase radiosensitization and the success of the therapies. Because RR is a complex process, where different cellular pathways and mechanisms are orchestrated to increase the survival and reproduction of tumor cells, strategies must be focused on combating multiple aspects of tumor cell biology. The inhibition of key RR players, that is, participating in different pathways or mechanisms, would be especially useful to interfere with the process from different angles. However, other aspects must be worked on in parallel, for example, the mechanisms of action of the inhibitors, activation and inactivation mechanisms, effective doses to increase their effectiveness and reduce possible collateral damage.

TABLE 2 | Potential molecular targets to enhance radiosensitivity of cancer cells.

Target	Process	Radiosensitization experiments	References
53BP1	Involved in DNA repair <i>via</i> the NHEJ and HR pathways.	53BP1 is knocked down using specific shRNAs in GBM cell lines.	(162)
AKT	Involved in cell survival, growth, cancer progression, and DNA damage repair.	Treatment of radioresistant lung cancer cells with Diosmetin, an AKT Pathway Inhibitor.	(163)
APE1	Involved in DNA repair <i>via</i> BER pathway.	Analysis of glioma and pancreas cells lacking APE1. Treatment of radioresistant pancreatic cancer cells with Lucanthone, an APE1 inhibitor. APE1 is knocked down using specific shRNAs in pancreatic cells.	(47)
Artemis	Involved in DNA repair <i>via</i> the NHEJ pathway	Mouse embryonic fibroblasts (MEFs) from DNA-PKcs mutant mice	(164)
β 1 integrin	Signal transduction	GCS or patient-derived GBM cell cultures treated with A11B2, a specific antibody against β 1 integrin, and JNK inhibitor SP600125.	(165)
β -catenin	Wnt/ β -catenin pathway	Treatment of radioresistant ESCC with iCRT14, an β -catenin inhibitor.	(74)
BRG1	Chromatin remodeling	BRG1 negative mutant overexpression in colon, breast, and lung cancer cells. Xenograft colon tumors that overexpress the BRG1 negative mutant.	(71)
Catalase	ROS detoxifying	32D cl 3, a hematopoietic progenitor cell line, was transfected with mt-catalase-plasmid, that overexpressing mitochondrial catalase. Intratracheal injection of mt-catalase plasmid-liposome complexes in C57BL/6NHsd female mice and subsequent thoracic irradiation.	(126)
CHOP (C/EBP homologous protein)	UPR pathway and Autophagy	CHOP is knocked by RNAi in breast cancer cells.	(166)
CUX1	DDR response	CUX1 is knocked by siRNAs in radioresistant breast cancer cells and MEFs (mouse embryonic fibroblasts).	(45)
CXCL1	Inflammation and DNA repair	CXCL1 is knocked by shRNAs in radioresistant GBM cell lines. Xenograft tumors of ESCC cells in combination with CAFs (XRCC1 producing cells) are implanted and after treated with an CXCL1 antibody.	(27, 58)
DNA-PKcs	Involved in DNA repair <i>via</i> the NHEJ pathway	MEFs analysis from DNA-PKcs mutant mice.	(164)
EGFR	Cell proliferation and survival	Radioresistant human lung carcinoma cells treated with erlotinib or cetuximab EGFR inhibitors.	(96)
EPOR (Erythropoietin Receptor)	Cell cycle arrest and grow	Erythropoietin-induced glioma and cervical cancer cells treated with tyrphostin B42, an inhibitor of JAK2 tyrosine kinase activity. JAK2 is an effector of EPOR. EPOR knockdown in GBM.	(167, 168)
FHIT	DNA methylation	Transfection of oral cancer cells using FHIT-overexpressing cDNA myc-tag plasmid. Generation of radioresistant mouse xenograft tumors that overexpress FHIT	(169)
GADD45 α	BER	GADD45 α overexpression in X-ray-resistant HeLa cell line, by transfection with GADD45 α plasmid.	(48)
GAP-43	Neuronal differentiation	Glioblastoma cells grown under stem conditions (GBMSCs) with a genetic knockdown of GAP-43.	(102)

(Continued)

TABLE 2 | Continued

Target	Process	Radiosensitization experiments	References
G0S2 (G0/G1 Switch 2)	Lipid metabolism	Targeting G0S2 by shRNAs in GSCs.	(4)
GRP78	ERS endoplasmic reticulum stress	Targeting GRP78 by siRNAs in OPSCC cell lines. GRP78 upregulation with 2-Deoxy-D-Glucose (2-DG) in GSC.	(13, 116)
GSK-3β	Involved in DNA repair <i>via</i> the NHEJ and HR pathways.	Inhibition of GSK-3β in pancreatic cancer cells using LiCl, AR-A014418, or SB216763 GSK inhibitors. Targeting GSK-3β by siRNAs in pancreatic cancer cell lines.	(170, 171)
HDAC	Histone deacetylase	Inhibition of HDAC in human prostate cancer cell lines using suberoylanilide hydroxamic acid (SAHA). Inhibition of HDAC in radioresistant esophageal carcinoma cells lines using trichostatin A and sodium butyrate.	(172, 173)
HDAC6	Histone deacetylase	Inhibition of HDAC6 in radioresistant GSC using HDAC6i.	(174)
JNK (c-Jun N-terminal kinase)	UPR pathway and apoptosis	Inhibition of JNK in radioresistant breast cancer cell lines using SP600125.	(166)
KDMs containing a Jumomiji C (JmjC) domain	DNA methylation	Inhibition of KDM in radioresistant lung cancer cell lines using JIB-04.	(175)
KLC4	Mitochondrial homeostasis	Targeting KLC4 by siRNAs in lung cancer cell lines. Generation of mouse xenograft tumors with lung cancer cells lacking KLC4.	(135)
Ku70	Involved in DNA repair <i>via</i> the NHEJ pathway	Ku70 negative mutant overexpression in embryonic stem cells.	(176)
LIG4	Involved in DNA repair <i>via</i> the NHEJ pathway	Inhibition of LIG4 in colorectal cancer cells using SCR7 inhibitor. Targeting LIG4 by shRNAs in colorectal cancer cell lines.	(55)
LOXL2	EMT phenotype	LOXL2 knockdown by shRNA in castration-resistant prostate cancer cells.	(155)
MGMT	DNA-methyltransferase	Targeting MGMT by siRNAs in breast cancer cells lines.	(177)
MnSOD	ROS detoxifying	Targeting MnSOD by siRNAs in human pancreatic cancer cell lines. Intratracheal injection of MnSOD-PL plasmid-liposome complexes (that overexpress MnSOD) in C57BL/6NHsd female mice and subsequent thoracic irradiation.	(126, 138)
MSI1	Involved in DNA repair <i>via</i> the HR pathway	Silencing of MSI1 by shRNA in MSI1-high-expressing radioresistant GBM cell line. Generation of mouse xenograft tumors with GMB cancer cells lacking MSI1.	(67)
NFBD1	Involved in DNA repair <i>via</i> the HR pathway	Silencing of NFBD1 by shRNA in radioresistant NPC cell line.	(65)
OGG1	Involved in DNA repair <i>via</i> the BER pathway	Silencing of OGG1 by siRNAs in CRC cell lines. Inhibition of OGG1 in CRC cell lines using Chembridge 5245457 and 5552704 inhibitors.	(46)
P53	Transcription	Transfection of NSCLC cells using p53-overexpressing pCDNA3.1-p53 plasmid.	(178, 179)
PARP-1	Involved in DNA repair <i>via</i> the BER pathway	Inhibition of PARP-1 in HPV- negative in OPSCC using Olaparib.	(43)
PDK1	Signal transduction	PDK1 inhibition by siRNAs in hepatocellular carcinoma (HCC). PDK1 inhibition by BX795 in HCC.	(157)
PERK	Endoplasmic reticulum stress	Silencing of PERK by siRNAs in OPCC cell lines.	(110)
PI3K/mTOR	Signaling pathway	Dual PI3K/mTOR inhibition with BEZ235 in patient-derived OSCC cells or prostate cancer cell lines. Treatment of OSCC cell lines with RAD001 inhibitor decreases the phosphorylation and activation of mTOR and increases the RR.	(50, 84)
PNKP (Polynucleotide Kinase 3'-Phosphatase)	Involved in DNA repair <i>via</i> the NHEJ pathway	Inhibition of PNKP in prostate adenocarcinoma cancer cell lines using A12B4C3 PNKP inhibitor.	(180)
Pol β	Involved in DNA repair <i>via</i> the BER pathway	Human adenocarcinoma cells or MEFs cell lines that grow in conditions of confluence and expressing a dominant negative of Pol β.	(181–183)
Rad51	Involved in DNA repair <i>via</i> the HR pathway	Cells treated with chronic hypoxia had a reduced RR. Knocking down Rad51 with siRNA to levels like the levels seen under chronic hypoxia also radiosensitizes human lung cancer cells.	(184)
RPA1	Involved in DNA repair <i>via</i> the HR pathway	Targeting RPA1 by shRNAs in radioresistant hypopharyngeal cancer cell.	(64)
SHP1	Cell cycle regulation	Targeting SHP1 by siRNAs in radioresistant NSCLC cells.	(86)
SOCS	Signal transduction	Ectopic expression of SOCS1 in GBM cells. Blocking SOCS3 expression (by expressing a dominant-negative STAT3) in GBM cells.	(185)
TGF-β receptor	Signal transduction	Inhibition of TGF-β receptor in radioresistant gastric cancer cells using LY2109761.	(186)
Topo II α (DNA Topoisomerase II α)	Replication and transcription	Treatment of radioresistant laryngeal squamous cancer cells with 5-aza-2'-deoxycytidine, a DNA methyltransferase inhibitor.	(187)
WISP1	EMT	ESCC KYSE-150R cell line was treated with WISP1-specific neutralizing antibody.	(148)
Wnt7	Signal transduction	Overexpression of Wnt7a in NSCLC by pcDNA6-Wnt7a transfection.	(188)

CONCLUDING REMARKS

Cancer is a group of diseases that cause high rates of mortality and morbidity worldwide. For a long time, multiple treatments have been developed to combat different types of cancer. RT is applied in more than 50% of cancer patients due to its various advantages: non-invasive, painless, localized, and with high controllability. Despite its broad effectiveness, some patients show resistance to therapy and tumor recurrence, with negative implications on patients' quality of life and survival.

After radiation, tumor cells can turn on a complex molecular and cellular response to maintain the integrity of their genome and organelles. This response conjugates different signaling pathways, which allow sensing the lesions and activate a DNA damage response. Genes modulated in response to radiation can alter multiple biological events, mainly, a redistribution of the cell cycle, DNA repair pathways activation, reconfiguration (global and local) of chromatin, increase in their metabolic plasticity, changes in the lipid and protein composition of the plasma membrane, the formation of intercellular networks, a cytoprotective response to stress generated in organelles such as ER and mitochondria, apoptosis evasion, EMT, and CSCs generation. Simultaneously, changes in the tumor microenvironment and ECM reorganization can occur, increasing the probability of survival, reproduction, and adaptation to radiation of tumor populations. These events can stimulate the appearance of tumors with more aggressive characteristics that interfere with patients' response to treatments and promote tumor recurrence.

The clinical response of patients to radiation is very heterogeneous; it depends on the type of therapy applied, of the intrinsic heterogeneity between tumor types and subtypes, to the genetic variants present in patients that make them more or less susceptible to RT (189–192). The knowledge generated in recent decades has allowed us to propose different combined and

personalized strategies to increase the success of RT. However, the translation of this information to clinical practice requires even more in-depth and comprehensive knowledge. Therefore, it is essential to continue with the molecular studies that allow us to identify the vulnerabilities of radioresistant cells.

AUTHOR CONTRIBUTIONS

ÁC-R, MM-L, SR-G, CL-C, and OH-C organized and wrote the manuscript. MM-L wrote Radiation Therapy in Clinical Practice section. OH, ÁC-R, and MM-L wrote Tumor Cells Activate Signaling Pathways Involved in DNA-Damage Response to Survive Ionizing Radiation section. ÁC-R and CL-C wrote Cell Cycle Adaptations in Response to Radiation. SR-G and OH-C wrote Chromatin Remodeling as a Mechanism of Radiation Adaptation, Changes in the Plasma Membrane That Favor Radioresistance, Endoplasmic Reticulum Adaptations to Radiation, and Mitochondrial Adaptations to Radiation sections. ÁC-R and CL-C wrote Extracellular Adaptations of Tumor Cells to Radiation section. OH-C and MM-L wrote Targeting to Enhance Radiosensitivity section. All authors revised the last version of manuscript. Figures and Tables were designed and produced by ÁC-R, MM-L, SR-G, and OH-C. All authors contributed to the article and approved the submitted version.

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The Influence of Oncogenic RAS on Chemotherapy and Radiotherapy Resistance Through DNA Repair Pathways

Rodrigo E. Cáceres-Gutiérrez¹, Yair Alfaro-Mora^{1,2}, Marco A. Andonegui¹, José Díaz-Chávez^{1*} and Luis A. Herrera^{1,2*}

¹Unidad de Investigación Biomédica en Cáncer, Instituto Nacional de Cancerología-Instituto de Investigaciones Biomédicas, UNAM, Mexico City, Mexico, ²Instituto Nacional de Medicina Genómica, Mexico City, Mexico

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Samantha Messina,
Roma Tre University, Italy

*Correspondence:

Luis A. Herrera
lherrera@inmegen.gob.mx
José Díaz-Chávez
jdiazchavez03@gmail.com

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RAS oncogenes are chief tumorigenic drivers, and their mutation constitutes a universal predictor of poor outcome and treatment resistance. Despite more than 30 years of intensive research since the identification of the first RAS mutation, most attempts to therapeutically target RAS mutants have failed to reach the clinic. In fact, the first mutant RAS inhibitor, Sotorasib, was only approved by the FDA until 2021. However, since Sotorasib targets the KRAS G12C mutant with high specificity, relatively few patients will benefit from this therapy. On the other hand, indirect approaches to inhibit the RAS pathway have revealed very intricate cascades involving feedback loops impossible to overcome with currently available therapies. Some of these mechanisms play different roles along the multistep carcinogenic process. For instance, although mutant RAS increases replicative, metabolic and oxidative stress, adaptive responses alleviate these conditions to preserve cellular survival and avoid the onset of oncogene-induced senescence during tumorigenesis. The resulting rewiring of cellular mechanisms involves the DNA damage response and pathways associated with oxidative stress, which are co-opted by cancer cells to promote survival, proliferation, and chemo- and radioresistance. Nonetheless, these systems become so crucial to cancer cells that they can be exploited as specific tumor vulnerabilities. Here, we discuss key aspects of RAS biology and detail some of the mechanisms that mediate chemo- and radiotherapy resistance of mutant RAS cancers through the DNA repair pathways. We also discuss recent progress in therapeutic RAS targeting and propose future directions for the field.

Keywords: ras, oncogene-induced senescence, reactive oxygen species, DNA damage response, double strand breaks, cancer, chemotherapy and radiotherapy resistance

THE RAS ONCOGENES

The Ras superfamily is composed of structurally and mechanistically related small GTPase proteins organized in five major families named Ras, Rho, Arf, Ran, and Rab. In humans, the Ras family (20–29 kDa) encompasses 36 members of which KRAS, HRAS, NRAS, ERAS, RRAS, and MRAS are the archetypal elements (Rojas et al., 2012).

The main role of RAS proteins is the transduction of external stimuli into intracellular signaling cascades. These GTPases work as intracellular membrane-associated binary switches that trigger a

broad range of cell survival and proliferation events. These proteins cycle around active and inactive states through their intrinsic GTPase activity and their interaction with Guanine Nucleotide Exchange Factors (GEFs) and GTPase-Activating Proteins (GAPs), which promote the GTP-bound active, and GDP-bound inactive states, respectively (Simanshu et al., 2017).

Structurally, RAS proteins bear a G domain that binds and hydrolyzes guanine nucleotides, and two loops (switch 1 and switch 2) that drive the conformational changes that facilitate the binding of effectors, exchange factors, and activators. The C terminal region of RAS (25 amino acids) contains a hypervariable region (HVR) which is poorly conserved among the Ras family members. This HVR is targeted by several post-translational modifications and is crucial for insertion into and interaction with the plasma membrane (Prior and Hancock, 2001; Vetter and Wittinghofer, 2001; Hancock, 2003).

RAS activation relies on a plethora of membrane-associated receptors, like tyrosine kinase receptors, G-protein coupled receptors, integrins, or toll-like receptors (Cattaneo et al., 2014). When such receptors become activated by binding of their corresponding ligand, they recruit adaptor proteins and Guanine Exchange Factors (GEFs), which exchange RAS-associated GDP for GTP, thereby generating a conformational change in switch 1 and switch 2 loops. This conformational change exposes the residues necessary for RAS' interaction with its downstream effectors, including Y40 for PI3K, E37 for Ral-GEF, and T35 for RAF (Schlessinger, 2000; Shields et al., 2000; Vetter and Wittinghofer, 2001). RAS activity generates transient downstream signaling cascades that activate several effectors like the Raf/Mek/Erk, PI3K/Akt, RalGDS/Ral, and Mekk/Sek/Jnk pathways, which regulate multiple cellular events through gene transcription, among other mechanisms. Although RAS' GTP hydrolysis rate is intrinsically slow, its catalytic activity is importantly accelerated upon interaction with GTPase Activating Proteins (GAPs). GAPs can increase RAS GTPase activity about 10^5 -fold by inserting an arginine finger into RAS' GTPase cleft. GTP hydrolysis leads RAS back to its inactive state (Drugan et al., 2000; Vetter and Wittinghofer, 2001; Paminsinlapatham et al., 2009; Scheffzek and Shivalingaiah, 2019). In fact, the lifetime of active GTP-bound RAS is governed by the time of encounter with a GAP. Therefore, RAS-GAP inactivation or mutation, as well as RAS constitutive activation by inhibition of its GTP hydrolysis capacity, promotes sustained RAS signaling, which can ultimately lead to malignant transformation (Jett and Friedman, 2010).

The human RAS homologues of Harvey rat sarcoma viral oncogene (HRAS), Kirsten rat sarcoma viral oncogene (KRAS), and the neuroblastoma RAS viral oncogene (NRAS) become major disease drivers upon mutation: between 17 and 30% of all human tumors bear RAS mutations. Of these cancer-associated alterations, ~97% occur in codons 12, 13, and 61 of the distinct isoforms (Simanshu et al., 2017; Mo et al., 2018). More specifically, these mutations are present in 50% of colon cancer cases (Logsdon and Lu, 2016) and ~95% of pancreatic cancer cases, and are estimated to cause one million deaths per year worldwide (Simanshu et al., 2017). In addition, KRAS

alterations are more frequently observed in lung, pancreatic, and colorectal malignancies, and NRAS mutations are present in hematological malignancies, while HRAS mutations are present in dermatological and head and neck malignancies (Pylayeva-Gupta et al., 2011). Overall, mutations in KRAS are the most common, accounting for ~85% of all RAS mutations, followed by 12% for NRAS, and 3% for HRAS (Simanshu et al., 2017).

These alterations lead to critical amino acid substitutions which generate a constitutively active RAS protein, due to the impairment of GAP binding or decreased GTP hydrolysis (Smith et al., 2013). KRAS, NRAS, and HRAS have different mutation frequencies among each of the mutational hotspots. The predominant point of mutations in KRAS is G12 (89%), followed by G13 (9%), and to a lesser extent, Q61 (1%). However, in NRAS, Q61 is the most commonly mutated hotspot (60%), followed by G12 (25%), and G13 (14%). For HRAS, the most prevalent mutation is G12 (55%), followed by Q61 (36%), and then G13 (8%) (Prior et al., 2012; Hobbs et al., 2016; Lu et al., 2016).

The RAS mutations mentioned above confer oncogenic properties to the cell, like uncontrolled proliferation, loss of contact inhibition, increased motility, altered metabolism, and loss of genome integrity (Yuan et al., 2018). Furthermore, these phenotypes are reflected in RAS mutant cancer's clinical behavior, which is associated with poorer outcomes, including decreased overall survival, bolstered by resistance to diverse chemotherapy and radiotherapy schemes (Lièvre et al., 2006; Jancík et al., 2010). Mutations in other codons of RAS are at the origin of milder conditions called RASopathies, which are characterized by distinctive craniofacial features, short stature, and learning disabilities, among other hallmarks (Mo et al., 2018).

Strategies to therapeutically target mutant RAS have met a tough road throughout the years. Approaches targeting posttranslational modifications of RAS that mediate its membrane localization or its signalling output have been overcome by the cell through multiple redundant feedback loops (Stephen et al., 2014). Moreover, in some cases, the use of more than one drug to tackle cancer cells' feedback loops has proven prohibitively toxic (Stephen et al., 2014; Singh et al., 2015).

On the other hand, RAS' three-dimensional conformation, which displays relatively shallow grooves, as well as its picomolar affinity for GTP/GDP, hampered the development of small molecule inhibitors (Grabocka et al., 2015; Esposito et al., 2019). However, in 2013, a new pocket was identified in KRAS that was not apparent in previous crystallographic structures (Ostrem et al., 2013). Based on this discovery, compounds were designed that covalently bind to the mutant cysteine of KRAS G12C and disrupt both switch 1 and switch 2 regions. As a consequence, KRAS G12C inhibitors thwart the GTPase's preference to favour GDP binding over GTP, concomitantly inhibiting its signalling activity by precluding RAS interaction with RAF (Ostrem et al., 2013). Notably, since these compounds target a mutant cysteine, they spare the WT protein, underscoring their suitability as cancer therapeutic agents (McCormick, 2020). Several new covalent

KRAS G12C inhibitors were rapidly developed, and this kind of molecules entered clinical trials only 6 years after the publication of the paper describing the new pocket and inhibitor (Goebel et al., 2020). One of them, AMG510, was approved in May 2021 after demonstrating an objective response rate of 36% with a median response duration of 10 months in a phase 2 trial in mutant advanced solid tumors (in combination with anti-PDL1 therapy and midazolam). AMG510 is currently commercialized by Amgen under the name Sotorasib and is evaluated in at least 13 trials. The other compound, MRTX849, is currently under scrutiny in phase 2 and phase 3 trials and was granted the breakthrough therapy designation by the FDA, which might expedite its approval.

Unfortunately, the percentage of patients that can benefit from KRAS G12C covalent inhibitors is relatively limited since this mutation represents no more than 14% of all KRAS mutations found in human tumors (Lu et al., 2016). For instance, KRAS G12C represents only 2% of all KRAS mutations in Pancreatic Ductal Adenocarcinoma (PDAC) (Kim et al., 2021). However, other efforts to target RAS GTPases are also yielding very promising results. Recent advances in screening technologies have prompted preclinical progress, resulting in the detection of RAS mutant cell vulnerabilities. One of the resulting approaches, termed synthetic lethality, consists of taking advantage of the exclusive dependence of mutant cells (RAS mutants in this case) on a second target (Singh et al., 2015). This will be discussed below for RAS, but the most commonly cited example in the literature is the therapeutic use of PARP inhibitors in BRCA1/2 mutant cancer (Marcotte et al., 2012).

RAS vulnerabilities present an extremely valuable resource for developing mutant RAS cancer therapies. In order to take advantage of this asset, it is crucial to understand the mechanisms that support mutant RAS cancer survival in the clinical setting. In the following sections, we explore the development of RAS-dependent chemotherapy and radiotherapy resistance through the DNA damage repair pathways; along the carcinogenic process, we expose mechanistic details of such resistance pathways and propose future directions of this exciting field.

RAS IN ONCOGENE-INDUCED SENESENCE

RAS mutation is an early event in several tissues along the multistep carcinogenic process. In fact, several mouse models have been used to demonstrate that KRAS mutation alone is sufficient to initiate tumor development (Grabocka et al., 2014). Observations in human pancreatic cancer development provide further support to mutant RAS' early contribution to carcinogenesis. RAS alterations are commonly detected in early PanIN lesions, hyperplasias that precede the development of pancreatic intraepithelial neoplasia, one of the most, if not the most, lethal solid malignancy (Luo, 2021). However, early incipient cancer cells face the struggle of surviving in extremely adverse conditions since mutant RAS constant signalling leads to replicative, metabolic, and

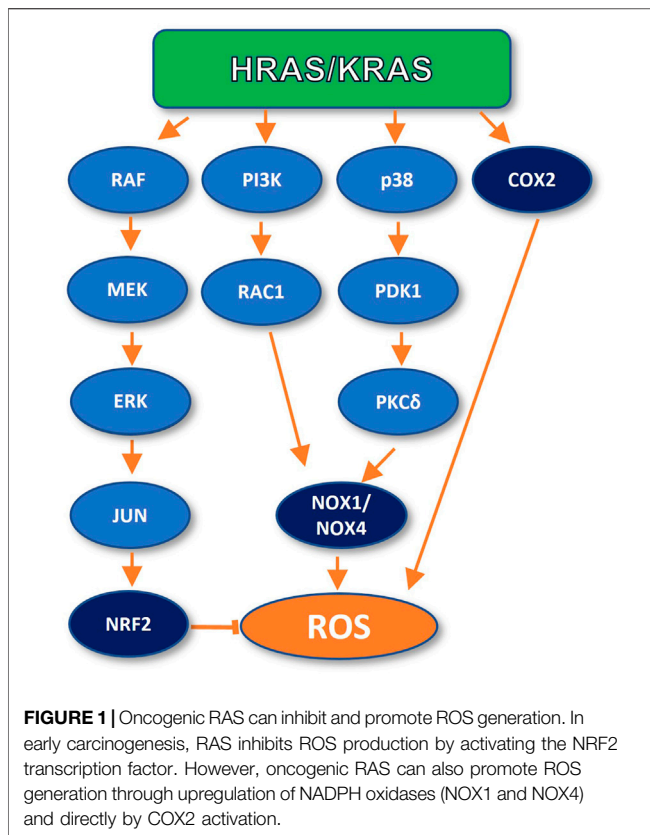
oxidative stress (Grabocka et al., 2015). For instance, constitutively active RAS abnormally increases the formation of replication forks on replisomes and promotes the generation of asymmetric replication forks (Di Micco et al., 2006). Also, the overexpression of RAS proteins decreases cellular dNTP concentration, which forces the premature termination of replication forks. This is a consequence of the downregulation of the ribonucleotide reductase subunit M2 (RRM2), mediated by RAS proteins, leading to DNA replication stress, cell cycle stress and senescence (Di Micco et al., 2007; Rai et al., 2011). Unresolved DNA replication stress can lead to DNA damage, giving rise to several types of mutations, including chromosomal rearrangements, and DNA amplifications or deletions (Sirbu and Cortez, 2013; Zeman and Cimprich, 2014; Gaillard et al., 2015; Blackford and Jackson, 2017).

As discussed above, the replicative, oxidative, and metabolic stresses resulting from RAS mutation represent an obvious drawback for incipient cancer cell proliferation and survival. In primary cells, much of this disadvantage is mediated by Oncogene-Induced Senescence (OIS), a state of permanent cell cycle arrest in the absence of telomere erosion, that prevents the proliferation of cells in which excessive damage could lead to a full malignant phenotype (Batsi et al., 2009). Current evidence suggests that OIS is the result of constant exposure to sublethal doses of stressors (Mijit et al., 2020). Depending on the intensity of the stress, cells may exceed a threshold that promotes programmed cell death instead of senescence, although other factors, such as the cell type and the type of stimulus, may tilt the balance towards either outcome (Mijit et al., 2020).

Several different pathways activate OIS in response to RAS signalling (Mijit et al., 2020). Among them, the best understood involves the DNA Damage Response (DDR). This pathway can be activated either by exposed stretches of single-stranded DNA caused by replication fork stalling or DNA breaks resulting thereof, or by DNA damage caused by ROS. Both initiating events have been listed as natural consequences of RAS-mediated oncogenic stress. DNA damage activates ATM/ATR kinases, which stabilize p53 through phosphorylation of its serine residues 15 and 20, and by inhibitory phosphorylation of its ubiquitin ligase MDM2 (Mijit et al., 2020). In turn, p53 upregulates the cyclin-dependent kinase inhibitors p21cip1 and p16INK4A, concomitantly preventing cell cycle progression (Mijit et al., 2020).

Alternative DDR-independent mechanisms of OIS have been elucidated, including the RAS-mediated NORE1A activation. NORE1A is a recently identified downstream RAS effector which, in conjunction with the kinase HIPK2, promotes p53 pro-senescence acetylation and inhibits its pro-apoptotic phosphorylation (Donninger et al., 2015). NORE1A can also form a complex with the phosphatase PP1A and promote the activation of the cell cycle progression inhibitor Rb, by dephosphorylation (Barnoud et al., 2016).

It has also been demonstrated that RAS G12V stimulates OIS in IMR-90 non-cancerous lung fibroblasts (Batsi et al., 2009). Mechanistically, the oncogenic stress instigated by RAS G12V promotes DNA double-strand breaks and the consequential



activation of the DDR. Upon DDR activation, Chk1 and Chk2 activate p53. Among its multiple effects, p53 inhibits p65, one of the two subunits that compose the transcription factor NF- κ B (Mijit et al., 2020). In unstimulated cells, NF- κ B is localized to the cytoplasm in a complex with its inhibitor I κ B α , which prevents NF- κ B translocation to the nucleus. Upon stimulation with different external signals, such as TNF- α , the I κ k complex phosphorylates I κ B α , promoting its ubiquitylation and subsequent degradation. I κ B α degradation allows for NF- κ B translocation to the nucleus and transcriptional activity, which upregulates several genes associated with cell survival, chemotherapy and radiotherapy resistance, stromal adhesion molecules, and autocrine stimulation receptors (Xia et al., 2014). Remarkably, forced expression of the I κ k subunit I κ k β c α can relieve p53-induced inhibition of NF- κ B, thereby delaying the onset of OIS (Batsi et al., 2009). Interestingly, the DDR itself promotes I κ k activation through the action of ATM, but such endogenous activation can be overcome by wild-type p53 (Batsi et al., 2009). In fact, it has been shown in mouse embryonic fibroblasts expressing RAS G12D that p53 loss of heterozygosity (LOH) is required for sustained NF- κ B nuclear localization. Furthermore, conditional p53 reactivation in human lung tumor cells has been demonstrated to restore p65 cytoplasmic localization (Meylan et al., 2009).

Once proliferating RAS mutant cells can bypass OIS, some mechanisms of genome fidelity safeguard become beneficial for cancer cell survival by mitigating the catastrophic effects of high stress levels and DNA damage (Gilad et al., 2010). This has been

demonstrated for the tumor suppressor ATR, which is activated by oncogenic KRAS G12V-transformed murine embryonic fibroblasts. In this model, oncogenic transformation increases cellular reliance on the ATR-CHK2 pathway for survival. RNAi-mediated ATR targeting in p53^{+/-} cells leads to p53 LOH, bolstering tumorigenesis. Interestingly, when stronger ATR silencing is achieved, cells with the same genetic background (KRAS G12V/p53^{+/-}) attain intolerable levels of genomic instability, leading to decreased proliferation and cell death (Gilad et al., 2010). Similarly, a large shRNA screen performed in the colorectal cancer cell line DLD-1 identified synthetic lethality relations between RAS mutation and several components of the Base Excision Repair (BER) pathway, including NEIL2, XRCC1, Polymerase β (Pol- β), and the DNA ligase III (Luo et al., 2009). Therefore, OIS relies on the proper function of tumor suppressor genes, but tumor suppressors do not represent an eternally impervious barrier since such genes can suffer inactivating mutations and LOH. In this context, tightly regulated mechanisms of stress surveillance promote tumorigenesis.

RAS IN THE CELLULAR REDOX BALANCE

RAS has been shown to promote antioxidant as well as pro-oxidant programs in the cell (Lim and Leprivier, 2019) (Figure 1). The promotion of a RAS-dependent antioxidant response is supported by recent literature (Lim and Leprivier, 2019). It has been shown that endogenous expression of KRAS G12D in mouse embryonic fibroblasts promotes the activation of NRF2, a central player in the cellular antioxidant response, through the RAF/MEK/ERK/JUN pathway (DeNicola et al., 2011). In turn, NRF2 upregulates ROS-scavenging factors, such as Hmxo1, Nqo1, Gclc, and Ggt1, to maintain the intracellular redox balance in check. Furthermore, genetic ablation of NRF2 impairs RAS-dependent tumor growth and proliferation (DeNicola et al., 2011). These findings argue for a role of RAS in limiting OIS during early tumor development.

The antioxidant response initiated by mutant RAS has also been shown to mediate chemotherapy resistance in established tumors. It has been reported that cisplatin induces mitochondrial ROS generation, increasing the stress levels present in cancer cells (Marullo et al., 2013). Platinum-based compounds like cisplatin, carboplatin, and oxaliplatin are chemotherapeutic agents widely used in cancer treatment. Such agents intercalate into DNA, interfering with RNA transcription and DNA replication by binding to N7 of guanine and adenosine residues, adduct formation, and subsequent apoptosis. However, platinum-based treatment can be overcome by cancer cells due to intrinsic resistance or acquired resistance through improved cell DNA repair and the overactivation of the anti-oxidative stress pathway (Oun et al., 2018). Tao and colleagues reported that cisplatin chemoresistance in non-small cell lung cancer cells and lung tumor tissue can be mediated by KRAS G12D-dependent activation of the transcription factor NRF2 pathway, by enhanced NRF2 mRNA expression and, therefore, increased gene expression of drug metabolizing enzymes,

antioxidant enzymes, and drug transporters, thereby limiting cisplatin toxicity in cancer cells (DeNicola et al., 2011; Tao et al., 2014). Furthermore, KRAS G12C mutants were found to be less sensitive to cisplatin treatment *in vitro* and *in vivo* as a result of DNA BER stimulation, which removes cisplatin from DNA before the formation of DNA adducts (Caiola et al., 2015).

On the other hand, most of the literature concerning the impact of RAS on cellular redox balance has shown a role for RAS in the generation of ROS (Lim and Leprivier, 2019), which promote multiple phenotypes associated with cancer development, such as increased DNA oxidation (Woo and Poon, 2004; Lim and Leprivier, 2019), increased proliferation (Irani et al., 1997; Ogrunc et al., 2014), chromosome breaks with concomitant chromosomal instability (Woo and Poon, 2004), anchor-independent growth (Weinberg et al., 2010), and increased DNA-repair upon cisplatin or UV-induced insults (Cho et al., 2002). As discussed above, although some of these effects are known to trigger OIS or cell death in tumor suppressor-proficient cells, loss of tumor suppressor genes constitutes a turning point in tumor development.

RAS has also been shown to play a central role in the ROS-dependent activation of the DDR, thereby preventing extreme genomic instability levels, and promoting resistance to chemotherapy and radiotherapy-induced cell death through DNA repair.

RAS proteins can promote ROS production and consequent stimulation of DNA repair through different pathways. For instance, mutant RAS expression promotes changes in cellular metabolism, increasing the intracellular levels of hydrogen peroxide (H₂O₂) and Reactive Oxygen Species (ROS), promoting the oxidation of the DNA, proteins, and lipids (Lee et al., 1999). In fact, the Qo site of the mitochondrial complex III has been identified as the main site of KRAS-driven ROS generation in a mouse model of lung cancer (Weinberg et al., 2010). It has also been observed that in mouse lung cells, KRAS mutant expression promotes ROS peroxide production through cyclooxygenase 2 (COX-2) (Maciag et al., 2004). Furthermore, Park and colleagues reported that KRAS induced ROS generation through a signalling axis specifically involving the p38 MAPK in normal human fibroblasts. KRAS induced activation of p38, which led to PDPK1 activation. Once active, PDPK1 interacts with and phosphorylates PKC δ which, in turns, interacts with and phosphorylates the SH3-N domain of p47phox, a subunit of the NADPH Oxidase 1 (NOX-1). This interaction mediates p47phox membrane translocation and activation of NADPH oxidase-1 (NOX-1) upregulating cellular ROS production (Park et al., 2014). Moreover, mutant KRAS has also been shown to upregulate Nox1, a homologue of the catalytic subunit of NOX-1 at the transcriptional level, through the MAPK pathway, in normal rat kidney epithelial cells. In this study, the specific inhibitor PD98059 was used to target p38, which demonstrated the participation of such signaling cascade in ROS generation, and the enhancement of cell growth and malignant transformation (Park et al., 2014).

On the other hand, it has been observed that oncogenic HRAS expression in NIH3T3 stimulates ROS production through the HRAS/PI3K/RAC1/NADPH oxidase signaling

cascade. In this study, ROS promoted DNA repair upon challenge with cisplatin and UV light-induced insults. Furthermore, pre-treatment of the cells with the antioxidant N-acetyl-cysteine partially suppressed such enhanced DNA repair (Cho et al., 2002). A similar mechanism of ROS generation was observed in normal human fibroblasts, through NOX4, in an independent analysis (Ogrunc et al., 2014).

Overall, the relation of RAS with ROS may seem confusing since some reports show that RAS signaling antagonizes ROS, while others demonstrate that it promotes ROS generation. A reconciling model proposed that RAS plays distinct, sequential roles in the cellular redox balance along carcinogenesis (Lim and Leprivier, 2019), hypothesizing that mutant RAS activates antioxidant programs upon tumorigenic initiation; then, in a more advanced carcinogenic setting, amplified RAS signaling would activate pro-oxidant programs, enhancing the cellular capacity of DNA repair and proliferation. To test this model, it will be interesting to assess the alterations associated with anti-to pro-oxidant switching in terms of genetic, epigenetic, and tumor microenvironment along carcinogenesis.

INFLUENCE OF RAS IN DNA REPAIR PATHWAYS

RAS-dependent ROS stimulate DNA repair through the activation of NF- κ B, an essential mediator of chemoresistance and radioresistance which promotes DNA repair and cancer cell survival (Figure 2). It has been shown that p65 loss compromises DNA repair and genome stability. Conversely, treatment with the NF- κ B activator TNF- α enhances DSB repair, but this enhancement can be inhibited by overexpression of a degradation-resistant version of the NF- κ B inhibitor I κ B α . Specifically, p65 stimulates the Homologous Recombination (HR) repair pathway by upregulating ATM and BRCA2 at the transcriptional level, and by inducing the formation of a BRCA1 complex with the CtIP, which is required for DSB resection, necessary for single-strand ends in the process of HR (Volcic et al., 2012).

On the other hand, high intracellular H₂O₂ concentrations have been shown to upregulate poly (ADP-ribose) polymerase (PARP), which is required for DNA DSB repair (Ziemann et al., 1999). Moreover, ROS activate several transcription factors, such as AP-1, Sp1, NRF2, and p53 (Cho et al., 2002). Remarkably, several genes implicated in DNA repair bear redox-sensitive transcription factor binding motifs. For instance, the promoters of the XPA, XPB, XPC, and XPD genes, implicated in nucleotide excision repair XPA-XPD contain binding sites for the aforementioned Sp1, Ets1 (member of the AP-1-like family of transcription factors), and p53 transcription factors (Cho et al., 2002).

Therefore, RAS-mediated ROS enhancement promotes the activation of DNA repair through different mechanisms. This represents a major drawback for incipient cancer cells. However, once tumor cells have overcome the proliferation-counteracting OIS induction systems, RAS-mediated ROS-dependent activation

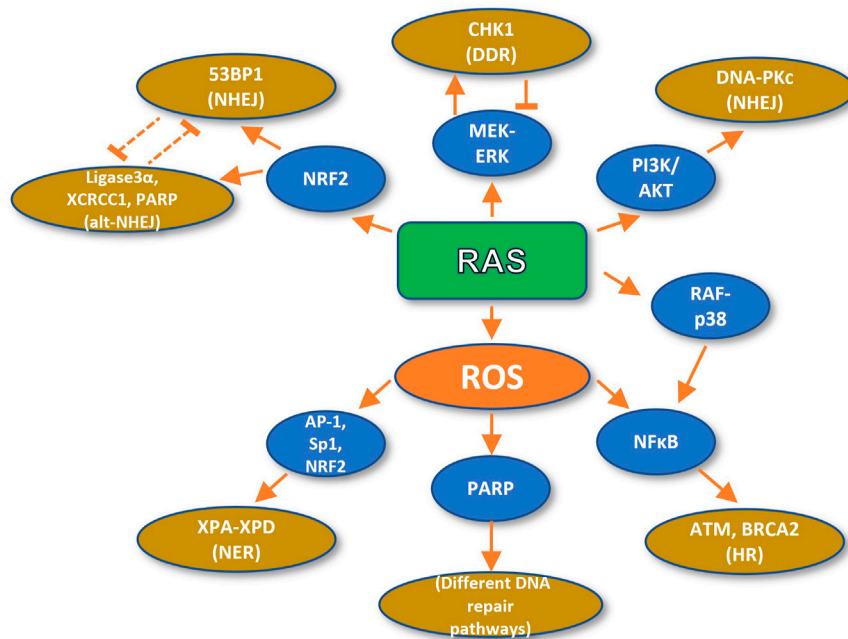


FIGURE 2 | Oncogenic RAS promotes DNA repair. RAS-dependent ROS formation stimulates DNA repair (HR and NER) and the DDR by the activity of NFκB, AP-1, Sp1, and NRF2 transcription factors and PARP activation. On the other hand, RAS fosters DNA repair (NHEJ and alt-NHEJ) directly through activation of MEK, PI3K, and p38 pathways and the NRF2 transcription factor.

promotes cell survival by preventing intolerable genomic instability, and provide the possibility to efficiently repair radiotherapy and chemotherapy-induced DNA damage.

Besides ROS, RAS can promote DNA repair and/or chemotherapy and radiotherapy resistance through several other pathways. This was evidenced by inhibition of HRAS prenylation in rodent cells, or inhibition of HRAS farnesylation in human tumor cells, which increased their radiosensitization (Miller et al., 1993; Bernhard et al., 1996, 1998). Also, the loss of an active RAS allele leads to a significant reduction in the survival of DLD-1 and HT1080 human cell lines upon radiation (Bernhard et al., 2000). Moreover, the inhibition of the PI3K pathway leads to radiosensitization of mutant RAS expressing cells treated with the PI3K inhibitor LY294002 (Gupta et al., 2001). Furthermore, in HCT-116 human colorectal cancer cells, mutant HRAS G12V expression increases the activation of the PI3K/AKT pathway and the activity of AKT upon radiation, promoting cell survival. However, this protective effect is abolished by AKT inhibition or by dominant-negative AKT expression, leading to increased radiation cell lethality (Carón et al., 2005).

In a recent study by Tago and colleagues, NF-κB was shown to be hyperactivated upon TNF-α stimulation of HRAS G12V expressing KF-8 mouse fibroblasts. NF-κB activation occurs through RAF/p38 MAPK-mediated p65 phosphorylation at serine 276 (Tago et al., 2019), which promotes NF-κB transcriptional activity. The authors of this study also reported higher levels of phosphorylated p65 in neoplastic tissue from mutant KRAS colorectal

cancer samples. Furthermore, shRNA targeting of KRAS prevented the TNF-α hyperstimulation of NF-κB transcriptional activity in the A549 human lung cancer cell line, as measured by the abundance of its transcriptional targets COX2, ICAM1, and A20 (Tago et al., 2019).

Moreover, mutant KRAS has also been shown to promote autocrine stimulation of the MAPK pathway through the production of EGFR ligands. In response to radiation and under such autocrine stimulation, the PI3K/AKT pathway enhances DSB repair and concomitant radioresistance through phosphorylation of serine 2056 of DNA-PKc catalytic subunit, a critical regulator of the Non-Homologous End Joining DNA repair signalling cascade (Minjgee et al., 2011).

RAS/MEK signalling is also implicated in chemotherapy and radiotherapy resistance through the activation of the DNA damage response. It has been demonstrated that RAS signalling promotes CHK1 expression in human cancer cells, and that such expression can be abolished by MEK inhibition, through treatment with the specific MEK inhibitor cobimetinib (Lee et al., 2017). Furthermore, increased RAS/MEK/ERK signalling has been associated with resistance to the CHK1 inhibitor GDC-0425 (Lee et al., 2017). Nevertheless, MEK inhibition protects cells from reduced viability upon GDC-0425 treatment. Also, CHK1 decreases ERK activation in GDC-0425-sensitive cells. As in the case of ATR mentioned before, the authors of this study interpreted the data as a feed-forward and feedback loop between RAS and CHK1 which enables neoplastic cells to maximize growth without exceeding a threshold of intolerable DNA damage (Lee et al., 2017).

Similarly, another study demonstrated that the MEK inhibitor GSK1120212 radiosensitizes KRAS mutant pancreatic cancer cell lines MIA PaCa-2 and AsPC-1. Treatment with GSK1120212 delayed γ H2AX foci disappearance and inhibited BRCA1 and RAD51 foci formation after radiation treatment. Furthermore, treatment with GSK1120212 also inhibited the disappearance of DNA-PK ϵ and 53BP1 foci after radiation. Hence, it was concluded that MEK promotes radioresistance in pancreatic cancer cells through the activation of both the HR, and the NHEJ pathways (Poon et al., 2017). Interestingly, wild type HRAS and NRAS are also implicated in efficient Chk1 activation in mutant KRAS cells. Concordantly, the knockdown of wild type HRAS or NRAS specifically sensitizes KRAS mutant cells to DNA damaging agents (Grabocka et al., 2014).

Furthermore, it has also been demonstrated in colorectal cancer cell lines that KRAS G13D mutation can mediate radioresistance through the transcriptional upregulation of NRF2, followed by its nuclear translocation and the concomitant overexpression of 53BP1. 53BP1 translocates to the sites of DSB and promotes DNA repair through the NHEJ pathway. Interestingly, KRAS G13D was shown to accelerate DNA repair (measured by the disappearance of γ H2AX foci) after irradiation, through the mentioned 53BP1 upregulation, while NRF2 or 53BP1 targeting radiosensitized the cells (Yang et al., 2021). Conversely, the same mutation upregulated the components of the alternative NHEJ (alt-NHEJ) pathway Ligase3 α , XRCC1, and PARP1 in a different model, namely leukemic and lymphocytic cells. Interestingly, DNA repair showed delayed kinetics in response to radiation, which is a feature of alt-NHEJ (measured by the disappearance of γ H2AX foci). Moreover, targeting alt-NHEJ components sensitized KRAS mutant cells to DNA damaging agents (Hähnel et al., 2014).

Furthermore, thymocytes derived from KRAS G12D knock in mice were shown to display increased repair through the alt-NHEJ pathway upon DNA damage with chemical agents or radiation, which was associated with an increased expression of Ligase3 α , XRCC1, and PARP1 (Hähnel et al., 2014). The authors of this report proposed that the overexpression of alt-NHEJ components outcompeted classical NHEJ factors for DNA binding. Again, in a different tissue of the same animal (mouse embryonic fibroblasts) this mutation has been shown to upregulate NRF2, which, as mentioned above, promotes the NHEJ pathway through 53BP1 (DeNicola et al., 2011).

These results suggest that oncogenic RAS may have a distinct influence on the DSB repair pathway preference in tissues of different origins, underlying differences in clinical history and treatment response observed in hematological and solid neoplasms. Such differences could help guide the search for synthetic lethal interactions in cancers of different origins.

FUTURE PERSPECTIVES AND CONCLUDING REMARKS

Despite recent advances in targeting mutant RAS tumors, this field still faces important challenges. For example, G12C targeting

with the recently approved covalent inhibitor Sotorasib is very specific for the mutant protein, but this brilliant approach's high selectivity comes at the price of benefiting a relatively small percentage of patients, as previously mentioned (Hansen et al., 2018; Kim et al., 2021). Therefore, new strategies are required to either attack RAS mutant cancer vulnerabilities or to develop new ways to directly target RAS itself.

Advances have been achieved in tackling RAS vulnerabilities by exploiting a recently discovered co-dependence between mutant KRAS and the component of the alternative NHEJ pathway PARP1. Interestingly, PARP1 is upregulated upon KRAS mutation (Hähnel et al., 2014) and, on the other hand, PARP1 resistance arises through the overactivation of RAS-MEK-ERK signaling (Sun et al., 2017). Thus, Sun and colleagues treated different types of tumor cells with combinations of MEK1/2 and PARP inhibitors both *in vitro* and *in vivo* and revealed a synergistic effect of these two kinds of drugs, specifically in KRAS mutants (Sun et al., 2017). Furthermore, their results prove that this synergy is associated with the overexpression of the transcription factor FOXO3a, which concomitantly promotes downregulation of the DDR components RAD51, BRCA1, and MRE11, while it promotes the upregulation of the proapoptotic factor BIM (Sun et al., 2017). As a result of the success obtained in the preclinical setting, a phase 1/2 clinical trial is now being conducted to test the efficacy of the combination of the two previously approved drugs Selumetinib (MEK1/2 inhibitor) and Olaparib (PARP1 inhibitor) in the treatment of ovarian and other solid malignancies with RAS pathway alterations (NCT03162627) (Sun et al., 2020).

Other notable efforts are aiming to inhibit components of the DDR chemically. Such is the case of a newly developed molecule (referred to as compound 14), that inhibits Pol- β (Yuhás et al., 2021). Pol- β is an essential component of the BER pathway which was previously shown to maintain a synthetic lethal relation with KRAS G13D in an RNAi screen. Compound 14 irreversibly inhibits the ability of Pol- β to bind to the DNA by covalently targeting two lysine residues while sparing other DNA polymerases. Remarkably, treatment with pro-14 (a prodrug derived from compound 14) promoted very low toxicity but could potentiate the cytotoxic effects of DNA damaging agents in mouse embryonic fibroblasts and HeLa cells (Yuhás et al., 2021). It will be interesting to test the ability of this new inhibitor to kill KRAS mutant cells as a mono-therapy (since Pol- β has been shown to be synthetic lethal with mutant KRAS), and to determine if this is a viable therapeutic strategy.

A different flourishing area in the RAS targeting endeavor involves RNA technology. RNAi against KRAS G12D, the most common RAS mutation in human cancer, holds the promise of very high specificity and efficient tumor killing. Recent advances in RNA delivery *in vivo* have prompted this approach to clinical trials. One of the studies is a phase 1/2a clinical trial in which a small biodegradable polymeric device directly implanted in locally advanced pancreatic tumors was used to slowly administer siRNAs against KRAS G12D over 4 months, with concomitant chemotherapy with DNA damaging agents. The treatment was shown to be safe and well tolerated, and 10/12

patients showed stable disease, and 2 showed partial response (Golan et al., 2015). These results fostered a still ongoing multinational phase 2 trial (NCT01676259) to determine the progression-free survival in patients with locally advanced pancreatic tumors receiving the treatment described above.

In another phase 1 clinical trial currently in progress (NCT03608631), exosomes containing siRNAs against KRAS G12D are being administered intraperitoneally to patients with metastatic pancreatic cancer. These exosomes are engineered to bear the CD47 surface protein, which helps to avoid clearance by monocytes, therefore increasing the stability of exosomes. This study relies on encouraging preclinical data in which these engineered exosomes showed a remarkable ability to suppress pancreatic cancer and significantly increase survival in mice when administered intraperitoneally (Kamerkar et al., 2017).

However, RNAi is not the only RNA system with potential clinical applications. Circular RNAs (circRNAs) are also capable of controlling the fate of mutant RAS cancer cells. This type of RNA was discovered several decades ago, but only recently started drawing researchers' attention (Kristensen et al., 2021). These transcripts consist of one or multiple exons of a coding gene covalently circularized in a process known as back-splicing (Kristensen et al., 2021). Interestingly, circRNAs can control several cellular events through their interaction with RNA-binding proteins, microRNAs, or with their genomic parent locus. It has been hypothesized that circRNAs are part of an RNA interaction network and compete with mRNAs for microRNA binding (Salmena et al., 2011). Therefore, circRNAs can, for instance, increase mRNA abundance by outcompeting mRNAs for microRNA binding. The regulation exerted by circRNAs can occur both in cis and in trans, but regulation in cis is expected to be quite common because both mRNAs and circRNAs can share microRNA Response Elements (MREs), since they are transcribed from the same gene.

Recent work has demonstrated interesting links between circRNA, the DDR, and oncogenic RAS. Experimental and bioinformatic evidence support the transcription of circRNAs from several DDR genes, including ATM, ATR, CHK1, CHK2, TP53BP1, NBS1, MRE11, RAD50, and SMARCA5 (Papaspapopoulos et al., 2021). For most of these circRNAs, the microRNA targets remain to be validated, but the role of circSMARCA5 was recently elucidated. The SMARCA5 protein is a member of the SWI/SNF complex, a chromatin remodeler necessary for the recruitment of DDR components. Specifically, SMARCA5 promotes H2AX phosphorylation and ubiquitylation in response to DNA damage, and it is overexpressed in prostate and hepatic cancer (Xu et al.,

2020). Conversely, circSMARCA5, the circular RNA produced from the SMARCA5 gene, is downregulated in the prostate, hepatic, and breast cancer. Mechanistically, circSMARCA5 interacts with its parent locus on the genomic DNA and promotes premature termination of the circSMARCA mRNA, ultimately leading to a truncated nonfunctional protein. Therefore, circSMARCA5 expression indirectly decreases the DNA repair capacity, consequently increasing sensitivity to the DNA damaging agents cisplatin and bleomycin (Xu et al., 2020).

Interestingly, mutant KRAS decreases the expression of a large number of circRNAs, including circSMARCA5 (Dou et al., 2016). This kind of interactions could be exploited to target the DDR in cancer cells using novel RNA *in vivo* delivery methods to administer DDR-hindering RNAs such as circSMARCA5 in combination with DNA damaging agents. An advantage of RNA-based treatments is that different transcripts could be delivered at once, and tumor RNA profiles could be used to personalize RNA cocktails.

It is worth mentioning that therapies that target the DDR take advantage of the exacerbated genomic stress of RAS mutant tumors, leading to intolerable levels of genomic instability and subsequent cell death. Therefore, a possible strategy could consist of first specifically targeting the DDR in combination with genotoxic agents and then using RAS-inhibiting molecules to overcome resistance to DDR inhibitors and genotoxic agents, since resistance to treatment arises very fast in many RAS mutant cancers, including PDAC (Amrutkar and Gladhaug, 2017). Experimental testing should challenge this speculative rationale.

Mutations of the RAS oncogenes have a profound impact on multiple aspects of the cell. Their effects are so diverse that the literature has met controversies around the participation of RAS in cell biology. Such is the case of its impact on the cellular redox balance and association with stress and DNA damage surveillance mechanisms. However, a comprehensive understanding of the diverse mutant RAS effects in the context of the carcinogenic process will help solve such controversies, ultimately leading to solid foundations upon which new treatments could arise.

AUTHOR CONTRIBUTIONS

RC-G, LH, and JD-C contributed to the conception and design of the review. RC-G and YA-M wrote the first draft of the manuscript. RC-G, LH, JD-C, YA-M, and MA wrote sections of the manuscript. All authors reviewed the manuscript, read, and approved the submitted version.

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